





# DSP BLACKROCK 3 YEARS CLOSE ENDED EQUITY FUND

Close Ended Equity Scheme

This Close ended Equity Scheme is suitable for investor who are seeking<sup>^</sup>

• Capital appreciation with a long term investment horizon; • Investing predominantly in equity & equity related securities; • High Risk  (Brown)

<sup>^</sup>Investors should consult their financial advisers if in doubt about whether the scheme is suitable for them.

Note: Risk may be represented as: • Investors understand that their principal will be at Low risk  (Blue) • Investors understand that their principal will be at Medium risk  (Yellow) • Investors understand that their principal will be at High risk  (Brown)

New Fund Offer Price: Rs. 10/- per Unit

Name of the Mutual Fund: DSP BlackRock Mutual Fund

Name of the AMC: DSP BlackRock Investment Managers Pvt. Ltd.

New Fund Offer Opens on: November 07, 2014 New Fund Offer Closes on: November 21, 2014

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme information Document (SID) of the Scheme and the Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centres or distributors or from the website, [www.dspblackrock.com](http://www.dspblackrock.com).

The Scheme particulars have been prepared in accordance with the Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with the Securities and Exchange Board of India (SEBI). The Units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

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Investment Objective	<p>The primary investment objective of the Scheme is to generate capital appreciation by investing predominantly in portfolio of equity and equity-related securities.</p> <p>There is no assurance that the investment objective of the Scheme will be realized.</p>														
Asset Allocation Pattern	<p>Under normal circumstances, the asset allocation of the Scheme will be as follows:</p> <table border="1" data-bbox="343 167 1544 341"> <thead> <tr> <th data-bbox="343 167 943 223" rowspan="2">Instruments</th> <th colspan="2" data-bbox="951 167 1241 223">Indicative allocations (% of total assets)</th> <th data-bbox="1249 167 1544 223" rowspan="2">Risk Profile</th> </tr> <tr> <th data-bbox="951 229 1091 261">Minimum</th> <th data-bbox="1099 229 1241 261">Maximum</th> </tr> </thead> <tbody> <tr> <td data-bbox="343 271 943 302">Equity and Equity related securities</td> <td data-bbox="951 271 1091 302">65%</td> <td data-bbox="1099 271 1241 302">100%</td> <td data-bbox="1249 271 1544 302">High</td> </tr> <tr> <td data-bbox="343 308 943 339">Debt, money market securities, cash and cash equivalents</td> <td data-bbox="951 308 1091 339">0%</td> <td data-bbox="1099 308 1241 339">35%</td> <td data-bbox="1249 308 1544 339">Low to Medium</td> </tr> </tbody> </table> <p>In light of SEBI Circular no. DNP/Cir-29/2005 dated September 14, 2005 and subject to the regulatory limits, the net derivatives position shall not exceed 30% of the net assets of the Scheme.</p> <p>The cumulative gross exposure through equity, debt and derivative positions will not exceed 100% of the net assets of the Scheme.</p> <p>The Scheme will not invest in ADR/GDR or foreign securities.</p> <p>The Scheme will not invest in securitized debt.</p> <p>The Scheme will not engage in short selling of securities and securities lending and borrowing.</p> <p>The Scheme will not participate in repos in corporate debt securities.</p> <p>The Scheme will not invest in credit default swaps.</p> <p>The Scheme may invest in reverse repos in securities issued by Government of India.</p> <p>Pending deployment of funds of the Scheme, the AMC may invest funds of the Scheme in short-term deposits of scheduled commercial banks, subject to the following conditions issued by SEBI vide its circular SEBI/IMD/CIR No. 1/91171 /07 dated April 16, 2007:</p> <ol style="list-style-type: none"> <li>1. The term 'short term' for parking of funds shall be treated as a period not exceeding 91 days.</li> <li>2. Such deposits shall be held in the name of each Scheme.</li> <li>3. Each Scheme shall not park more than 15% of its net assets in the short term deposit(s) of all the scheduled commercial banks put together. However, it may be raised to 20% with the prior approval of the Trustee. Also, parking of funds in short term deposits of associate and sponsor scheduled commercial banks together shall not exceed 20% of total deployment by the Mutual Fund in short term deposits.</li> <li>4. Each Scheme shall not park more than 10% of its net assets in short term deposit(s) with any one scheduled commercial bank including its subsidiaries.</li> <li>5. The Trustee shall ensure that the funds of each Scheme are not parked in the short term deposits of a bank which has invested in that Scheme.</li> <li>6. AMC will not charge any investment management and advisory fees for parking of funds in short term deposits of scheduled commercial banks.</li> </ol> <p>The above provisions do not apply to term deposits placed as margins for trading in cash and derivative market.</p> <p>The Investment Manager shall rebalance the portfolio in case of any deviation to such asset allocation. Such rebalancing shall be done within 30 days from the date of occurrence of deviation. Any alteration in the investment pattern will be for a short term and for defensive considerations; the intention being at all times to protect the interests of the Unit Holders.</p>	Instruments	Indicative allocations (% of total assets)		Risk Profile	Minimum	Maximum	Equity and Equity related securities	65%	100%	High	Debt, money market securities, cash and cash equivalents	0%	35%	Low to Medium
Instruments	Indicative allocations (% of total assets)		Risk Profile												
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Investment Strategy	<p>The Scheme will have a concentrated portfolio and will invest primarily in the equity and equity related securities of companies and which are valued at a reasonable price. The Fund Manager will use a combination of top-down and bottom-up analysis to identify sector and stock weightages in the portfolio. Top down analysis involves an analysis of the macro-environment in order to understand the business cycle that various sectors are exposed to. It also involves understanding sector trends such as scale of opportunity, pricing power, volume changes, government policy, international trends etc. Bottom-up analysis involves an analysis of company specific factors such as size, competitive position, scalability, management quality, operational efficiency, financial parameters, valuation, etc. The Fund Manager will also consider the prevailing stock market conditions in the overall portfolio construction process.</p> <p>In picking out individual investment opportunities for the portfolio, the Investment Manager will seek both value and growth. Value is discerned when the Investment Manager believes that the inherent worth or long-term growth potential of a company is not fully reflected in the share price of the company. Growth stocks, as the term suggests, are those stocks that are currently in the growth phase. Such growth in earnings could be due to a new product, a new process, growing market share, stronger brand equity, technological breakthrough and unique or predominant position in a market, among other factors.</p> <p>The Investment Manager will conduct in-house research in order to identify value and growth stocks. The analysis will focus, among other things, on the historical and current financial condition of the company, capital structure, business prospects, strength of management, responsiveness to business conditions, product profile, brand equity, market share, competitive edge, research and technological know-how and transparency in corporate governance. The quality or strength or management would be a key focus area.</p> <p>The Fund Manager will invest only in those debt securities that are rated investment grade by a domestic credit rating agency such as CRISIL, ICRA, CARE, IND etc. or in unrated debt securities which the Fund Manager believes to be of equivalent quality.</p>														
Risk Profile	<p>Mutual Fund Units involve investment risks including the possible loss of principal. Scheme specific risk factors are summarized below:</p> <ol style="list-style-type: none"> <li>1. Risk associated with investment in equity and equity related instruments: (i) Price Risk (ii) Liquidity Risk for listed securities (iii) Liquidity Risk on account of unquoted and unlisted securities (iv) Event Risk 2. Risk associated with investment in fixed income securities (i) Credit Risk (ii) Reinvestment Risk (iii) Term Structure of Interest Rates (TSIR) Risk 3. Risk Associated with Derivatives 4. Risk associated with listing of units and 5. Risk associated with close-ended schemes.</li> </ol> <p><b>Please read the SID carefully for details on risk factors before investment.</b></p>														

