Birla Sun Life Resurgent India Fund - Series 3

(A Close ended Equity Scheme)



Offer of units of ₹ 10/- each for cash during the New Fund Offer. The subscription list may be closed earlier by giving at least one day's notice in one daily newspaper.

New Fund Offer Opens: Thursday, February 23, 2017 | New Fund Offer Closes: Thursday, March 09, 2017

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Cheque / DD No	_ Dated _	//	Drawn or	n Bank			

Mode of Payment [Please tick (✓)] □ Cl		applicant's name on the reverse of the Cheque /JDJ. Please ensu la Sun Life Resurgent India Fund - Series 3"	RTGS / NEFT / Fund T	
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NSDL: Depository Participant Nam	16:	DPID No.: I N	Beneficia	ry A/c No.
CDSL: Depository Participant Nam	ne:	Beneficiary A/c No.		
Enclosed: Client Master	ransaction/ Statement Copy/ DIS Copy			
REDEMPTION / DIVIDEND REMITTAN	ICE (Please attach a copy of cancelled cheque Refer Instruction N	10.8&13)		
Electronic Payment		Cheque Payment		
It is the responsibility of the Investor to ensu Payout at recipient/destination branch corres	ure the correctness of the IFSC code/ MICR code for E sponding to the Bank details mentioned in Section 3.	Electronic		
-		processed as Electronic Payout-RTGS/NEFT/Direct Cre	edit/NECS.	
To avail this facility please provide your Auto Maturity Switch to Birla	signature below. Sun Life Cash Manager, Plan	Option	(Re	fer instruction No. 14)
First Applicant / Authorise		Second Applicant		Third Applicant
NOMINATION DETAILS (Mandatory)				
□ I/We wish to nominate □ I/We D	0 NOT wish to nominate and sign here		1st Applican	t Signature (Mandatory)
N	ominee Name and Address	Guardian Name (in case of Minor)	Allocation %	Nominee/ Guardian Signature
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To register multiple nominee please fi	Il concrete Multiple perminetion Form			
If Yes, please provide the following info	ormation [mandatory] rou are resident for tax purposes and the associ	iated Tax Reference Numbers below		
Category	First Applicant (including Minor)	Second Applicant/ Guardia	n	Third Applicant
Name of Applicant				
Place/ City of Birth				
Country of Birth				
Country of Tax Residency#				
Tax Payer Ref. ID No^				
Identification Type [TIN or other, please specify]				
Country of Tax Residency 2				
Tax Payer Ref. ID No. 2				
Identification Type [TIN or other, please specify]				
Country of Tax Residency 3				
Tax Payer Ref. ID No. 3				
Identification Type [TIN or other, please specify]				

#To also include USA, where the individual is a citizen/ green card holder of USA. ^In case Tax Identification Number is not available, kindly provide its functional equivalent.

The Trustee

To,

Birla Sun Life Mutual Fund

Having read and understood the contents of the Statement of Additional Information / Scheme Information Document of the Scheme, I/We hereby apply for units of the scheme and agree to abide by the terms, conditions, rules and regulations governing the scheme. I/We hereby declare that the amount invested in the scheme is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the government of India from time to time. I/We have understood the details of the scheme & I/we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment.

For Non-Individual Investors: I/We hereby confirm that the object clause of the constitution document of the entity (viz. MOA / AOA / Trust Deed, etc.), allows us to apply for investment in this scheme of Birla Sun Life Mutual fund and the application is being made within the limits for the same. I/We are complying with all requirements / conditions of the entity while applying for the investments and I/We, including the entity, if the case may arise so, hereby agree to indemnify BSLAMC / BSLMF in case of any dispute regarding the eligibility, validity and authorization of the entity and/or the applicants who have applied on behalf of the entity. For NRIs only: I/We confirm that I am/we are Non Residents of Indian Nationality/Origin and that I/we have remitted funds from abroad through approved banking channels or from funds in my/our Non-Resident External /Non-Resident Ordinary /FCNR account. (Refer Inst. No. 6)

I/We confirm that details provided by me/us are true and correct.

