

# Birla Sun Life Resurgent India Fund - Series 1

(A Close ended Equity Scheme)



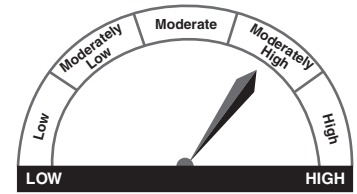
Offer of units of ₹ 10/- each for cash during the New Fund Offer. The subscription list may be closed earlier by giving at least one day's notice in one daily newspaper.

**New Fund Offer Opens:** Thursday, 25 August 2016 | **New Fund Offer Closes:** Thursday, 08 September 2016

**This Product is suitable for investors who are seeking\*:**

- Long term capital growth
- Invests primarily in equity and equity related securities that are likely to benefit from recovery in the Indian economy.

\*Investors should consult their financial advisers if in doubt whether the product is suitable for them



Investors understand that their principal will be at **moderately high risk**

**(PLEASE READ THE INSTRUCTIONS BEFORE FILLING UP THE FORM. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and in BLOCK LETTERS.)**

Distributor Name / ARN No.	Sub Broker Name / ARN No.	Sub Broker Code	Employee Unique ID. No. (EUIIN)	Application No.

EUIIN is mandatory for "Execution Only" transactions. Ref. Instruction No. 9  
I/we hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

First Applicant / Authorised Signatory	Second Applicant	Third Applicant

**TRANSACTION CHARGES FOR APPLICATIONS ROUTED THROUGH DISTRIBUTORS/AGENTS ONLY (Refer Instruction 1 (viii))**

In case the subscription (lumpsum) amount is ₹ 10,000/- or more and your Distributor has opted to receive Transaction Charges, ₹ 150/- (for first time mutual fund investor) or ₹ 100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested.

**EXISTING UNITHOLDER please fill in your Folio No., Name & Email ID and then proceed to Section 5 (Applicable details and Mode of holding will be as per the existing Folio No.)**

Existing Folio No.

**1. FIRST / SOLE APPLICANT INFORMATION (MANDATORY)** (Refer Instruction No. 2,3,4) Fresh / New Investors fill in all the blocks. (1 to 10) In case of investment "On behalf of Minor", Please Refer Instruction no. 2(ii)

NAME OF FIRST / SOLE APPLICANT  Mr. Ms. M/s.

PAN / PEKRN (Mandatory)  Date of Birth\*\*          KYC

AADHAR Card Number

NAME OF THE SECOND APPLICANT  Mr. Ms. M/s.

PAN / PEKRN (Mandatory)  Date of Birth\*\*          KYC

AADHAR Card Number

NAME OF THE THIRD APPLICANT  Mr. Ms. M/s.

PAN / PEKRN (Mandatory)  Date of Birth\*\*          KYC

AADHAR Card Number

**NAME OF THE GUARDIAN (In case First / Sole Applicant is minor) / CONTACT PERSON - DESIGNATION / PoA HOLDER (In case of Non-individual Investors)**

Mr. Ms. M/s.

PAN / PEKRN (Mandatory)  Date of Birth\*\*          KYC

AADHAR Card Number

RELATIONSHIP OF GUARDIAN (Refer Instruction No. 2(ii))

ISD CODE  TEL: OFF.

TEL: RESI

Proof of the Relationship with Minor\*\*

\*\* Mandatory in case the First / Sole Applicant is Minor

ACKNOWLEDGEMENT SLIP (To be filled in by the Investor)		Birla Sun Life Resurgent India Fund - Series 1		Application No.
		<b>Birla Sun Life Asset Management Company Limited</b> One India Bulls Centre, Tower 1, 17th floor, Jupiter Mill Compound, 841, S.B. Marg, Elphinstone Road, Mumbai - 400 013 CIN: U65991MH1994PLC080811 Toll Free: 1800-270-7000 / 1800-22-7000   SMS 'GAIN' to 567679   Email: connect@birlasunlife.com		Collection Centre / BSLAMC Stamp & Signature
Received from Mr. / Ms. <input type="text"/> Date : <input type="text"/> / <input type="text"/> / <input type="text"/>		[Please tick (✓)] ENCLOSED <input type="checkbox"/> PAN Proof <input type="checkbox"/> KYC Complied <input type="checkbox"/>		

**TAX STATUS** (Please tick (✓)) (Applicable for First / Sole Applicant)

Resident Individual     FIs     NRI - NRO     HUF     Club / Society     PIO     Body Corporate     Minor     Government Body  
 Trust     NRI - NRE     Bank & FI     Sole Proprietor     Partnership Firm     QFI     Others \_\_\_\_\_ (Please Specify)

**MODE OF HOLDING** (Please tick (✓)) (Please Refer Instruction No. 2(v))     Joint     Single     Anyone or Survivor (Default option is Anyone or survivor)

**MAILING ADDRESS OF FIRST / SOLE APPLICANT** (P.O.Box Address is not sufficient. Please provide full address.) (Indian Address in case of NRIs/FIs)

CITY									
STATE								PIN CODE	

**2. GO GREEN** (Please tick (✓)) (Refer Instruction No. 10)

SMS Transact     Online Access    **Mobile No.** +91 \_\_\_\_\_ / We would like to register for my/our SMS Transact and/ or Online Access

**Email Id** \_\_\_\_\_

Default Communication mode is E-mail only, if you wish to receive following document(s) via physical mode: (Please tick (✓))  Account Statement     Annual Report     Other Statutory Information

**Facebook Id** \_\_\_\_\_ **Twitter Id** \_\_\_\_\_

**3. BANK ACCOUNT DETAILS** (Please note that as per SEBI Regulations it is mandatory for investors to provide their bank account details) Refer Instruction No. 3(A)

Name of the Bank \_\_\_\_\_  
 Branch Address \_\_\_\_\_  
 Pin Code \_\_\_\_\_ City \_\_\_\_\_  
 Account No. \_\_\_\_\_  
 Account Type (Please tick (✓))  SAVINGS     CURRENT     NRE     NRO     FCNR     OTHERS \_\_\_\_\_ (please specify)  
 11 Digit IFSC Code \_\_\_\_\_ 9 Digit MICR Code \_\_\_\_\_

**4. INVESTMENT DETAILS** (Please tick (✓))

Birla Sun Life Resurgent India Fund - Series 1	<b>Plans</b>	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	<b>Options</b>	<input type="checkbox"/> Growth <input type="checkbox"/> Dividend <input type="checkbox"/> Dividend Payout <input type="checkbox"/> Dividend Sweep	Default Option: Dividend Option (Payout facility)
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**KYC DETAILS (Mandatory)**

**OCCUPATION** (Please tick (✓))

<b>FIRST APPLICANT</b>	<input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others _____ (please specify)
<b>SECOND APPLICANT</b>	<input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others _____ (please specify)
<b>THIRD APPLICANT</b>	<input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others _____ (please specify)