	Risk	Risk mitigants / management strategy
	<b>1. Risk associated with investment in equity and equity related instruments</b>	<p>The Investment Manager endeavours to invest in companies, where adequate due diligence has been performed by the Investment Manager. As not all these companies are very well researched by third-party research companies, the Investment Manager also relies on its own research. This involves one to one meetings with the management of companies, attending conferences and analyst meets and also tele-conferences. The company-wise analysis will focus, amongst others, on the historical and current financial condition of the company, potential value creation/unlocking of value and its impact on earnings growth, capital structure, business prospects, policy environment, strength of management, responsiveness to business conditions, product profile, brand equity, market share, competitive edge, research, technological know-how and transparency in corporate governance.</p> <p>Our internal fund manager guidelines have maximum active/passive limits per sector and per stock vis-à-vis the benchmark to mitigate excessive risk concentration.</p>
	<b>2. Risk associated with investment in fixed income securities</b>	
	<u>Interest rate risk</u> Fall in the value of the portfolio due to upward movement of interest rates	Although this risk exists in a fixed income portfolio, the closed ended nature of the Scheme mitigates the risk as portfolio construction at the time of inception leads to creation of a short duration portfolio with securities whose maturity date is in line with the maturity date of the Scheme.
	<u>Credit risk</u> Issuer's inability to meet interest and principal payments on its debt obligations	Credit risk management is an independent function performed by the RQA team. The team establishes and continuously monitors credit limits for each issuer based on inputs from issuer financial statements, rating agencies etc. Issuer Risk Limits are imposed on a single issuer as well as an industrial group, for all the schemes. Issuer risk limits cover the quantum of exposure, maximum tenor and in some instances the type of instruments that can be purchased. Risk limits for issuers are assigned after discussion at an internal Credit Committee meeting. The endeavour is to construct a portfolio with high credit quality.
	<u>Liquidity risk</u> High impact costs for liquidation of securities held in the portfolio	In a closed ended product, liquidity risk on account of underlying securities' market liquidity is mitigated exist as intermediate liquidity is not required. Liquidity access is done through contractual maturity of the security, which is in line with the term of the closed ended Scheme.
	<b>3. Risks associated with derivatives:</b> Equity derivatives have been used actively and we envisage this Scheme will also use equity derivatives, both for directional (including equitisation of cash) and yield enhancement strategies. The credit risk associated with equity derivatives is defeased as only exchange traded equity derivatives are permitted. On the performance, portfolio and regulatory limits, there is an established daily monitoring process. As limits could be breached because of changes in the open interest, which is a function of market-wide activity and not specific to the Scheme and are not in control, there are hard and soft limits. Any breach beyond the soft limit is immediately rectified and brought within the limit specified.	
<b>Types of instruments in which the Scheme shall invest</b>	<p>The Scheme may invest in following securities:</p> <ul style="list-style-type: none"> <li>• Equity securities, which include, but are not limited to:               <ul style="list-style-type: none"> <li>- Equity shares being securities that represent ownership interest in a company.</li> <li>- Equity Related Instruments, being securities which give the holder of the security right to receive Equity Shares on pre agreed terms. It includes convertible/optionally convertible/compulsorily convertible debentures, convertible/optionally convertible/compulsorily convertible preference shares, share warrants and any other security which has equity component embedded in it.</li> <li>- Equity Derivatives, which are financial instruments, generally traded on the stock exchange, the price of which is directly dependent upon (i.e., "derived from") the value of equity shares or equity indices. Derivatives involve the trading of rights or obligations based on the underlying, but do not directly transfer property.</li> </ul> </li> <li>• Debt securities and Money Market Instruments will include but will not be limited to:               <ul style="list-style-type: none"> <li>- Debt instruments of the Government of India, state and local governments, government agencies, statutory bodies, public sector undertakings, scheduled commercial banks, non-banking finance companies, development financial institutions and corporate entities.</li> <li>- Debt and Money Market securities and such other securities as may be permitted by SEBI and RBI from time to time.</li> <li>- Money market instruments including but not limited to, treasury bills, commercial paper of public sector undertakings and private sector corporate entities, REPO, CBLOs, CROMS, certificates of deposit of scheduled commercial banks and development financial institutions, BRDS issued by public sector, private sector and foreign banks, government securities with residual maturity of one year or less and other money market securities as may be permitted by SEBI/ RBI Regulations.</li> <li>- The non-convertible part of convertible securities.</li> </ul> </li> </ul> <p>Any other like instrument as may be permitted by RBI/SEBI/ other regulatory authority, if any.</p> <p>The securities mentioned above could be listed, unlisted, privately placed, secured, unsecured, rated or unrated (subject to the rating or equivalency requirements discussed above) and of any maturity. The securities maybe acquired through Initial Public Offerings (IPOs), secondary market operations, private placements, rights offers or through negotiated deals.</p> <ul style="list-style-type: none"> <li>- The Scheme may invest in other Scheme managed by the AMC or in the Scheme of any other Mutual Fund(s), provided such investment is in conformity to the investment objectives of the Scheme and in terms of the prevailing Regulations. As per the Regulations, no investment management fees will be charged for such investments and the aggregate inter-scheme investment made by all Schemes of the Mutual Fund or in the Scheme under the management of other asset management companies shall not exceed 5% of the net asset value of the Mutual Fund.</li> </ul>	
<b>Plans Available under the Scheme</b>	<ul style="list-style-type: none"> <li>• Regular Plan</li> <li>• Direct Plan</li> </ul>	

Options	Growth* Dividend Payout *default Option			
Tenure/Duration of the Scheme	36 months from the date of allotment. The Units of the Scheme shall be fully redeemed at the end of the tenure. <b>DSP BlackRock 3 Years Close Ended Equity Fund will mature on November 27, 2017</b> In case the maturity date happens to be a non-Business Day, the immediately succeeding Business Day will be considered as the maturity date. On the maturity date, all Units under the Scheme will be compulsorily, and without any further act by the Unit Holders, redeemed at the Applicable NAV of that day. For the units held in electronic form, the units will be extinguished with the Depository and the redemption amount will be paid to the Unit Holders on the maturity date, at the prevailing NAV on that date.			
Minimum Application Amount /Number of Units	Rs. 1,000/- and in multiples of Re. 1/- thereafter.			
Benchmark Index	CNX 500 Index			
Dividend Policy	The Trustee reserves the right to declare a dividend and the actual distribution thereof and the frequency of distribution are entirely at the discretion of the Trustee.			
Name of the Fund Manager	Vinit Sambre & Laukik Bagwe			
Name of the Trustee Company	DSP BlackRock Trustee Company Pvt. Ltd.			
Performance of the Scheme	This is a new Scheme being launched and hence, there is no performance track record.			
Expenses of the Scheme (i) Load Structure	Entry Load - Not Applicable Exit Load - Nil (The Units under the Scheme cannot be directly redeemed with the Mutual Fund as the Units will be listed on the Stock Exchange/s).			
(ii) Recurring Expenses	Slab Rates	(As a % of daily average net assets)	Additional TER as per Regulation 52 (6A) (c)	Additional TER as per Regulation 52 (6A) (b)
	On the first Rs.100 Crores	2.50%	0.20%	0.30%
	On the next Rs.300 Crores	2.25%	0.20%	0.30%
	On the next Rs.300 Crores	2.00%	0.20%	0.30%
	On the balance of the assets	1.75%	0.20%	0.30%
	<b>Expense Structure for Direct Plan</b> - The annual recurring expenses will be within the limits specified under the SEBI (Mutual Funds) Regulations, 1996. However, Direct Plan will have lower expense ratio than Regular Plan of the Scheme. The expenses under Direct Plan shall at least exclude the distribution and commission expenses. The Total Expense Ratio of Direct Plan will be lower by at least 10% of the Total Expense Ratio {Mentioned in row (a) of the aforesaid table} charged to Regular Plan of the Scheme. The maximum limit of recurring expenses that can be charged to the Scheme would be as per Regulation 52 of the SEBI (MF) Regulation, 1996. Investors are requested to read "Section VII - FEES and EXPENSES". <b>For the actual current expenses being charged, the investor should refer to the website of the Mutual Fund.</b>			
Tax treatment for the Investors (Unit Holders)	Investors are advised to refer to the details in the Statement of Additional Information. In view of the individual nature of tax implications, each investor is advised to consult his or her own tax consultant with respect to the specific tax implications arising out of his or her participation in the Scheme.			
Applicable NAV for repurchase	Not applicable. The Scheme being close ended no redemption/repurchase is permitted. Investors who wish to redeem/exit may do so through the Stock Exchange mode.			
Dispatch of Repurchase (Redemption) Proceeds on Maturity	Within 10 working days from the date of Maturity			
Net Asset Value (NAV) Publication	The first NAV will be calculated and declared within 5 Business Days from the date of allotment. Thereafter, the Mutual Fund shall declare the NAV of the Scheme on every Business Day, on AMFI's website www.amfiindia.com, by 9.00 p.m. and also on www.dspblackrock.com. The NAV of the Scheme will be published by the Mutual Fund in at least two daily newspapers, on every Business Day.			
Listing	The Units of the Scheme will be listed on Bombay Stock Exchange Limited (BSE) within 5 Business Days from the date of allotment. BSE has vide its letter no. DCS/IPO/RD/MF-IP/264-2014-15 dated July 7, 2014, provided in-principle approval to the AMC for listing of the Units of the Scheme on BSE. An investor can buy/sell Units on a continuous basis on BSE and/or any other Stock Exchange(s) on which the Units are listed during the trading hours like any other publicly traded stock. The Mutual Fund reserves the right to suspend/deactivate/freeze trading of the Scheme and do all such matters with respect to closure of the Scheme at any time upto 10 days prior to the maturity. The proceeds of the maturity will be payable to the persons/beneficial owners/lien holders whose names appear in the beneficiary position as on the record date for maturity, as per the information available from the depositories.			
For Investor Grievances please contact	Investors may contact any of the Investor Service Centers (ISCs) of the AMC for any queries / clarifications, may call on 1800-200-4499 (toll free), e-mail: service@dspblackrock.com. Mr. Gaurav Nagori has been appointed as the Investor Relations Officer. He can be contacted at DSP BlackRock Investment Managers Private Limited Natraj, Office Premises No. 302, 3rd Floor, M V Road Junction. W. E. Highway, Andheri - East, Mumbai - 400069, Tel.: 022 - 67178000. For any grievances with respect to transactions through stock exchange mechanism, Unit Holders must approach either stock broker or the investor grievances cell of the respective stock exchange.			