**I have voluntarily subscribed to the on-line access for transacting through the internet facility provided by Birla Sun Life Asset Management Company Ltd. (Investment Manager of Birla Sun Life Mutual Fund) and confirm of having read, understood and agree to abide the terms and conditions for availing of the internet facility more particularly mentioned on the website www.birlasunlife.com and hereby undertake to be bound by the same. I further undertake to discharge the obligations cast on me and shall not at any time deny or repudiate the on-line transactions effected by me and I shall be solely liable for all the costs and consequences thereof.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

FATCA & CRS Declaration: I/ We have understood the information requirements of this Form (read along with FATCA & CRS Instructions) and hereby confirm that the information provided by me/ us on this Form is true, correct, and complete. I/ We also confirm that I/ We have read and understood the FATCA & CRS Terms and Conditions and hereby accept the same. (Refer Inst. No. 13)

Signature of First Applicant / Authorised Signatory Signature of Second Applicant Signature of Third Applicant

INSTRUCTIONS FOR FILLING APPLICATION FORM

GENERAL INSTRUCTIONS

1.

- Please read the terms of the Key Information Memorandum and the Statement of Additional Information / Scheme i) Information Document carefully before filling the Application Form. Investors should also appraise themselves of the prevailing Load structure on the date of submitting the Application Form. Investors are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering paymen
- ii) Application form should be completed in English and in BLOCK LETTERS. Please tick in the appropriate boxes wherever applicable
- The signature should be in English or in any of the Indian languages specified in the eighth schedule of the Constitution of India. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Applications by minors should be signed by the guardians. In case of H. U. F., the Karta should sign on her official to use the second secon iii) behalf of the H.U.F
- iv) The application complete in all respects along with the cheque / demand draft must be submitted to the nearest designated Investor Service Centre. Applications incomplete in any respect or not accompanie du by cheque or demand draft of the amount payable are liable to be rejected and the money paid will be refunded without interest. No receipt will be issued for the applications income. The designated investors Service Centre will stamp and return the
- v) acknowledgment slip in the application form, to acknowledge receipt of the application.
- vi)
- acknowledgment slip in the application form, to acknowledge receipt of the application. All cheques and bank drafts must be drawn in favour of "Birla Sun Life Regurgent India Fund Series 3" and crossed "Account Payee Only". A separate cheque or bank draft must accompany each application /each Scheme. In case the Scheme name as provided by investor on the application form and on the payment instrument are different, the application shall be processed and units allotted of the Scheme as mentioned in the application Form duly signed by investor. Investors already holding a folio in Birla Sun Life Mutual Fund can provide their existing Folio Number and Name of applicants(s) corresponding to the said folio. It is the responsibility of the Investor to ensure correctness of such details provided. The personal details and Bank Account details as registered in the existing folio number as provided would apply to the said investment and the registered details would prevail over any conflicting information furnished in this form. The AMC reserves the right to assign any of the existing Folio Number of the investor against multiple applications and / or subsequent purchases under this new application form lodged, with identical mode of holding and address and such other criterions and integrity checks as may be determined by the AMC from time to time. **TRANSACTION CHARGES** vii)

TRANSACTION CHARGES viii)

I HANSACI IUN CHARGES SEBI with the intent to enable investment by people with small saving potential and to increase reach of Mutual Fund products in urban areas and in smaller towns, wherein the role of the distributor is considered vital, has allowed AMCs vide its circular No. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011 to deduct transaction charges for subscription of ₹ 10,000°. And above. In accordance with the said circular, if the distributor, through which your application form is being routed, has opted to receive the Transaction Charges, Birla Sun Life Asset Management Co. Ltd. / Birla Sun Life Mutual Fund shall deduct the Transaction Charges, Birla Sun Life Asset Management Investor) or ₹ 100/. (for Investor other than First Time Mutual Fund Investor i.e. Existing Mutual Fund Investor) from your subscription amount and pay the same to such distributor. Thereafter, the units shall be allotted against the balance amount invested after deduction of Transaction charge payable to the distributor. The sattement of account shall clearly state the net investment as gross subscription less transaction charge and depict the number of units allotted against the net investment amount. Please note that Transaction Charges shall not be deducted in the following cases:

Please note that Transaction Charges shall not be deducted in the following cases:

- a. purchases / subscriptions for an amount less than ₹ 10,000/-;
- b.

Transaction other than purchases / subscriptions relating to new inflows such as Switches / STPs / Dividend Sweep etc. Purchases / subscriptions made directly with the Mutual Fund (i.e. not routed through any distributor / agent). C. Investor should note that, as per SEBI circular no. SEBI/IMD/CIR No. 4/ 168230/09, dated June 30, 2009, the upfront commission, if any, on investment made by the investor shall continue to be paid by the investor directly to the Distributor by a separate cheque, based on his assessment of various factors including the service rendered by

the Distributor. INVESTOR PARTICULARS

2.