**GROSS ANNUAL INCOME** (Please tick (✓))

<b>FIRST APPLICANT</b>	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore Net worth (Mandatory for Non - Individuals Rs. _____ as on DD MM YYYY [Not older than 1 year]
<b>SECOND APPLICANT</b>	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore OR Net Worth _____
<b>THIRD APPLICANT</b>	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs - 1 Crore <input type="checkbox"/> > 1 Crore OR Net Worth _____

<b>For Individuals</b> <input type="checkbox"/> I am Politically Exposed Person <input type="checkbox"/> I am Related to Politically Exposed Person <input type="checkbox"/> Not Applicable	<b>For Non-Individual Investors (Companies, Trust, Partnership etc.)</b> Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company: <input type="checkbox"/> Yes <input type="checkbox"/> No (If No, please attach mandatory UBO Declaration) Foreign Exchange / Money Charger Services <input type="checkbox"/> Yes <input type="checkbox"/> No Gaming / Gambling / Lottery / Casino Services <input type="checkbox"/> Yes <input type="checkbox"/> No Money Lending / Pawning <input type="checkbox"/> Yes <input type="checkbox"/> No
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Birla Sun Life Resurgent India Fund - Series 1	<b>Plans</b>	<input type="checkbox"/> Regular <input type="checkbox"/> Direct	<b>Options</b>	<input type="checkbox"/> Growth <input type="checkbox"/> Dividend <input type="checkbox"/> Dividend Payout <input type="checkbox"/> Dividend Sweep	Default Option: Dividend Option (Payout facility)
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Investment Amount (₹) \_\_\_\_\_ DD Charges (₹) \_\_\_\_\_ Net Amount (₹) \_\_\_\_\_  
 Cheque / DD No. \_\_\_\_\_ Dated \_\_\_/\_\_\_/\_\_\_ Drawn on Bank \_\_\_\_\_

**5. PAYMENT DETAILS** Refer Instruction No. 5. (Please mention the application Serial number and the first applicant's name on the reverse of the Cheque /DD. Please ensure there is only one Cheque / DD submitted per application form ).

Mode of Payment <input type="checkbox"/> [Please tick (✓)] <input type="checkbox"/> Cheque <input type="checkbox"/> DD	Cheque / DD should be drawn favouring "Birla Sun Life Resurgent India Fund - Series 1"		<input type="checkbox"/> RTGS / NEFT / Fund Transfer Letter	<input type="checkbox"/> Other <small>(please specify)</small>				
Investment Amount (₹)*	DD Charges (₹)*	Net Amount (₹)						
Cheque/DD No.	Dated	D	D	M	M	Y	Y	Bank Name & Branch
Account No.	UTR No.						(In case of RTGS/NEFT)	

\*To be filled in by investors residing at the location, where the AMC Branches /Collection Bank centres are not located. \*Minimum of ₹ 5,000/- and in multiples of ₹ 10/- thereafter during the New Fund Offer period.

**6. DEMAT ACCOUNT DETAILS (OPTIONAL)** (Please ensure that the sequence of names as mentioned in the application form matches with that of the A/c. held with the depository participant.) Refer Instruction No. 3(B)

**NSDL:** Depository Participant Name: \_\_\_\_\_ DPID No.:  I  N \_\_\_\_\_ Beneficiary A/c No. \_\_\_\_\_

**CDSL:** Depository Participant Name: \_\_\_\_\_ Beneficiary A/c No. \_\_\_\_\_

Enclosed:  Client Master  Transaction/ Statement Copy/ DIS Copy

**7. REDEMPTION / DIVIDEND REMITTANCE** (Please attach a copy of cancelled cheque Refer Instruction No. 8 & 13)

<input type="checkbox"/> Electronic Payment	<input type="checkbox"/> Cheque Payment
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**It is the responsibility of the Investor to ensure the correctness of the IFSC code/ MICR code for Electronic Payout at recipient/destination branch corresponding to the Bank details mentioned in Section 3.**

If MICR and IFSC code for Redemption/Dividend Payout is available all payouts will be automatically processed as Electronic Payout-RTGS/NEFT/Direct Credit/NECS.

To avail this facility please provide your signature below.

Auto Maturity Switch to Birla Sun Life Cash Manager, Plan \_\_\_\_\_ Option \_\_\_\_\_ (Refer instruction No. 14)

First Applicant / Authorised Signatory	Second Applicant	Third Applicant
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**8. NOMINATION DETAILS (Mandatory)** (Refer Instruction No. 7)

I/We wish to nominate  I/We DO NOT wish to nominate and sign here ..... 1st Applicant Signature (Mandatory)

	Nominee Name and Address	Guardian Name (in case of Minor)	Allocation %	Nominee/ Guardian Signature
Nominee 1			100%	

To register multiple nominee please fill separate Multiple nomination Form.

**9. FATCA & CRS INFORMATION [Please tick (✓)] For Individuals & HUF (Mandatory) Non Individual investors should mandatorily fill separate FATCA detail form**

The below information is required for all applicant(s)/ guardian

**Address Type:**  Residential or Business  Residential  Business  Registered Office (for address mentioned in form/existing address appearing in Folio)

**Is the applicant(s)/ guardian's Country of Birth / Citizenship / Nationality / Tax Residency other than India?**  Yes  No

If Yes, please provide the following information [mandatory]

Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below.

Category	First Applicant (including Minor)	Second Applicant/ Guardian	Third Applicant
Name of Applicant			
Place/ City of Birth			
Country of Birth			
Country of Tax Residency#			
Tax Payer Ref. ID No <sup>^</sup>			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 2			
Tax Payer Ref. ID No. 2			
Identification Type [TIN or other, please specify]			
Country of Tax Residency 3			
Tax Payer Ref. ID No. 3			
Identification Type [TIN or other, please specify]			

#To also include USA, where the individual is a citizen/ green card holder of USA. ^In case Tax Identification Number is not available, kindly provide its functional equivalent.

Date

To,  
The Trustee,  
Birla Sun Life Mutual Fund

Having read and understood the contents of the Statement of Additional Information / Scheme Information Document of the Scheme, I/We hereby apply for units of the scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the government of India from time to time. I/We have understood the details of the scheme & I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment.

**For Non-Individual Investors:** I/We hereby confirm that the object clause of the constitution document of the entity (viz. MOA / AOA / Trust Deed, etc.), allows us to apply for investment in this scheme of Birla Sun Life Mutual fund and the application is being made within the limits for the same. I/We are complying with all requirements / conditions of the entity while applying for the investments and I/We, including the entity, if the case may arise so, hereby agree to indemnify BSLAMC / BSLMF in case of any dispute regarding the eligibility, validity and authorization of the entity and/or the applicants who have applied on behalf of the entity.