<p><b>Unit Holders Information</b></p>	<p><b>Account Statements/Allotment Confirmation:</b></p> <ul style="list-style-type: none"> <li>Allotment confirmation specifying the number of Units allotted shall be sent to the Unit holders at their registered e-mail address and/or mobile number by way of email and/or SMS within 5 Business Days from the close of the New Fund Offer (NFO) Period.</li> <li>Consolidated account statement (CAS)^ shall be sent for each calendar month within 10th day of the succeeding month to the investors in whose folios transactions have taken place during that month. Transactions for the purpose of CAS include purchase, redemption, switch, dividend payout, dividend reinvestment, SIP, SWP, STP and bonus transactions with respect to Units held in physical form.</li> <li>The statement sent within the time frame mentioned above is provisional and is subject to realisation of payment instrument and/or verification of documents, including the application form, by the Registrar/AMC.</li> <li>A consolidated account statement shall be sent every half yearly (September/ March), on or before 10th day of succeeding month, detailing holding at the end of the six month, to all such investors in whose folios there have been no transactions during that period.</li> <li>For Unit Holders who have provided an e-mail address, the AMC will send the account statement by e-mail.</li> <li>The Unit Holder may request for a physical account statement by writing to/calling the AMC/Registrar.</li> <li>Despatch of account statements to NRIs/FILs will be subject to RBI approval, if required.</li> <li>Where investor desires to hold Units in dematerialized form, demat statement shall be provided by the Depository Participant in such form and in such manner and at such time as provided in the agreement with the beneficial owner.</li> </ul> <p>^Consolidated Account Statement (CAS) shall contain details relating to all the transactions carried out by the investor across all schemes of all mutual funds during the month and holding at the end of the month including transaction charges paid to the distributor with respect to Units held in physical form.</p> <p>For the purpose of CAS, common investors across mutual funds shall be identified on the basis of PAN. Accordingly, investors whose folio(s) are not updated with PAN shall not receive CAS. Investors are therefore requested to ensure that their folio(s) are updated with PAN. Further, such consolidation shall be based on the common sequence/order of investors in various folios across mutual funds.</p> <p>Unit holders are requested to note that, unit holders for folios which are not included in the CAS, AMC shall henceforth issue monthly account statement, pursuant to any financial transaction done in such folios; the monthly statement will be sent on or before tenth day of succeeding month. Such statements shall be sent in physical if no email id is provided in the folio.</p> <p>In case of New Fund Offer Period purchase, the AMC shall send confirmation specifying the number of units allotted to the applicant by way of a physical account statement or an email and/or SMS to the applicant's registered addresses and/or mobile number as soon as possible but not later than five working days from the date of closure of the NFO.</p> <p>In case of a specific request received from the unit holders, the AMC shall provide the account statement to the unit holders within 5 business days from the receipt of such request</p> <p>For more details, please refer the SID.</p> <p><b>Half yearly portfolio disclosure:</b> Full portfolio in the prescribed format shall be disclosed either by publishing it in one national English daily newspaper circulating in the whole of India and in a newspaper published in the language of the region where the Head office of the Mutual Fund is situated or by sending it to the Unit Holders within one month from the end of each half-year, that is as on March 31 and September 30. It shall also be displayed on <a href="http://www.dspblackrock.com">www.dspblackrock.com</a>.</p> <p><b>Annual Financial results:</b> The annual report of the Scheme or the Annual Report or an abridged summary thereof, will be sent to all Unit Holders not later than four months from the date of the closure of the relevant financial year i.e. March 31 each year (i) by email only to all Unit holders whose e-mail addresses are available with the Mutual Fund and (ii) in physical form to the Unit holders whose e-mail addresses are not available with the Mutual Fund and/or to those Unit holders who have opted/requested for the same.</p> <p>Whenever the report is sent in a the abridged form, the full annual report will be available for inspection at the registered office of the Trustee and a copy made available on request to the Unit Holders. The Annual Report shall also be displayed on <a href="http://www.dspblackrock.com">www.dspblackrock.com</a>, a link to which is displayed prominently on the homepage of the Mutual Fund's website.</p> <p>Investors are requested to register their email addresses with the Mutual Fund.</p>
<p><b>Applications Supported by Blocked Amount (ASBA)</b></p>	<p>As per SEBI circular no. SEBI/IMD/CIR No. 18/198647/2010 dated March 15, 2010 read with SEBI circular no. Cir /IMD/DF/6/2010 dated July 28, 2010, an investor can subscribe to the New Fund Offer (NFO) through ASBA facility for NFOs launched on or after October 01, 2010. The ASBA facility is offered by selected Self Certified Syndicate Banks (SCSBs) which are registered with SEBI for offering the facility, and whose names appear in the list of SCSBs on the website of SEBI, <a href="http://www.sebi.gov.in">www.sebi.gov.in</a>.</p> <p>ASBA is an application containing an authorization given by the investor to block the application money in his specified bank account towards the subscription of Units offered during the NFO of the Scheme. Thus, for an investor who applies through ASBA facility, the application money blocked towards subscription of Units shall be debited only if his/her application is selected for allotment of Units. ASBA facility will be provided to the investors subscribing to NFO of the Scheme. It shall co-exist with the existing process, wherein cheques/ demand drafts are used as a mode of payment. Please refer ASBA application form for detailed instructions. ASBA applications can be submitted only at Self Certified Syndicate Bank (SCSB) at their designated branches. ASBA form should not be submitted at location other than SCSB as it will not be processed. List of SCSBs and their designated branches shall be displayed on the SEBI's website (<a href="http://www.sebi.gov.in">www.sebi.gov.in</a>).</p>
<p><b>Multiple Bank Account Registration</b></p>	<p>In compliance to AMFI Best Practice Guidelines, AMFI circular No. 17/10-11 dated October 22, 2010. The Mutual Fund offers its investors' facility to register multiple bank accounts for pay-in &amp; payout purposes and designate one of the registered bank account as "Default Bank Account". Individuals, HUFs, Sole proprietor firms can register upto five bank accounts and a non-individual investor can register upto ten bank accounts in a folio. This facility can be availed by using a designated "Bank Accounts Registration Form" available at Investor Service Centers and Registrar and Transfer Agent's offices. In case of new investors, the bank account mentioned on the purchase application form, used for opening the folio, will be treated as default bank account till the investor gives a separate request to register multiple bank accounts and change the default bank account to any of other registered bank account. Registered bank accounts may also be used for verification of pay-ins (i.e. receiving of subscription funds) to ensure that a third party payment is not used for mutual fund subscription. Default Bank Account will be used for all dividends and redemptions payouts unless investor specifies one of the existing registered bank account in the redemption request for receiving redemption proceeds. However, in case a Unitholder does not specify the default account, the Mutual Fund reserves the right to designate any of the registered bank accounts as default bank account.</p> <p>Consequent to introduction of "Multiple Bank Accounts Facility", the existing facility of redemption with change of bank mandate is discontinued by the fund. New bank accounts can only be registered using the designated "Bank Accounts Registration Form". A new non-registered bank account specified in the specific redemption request for receiving redemption proceeds will not be considered.</p>

<b>Process for Change of Address</b>	<p>The self attested copies of the following documents shall be submitted along with duly filled in “Change of address form”:</p> <p>(i) Proof of new address (“POA”); and</p> <p>(ii) Proof of identity (“POI”): Only PAN card copy shall be considered or other proof of identity for PAN exempt cases.</p> <p>AMC reserves the right to collect proof of old address on a case to case basis while effecting the change of address.</p> <p>The self attested copies of above stated documents shall be submitted along with original for verification at any of the AMC’s branches /Investor Service Center’s of CAMS. In case, the original of any documents are not produced for verification, then the copies should be properly attested/verified by entities authorized for attesting / verification of the documents. List of admissible documents for POA &amp; POI mentioned in SEBI Circular MIRSD/SE/Cir - 21 / 2011 dated October 05, 2011 shall be considered</p>
<b>Third Party Payment Avoidance and additional documents / declaration required:</b>	<p>To safeguard the interests of applicant/investors and avoid fraudulent transactions in any other name, the Mutual Fund does not accept Third Party Payments* inline with AMFI Best Practice Guidelines Circular No.16/2010-11 dated August 16, 2010. A payment towards mutual fund subscription by Cheque/Demand Draft (DD)/Fund Transfer/RTGS/NEFT or any mode whatsoever is deemed as a “Third Party” payment, if payment is issued from a bank account other than that of the beneficiary investor. The first holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is made via cheque/Demand Draft (DD)/Funds transfer/RTGS/NEFT. Therefore, it is important for investors to mention the bank account number, bank name &amp; branch address from where the payment is issued and the same should match with details on payment cheque/document (where applicable). Where the payment instrument/advice does not mention the bank account holders name/s, investor should attach bank pass book copy/bank statement/bank letter to substantiate that the first unit holder is one of the joint holders of the bank account. Where a payment is through a DD, a bank certification of bank account and account holders name of the bank account used for DD issuance should be attached, in the required format.</p> <p>*Third Party Payment: When a payment is from a bank account other than that of the beneficiary investor, the same is referred to as a “Third Party Payment”. It is further clarified that in case of mutual fund subscriptions, the first unit holder is considered as the beneficiary investor, even if there are joint unit holders. In case of payments from a bank account jointly held, the first holder of the mutual fund subscription has to be one of the joint holders of the bank account from which the payment is made.</p> <p>In specific exceptional situations where Third Party payment is permitted like (i) Payment by Parents / Grand-Parents / Related persons on behalf of a minor (other than registered guardian) in consideration of natural love and affection or as gift for value not exceeding Rs 50,000 for each purchase, However this restriction will not be applicable for payment made by a guardian whose name is registered in the records of the Mutual Fund in that folio, (ii) Payment by an Employer on behalf of Employee under Systematic Investment Plans /lump sum/one-time subscription through Payroll deductions or (iii) Custodian on behalf of an FII or a client, (iv) Payment by Asset Management Company to a Distributor empanelled with it on account of commission/ incentive etc. in the form of the Mutual Fund Units of the Funds managed by such AMC through Systematic Investment Plans or lump sum / one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time. Investors submitting their applications through the above-mentioned ‘exceptional situations’ are required to comply with the following, without which applications for subscriptions for units will be rejected/not processed/refunded. Mandatory KYC for all investor (guardian in case of minor) and the person making the payment i.e. third party. In order for an application to be considered as valid, investors and the person making the payment should attach their valid KYC to the application form irrespective of amount. Along with submission of a separate ‘Third Party Payment Declaration Form’ from investor (guardian in case of minor) and person making the payment i.e. third party. The said Declaration form shall, inter alia, contain the details of bank account from which the payment is made and the relationship with the investor(s). ‘Investors are advised to visit <a href="http://www.dsplblackrock.com">www.dsplblackrock.com</a> &gt; Knowledge Centre for more details, including declaration format or approach any of the offices of the fund. The AMC reserves a right to reject the transaction or call for additional details, if payment bank account and other details are not mentioned on the form and/or do not match with payment instrument and/or necessary documents and declaration, as applicable to respective investors and transactions, are not attached or are insufficient. In case the funds are transferred to the mutual fund account prior to the application rejection, then amount transferred may not be refunded or redeemed unless the investor establishes KYC with additional documentation.</p>

Date: October 14, 2014

## INSTRUCTIONS

These instructions form an integral part of scheme related documents and investors are advised to read and understand the same.