- Name and address must be given in full. P.O. Box address is not sufficient. In case of NRIs/ FII investors an overseas address must be provided.
- address must be provided. "On behalf of Minor" Accounts: Name of Guardian must be mentioned if investments are being made on behalf of a minor. Date of birth is mandatory in case of minor. The minor shall be the first and the sole holder in the account (folio). No joint holder will be allowed in an account (folio) where minor is the first or sole holder. Guardian in the account (folio) on behalf of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian and the same must be mentioned in the space provided in application form. Copy of document evidencing the date of birth of the minor and relationship of the guardian with the minor (whether natural or legal guardian) should mandatorily be provided while opening of the account (folio). Also, nomination shall not be allowed in a folio/account held on behalf of a minor. In case of an application under Power of attorney or by a limited company, body corporate, registered society, trust or partnership, etc the relevant Power of attorney or by a limited company, body corporate, registered society, trust or partnership, etc the relevant Power of attorney or the relevant resolution or authority to make the application as the case much and with standard out where Mender and the same relevant resolution or authority to make the application as the case ii)
- iii) participant of the second seco
- Documentation to be submitted by Corporate Investors/Societies / Trusts /Partnership Firms/ FIIs iv)

	Corporate Investors	Trusts	Societies	Partnership Firms	Flls	POA
Board/ Committee Resolution/ Authority Letter	1	~	1	1	~	
Memorandum & Articles of Association	1					
Trust Deed		~				
Partnership Deed				1		
Bye-laws			1			
List of authorised Signatories with name, designation & Specimen Signature	1	1	1	~	1	
Overseas Auditor's certificate					1	
Power of Attorney						1

The Power of Attorney should necessarily be signed by both the investor and the constituent Power of Attorney. Where only uncertified photocopies of the documents are submitted / attached to the application form, the onus for authentication of the documents so submitted shall be on investors and the BSLAMC/MF will accept and act in good faith on uncertified / not properly authenticated documents submitted/attached with the application form. Submission of such documents by investors shall be full and final proof of the non individual investor's authority to invest and the BSLAMC/MF shall not be liable under any circumstances for any defects in the documents so submitted.

sman nor use name under any circumstances for any defects in the documents so submitted. Non-Individual investors are required to ensure that the object clause of the constitution document (viz. MOA / AOA / Trust Deed, etc.) permits investment in the scheme(s) of Brid Sub Life Mutual Fund. BSLAMC/ BSLMF shall accept and process the applications made by these entities in good faith by relying on the undertaking given with respect to the authority, validity and compliance with all relevant formalities/conditions etc. in the application for making such investments with Brid Sun Life Mutual Fund. Further, BSLAMC/BSLMF/Trustees or any of its affiliates shall not be liable in case of any dispute arising with respect to eligibility, validity and authorization of the entity and/or the applicants who have applied on behalf of the entity, as applicable.

- entity, as applicable. Applicants can specify the mode of holding in the application form as "Single" or "Joint" or "Anyone or Survivor". In the case of holding specified as "Joint", redemption and all other request / transactions would have to be signed by all unit holders. However, in cases of holding specified as "Anyone or Survivor", any one of the unit holders will have the power to make all necessary requests, without it being necessary for all the unit holders to sign. In the event the account has more than one registered unit holders and the mode of holding is not specified in the application form, the default option for holding would be considered to be "anyone or survivor". However, in all cases, the proceeds of all dividend/redemption will be paid to the first named holder. All communications will also be sent to the first named holder. v)
- Investors should clearly indicate their preference of Plan/option on the application form. If no plan is selected in the application form, the investment will be deemed to be for the default option. vi)
- QUALIFIED FOREIGN INVESTOR (QFI): Qualified Foreign Investor (QFI) can invest in the scheme(s) provided the same is in compliance with SEBI circular CIR / IMD / DF / 14 / 2011 dated August 9, 2011 read with SEBI circular CIR / IMD / FII&C/ 13/2012 dated June 07, 2012, as applicable. vii)

3(A). BANK AND PERMANENT ACCOUNT NUMBER DETAILS

Bank Details: In order to protect the interest of investors from fraudulent encashment of cheques, the SEBI Regulations have made it mandatory for investors to mention in their application / Redemption request, the bank pane and account number. PAN Details: It is compulsory for all investors to quote their Permanent Account Number (PAN) and submit copy of the PAN card

PAN Details: Its compulsory for all investors to quote their Permanent Account Number (PAN) and submit copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for Purchase of Units. In case of joint applicants, PAN details of all holders should be submitted. In case the investor making the application is a minor, PAN details of the Guardian must be submitted. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN prof obmission, however sufficient documentary evidence shall have to be submitted to Birla Sun Life Mutual Fund for verifying that they are residents of State of Sikkim.