**For NRIs only:** I/We confirm that I am/we are Non Residents of Indian Nationality/Origin and that I/we have remitted funds from abroad through approved banking channels or from funds in my/our Non-Resident External /Non-Resident Ordinary /FCNR account. (Refer Inst. No. 6)

I/We confirm that details provided by me/us are true and correct.

\*\*I have voluntarily subscribed to the on-line access for transacting through the internet facility provided by Birla Sun Life Asset Management Company Ltd. (Investment Manager of Birla Sun Life Mutual Fund) and confirm of having read, understood and agree to abide the terms and conditions for availing of the internet facility more particularly mentioned on the website www.birlasunlife.com and hereby undertake to be bound by the same. I further undertake to discharge the obligations cast on me and shall not at any time deny or repudiate the on-line transactions effected by me and I shall be solely liable for all the costs and consequences thereof.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

**FATCA & CRS Declaration:** I/ We have understood the information requirements of this Form (read along with FATCA & CRS Instructions) and hereby confirm that the information provided by me/ us on this Form is true, correct, and complete. I/ We also confirm that I/ We have read and understood the FATCA & CRS Terms and Conditions and hereby accept the same. (Refer Inst. No. 13)

Signature of First Applicant / Authorised Signatory	Signature of Second Applicant	Signature of Third Applicant
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Birla Sun Life **Resurgent India Fund - Series 1**

(A Close ended Equity Scheme)

Birla Sun Life  
Mutual Fund

INVESTORS MUST READ THE SCHEME INFORMATION DOCUMENT/KEY INFORMATION MEMORANDUM AND INSTRUCTIONS BEFORE COMPLETING THIS FORM.

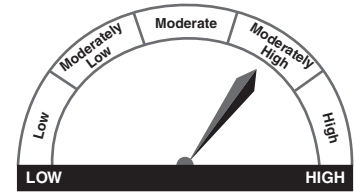
**APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA) FORM**

Offer of units of ₹ 10/- each for cash during the New Fund Offer. The subscription list may be closed earlier by giving at least one day's notice in one daily newspaper.

**New Fund Offer Opens:** Thursday, 25 August 2016 | **New Fund Offer Closes:** Thursday, 08 September 2016**This Product is suitable for investors who are seeking\*:**

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- Invests primarily in equity and equity related securities that are likely to benefit from recovery in the Indian economy.

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Date          ASBA Application No. NFO Application No. [mandatory & only for Non-Demat holders] 

BROKER/AGENT INFORMATION		FOR OFFICE USE ONLY			
Name and AMFI Regn No.	Sub Broker Name & Code	SCSB	SCSB IFSC Code	Syndicate Member Code	SL No.
ARN:		[Name & Code]	[11 digit code]	[Name and Code]	

**1. NAME OF FIRST APPLICANT** (Name should be as available in Demat Account in case of Demat Account holders)

Mr. Ms. M/s.

**2. EXISTING FOLIO NO.** (If you have an existing folio number, please mention the number here)

Folio No.

**3. DEPOSITORY ACCOUNT DETAILS**Depository Name [Please tick]  National Securities Depository Ltd  Central Depository Services [India] Limited

Depository Participant Name

DP-ID

Beneficiary Account Number

**4. PAN OF 1ST APPLICANT** (Permanent Account Number)PAN **5. INVESTMENT DETAILS** [Please tick (✓)]

Birla Sun Life Resurgent India Fund - Series 1	<b>Plans</b>	<input type="checkbox"/> Regular	<input type="checkbox"/> Direct	<b>Options</b>	<input type="checkbox"/> Growth	<input type="checkbox"/> Dividend	<input type="checkbox"/> Dividend Payout	<input type="checkbox"/> Dividend Sweep	Default Option: Dividend Option (Payout facility)
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**6. DETAILS OF BANK ACCOUNT FOR BLOCKING OF FUNDS**

Bank Account Number

Bank Name

Branch Name where account is held

Total Amount to be blocked (₹ In figures)

Rupees in words

**7. UNDERTAKING BY ASBA INVESTOR**

1) I/We hereby undertake that I/We am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements), Regulations 2009 ('SEBI Regulations') as amended from time to time. 2) In accordance with ASBA process provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB / ASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/Birla Sun Life Mutual Fund on receipt of instructions from the Registrar and Transfer Agent after the allotment of the Units entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar and Transfer Agent to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account / Bank account of Birla Sun Life Mutual Fund. 3) In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application 4) If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records, the application shall be rejected and the Birla Sun Life Mutual Fund or Birla Sun Life Asset Management Company Limited or Birla Sun Life Trustee Company Private Limited or SCSBs shall not be liable for losses, if any. All future communication in connection with NFO should be addressed to the SCSB/RTA/AMC quoting the full name of the Sole/First Applicant, NFO Application Number, ASBA Application Number, Depository Account details [if it has been provided], Amount applied for and the account number from where NFO amount was blocked.

Signature of Bank Account Holders

ACKNOWLEDGEMENT SLIP (to be filled in by the investor)

ASBA APPLICATION FORM

Birla Sun Life Resurgent India Fund - Series 1

**Birla Sun Life Asset Management Company Limited**

One India Bulls Centre, Tower 1, 17th floor, Jupiter Mill Compound, 841, S.B. Marg, Elphinstone Road, Mumbai - 400 013

CIN: U65991MH1994PLC080811

Toll Free : 1800-270-7000/ 1-800-22-7000 | SMS 'GAIN' to 567679 | Email: connect@birlasunlife.com

Application Number [mandatory for non-demat account holders]: ASBA Application Number: Date :  /  / 

Birla Sun Life Resurgent India Fund - Series 1	<b>Plans</b>	<input type="checkbox"/> Regular	<input type="checkbox"/> Direct	<b>Options</b>	<input type="checkbox"/> Growth	<input type="checkbox"/> Dividend	<input type="checkbox"/> Dividend Payout	<input type="checkbox"/> Dividend Sweep	Default Option: Dividend Option (Payout facility)
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Received from Mr./Ms./Mrs./M/s. Address SCSB Account details: A/c No. Bank Name Branch Name Total Amount to be Blocked: ₹ In figures Rupees In words Date & time of receipt 

SCSB Stamp, Signature





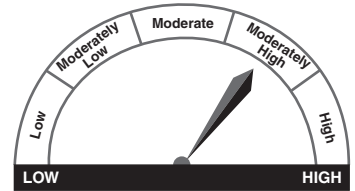
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(A Close ended Equity Scheme)

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Investors understand that their principal will be at **moderately high** risk

Offer of Units of ₹ 10/- each for cash during the New Fund Offer Period

**New Fund Offer Opens:** Thursday, 25 August 2016 | **New Fund Offer Closes:** Thursday, 08 September 2016

The subscription list may be closed earlier by giving at least one day's notice in one daily newspaper.