Please read carefully the Scheme Information Document (SID), Statement of Additional Information (SAI), Key Information Memorandum (KIM) and all relevant Addenda before filling up the application form. Investors are deemed to have read and accepted the terms, as prevailing on the date of application being accepted and units being allotted even if they are using an old application form, subject to which these offers are being made, and bind themselves to the terms upon signing the application form.

All applications will be accepted subject to verification. Invalid or incomplete applications are liable to be rejected after acceptance and verification, and information will be sent to the address by general post.

All documents required to be submitted with the application form or later, like power of attorney, etc. should be in English language or notarized translated copy in English language.

### General Instructions

- a. These application forms may be used by both resident and non-resident investors.
  - U.S. Person and Residents of Canada should not invest in any of the Schemes of DSP BlackRock Mutual Fund. For more details, please read the Notice and addenda issued in this reference.
- b. The application form should be filled in English in BLOCK LETTERS and the applicants’ names and address should be provided in full (P.O. Box No. alone is not sufficient). Please tick ( ) in the appropriate box ( ), where boxes have been provided. In the case of NRI/PIO/FII investors, an overseas address must also be provided.
- c. Application forms that fall under any of the following categories are liable to be rejected and will not be returned to the customers. If applicable, the money paid will be refunded/returned without interest.
  - i Application forms incomplete in any respect or having a whitener or where there is any over writing on the form without the applicants’ counter signature.
  - ii Application forms invalid / incomplete /ambiguous / without supporting documents in any respect.
  - iii Application forms that are not accompanied by a payment instrument/instruction for the amount payable on the same day.
  - iv Application forms that the Trustee chooses to reject for any other reason determined at its sole discretion.

d. No separate receipt will be issued for the application money. The Investor Service Centre / Collection Centre / Official point of acceptance of transactions will stamp and return the acknowledgement slip in the application form, to acknowledge receipt of the application, subject to verification. The acknowledgement receipt should be retained by investors till the receipt of confirmation of transaction acceptance or rejection.

e. Irrespective of mode of holding, the sole/first-named holder will receive all account statements, dividend or redemption/refund warrants, and all other relevant correspondence.

f. All allotments will be provisional, subject to realisation of payment instrument and subject to the AMC having been reasonably satisfied that the Mutual Fund has received clear funds. Any redemption or switch out transaction in the interim is liable to be rejected at the sole discretion of the AMC.

g. Any subsequent changes in static information like address, bank details, dividend sub option, nomination etc. will be based on written communication from investors. These changes will be effected only for units held in non-demat mode, within 5 days of the valid signed request reaching the office of the Registrar at Chennai, and any interim financial transactions will be effected with last available/registered details only. In case of units held in demat mode, the static details as recorded in DP records and available to the Fund as part of Benpos file will prevail for all purposes, including redemption and dividend payments.

h. KYC Requirements: KYC compliance with any SEBI designated KYC Registration Authority (KRA), for example CVL (CDSL Ventures Limited), is mandatory for all investors (including joint applicants, guardians, PoA holders and NRIs), irrespective of the amount of transaction. Investors shall note that they need to comply with the “Know Your Client (KYC)” requirements, by submitting requisite documents to the fund or any SEBI registered intermediary, where they wish open an account and wish to deal /trade/transact, getting and attaching the KYC acknowledgement with their purchase application. For more information, please log on to [www.dsplblackrock.com](http://www.dsplblackrock.com) before investing.

Applications are liable to be rejected without any intimation to the applicants, if KYC requirements are not complied with by all the applicants and KYC acknowledgement is not enclosed with each purchase application.

Investors should further note that KYC applicability for various investor categories and threshold amount may change anytime in future. Hence, investors are requested to apprise themselves about KYC applicability before submitting their transactions to avoid rejections.

i. While PAN shall be the sole identification number and is mandatory for KYC, for certain set of customers, PAN requirement is exempted. Such set of customers, however need to complete the

- necessary KYC requirements, get a unique reference number from KRA's system. A copy of the KRA issuance letter containing the unique reference number should also be attached with each application/transaction. The PAN exempt entities/ transactions are: a) Investors residing in the state of Sikkim; b) Micro investment i.e fresh purchase, additional purchase for amount not more than Rs 50,000/- per financial year; c) Transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- j. If the investor wishes to invest directly, i.e. without involving the services of any agent or broker, "DIRECT" should be mentioned in the space provided for ARN Number. Any subsequent change / updation / removal of broker code will be based on the written request from the unitholders and will be on a prospective basis only from the date when the Registrar executes such written instruction.
- k. Investors should mandatorily use the application form and other standard forms available at the ISCs of AMC/RTA or www.dspblackrock.com, for any financial/non-financial transactions. Any transaction received in any non standard form, is liable to be rejected.
- l. Investors should provide details/instructions only in the space provided in the form. Any details/ notings/information/instruction provided at a non designated area of the standard form being used, or any additional details, for which space is not designated in the standard form, may not be executed and the AMC will not be liable for the same.
- m. The AMC and its Registrar reserve the right to disclose the details of investors and their transactions to third parties viz. banks, distributors from whom applications of investors are received and any other organization for the purpose of compliance with legal and regulatory requirements or for complying with anti-money laundering requirements.
- n. Process for change of address: Investor wishes to change the address in their folio, they shall submit change of address form, proof of address, and any other document/ form that the KYC Registration Agency (KRA) may specify from time to time. AMC reserves the right to collect proof of old address on a case to case basis while effecting the change of address.
- o. Investments by Qualified Foreign Investors ('QFIs'): In terms of the SEBI Circular CIR / IMD / DF / 14 / 2011 dated August 9, 2011, SEBI Circular CIR/IMD/FII&C/3/2012 dated January 13, 2012 and SEBI Circular CIR/IMD/FII&C/18/2012 dated July 20, 2012, the QFIs who meet Know Your Client ('KYC') requirement and eligibility requirement of the jurisdiction where the QFIs are based shall be eligible to make investment in the notified Schemes of the Fund, as may be permitted to accept investments from QFIs as per the extant regulatory provisions, applicable from time to time, subject to the following guidelines:

QFIs shall mean a person who fulfils the following criteria:

- (i) Resident in a country that is a member of Financial Action Task Force ('FATF') or a member of a group which is a member of FATF; and
- (ii) Resident in a country that is a signatory to International Organisation of Securities Commission's ('IOSCO's') Multilateral Memorandum of Understanding ('MMOU') (Appendix A Signatories) or a signatory of a bilateral Memorandum of Understanding ('MOU') with SEBI: Provided that the person is not resident in a country listed in the public statements issued by FATF from time to time on (i) Combating the Financing of Terrorism (Anti Money Laundering/ Combating the Financing of Terrorism) deficiencies to which counter measures apply, (ii) jurisdictions that have not made sufficient progress in addressing the deficiencies or have not committed to an action plan developed with the FATF to address the deficiencies:

Provided further such person is not resident in India.

Provided further that such person is not registered with SEBI as Foreign Institutional Investor or Sub-account or Foreign Venture Capital Investor. Explanation:- For the purposes of the aforesaid meaning:

- The term "Person" shall carry the same meaning under section 2(31) of the Income Tax Act, 1961;
- The phrase "resident in India" shall carry the same meaning as in the Income Tax Act, 1961;
- "Resident" in a country, other than India, shall mean resident as per the direct tax laws of that country.
- "Bilateral MoU with SEBI" shall mean a bilateral MoU between SEBI and the overseas regulator that inter alia provides for information sharing arrangements.
- Member of FATF shall not mean an Associate member of FATF.

For complete guidelines applicable to QFIs, process for subscription / redemption of units by QFIs through Direct Route and applicable risk factors, investors are requested to read the relevant addenda to the Scheme Information Document available at the Official Point of Acceptance of Transactions of the AMC/the Fund and also available on the Fund website www.dspblackrock.com

## 1. Transactions charges

- a. SEBI has advised mutual funds to deduct transaction charge per subscription of Rs. 10,000 and above and be paid to the distributors. Transaction Charge shall be deducted only for transaction routed through such distributors who have opted to receive such charges as follows: (i) Rs. 150/- with respect to transactions from a first time mutual fund investor; (ii) Rs. 100/- with respect to transactions from an existing investor. Units will be issued against the balance amount.
- b. Please tick the appropriate box as applicable to you. Please tick the first box only if you are a first time ever mutual fund investor, i.e. you are investing in a scheme of mutual fund for the first time. However, even if an applicant ticks as new investor, the mutual fund reserves a right to check with investments in other mutual funds to ascertain new or existing investors.
- c. If no option is ticked, it is deemed that the applicant is an existing investor in the mutual fund industry.
- d. The AMC shall deduct the Transaction charges on purchase/subscription of Rs. 10, 000/- and above received from first time mutual fund investors and investors other than first time mutual fund investors through the distributor/agent based on the type of product opted-in by the distributor to receive transaction charges

## 2. First Applicant's Details

- a. Applicants should fill in all details as requested in the relevant section. Name should be provided in full.
- b. Existing Investors, having a folio number and who wish to get units allotted in non-demat mode, in the existing folio number, should mention their folio number. If existing folio number is mentioned, investors should only fill the section on Investment Details. Investors should skip and should not mention joint applicants, bank account, nomination and unit holding option. If an existing folio is mentioned, the investment will be in same folio, even if the joint holders are different. Further, other details like bank account, nomination etc will not be considered, even if mentioned differently from existing folio details. Investors should use separate designated forms for updating bank account details, nomination etc.

- c. New Investors who do not have a folio, or existing investors intending to get units allotted in demat mode or who wish to open a new folio should fill up the form without quoting existing folio number and should provide details in all sections as mentioned in the form.
- d. Applicants should provide contact information such as correspondence address, telephone number/s and email address, status of first applicant and occupation.
- e. Applications under a Power of Attorney or by a limited company or a corporate body or an eligible institution or a registered society or a trust fund must be accompanied by the original Power of Attorney (or a duly certified true copy thereof) duly notarized, or the relevant resolution or authority to make the application (or duly certified copy thereof) as the case may be. All documents should be in English language or notarized translated copy in English language.
- f. For Investments 'On behalf of Minor': Where the investment is on behalf of minor by the guardian, please note the following important points.
- The minor shall be the sole and only first holder in the account. Nomination facility is not available for applications/folios on behalf of a minor. Joint holders' details and nomination details, even if mentioned and signed will not be considered. Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian.
  - Details like minor's date of birth, Guardian's relation with Minor, Guardian name, PAN, KYC are mandatory, along with supporting documents. Photo copy of the document evidencing the date of birth of minor like i. Birth certificate of the minor, or ii. School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or iii. Passport of the minor, or iv. Any other suitable proof should be attached with the application form.
  - Where the guardian is not a natural guardian (father or mother) and is a court appointed legal guardian, suitable supporting documentary evidence should be provided.
  - If the mandatory details and/or documents are not provided, the application is liable to be rejected without any information to the applicant.
- g. PIN Issuance Instructions: A secured PIN for internet/telephone transactions is issued at the request of applicant/investor subject to terms and conditions agreed by the applicant/investor and availability of mandatory information like Date of Birth/Date of Incorporation, Email id, Mobile number, PAN availability of all holders and Name of Guardian/Contact person (where applicable). Applicants who wish to receive a PIN should read the terms and conditions of the PIN facility in the SID and SAI. A PIN will be mailed to the Unit Holder entirely at his/her/its own risk.

## 3. Joint Applicants' Details

- a. Joint applicants must fill in all details as requested in the relevant section.
- b. PAN and KYC compliance is mandatory for all applicants, irrespective of mode of holding. For more details, please refer to KYC Requirements mentioned earlier.
- c. Applicants should specify the mode of holding. If the mode of holding is not specified or is ambiguous, the default option for such applications will be 'Joint'.
- d. In the case of joint holders, the first-named holder will receive all account statements, dividend or redemption/refund warrants, and all other relevant correspondence.

## 4. Bank Account Details/ Multiple Bank Accounts Registration

- a. It is mandatory for all investors of mutual fund schemes to provide their bank mandate. Applications without the mandatory bank details are liable to be rejected. Investors should ideally mention account details of the same bank account from where the payment towards purchases is made. If the bank account details mentioned are different from purchase pay-in bank, investors should attach additional documents validating the bank account like cancelled cheque with name & account number pre-printed, latest bank statement, latest pass book, bank letter in original or attested. Should the investor fail to provide the documents, the Fund/AMC/RTA reserves the right to register the pay-in bank details as the redemption bank details and use such bank account for payment of any redemption/dividend proceeds.
- b. The investor agrees that the proceeds towards redemptions and dividends will be dispatched by a reasonable mode of despatch like courier, post, UCP, etc. in case of cheque/demand draft or directly credited to the bank account (as per the details mentioned by the investor) in case of using direct credit facility, RTGS or NEFT, entirely and solely at the risk of the investor. The investor will not hold the Mutual Fund or the AMC or the Registrar responsible for any non-receipt or delay of receipt of redemption & dividend proceeds due to any negligence or deficiency in service by the courier company, postal authorities or the bank executing direct credits/RTGS/NEFT, or due to incorrect bank account details provided by the investor.
- c. Multiple Bank Accounts Registration Facility: The fund offers its investors facility to register multiple bank accounts for pay-in & payout purposes and designate one of the bank account as Default Bank Account. This facility can be availed by using a designated 'Bank Accounts Registration Form'. In case of new investors, the bank account mentioned on the purchase application form, used for opening the folio, will be treated as default bank account till the investor gives a separate request to register multiple bank accounts and change the default bank account to any of other registered bank account. Registered bank accounts may also be used for verification of pay-ins (i.e. receiving of subscription funds) to ensure that a third party payment is not used for mutual fund subscription. Default Bank Account will be used for all dividends and redemptions payouts including FMP schemes maturity proceeds unless investor specifies one of the existing registered bank account in the redemption request for receiving redemption proceeds.
- d. Consequent to introduction of 'Multiple Bank Accounts Facility', the existing facility of redemption with change of bank mandate is discontinued by the fund. A new non-registered bank account specified in the specific redemption request for receiving redemption proceeds will not be considered.
- e. Bank Account Details with Redemption Request: Please note the following important points related to payment of redemption proceeds:
- Proceeds of any redemption request will be sent only to a bank account that is already registered and validated in the folio at the time of redemption transaction processing.
  - Unit holder(s) may choose to mention any of the existing registered bank accounts with redemption request for receiving redemption proceeds. If no registered bank account is mentioned, default bank account will be used.
  - If unit holder(s) provide a new and unregistered bank mandate with a specific redemption request (with or without necessary supporting documents) such bank account will not be considered for payment of redemption proceeds.
  - Any request without the above mentioned documents will be treated invalid and will not be acted upon and any financial transaction, including redemptions, will be carried with the previous details only. Valid change of bank mandate requests with supporting documents will be



processed within ten working days of documents reaching the head office of the Registrar and any financial transaction request received in the interim will be carried with previous details only.

#### 5. Investment and Payment Details

- a. Investors should fill in the desired Plan / Option / Sub Option clearly in the space provided in the application form. In case the investor does not fill in the desired Plan / Option / Sub Option properly and clearly or in the case of incomplete details, lack of clarity or ambiguity, the default option will be considered and applied. The Fund will not entertain any request from Unit Holders for a change in Plan / Option / Sub Option after the application is accepted.
- b. Any change in dividend sub option due to additional investment or customer request will be applicable to all existing Units in the dividend option of the scheme concerned.
- c. Payments by cash, stock invests, credit cards, post-dated cheques and post-dated account-to-account transfer instructions to credit the Designated Account will not be accepted.
- d. Investors residing in locations where Investor Service Centres or Collection Centres are not located are requested to make payment by demand drafts. Demand draft charges for such investors will be borne by the AMC, subject to the standard demand draft charges, as charged by the State Bank of India, and the investors attaching proof of the charges.
- e. The cheque or demand draft should be payable locally at the centre where the application is deposited, and should be drawn on any bank that is a member of the Bankers' Clearing House.
- f. The cheque or demand draft should be drawn in favour of the 'Scheme Name', as the case may be, and should be crossed Account Payee Only.
- g. A separate cheque should be given for each separate investment in a different scheme or plan or option.
- h. It is mandatory for NRIs to attach a copy of the payment cheque / FIRC / Debit Certificate to ascertain the repatriation status of the amount invested. NRI Applicants should also clearly tick on account type as NRE or NRO or FCNR to determine the repatriation status of the investment amount. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided in the form under Investment and Payment details and will not be liable for any incorrect information provided by the applicants. Applicants will have to coordinate with their authorized dealers and banks to repatriate the investment amount as and when needed.
- i. Third Party Payment Avoidance & additional documents/declaration required: To safeguard the interests of applicant/investors and avoid fraudulent transactions in any other name, the mutual fund does not accept Third Party Payments. A payment towards mutual fund subscription by cheque/DD/RTGS/NEFT or any mode whatsoever is deemed as a Third Party payment, if payment is issued from a bank account other than that of the beneficiary investor. The first holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is made via cheque/Demand Draft (DD)/Funds transfer/RTGS/NEFT. Therefore, it is important for investors to mention the bank account number, bank name & branch address from where the payment is issued and the same should match with details on payment cheque/document (where applicable).

Where the payment instrument/advice does not mention the bank account holders name/s, investor should attach bank pass book copy/bank statement/bank letter to substantiate that the first unit holder is one of the joint holders of the bank account. Where a payment is through a DD, a bank certification of bank account and account holders name of the bank account used for DD issuance should be attached, in the required format.

In specific exceptional situations where Third Party payment is permitted like i. Payment by Parents / Grand-Parents / Related persons on behalf of a minor (other than registered guardian) in consideration of natural love and affection or as gift for value not exceeding Rs 50,000 for each purchase, ii. Payment by an Employer on behalf of Employee or iii. Custodian on behalf of an FII or a client, iv. Payment by Asset Management Company to a Distributor empanelled with it on account of commission/incentive etc. in the form of the Mutual Fund Units of the Funds managed by such AMC through Systematic Investment Plans or lump sum / one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time. KYC of the investor and the KYC of the person making the payment is mandatory irrespective of amount. Additionally, a joint declaration is also required to be submitted. The AMC reserves a right to reject the transaction or call for additional details, if payment bank account and other details are not mentioned on the form and/or do not match with payment instrument and/or necessary documents and declaration, as applicable to respective investors and transactions, are not attached or are insufficient. In case the funds are transferred to the mutual fund account prior to the application rejection, then amount transferred may not be refunded or redeemed unless the investor establishes KYC with additional documentation.

Investors are advised to visit [www.dsplblackrock.com](http://www.dsplblackrock.com) > Services for more details, including declaration formats or approach any of the offices of the fund.

Investors are strongly advised to avail 'Multiple Bank Accounts Registration' facility and register their various bank accounts with the fund and avoid submitting additional documents every time.