**NAME OF THE ASSET MANAGEMENT COMPANY**
**BIRLA SUN LIFE ASSET MANAGEMENT COMPANY LIMITED**

One Indiabulls Centre, Tower-1, 17th floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013  
Tel.: +91-22 43568000  
Fax No. +91-22 43568110 / 8111  
CIN: U65991MH1994PLC080811

**NAME OF MUTUAL FUND**
**BIRLA SUN LIFE MUTUAL FUND**

One India Bulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013  
Tel. +91-22 43568000  
Fax No. +91-22 43568110 / 8111  
Website www.birlasunlife.com

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website [www.birlasunlife.com](http://www.birlasunlife.com).

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

It is to be distinctly understood that the permission given by National Stock Exchange of India Limited (NSE)/ BSE Limited (BSE) should not in any way be deemed or construed that the Scheme Information Document has been cleared or approved by NSE / BSE nor does it certify the correctness or completeness of any of the contents of the Scheme Information Document. The investors are advised to refer to the Scheme Information Document for the full text of the 'Disclaimer Clause of NSE / BSE'.

<b>Investment Objective</b>	The investment objective of the scheme is to provide capital appreciation by investing primarily in equity and equity related securities that are likely to benefit from recovery in the Indian economy. <b>The Scheme does not guarantee/indicate any returns. There can be no assurance that the schemes' objectives will be achieved.</b>			
<b>Liquidity</b>	The scheme will have duration/tenure of <b>3.5 years</b> from and including the date of allotment.			
<b>Asset Allocation Pattern of the Scheme</b>	<b>Instrument</b>	<b>Normal Allocation (% of total assets)</b>		<b>Risk Profile</b>
		<b>Minimum</b>	<b>Maximum</b>	
	Equity and Equity related securities	80%	100%	High
	Cash, Money Market & Debt instruments	0%	20%	Low
	<p>The scheme shall invest only in such securities which mature on or before the date of the maturity of the scheme.</p> <p>The Fund Manager shall commence investment out of the NFO proceeds received in accordance with the investment objective of the Scheme only on or after the closure of the NFO period. Prior to that, AMC shall deploy NFO proceeds in CBLO before the closure of NFO period.</p> <p>The scheme does not intend to invest in Securitized Debt, derivatives and repo in corporate debt securities. The cumulative gross exposure through equity and debt securities shall not exceed 100% of the net assets of the scheme.</p> <p>The scheme does not intend to invest in Credit Default Swaps and ADR/GDR/ Foreign Securities. The scheme shall not engage in securities lending / short selling.</p> <p><b>Change in Asset Allocation</b></p> <p>The above mentioned investment pattern is indicative and may change for short duration.</p> <p>Subject to the SEBI (MF) Regulations, the asset allocation pattern indicated above may change from time to time, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. It must be clearly understood that the percentages stated above can vary substantially depending upon the perception of the Investment Manager, the intention being at all times to protect the interests of the Unit Holders. Such changes in the investment pattern will be for short term and defensive considerations. However, due to market conditions, the AMC may invest beyond the range set out above. Such deviations shall normally be for a short-term purpose only, for defensive considerations and the intention being at all times to protect the interests of the Unit Holders. The Fund Manager shall rebalance the portfolio within 30 days from the date of deviation to bring it in line with the asset allocation pattern as indicated in this SID. Further, in case the rebalancing is not done within the specified period, justification for the same shall be provided to the Investment Committee and the reason shall be recorded in writing. The Investment Committee shall then decide on the course of action.</p> <p>Provided further and subject to the above, any change in the asset allocation affecting the investment profile of the Scheme shall be effected only in accordance with the provisions of sub regulation (15A) of Regulation 18 of the SEBI (MF) Regulations.</p>			
<b>Investment Strategy</b>	<p>The fund manager believes that an economic recovery is already leading to earnings upgrades of various stocks/sectors. This is very likely to result in a rerating of valuations for such companies / sectors and could be a significant driver of returns.</p> <p>A select portfolio of such bottom-up picks in the equity market offer significant opportunity for higher portfolio returns. In addition, the fund managers will also seek to bring in top down analysis to identify the sectors that could be key beneficiaries of turnaround in economy. Besides this, short-term opportunities would also be seized, that are supported by underlying valuations. As part of the investment strategy, scheme will book profits at opportune moments to take advantage of the volatility in the market. The Scheme may also invest a small portion of its corpus in fixed income securities including money market instruments to manage its liquidity requirements.</p> <p>Further, the fund manager believes that an economic recovery is already leading to earnings upgrades of various stocks/sectors. This is very likely to result in a rerating of valuations for such companies / sectors and could be a significant driver of returns. This belief roots from various developments in the country's fundamentals:</p> <ul style="list-style-type: none"> <li>• Over last few years the GDP Growth has been recovering from 4.47% p.a. (2012-13) to 7.6% p.a. (2015-16).</li> <li>• Earnings growth of Indian corporates have turned positive after 3 years in the 4th quarter of 2015-16.</li> <li>• The markets have experienced robust foreign as well as domestic fund flow indicating strong confidence in Indian markets in wake of plethora of global macro headwinds, slowing global growth and adverse events</li> </ul> <p>New government policies have been fairly growth and reform focused and taking various measures to create a Reformed India. Govt has been assisting states to modify labour bills as per requirement and also running Skill India program to create skilled labour. The initiatives like on smart city project and Make in India are to create more job opportunities in the country. Addressing issues of FDI and easing of policies to do business have attracted inflows. The government is also trying to build a strong financial foundation through financial inclusion initiatives and strict monetary norms. The focus on inflation has fared well and economy is moving from deficit liquidity zone to neutral liquidity regime. All this collectively will lead to strong growth momentum in the India. This growth momentum is likely to percolate into growth for select industries to begin with which could have a higher multiplier effect on the overall economic growth. some of these sectors include Roads, Ports, Railways, consumption related sectors, banks &amp; NBFCs etc.</p> <p>The Scheme will have tenure of 3.5 years and the corpus of the Scheme will be primarily invested in equity and equity related securities of the companies that have a potential to appreciate in the long run and benefit from the turnaround in the Indian economy in the medium term.</p> <p>The endeavour of the Investment Manager will be to pick stocks across businesses or sectors which are more closely correlated to the Indian economic growth and could become potential leaders in their respective fields in the future. Some of these key traits for companies are :</p> <ul style="list-style-type: none"> <li>• Visionary &amp; Trustworthy management with established track record</li> <li>• Being a dominant player in the sector / business or within a particular market by segment / geography</li> <li>• Strong prospects for future growth and scalability</li> <li>• Financial discipline and favourable fundamentals measured by various ratios like Return on Investment (ROI) and Return on Equity (ROE)</li> <li>• Favorable valuations in relations to broader market and the relevant peer group of companies / industry along with higher expected growth in earnings.</li> </ul> <p><b>Portfolio turnover policy</b></p> <p>The scheme has no explicit constraints either to maintain or limit the portfolio turnover. Portfolio turnover will depend upon the circumstances prevalent at any time and would also depend on the extent of volatility in the market and inflows/outflows in the scheme. The Fund Manager will however endeavor to maintain a low portfolio turnover rate</p>			