- j. Returned cheques are liable not to be presented again for collection, and the accompanying application could also be rejected. In case returned cheques are presented, the necessary charges including returned charges may be debited to the investor.
- k. To safeguard the interests of applicant/investors and avoid fraudulent transactions in any other name, it is important for investors to mention the bank account number, bank name & branch address from where the payment instrument or funds transfer is issued and the same should match with details on payment cheque (where applicable). The AMC reserves a right to reject the transaction if such payment details are not mentioned and/or do not match.

#### 6. Switch upon Maturity:

- a. Unit holder may note that an additional option of switch upon maturity is provided by the Mutual Fund for units held in account statement mode (nondemat mode), whereby Unit holder can switch the entire proceeds (other than dividend) upon maturity to any other scheme of the Mutual Fund by filling in the relevant portion of the KIM at the time of investment.
- b. In this case, on maturity the entire proceeds will be invested in the switch-in scheme as notified by the Unit holder, at the applicable NAV for switch-in scheme.
- c. Unit holders are requested to carefully read the Scheme Information Document of the relevant switch-in scheme before exercising this option.
- d. However, if the unitholder submits a different switch request on maturity date to switch to different scheme, such switch request will be considered.

#### 7. Nomination Details

- a. Nomination can be made only by individuals applying for / holding Units on their own behalf singly or jointly. Non-individuals (including societies, trusts, bodies corporate, partnership firms, etc.), Kartas of Hindu Undivided Families (HUF) and holders of Power of Attorney cannot

nominate. Nomination facility is also not available for investments held on behalf of minor.

- b. A minor can be nominated, and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit Holder. Nomination can also be in favour of the Central Government, State Government, a local authority; any person designated by virtue of his/her office, or a religious or charitable trust.
- c. The nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of HUF or a Power of Attorney holder. An NRI can be a nominee, subject to the exchange controls in force from time to time. Where the nomination is in favour of a religious or charitable trust, the investor should attach a certificate confirming that the nominee is a religious or charitable trust.
- d. Nomination with the Fund can be made only by investors who opt for allotment in non-demat form. In case of Units held in demat form, the nomination details as recorded for the depository account will be applicable investors who hold units in demat form may approach their DP for availing the nomination facility.
- e. Transfer of Units in favour of a nominee(s) shall be valid discharge by the AMC against the legal heir.
- f. Cancellation of nomination can be made only by those individuals who hold Units on their own behalf singly or jointly and who made the original nomination.
- g. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the Units in favour of the nominee(s).
- h. The rights in the Units will vest in the nominee(s) only upon the death of all Unit Holders.
- i. Nomination can be made in favour of more than one, and upto three individuals. Investors who desire to make nomination in respect of multiple nominees should clearly specify the percentages to be allocated between the nominees. If no percentages are mentioned, nomination will be done equally for all the nominees.
- j. Nomination will be maintained at the folio or account level and will be applicable for all investments in the folio or account.
- k. Where a folio has joint holders, all joint holders should sign the request for nomination, even if the mode of holding is not 'joint'.
- l. A new nomination request will imply simultaneous cancellation of existing nomination and request for fresh nomination.
- m. Applicants who do not wish to nominate, must at the designate space confirming their intention on not to nominate, failing which the form may be rejected at the discretion of the AMC/Fund.

#### 8. Unit Holding Option

- a. Applicants' are provided two options to hold their Units viz. Account Statement mode and demat mode. For units in Account Statement mode (non-demat), an account statement will be issued. For Units held in demat mode, Units shall be directly credited to the investor's demat account after the realization of payment funds and depositories will issue a statement.
- b. It is mandatory to tick on the required option in the designated space in the application form. If no option has been ticked by the investor, Units shall be allotted in non-demat mode.
- c. For credit of Units in demat account, applicants are advised to mention clearly their demat account details with depositories and in block letters in the designated space. The demat account details, as provided by the investor will be considered for credit of units in dematerialized form after validation with relevant depository(ies).
- d. Applicants' are also advised to attach a copy of a document like demat statement/ client identification master/ delivery instruction slip, that provides the applicants' name and demat account details, in support and verification of the mentioned demat account.
- e. In case Demat account details are not provided or are incomplete or the details do not match with the records as per depository(ies), units will be allotted in non-demat form and an account statement will be issued.
- f. In case details of more than one demat account are provided, the Fund may choose any one of the demat accounts for the purpose of verification and credit of units.

#### 9. Other Facilities / Email Communication

- a. Account statements, newsletter, annual reports and other kinds of communication will be sent through email only instead of physical, for investors who provide their email address on the application form. Should they wish to have a hard copy, they are requested to send an email to [service@dsplblackrock.com](mailto:service@dsplblackrock.com).
- b. It is deemed that the Unit Holder is aware of all the security risks associated with online communication, including possible third-party interception of documents sent via email.

#### 10. Declaration & Signatures

- a. Signature(s) should be in English or in any of the Indian languages specified in the Eighth Schedule of the Constitution of India.
- b. Thumb impressions and signatures in languages not specified in the Eighth Schedule of the Constitution of India should be attested by a magistrate or a Notary Public or a special Executive Magistrate under his/her official seal.
- c. Applications by minors should be signed by their guardians. In the case of an HUF, the Karta should sign on behalf of the HUF.
- d. Authorised officials should sign the form under their official designation and company seal. A list of specimen signatures of the authorised officials, duly certified and attested, should also be attached to the application form. In the case of a trust fund, a resolution from the trustee(s) authorising such purchase or investment should be submitted.

11. Further, investors may please note that the Fund/ AMC and its empanelled brokers has not given and shall not give any indicative portfolio and indicative yield in any communication, in any manner whatsoever. Investors are advised not to rely on any communication regarding indicative yield/ portfolio with regards to the scheme.

12. **Note on EUIN:** Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.



Close Ended Equity Scheme

NFO Opens on: November 07, 2014

NFO Closes on: November 21, 2014

All details in the form are mandatory.

Please read Product labeling details and Instructions before filling this Form

This Close Ended Equity Scheme is suitable for investors who are seeking<sup>A</sup>

- Capital appreciation with a long term investment horizon
- Investing predominantly in equity & equity related securities
- High Risk   (Brown)

<sup>A</sup>Investors should consult their financial advisers if in doubt about whether the scheme is suitable for them.

Application No.:

Distributor ARN and Name	Sub Broker ARN Code	Branch/RM Internal Code	EUIIN (Refer note below)	For Office use only

- I/We confirm that the EUIIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.  
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.
- I am a **First Time Investor** in Mutual Fund Industry.     I am an **Existing Investor** in Mutual Fund Industry.

**Sole / First Applicant's Signature Mandatory**

**1. FIRST APPLICANT'S DETAILS**

**Name of First Applicant** (Should match with PAN Card) **PAN** (1st Applicant / Guardian)  **KYC**

**Name of Guardian if minor / Contact Person for non-individuals / PoA Holder name:** **PoA PAN**  **KYC**

On behalf of Minor     Date of Birth  /  /  -  -     Date of Birth  /  /  -  -     Guardian named is :  Father  Mother  Court Appointed

(\* Attach Mandatory Documents as per instructions). Minor's    Proof attached \*

**2. CONTACT DETAILS AND CORRESPONDENCE ADDRESS**

**Email ID** (in capital)

**Mobile** +91     **Tel** (STD Code)

**Address**

**Landmark**

**City**     **Pin Code** (Mandatory)     **State**

**3. KYC DETAILS (Mandatory)**

**3a. Status of Sole/1st Applicant** (Please tick  )     Indian Resident Individual     On Behalf of Minor     NRI (Repatriable)     NRI (Non-Repatriable)     Sole Proprietorship     HUF - Indian     HUF - NRI     Partnership Firm     Limited Partnership (LLP)     Listed Company     Unlisted Company     Body Corporate     Bank / FI     Insurance Companies     Government Body     AOP/BOI     Trust / Society     Provident Fund     Superannuation / Pension Fund     Gratuity Fund     FOF - MF schemes     FII     Others ..... (Please specify)

**3b. Occupation Details** (Please tick  )     Private Sector Service     Public Sector Service     Government Service     Business     Professional     Agriculturist     Retired     Housewife     Student     Forex Dealer     Others ..... (Please specify)

**3c. Gross Annual Income** (Please tick  )     Below 1 Lac     1-5 Lacs     5-10 Lacs     10-25 Lacs     >25 Lacs-1 crore     >1 crore

**Net-worth in** (Mandatory for Non-Individuals) ₹ ..... as on  /  /  -  -  (Not older than 1 year)

**3d. For Individuals**    **For Non-Individual Investors (Companies, Trust, Partnership etc)**

I am Politically Exposed Person    I. Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company:  YES     NO

I am Related to Politically Exposed Person    II. Foreign Exchange / Money Changer Services (If No, please attach mandatory UBO declaration)  YES     NO

Not Applicable    III. Gaming / Gambling / Lottery/Casino Services  YES     NO

IV. Money Lending / Pawning  YES     NO

**4. JOINT APPLICANTS, IF ANY AND THEIR DETAILS**

**Mode of Holding** (Please tick  )     Joint (Default)     Anyone or Survivor

**2nd Applicant Name** (Should match with PAN Card) **PAN** (2nd Applicant)  **KYC**

**a. Occupation Details** (Please tick  )     Private Sector Service     Public Sector Service     Government Service     Business     Professional     Agriculturist     Retired     Housewife     Student     Forex Dealer     Others ..... (Please specify)

**b. Gross Annual Income**  Below 1 Lac     1-5 Lacs     5-10 Lacs     10-25 Lacs     >25 Lacs-1 crore     >1 crore **OR** Net worth ₹ .....