<b>Risk Profile of the Scheme</b>	<p>Mutual Fund Units involve investment risks including the possible loss of principal. Please read the Scheme Information Memorandum (SID) carefully for details on risk factors before investment.</p> <p>Further, investments in the Scheme are subject to various risk factors including but not limited to risks associated with: investment in Equity and Equity related instruments, investments in Fixed Income Securities such as Price-Risk or Interest-Rate Risk, Credit Risk, Liquidity or Marketability Risk, Reinvestment Risk etc. Different types of securities in which the Scheme would invest as given in the Scheme Information Document/Key Information Memorandum carry different levels and types of risk. Accordingly the scheme's risk may increase or decrease depending upon its investment pattern. e.g. corporate bonds carry a higher amount of risk than Government securities. The above are some of the common risks associated with investments in various securities. There can be no assurance that a Scheme's investment objectives will be achieved, or that there will be no loss of capital. Investment results may vary substantially on a monthly, quarterly or annual basis. Further, the Fund/AMC is not guaranteeing or assuring any returns.</p> <p>Investors may, if they wish, consult their legal, tax, investment and other professional advisors to determine possible legal, tax, financial or other considerations of subscribing to or redeeming Units, i.e. before making a decision to invest/redeem Units.</p> <p>The Scheme shall also be subject to risks associated with listing of units and risks inherent to the close ended nature of the scheme. <b>Investors in the Scheme are not being offered any guaranteed returns. Please refer to SID for detailed scheme specific risk factors.</b></p>																																					
<b>Risk Control</b>	<p>Since investing requires disciplined risk management, the AMC would incorporate adequate safeguards for controlling risks in the portfolio construction process. The risk control process involves reducing risks through portfolio diversification, taking care however not to dilute returns in the process. The AMC believes that this diversification would help achieve the desired level of consistency in returns. The AMC may also implement certain internal control procedures / risk &amp; exposure limits etc., which may be varied from time to time</p> <p>The AMC aims to identify securities, which offer superior levels of yield at lower levels of risks. With the aim of controlling risks, rigorous in-depth credit evaluation of the securities proposed to be invested in will be carried out by the investment team of the AMC.</p> <p>While these measures are expected to mitigate the above risks to a large extent, there can be no assurance that these risks would be completely eliminated.</p>																																					
<b>Plans/Options Offered</b>	<p>The Scheme will have <b>Regular Plan and Direct Plan**</b> with a common portfolio and separate NAVs. Investors should indicate the Plan for which the subscription is made by indicating the choice in the application form.</p> <p>Each of the above (Regular and Direct) Plan under the scheme will have the following Options:</p> <p>(1) Dividend Option (Payout &amp; Sweep Facility)</p> <p>(2) Growth Option</p> <p><b>**DIRECT PLAN:</b></p> <p>i. <b>Direct Plan is only for investors who purchase /subscribe Units in a Scheme directly with the Mutual Fund and is not available for investors who route their investments through a Distributor.</b></p> <p>ii. <b>Eligible investors:</b> All categories of investors (whether existing or new Unitholders) as permitted under the Scheme Information Document of the Scheme are eligible to subscribe under Direct Plan.</p> <p>iii. <b>Modes for applying:</b> Investments under Direct Plan can be made through various modes offered by the Mutual Fund for investing directly with the Mutual Fund [except through Stock Exchange Platforms for Mutual Funds and all other Platform(s) where investors' applications for subscription of units are routed through Distributors].</p> <p>iv. <b>How to apply:</b></p> <p>a. Investors desirous of subscribing under Direct Plan of a Scheme will have to ensure to indicate "Direct Plan" against the Scheme name in the application form.</p> <p>b. Investors should also indicate "Direct" in the ARN column of the application form.</p> <p><b>Default Plan:</b></p> <p>Investors are requested to note the following scenarios for the applicability of "Direct Plan or Regular Plan" for valid applications received under the Scheme:</p> <table border="1" data-bbox="365 792 1559 987"> <thead> <tr> <th>Scenario</th> <th>Broker Code mentioned by the investor</th> <th>Plan mentioned by the investor</th> <th>Default Plan to be captured</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Not mentioned</td> <td>Not mentioned</td> <td>Direct Plan</td> </tr> <tr> <td>2</td> <td>Not mentioned</td> <td>Direct</td> <td>Direct Plan</td> </tr> <tr> <td>3</td> <td>Not mentioned</td> <td>Regular</td> <td>Direct Plan</td> </tr> <tr> <td>4</td> <td>Mentioned</td> <td>Direct</td> <td>Direct Plan</td> </tr> <tr> <td>5</td> <td>Direct</td> <td>Not Mentioned</td> <td>Direct Plan</td> </tr> <tr> <td>6</td> <td>Direct</td> <td>Regular</td> <td>Direct Plan</td> </tr> <tr> <td>7</td> <td>Mentioned</td> <td>Regular</td> <td>Regular Plan</td> </tr> <tr> <td>8</td> <td>Mentioned</td> <td>Not Mentioned</td> <td>Regular Plan</td> </tr> </tbody> </table> <p><i>In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.</i></p> <p><b>Default Option:</b> Dividend Option (Payout facility)</p> <p>In case of valid application received without indicating choice between Growth and Dividend Option, the same shall be considered as Dividend Option (Payout facility) and processed accordingly.</p>		Scenario	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured	1	Not mentioned	Not mentioned	Direct Plan	2	Not mentioned	Direct	Direct Plan	3	Not mentioned	Regular	Direct Plan	4	Mentioned	Direct	Direct Plan	5	Direct	Not Mentioned	Direct Plan	6	Direct	Regular	Direct Plan	7	Mentioned	Regular	Regular Plan	8	Mentioned	Not Mentioned	Regular Plan
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<b>Default Plan / Option</b> (In case the investor fails to specify his preference, the given default plan / option / sub-option would apply)																																						
<b>Applicable NAV</b>	<p>Units of the scheme cannot be redeemed by the investors directly with the fund until the date of maturity of the scheme. Therefore, the provisions of cut off timing for redemptions will not be applicable.</p>																																					
<b>Minimum Application Amount / Number of Units</b>	<p><b>Purchase</b></p> <p>Minimum of ₹ 5,000/- and in multiples of ₹ 10/- thereafter during the New Fund Offer period.</p>	<p><b>Repurchase</b></p> <p>No redemption (repurchase) of units is allowed before the maturity of the scheme. Investors wishing to exit may do so by selling their units through Stock Exchange at prevailing listed price on such Stock Exchange.</p>																																				
<b>Despatch of Proceeds of Repurchase (Redemption) Request</b>	<p>Redemption or repurchase proceeds shall be dispatched to the unitholders within 10 working days from the date of maturity of the scheme, subject to confirmation with the depository records of the Scheme's DP account, as applicable.</p>																																					
<b>Benchmark Index</b>	<p><b>S&amp;P BSE 200.</b></p> <p>The Mutual Fund reserves the right to change the benchmark for evaluation of the performance of the scheme from time to time, subject to SEBI Regulations and other prevailing guidelines if any.</p>																																					
<b>Dividend Policy</b>	<p>Dividends will be declared subject to availability of distributable surplus and at the discretion of the AMC/Trustee. On payment of Dividends, the NAV will stand reduced by the amount of dividend payout and dividend distribution tax, if any.</p>																																					
<b>Name of the Fund Manager</b>	<p>Mr. Mahesh Patil</p>																																					
<b>Name of the Trustee Company</b>	<p>Birla Sun Life Trustee Company Private Limited</p>																																					
<b>Performance of the Scheme</b>	<p>This scheme does not have any performance track record</p>																																					
<b>Expenses of the Scheme</b>	<p><b>New Fund Offer Period</b></p> <p>The NFO expenses of the scheme shall be borne by the AMC.</p> <p><b>Entry Load*:</b> Nil</p> <p><b>Exit Load:</b> Nil; No redemption/repurchase of units shall be allowed prior to the maturity of the scheme. Investors wishing to exit may do so through stock exchange mode</p> <p>*In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. The upfront commission, if any, on investment made by the investor shall be paid by the investor directly to the Distributor, based on his assessment of various factors including the service rendered by the Distributor.</p> <p><b>Maximum estimated permissible expenses, including investment management and advisory fees, as a % per annum of daily net assets:</b></p> <table border="1" data-bbox="365 1710 1559 2146"> <thead> <tr> <th>A. Expense Head / Nature of expense</th> <th>% of daily net assets</th> </tr> </thead> <tbody> <tr> <td>Investment Management and Advisory Fees (AMC fees)</td> <td rowspan="16">Upto 2.50%</td> </tr> <tr> <td>Trustee fee</td> </tr> <tr> <td>Audit fees</td> </tr> <tr> <td>Custodian fees</td> </tr> <tr> <td>Registrar &amp; Transfer Agent (RTA) Fees</td> </tr> <tr> <td>Marketing &amp; Selling expense including agent commission</td> </tr> <tr> <td>Cost related to investor communications</td> </tr> <tr> <td>Cost of fund transfer from location to location</td> </tr> <tr> <td>Cost of providing account statements/allotment advice and dividend/ redemption cheques and warrants</td> </tr> <tr> <td>Costs of Statutory advertisements</td> </tr> <tr> <td>Cost towards investor education &amp; awareness (at least 2 bps)^</td> </tr> <tr> <td>Brokerage &amp; transaction cost over and above 12 bps and 5 bps for cash market trades</td> </tr> <tr> <td>Service tax on expenses other than investment management and advisory fees</td> </tr> <tr> <td>Service tax on brokerage and transaction cost</td> </tr> <tr> <td>Other expenses\$</td> </tr> <tr> <td><b>Maximum total expense ratio (TER) permissible under Regulation 52(6)(c)(i) ##</b></td> <td><b>Upto 2.50%</b></td> </tr> <tr> <td>B. Additional expense for gross new inflows from specified cities under Regulation 52 (6A) (b) to improve geographical reach of scheme.</td> <td>Upto 0.30%</td> </tr> </tbody> </table> <p>\$ Listing expenses are part of other expenses.</p>		A. Expense Head / Nature of expense	% of daily net assets	Investment Management and Advisory Fees (AMC fees)	Upto 2.50%	Trustee fee	Audit fees	Custodian fees	Registrar & Transfer Agent (RTA) Fees	Marketing & Selling expense including agent commission	Cost related to investor communications	Cost of fund transfer from location to location	Cost of providing account statements/allotment advice and dividend/ redemption cheques and warrants	Costs of Statutory advertisements	Cost towards investor education & awareness (at least 2 bps)^	Brokerage & transaction cost over and above 12 bps and 5 bps for cash market trades	Service tax on expenses other than investment management and advisory fees	Service tax on brokerage and transaction cost	Other expenses\$	<b>Maximum total expense ratio (TER) permissible under Regulation 52(6)(c)(i) ##</b>	<b>Upto 2.50%</b>	B. Additional expense for gross new inflows from specified cities under Regulation 52 (6A) (b) to improve geographical reach of scheme.	Upto 0.30%														
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The purpose of the above table is to assist the investor in understanding the various costs and expenses that an investor in the scheme will bear directly or indirectly. The above estimates for recurring expense are for indicative purposes only and have been made in good faith as per the information available to the AMC based on past experience.

Note:

- (a) At least 10% of the TER is charged towards distribution expenses/ commission in the Regular Plan. The TER of the Direct Plan will be lower to the extent of the abovementioned distribution expenses/ commission (at least 10%) which is charged in the Regular Plan. For eg: In case the TER charged under Regular Plan is 2.00% p.a., then in such case, the TER charged under Direct plan will be lower by atleast 0.20% p.a. (i.e. 10% of 2.00% p.a.).
- (b) ##The Maximum total expense ratio for the Direct Plan as permissible under Regulation 52(6)(c)(i) will not exceed 2.00% p.a. of daily net assets of the Scheme
- (c) ^ In terms of SEBI Circular No. CIR/MD/DF/21/2012 dated September 13, 2012, the AMC / Mutual Fund shall annually set apart at least 2 basis points (i.e. 0.02%) on daily net assets of the scheme within the maximum limit of Total Expense Ratio as per Regulation 52 of the SEBI (MF) Regulations for investor education and awareness initiatives.
- (d) In terms of SEBI Circular No. CIR/MD/DF/21/2012 dated September 13, 2012, AMC may charge service tax on following Fees and expenses as below:
  - a. **Investment Management and Advisory Fees:** AMC may charge service tax on investment management and advisory fees to the scheme in addition to the maximum limit of Total Expense Ratio as prescribed under Regulation 52 of the SEBI (MF) Regulations.
  - b. **Other than Investment Management and Advisory Fees:** AMC may charge service tax on expenses other than investment management and advisory fees to the scheme within the maximum limit of Total Expense Ratio as prescribed under Regulation 52 of the SEBI (MF) Regulations. Further, service tax on Brokerage and transaction cost incurred for execution of trades, will be within the maximum limit of Total Expense Ratio as prescribed under Regulation 52 of the SEBI (MF) Regulations.
- (e) As per Regulation 52(6)(c) of SEBI (MF) Regulations, the total expenses of the scheme, including Investment Management and Advisory Fees, shall be subject to following limits as specified below:

First ₹ 100 Crores	Next ₹ 300 Crores	Next ₹ 300 Crores	Over ₹ 700 Crores
2.50%	2.25%	2.00%	1.75%

- (f) **Fungibility of Maximum Permissible expense:** The maximum total expense ratio (TER) that can be charged to the scheme will be subject to such limits as prescribed under the SEBI (MF) Regulations. The said maximum TER shall either be apportioned under various expense heads as enumerated above, without any sub limit or allocated to any of the said expense head(s) at the discretion of AMC. Also, the types of expenses charged shall be as per the SEBI (MF) Regulations.