**c. Others** (Please tick  )     Politically Exposed Person (PEP)     Related to a Politically Exposed Person (PEP)     Not Applicable

**3rd Applicant Name** (Should match with PAN Card) **PAN** (3rd Applicant)  **KYC**

**a. Occupation Details** (Please tick  )     Private Sector Service     Public Sector Service     Government Service     Business     Professional     Agriculturist     Retired     Housewife     Student     Forex Dealer     Others ..... (Please specify)

**b. Gross Annual Income**  Below 1 Lac     1-5 Lacs     5-10 Lacs     10-25 Lacs     >25 Lacs-1 crore     >1 crore **OR** Net worth ₹ .....

**c. Others** (Please tick  )     Politically Exposed Person (PEP)     Related to a Politically Exposed Person (PEP)     Not Applicable

Note: Risk may be represented as:   (BLUE) investors understand that their principal will be at low risk.   (YELLOW) investors understand that their principal will be at medium risk.   (BROWN) investors understand that their principal will be at high risk.

**ACKNOWLEDGEMENT SLIP** [To be filled in by the investor]

**DSP BLACKROCK MUTUAL FUND**

Received, subject to realisation and verification an application for purchase of Units as mentioned in the application form.  
From \_\_\_\_\_

Application No.

Scheme	Cheque no.	Amount
DSP BlackRock 3 Years Close Ended Equity Fund		

## 5. BANK ACCOUNT DETAILS (Avail Multiple Bank Registration Facility)

Bank Name

Bank A/C No.  A/C Type  Savings  Current  NRE  NRO  FCNR  Others

Branch Address

City  Pin

IFSC code: (11 digit)  MICR code (9 digit)  (This is a 9 digit number next to your cheque number)

## 6. INVESTMENT AND PAYMENT DETAILS (Cheque DD should be in favour of "Scheme Name")

Scheme/Plan /Option/Sub Option **DSP BlackRock 3 Years Close Ended Equity Fund** Plan **Option/Sub Option**

(Default plan/option/sub option will be applied incase of no information, ambiguity or discrepancy)

Payment Mode:  OTM  Cheque  DD  RTGS  NEFT  Funds transfer

Cheque/DD/RTGS/NEFT No.

Amount (Rs.) (i)

DD charges, (Rs.)(ii)

Total Amount (Rs.) (i) + (ii)  In figures

In Words

Cheque/RTGS/NEFT/DD Date

Payment from Bank A/c No.

Bank Name

Branch

Account Type  Savings  Current  NRE  NRO  FCNR

Documents Attached to avoid Third Party Payment Rejection, where applicable:  Bank Certificate, for DD  Third Party Declarations

## 7. SWITCH UPON MATURITY (for units in non-demat mode)

Please switch ALL maturity proceeds (other than dividend proceeds) to following Schemes/Plan/Option/Sub-option upon maturity of this Scheme.

Scheme Name / Plan / Option\* / Sub Option\* (\*Default Option may be applied in case of no information, ambiguity or discrepancy.)

DSP BlackRock

## 8. NOMINATION DETAILS

Individuals (single or joint applicants) are advised to avail Nomination facility.

I/We wish to nominate.  I/We DO NOT wish to nominate and sign here ..... 1st Applicant Signature (Mandatory)

	Nominee Name	Guardian Name (In case of Minor)	Allocation %	Nominee/ Guardian Signature
Nominee 1				
Nominee 2				
Nominee 3				
Address			<b>Total = 100%</b>	

## 9. UNIT HOLDING OPTION:

In Account Statement Mode (default):

(Switch/Redemption through Fund/RTA offices only.)

In Demat mode, in demat account provided below: (Switch not allowed. Redemption through SE platforms/ DPs only)

Depository Participant (DP) ID (NSDL only)

Beneficiary Account Number (NSDL only)

NSDL:

CDSL:

Enclose for demat option:  Client Master List  Transaction/Holding Statement  DIS Copy

## 10. DECLARATION & SIGNATURES

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by DSP BlackRock Mutual Fund, I/We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

Where the EUIN box is left blank being an execution only transaction, I/We confirm that the transaction is notwithstanding the advice of in-appropriateness, if any, provided by the distributor's employee/relationship manager/sales person and the distributor has not charged any advisory fees on this transaction.

Sole / First Applicant / Guardian	Second Applicant	Third Applicant	POA holder, if any

Email: [service@dspblackrock.com](mailto:service@dspblackrock.com)  
Website: [www.dspblackrock.com](http://www.dspblackrock.com)

Contact Centre: 1800 200 4499



- |   |   |  |
|---|---|--|
| <input type="checkbox"/> Name, Address are correctly mentioned  | <input type="checkbox"/> Full scheme name, plan, option is mentioned      | <input type="checkbox"/> Additional documents provided if investor name is not pre-printed on payment cheque or if Demand Draft is used. |
| <input type="checkbox"/> Email ID / Mobile number are mentioned | <input type="checkbox"/> Pay-In bank details and supportings are attached | <input type="checkbox"/> Additional documents provided in case of specific exceptional Third Party Payments.                             |
| <input type="checkbox"/> PAN / KYC details are enclosed         | <input type="checkbox"/> Nomination facility opted                        |  |
| <input type="checkbox"/> Complete Bank details provided         | <input type="checkbox"/> Form is signed by all applicants                 |  |

This Close Ended Equity Scheme is suitable for investors who are seeking^

- Capital appreciation with a long term investment horizon
- Investing predominantly in equity & equity related securities
- High Risk   (Brown)

^Investors should consult their financial advisers if in doubt about whether the scheme is suitable for them.

**EXISTING INVESTORS  
PURCHASE/SWITCH FORM**

Distributor Name and ARN	Sub Broker ARN Code	Branch/RM Internal Code	Employee Unique ID. No. (EUIIN) (Refer note below)	For Office use only
Distributor Contact No:				

I/We confirm that the EUIIN box is intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the distributor personnel concerned.

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

(Sole / First Applicant's Signature Mandatory)

Existing Folio Number	Name of Sole / First Unitholder

**ADDITIONAL PURCHASE (Cheque / DD to be drawn in favour of 'Name of the Scheme')**

Scheme DSP BlackRock 3 Years Close Ended Equity Fund Plan Option / Sub Option

Payment Mode:  OTM Facility (One Time Mandate)  Cheque  DD

Amount in Words  Funds trf  RTGS/NEFT

Amount in Figures (i)  Cheque / DD / RTGS / NEFT No.

Rs.

DD Charges (ii)  Cheque / Payment Date

Rs.  /  /

Total Amount (Rs.) (i) + (ii)  Payment from Bank A/c No.

Rs.  Pay In A/c No. (Mandatory)

Cheque / DD Drawn on / Payment from Bank & Branch

Account Type  Savings  Current  NRE  NRO  FCNR  Other

Documents Attached to avoid Third Party Payment Rejection, where applicable :

Bank Certificate, for DD  Third Party Declaration

**SWITCH (Write switch-out Scheme Name, Plan / Option / Sub Option)**

Switch-out from Scheme / Plan / Option\* / Sub Option\*

DSP BlackRock

Amount in Figures

Rs.

Amount in Words

OR (Please note that the Switch can be done either in Units or in Amount and not in both)

Units in Figures

Units in Words

Switch-in To Scheme / Plan / Option\* / Sub Option\*

DSP BlackRock 3 Years Close Ended Equity Fund Plan Option / Sub Option

\*Default plan/option may be applied in case of no information, ambiguity or discrepancy.

**SWITCH UPON MATURITY (for units in non-demat mode)**

Please switch ALL maturity proceeds (other than dividend proceeds) to following Schemes/Plan/Option/Sub-option upon maturity of this Scheme.

Scheme Name / Plan / Option\* / Sub Option\* (\*Default Option may be applied in case of no information, ambiguity or discrepancy.)

DSP BlackRock

**DECLARATION & SIGNATURES**

Having read and understood the contents of the Scheme Information Document and Statement of Additional Information, Key Information Memorandum and Instructions overleaf and Addenda issued from time to time of the respective Scheme, I / We, hereby apply to the Trustee of DSP BlackRock Mutual Fund for Units of the relevant Scheme and agree to abide by the terms and conditions, rules and regulations of the Scheme. I / We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. Applicable to NRIs only : I/We confirm that I am/ We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my / our Non-Resident External / Ordinary Account/FCNR Account(s).

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Sole / First Unit Holder                      Second Unit Holder                      Third Unit Holder                      POA Holder, if any

Note: Risk may be represented as:

  (BLUE) investors understand that their principal will be at low risk.   (YELLOW) investors understand that their principal will be at medium risk.   (BROWN) investors understand that their principal will be at high risk.