Investors should note that the total recurring expenses of the scheme excluding issue or redemption expenses, whether initially borne by the Mutual Fund or by the AMC, but including the investment management and advisory fee, shall not exceed the limits as prescribed under Regulation 52 of the SEBI (MF) Regulations. Subject to the SEBI (MF) Regulations, expenses over and above the prescribed ceiling will be borne by the AMC.

Waiver of Load for Direct Applications	Not Applicable	
Listing	<p>The scheme being offered through this Scheme Information Document is a close ended Scheme and the units offered under the Scheme will be listed on NSE / BSE and/or any other recognized stock exchange/s as may be decided by AMC from time to time within 5 (five) Business days from the date of allotment. Thus, the units of the Scheme will be listed and traded on the stock exchange/s and settled through depository mechanism as per the settlement cycle of the stock exchange/s. As the units will be listed on stock exchange/s, investors/ unitholders can buy / sell units on a continuous basis on the stock exchange/s during the trading hours like any other publicly traded stock at market prices. The minimum number of Units that can be bought or sold on the Exchange is 1 (one) unit. A separate ISIN (International Security Identification Number) will be allotted for each Plan/Option of the respective Series/Scheme. Unitholders who wish to trade in units would be required to have a demat account.</p> <p>Although Units are to be listed on stock exchange/s, there can be no assurance that an active secondary market will develop or be maintained. The AMC and the Trustees will not be liable for delay in trading of Units on stock exchange/s due to the occurrence of any event beyond their control.</p> <p>As per SEBI circular SEBI/MD/CIR No. 12/147132/08 dated December 11, 2008 the units of the scheme will be listed on National Stock Exchange of India (NSE) and BSE Limited (BSE) for which the Trustees have obtained an in-principle approval from NSE vide letter NSE/LIST/76286 dated June 13, 2016 and BSE vide letter CS / IPO / SK / MF /IP / 143 / 2016-17 dated June 13, 2016.</p>	
Tax treatment for the Investors (Unitholders)	Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.	
Daily Net Asset Value (NAV) Publication	The NAV will be declared on all business days and will be published in atleast 2 daily newspapers in accordance with SEBI (MF) Regulations. NAV can also be viewed on www.birlasunlife.com and www.amfindia.com Investors can also call up at our toll free number 1800-22-7000 / 1800-270-7000.	
For Investor Grievances please contact	<p><b>Birla Sun Life Asset Management Company Limited</b>          One India Bulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai 400 013          Tel.: 1800-270-7000 / 1800-22-7000 ,          E-mail: connect@birlasunlife.com          CIN: U65991MH1994PLC080811</p>	<p><b>Registrar &amp; Transfer Agents:</b>  <b>Computer Age Management Services Pvt. Ltd. (CAMS)</b>          Rayala Towers, 158, Anna Salai, Chennai - 600002.          Contact Details: 1800-425-2267          E-mail: birlasunlife@camsonline.com          Website Address: www.camsonline.com</p>
Unitholders' Information	<p><b>Allotment:</b> The process of allotment of units will be completed within 5 (five) business days from the date of closure of the New Fund Offer Period.</p> <p><b>APPLICABLE TO INVESTORS WHO OPT TO HOLD UNITS IN PHYSICAL (NON-DEMAT) MODE AND DO NOT HAVE DEMAT ACCOUNT :</b></p> <p><b>For normal transactions during ongoing sales and repurchase:</b></p> <ul style="list-style-type: none"> <li>• Being a close ended Scheme, investors can subscribe to the Units of the Scheme during the New Fund Offer Period only and the scheme will not reopen for subscriptions after the closure of NFO.</li> <li>• An allotment confirmation specifying the number of units allotted to the investor shall be sent by way of email and/or SMS's to the investors' registered email address and/or mobile number not later than 5 (five) business days from the date of closure of the New Fund Offer Period.</li> <li>• Thereafter, a Consolidated Account Statement (CAS) for each calendar month to the Unitholder(s) in whose folio(s) transaction(s) has/have taken place during the month, on or before 10th of the succeeding month shall be sent by e-mail/mail. CAS shall contain details relating to all the transactions** carried out by the investor, including details of transaction charges paid to the distributor, if any, across all schemes of all mutual funds, during the month and holding at the end of the month.</li> </ul> <p><b>**The word 'transaction' shall include purchase, redemption, switch, dividend payout, dividend reinvestment, Systematic Investment Plan, Systematic Withdrawal Plan, Systematic Transfer Plan and bonus transactions.</b></p> <ul style="list-style-type: none"> <li>• In case of specific request is received from investors, account statement shall be issued to the investors within 5 (five) business days from the receipt of such request without any charges. The unitholder may request for a physical account statement by writing/calling the AMC/ISC/R&amp;T.</li> <li>• In the event the account has more than one registered holder, the first named Unitholder shall receive the CAS/account statement.</li> <li>• The transactions viz. purchase, redemption, switch, dividend payout, etc., carried out by the Unitholders shall be reflected in the CAS on the basis of Permanent Account Number (PAN).</li> <li>• The CAS shall not be received by the Unitholders for the folio(s) not updated with PAN details. The Unitholders are therefore requested to ensure that the folio(s) are updated with their PAN.</li> <li>• <b>No Account statements will be issued to investors opted to hold units in electronic (demat) mode, since the statement of account furnished by depository participant periodically will contain the details of transactions.</b></li> </ul> <p><b>Half Yearly Consolidated Account Statement:</b></p> <ul style="list-style-type: none"> <li>• A CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail on or before 10th day of succeeding month, to all such Unitholders in whose folios no transaction has taken place during that period. Such Consolidated Account Statement shall reflect the latest closing balance and value of the Units prior to the date of generation of the consolidated account statement.</li> <li>• The half yearly consolidated account statement will be sent by e-mail to the Unitholders whose e-mail address is available, unless a specific request is made to receive in physical.</li> </ul> <p><b>APPLICABLE TO INVESTORS WHO OPT TO HOLD UNITS IN ELECTRONIC (DEMAT) MODE:</b></p> <ul style="list-style-type: none"> <li>• On acceptance of the application for subscription during the NFO period, an allotment confirmation specifying the number of units allotted to the investor shall be sent by way of email and/or SMS's to the investors' registered email address and/or mobile number not later than 5 (five) days from the date of closure of the New Fund Offer Period.</li> <li>• Thereafter, Single Consolidated Account Statement (SCAS), based on PAN of the holders, shall be sent by Depositories, for each calendar month within 10th day of the succeeding month to the unitholders in whose folio(s)/demat account(s) transactions have taken place during that month.</li> <li>• SCAS shall be sent by Depositories every half yearly (September/ March), on or before 10th day of succeeding month, detailing holding at the end of the sixth month, to all such unitholders in whose folios and demat accounts there have been no transactions during that period.</li> <li>• In case of demat accounts with nil balance and no transactions in securities and in mutual fund folios, the depository shall send account statement in terms of regulations applicable to the depositories.</li> <li>• Consolidation shall be done on the basis of Permanent Account Number (PAN). In the event the folio / demat account has more than one registered holder, the first named Unit holder / Account holder shall receive the SCAS. For the purpose of SCAS, common investors across mutual funds / depositories shall be identified on the basis of PAN. Consolidation shall be based on the common sequence / order of investors in various folios / demat accounts across mutual funds / demat accounts across depository participants.</li> <li>• In case of multiple accounts across two depositories, the depository with whom the demat account has been opened earlier will be the default depository which will consolidate the details across depositories and Mutual Fund investments and dispatch the SCAS to the unitholders.</li> <li>• Unitholders whose folio(s)/demat account(s) are not updated with PAN shall not receive SCAS. Unitholders are therefore requested to ensure that their folio(s)/demat account(s) are updated with PAN.</li> <li>• For Unitholders who have provided an e-mail address in KYC records, the SCAS will be sent by e-mail.</li> <li>• The Unitholders may request for account statement for mutual fund units held in physical mode. In case of a specific request received from the Unitholders, account statement shall be provided to the unitholders within 5 business days from the receipt of such request.</li> </ul>	

- No account statements will be issued to unitholders opted to hold units in demat mode, since the statement of account furnished by depository participant periodically will contain the details of transactions.
- SCAS sent within the time frame mentioned above is provisional and is subject to realisation of payment instrument and/or verification of documents, including the application form.
- **Half Yearly Consolidated Account Statement:**
  - A CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail on or before 10th day of succeeding month, to all such Unitholders in whose folios no transaction has taken place during that period.
  - The half yearly consolidated account statement will be sent by e-mail to the Unitholders whose e-mail address is available, unless a specific request is made to receive in physical.
- Investors should note that, no separate account statements will be issued to investors opted to hold units in electronic (demat) mode since the statement of account furnished by depository participant periodically will contain the details of transactions.

For more details, Investors are requested to refer the Scheme Information Document (SID) and Statement of Additional Information (SAI).

**Annual Report:**

The scheme wise annual report or an abridged summary thereof shall be sent to all Unitholders not later than four months from the date of closure of the relevant accounting year and full annual report shall be available for inspection at the Head Office of the Mutual Fund and a copy shall be made available to the Unitholders on request on payment of nominal fees, if any.

The scheme wise annual report or an abridged summary thereof (the reports) shall be sent:

- By e-mail only** to the Unitholders whose e-mail address is available with BSLAMC / Mutual Fund;
- In physical form to the Unitholders whose email address is not available with Mutual Fund and/or to those Unitholders who have opted / requested for the same.

Accordingly, unitholders are requested to ensure that their folio(s) are updated with e-mail address, in case they wish to receive the reports electronically i.e. via e-mail. Also, in case the unitholders wish to receive physical copies of reports they may indicate as such, notwithstanding registration of e-mail address with BSLAMC / Mutual Fund.

The physical copy of the scheme wise annual report or abridged summary thereof shall be made available to the investors at the registered office of the BSLAMC. A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Mutual Fund ([www.birlasunlife.com](http://www.birlasunlife.com)) and shall also be displayed on the website of AMFI ([www.amfiindia.com](http://www.amfiindia.com)).

**Half Yearly Disclosures (Unaudited Financial Results / Portfolio):**

Mutual Fund / AMC shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its website ([www.birlasunlife.com](http://www.birlasunlife.com)). Further, the Mutual Fund / AMC shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in atleast one national English daily newspaper and a regional newspaper published in the language of the region where the Head Office of the Mutual Fund is situated.

The Mutual Fund / AMC shall before the expiry of one month from the close of each half year (i.e. 31st March and 30th September), publish complete statement of the scheme portfolio in prescribed format as at end of such half year in one national English daily newspaper and in a regional newspaper published in the language of the region where the Head Office of the Mutual Fund is situated.

**Monthly Portfolio Disclosures:**

The monthly portfolio of the scheme (alongwith ISIN) shall also be made available on the website of Mutual Fund ([www.birlasunlife.com](http://www.birlasunlife.com)) on or before tenth day of the succeeding month.

**Transaction Charges**

(For Lumpsum Purchases routed through distributor / agent)

SEBI has, with the intent to enable investment by people with small saving potential and to increase reach of Mutual Fund products in urban areas and in smaller towns, wherein the role of the distributor is considered vital, allowed AMCs vide its circular No. Cir/IMD/DF/13/2011 dated August 22, 2011 to deduct transaction charges for subscription of ₹ 10,000/- and above.

In accordance with the said circular, BSLAMC / Mutual Fund will deduct the transaction charges from the subscription amount and pay to the distributors as shown below (who have opted-in to receive the transaction charges on basis of type of product). Thereafter, the balance of the subscription amount shall be invested.

- Transaction charges shall be deducted for Applications for purchase/ subscription relating to new inflows and routed through distributor/ agent:

Investor Type	Transaction charges <sup>^</sup>
<b>First Time Mutual Fund Investor (across Mutual Funds)</b>	₹ 150 for subscription application of ₹ 10,000 and above.
<b>Investor other than First Time Mutual Fund Investor</b>	₹ 100 for subscription application of ₹ 10,000 and above.

- <sup>^</sup>The transaction charge, if any, shall be deducted by the BSLAMC from the subscription amount and paid to the distributor; and the balance shall be invested and accordingly units allotted. The statement of account shall clearly state the net investment as gross subscription less transaction charge and depict the number of units allotted against the net investment amount.

- Transaction charges shall not be deducted/applicable for:**

- purchases / subscriptions for an amount less than ₹ 10,000/-;
- Transaction other than purchases / subscriptions relating to new inflows such as Switches, etc.
- Purchases / subscriptions made directly with the Mutual Fund (i.e. not routed through any distributor / agent).**
- Transactions carried out through the Stock Exchange Platforms for Mutual Funds.

- Investor should note that, as per SEBI circular no. SEBI/IMD/CIR No. 4/168230/09, dated June 30, 2009, the upfront commission, if any, on investment made by the investor shall continue to be paid by the investor directly to the Distributor by a separate cheque, based on his assessment of various factors including the service rendered by the Distributor.

Notwithstanding anything contained in the Key Information Memorandum, the provisions of SEBI (Mutual Funds) Regulations, 1996 and Guidelines thereunder shall be applicable. Further, investors may ascertain about any further changes from the Mutual Fund/Investor Service Centres / Distributors or Brokers.

Date: August 11, 2016

Place: Mumbai