**Acknowledgement (Subject to Terms and Conditions)**

**DSP BlackRock 3 Years Close Ended Equity Fund**

Investor Name

Folio Number

Purchase  Switch

ISC Stamp & Signature

List of Official Points of Acceptance of Transactions\*

DSP BlackRock Investment Managers Private Limited - Investor Service Centres

<b>Ahmedabad:</b>	3rd Eye One, Office No. 301, 3rd Floor, Opposite Havmor Restaurant, C.G Road, Panchavati, Ahmedabad - 380006.
<b>Agra:</b>	Shanta Tower, Office No. 12, 1st Floor, Block No. E-14, 16 Sanjay Place, Agra - 282003.
<b>Bengaluru:</b>	HM Geneva House, Office No. 104 A - 107, 1st Floor, Plot No. 14, Cunningham Road, Bengaluru - 560052.
<b>Bhopal:</b>	Star Arcade, Office No. 302, 3rd Floor, Plot No. 165 A and 166, Zone-1, M.P Nagar, Bhopal - 462011.
<b>Bhubaneswar:</b>	Lotus House, Office No. 3, 2nd Floor, 108 / A, Kharvel Nagar, Unit III, Master Canteen Square, Bhubaneswar - 751001.
<b>Chandigarh:</b>	SCO 2471 - 72, 1st Floor, Sector 22 - C, Chandigarh - 160022.
<b>Chennai:</b>	Alamelu Terraces, Office No. 163, 3rd Floor, Anna Salai, Chennai - 600002.
<b>Coimbatore:</b>	Tristar Towers, 657, East Wing, 1st Floor, Avinashi Road, Coimbatore - 641037.
<b>Dehradun:</b>	NCR Plaza, Ground Floor, Office No. G 12/A, (No. 24-A) (New No. 112/28, Ravindranath Tagore Marg), New Cantt Road, Hathibarkhala, Dehradun - 248001.
<b>Goa:</b>	Mathias Plaza, 4th Floor, 18th June Road, Panjim, Goa - 403001.
<b>Guwahati:</b>	Mayur Gardens, Office No. 5, Upper Ground Floor, G.S Road, Near ABC Bus Stop, Guwahati - 781005.
<b>Hyderabad:</b>	Mahavir Chambers, Office No. 103, 1st Floor, Himayatnagar, Liberty Junction, Hyderabad - 500029.
<b>Hubli:</b>	Sona Chambers, South Wing, Office No. 3, Ground Floor, Club Road, Hubli - 580020.
<b>Indore:</b>	Starlit Tower, Office No. 206, 2nd Floor, 29/1, Y.N Road, Opp. S.B.I, Indore - 452001.
<b>Jaipur:</b>	Green House, Office No. 201 to 204, 2nd Floor, O-15 Ashok Marg, Above Axis Bank, C - Scheme, Jaipur - 302001.
<b>Jamshedpur:</b>	ShantiNiketan, 2nd Floor, Main Road, P.O Bistupur, Jamshedpur - 831001.
<b>Jodhpur:</b>	Keshav Bhawan, Ground Floor, Office No. 2, Chopasni Road, Near HDFC Bank, Jodhpur - 342003.
<b>Kanpur:</b>	KAN Chambers, Office No. 701-703, 7th Floor, 14/113, Civil Lines, Kanpur - 208001.
<b>Kochi:</b>	Amrithaa Towers, Office No. 40 / 1045 H1, 6th Floor, Opp. Maharajas College Ground, M.G Road, Kochi - 682011.
<b>Kolkata:</b>	Shagun Mall Building, 10-A, 4th Floor, Shakespeare Sarani, Kolkata - 700071.
<b>Lucknow:</b>	Speed Motors Building, 3rd Floor, 3 Shanazaf Road, Hazratganj, Lucknow - 226001.
<b>Ludhiana:</b>	SCO-29, 1st Floor, Feroze Gandhi Market, Pakhowal Road, Ludhiana - 141001.
<b>Mangalore:</b>	Maximus Commercial Complex, Office No. UGI - 5, Upper Ground Floor, Light House Hill Road, Opp. KMC, Mangalore - 575001.
<b>Mumbai:</b>	Natraj, Office No. 302, 3rd Floor, Plot No - 194, MV Road Junction, Western Express Highway, Andheri (East), Mumbai - 400069.
<b>Mumbai (Nariman Point):</b>	Mafatlal Centre, 10th Floor, Nariman Point, Mumbai - 400021.
<b>Nagpur:</b>	Milestone, Office No. 108 & 109, 1st Floor, Ramdas Peth, Wardha Road, Nagpur - 440010.
<b>Nasik:</b>	Bedmutha's Navkar Heights, Office No 1 & 2, 3rd Floor, New Pandit Colony, Sharanpur Road, Nasik - 422002.
<b>New Delhi:</b>	Dr. Gopal Das Bhavan, Upper Ground Floor, Barakhamba Road, New Delhi - 110001.
<b>Patna:</b>	Dumraon Place, L309 & L310, Third Floor, Frazer Road, Patna 800 001.
<b>Pune:</b>	City Mall, 1st Floor, Unit No. 109 - (A,B,C) University Square, University Road, Pune - 411007.
<b>Raipur:</b>	Office No SF 18, 2nd Floor, Raheja Towers, Near Hotel Celebration, Fafadih, Raipur - 492001.
<b>Rajkot:</b>	Hem Arcade, Office No. 303, Opposite Swami Vivekanand Statue, Dr. Yagnik Road, Rajkot - 360001.
<b>Ranchi:</b>	Shrilok Complex, No. 106 & 109, 1st Floor, Plot No - 1999 & 2000, 4 Hazaribagh Road, Ranchi - 834 001.
<b>Surat:</b>	International Trade Centre, Office No. G-28, Majura Gate Crossing, Ring Road, Surat - 395002.
<b>Trivandrum:</b>	Menathotam Chambers, TC-2442(7), Pattom PO, Thiruvananthapuram - 695004.
<b>Vadodara:</b>	Naman House, Ground Floor, 1/2 - B Haribhakti Colony, Opp. Race Course Post Office, Race Course, Vadodara - 390007.
<b>Vapi:</b>	Bhikaji Regency, 1st Floor, Opposite DCB. Vapi - Silvassa Road, Vapi - 396195.
<b>Varanasi:</b>	Arihant Complex, 7th Floor D-64/127 C-H, Siga, Varanasi - 221010
<b>Visakhapatnam:</b>	Office no 304B, VRC complex, 47-15-14/15, Rajajee Nagar, Dwaraka Nagar, Visakhapatnam - 530016.

CAMS Investor Service Centres and Transaction Points  
Visit [www.camsonline.com](http://www.camsonline.com) for addresses

• Agra	• Bhusawal	• Gwalior	• Kharagpur	• Palakkad	• Solan
• Ahmedabad	• Bikaner	• Haldia	• Kolhapur	• Palanpur	• Solapur
• Ahmednagar	• Bilaspur	• Haldwani	• Kolkata	• Panipat	• Sonapat
• Ajmer	• Bokaro	• Hazaribagh	• Kollam	• Pathankot	• Sri Ganganagar
• Akola	• Burdwan	• Himmatnagar	• Kota	• Patiala	• Srikakulam
• Aligarh	• Calicut	• Hisar	• Kottayam	• Patna	• Sultanpur
• Allahabad	• Chandigarh	• Hoshiarpur	• Kumbakonam	• Pondicherry	• Surat
• Alleppey	• Chennai	• Hosur	• Kurnool	• Pune	• Surendranagar
• Alwar	• Chhindwara	• Hubli	• Lucknow	• Rae Bareilly	• Tanjore
• Amaravati	• Cochin	• Indore	• Ludhiana	• Raipur	• Thane
• Ambala	• Cuttack	• Jabalpur	• Madurai	• Rajahmundry	• Thiruppur
• Amritsar	• Dehradun	• Jaipur	• Malda	• Rajkot	• Thiruvalla
• Anand	• Deogarh	• Jalandhar	• Manipal	• Ranchi	• Tinsukia
• Anantapur	• Devengere	• Jalgaon	• Mapusa	• Ratlam	• Tirunelveli
• Ankleshwar- Bharuch	• Dhanbad	• Jalna	• Margao	• Ratnagiri	• Tirupathi
• Asansol	• Dhule	• Jammu	• Mathura	• Rohtak	• Trichy
• Aurangabad	• Durgapur	• Jamnagar	• Meerut	• Roorkee	• Trivandrum
• Bagalkot	• Eluru	• Jamshedpur	• Mehsana	• Ropar	• Tuticorin
• Balasore	• Erode	• Jaunpur	• Moga	• Rourkela	• Udaipur
• Bareilly	• Faizabad	• Jhansi	• Moradabad	• Salem	• Ujjain
• Basti	• Faridabad	• Jodhpur	• Mumbai	• Saharanpur	• Unjha
• Belgaum	• Firozabad	• Junagadh	• Muzaffarpur	• Saleem	• Vadodara
• Berhampur	• Gandhidham	• Kadapa	• Mysore	• Sambalpur	• Valsad
• Bhagalpur	• Gaziabad	• Kakinada	• Nagpur	• Sangli	• Vapi
• Bharuch	• Goa	• Kalyani	• Namakkal	• Satara	• Varanasi
• Bhatinda	• Gondal	• Kanchipuram	• Nandyal	• Secunderabad	• Vasco
• Bhavnagar	• Gorakhpur	• Kannur	• Nasik	• Shahjahanpur	• Vellore
• Bhilai	• Gulbarga	• Karimnagar	• Navasari	• Shimla	• Vijayawada
• Bhilwara	• Guntur	• Karnal	• Nellore	• Shimoga	• Yamuna Nagar
• Bhiwani	• Gurgaon	• Karur	• New Delhi	• Siliguri	
• Bhubaneswar	• Guwahati	• Katni	• Noida	• Sirsa	
• Bhuj - Kutch		• Khammam	• Ongole	• Sitapur	

\*Any new offices/centres opened will be included automatically. For updated list, please visit [www.dsplblackrock.com](http://www.dsplblackrock.com) and [www.camsonline.com](http://www.camsonline.com).

For more information on DSP BlackRock Mutual Fund  
Visit [www.dsplblackrock.com](http://www.dsplblackrock.com) or call Toll Free No.: 1800-200-4499

1. Applications with outstation cheques are liable to be rejected as and when identified. Outstation applications collected by collection centres for onward dispatch to payable location will be on best effort basis without any liability on the part of the collection centre and the AMC.
2. NFO applications sent via post should reach the collection centre where the cheque is payable before 12:00 pm on the day the NFO is closed by the AMC.
3. While receiving forms, collection centres may not verify the forms with respect to completeness. Incomplete / Incorrect forms will be rejected post verification by the Registrar.

**Special instructions for NRI Applications**

1. NRI applications can be submitted at any of the collection centers.
2. It is mandatory for NRIs to attach a copy of the payment cheque / FIRC / Debit Certificate to ascertain the repatriation status of the amount invested.
3. The AMC and the Registrar may ascertain the repatriation status purely based on the details provided in the NFO form under Investment and Payment details and will not be liable for any incorrect information provided by the applicant(s).