DEMAT ACCOUNT DETAILS

Investors have an option to hold the units in electronic (Demat) form. The applicant intending to hold units in electronic (demat) form will be required to have beneficiary account with a Depository Participant (DP) (registered with NSDL / CDSL) and will be required to indicate in the application form, the DPs name, DP ID Number and the Beneficiary account number of the applicant held with the DP at the time of subscribing to the units during the NFC. Applicants must ensure that the sequence of the names as mentioned in the application form matches with that of the beneficiary account held with the DP. Names, PAN details, KYC details etc. mentioned in the Application form matches with that of the beneficiary account held with the DP. Names, PAN details, KYC details etc. mentioned in the Application form will be verified against the Depository records. If the details mentioned in this participate of the the ACBA Application form will be verified against the Depository records. If the details mentioned in this participate of the the ACBA application form will be verified against the Depository records. If the details mentioned in this participate of the the ACBA application form will be verified against the Depository records. If the details mentioned in this participate of the the ACBA application form will be verified against the Depository records. If the details mentioned in this participate of the the ACBA participation form will be verified against the Depository records. If the details mentioned in this participate of the the ACBA participation form will be verified against the Depository records. If the details mentioned in this participate of the the ACBA participation form will be verified against the details mentioned in this participate of the the ACBA participation form will be verified against the details mentioned in this participate of the the ACBA participation form will be verified against the details mentioned in the participate of the the ACBA participate for the the ACBA partic application (other than ASBA Applications) are found to be incomplete / incortect or not matching with the depository records, the application shall be treated as application for physical (non-demat) mode and accordingly units will be allotted in physical (non-demat) mode. Unitholders who have opted to hold and threeby allotted units in electronic (demat) form will receive payment of redemption / dividend proceeds into bank account linked to their Demat account.

KNOW YOUR CUSTOMER (KYC) 4

According to guidelines issued by SEBI under 'The Prevention of Money Laundering Act, 2002', Mutual Funds are required to follow enhanced know your customer (KYC) norms. Investors can visit branches of BSLAMC or may visit www.birlasunlife.com, www.amfiindia.com and www.cdslindia.com to know detailed procedure for KYC compliance.

Effective January 01, 2011 it is mandatory for all category of investors to be KYC compliant for all investment transactions made on or after January 01, 2011, irrespective of amount of investment.

- To further clarify, the above category of investors shall include: their constituted Power of Attorney (PoA) holder, in case of investments through a PoA
- each of the applicants, in case of investments in joint names; and
- iii. Guardian in case of investments on behalf of minor.

III. Guardian in Case of investments on Defrait of minor. Applications without KYC Acknowledgement litter for the specified category of investors are liable to be rejected. Provided further, where it is not possible to verify the KYC compliance status of the investor at the time of allotment of units, the BSLAMC shall verify the KYC compliance status of the investor within a reasonable time after the allotment of units. In the event of non compliance of KYC requirements, the BSLAMC reserves the right to freeze the folio of the investor(s) for any kind of transactions or affect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load. Investors should note that on completion of KYC Compliance all details of the investor in the Mutual Fund fectords will be replaced by the details as given in KYC Application Form by the investor. Any change in these details like change of Name / Address /Status/Signature, etc. should be given by Investor directly in the prescribed manner.

Pursuant to SEBI Circular No. MIRSD/SE/Cir-21/2011 dated December 23, 2011, SEBI (KYC Registration Agency) Regulations, 2011 and SEBI Circular No. MIRSD/SE/Cir-21/2011 dated October 05, 2011, regarding uniformity in the Know Your Customer (KYC) process in the securities market and development of a mechanism for centralization of the KYC records to avoid duplication of KYC Process across the intermediaries in the securities market, the following changes are being made to KYC process w.e.f. January 01, 2012:

- Valuary 01, 2012.
 1. SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries viz. Mutual Funds, Portfolio Managers, Depository Participants, Stock Brokers, Venture Capital Funds, Collective Investment Schemes, etc. New Investors are therefore requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are also enviloble to accumble historic time the intermediaries including mutual funds. The KYC Application Forms are also available on our website www.birlasunlife.com
- The Mutual Fund shall perform the initial KYCo fits new investors and may undertake enhanced KYC measures commensurate with the risk profile of its investors. The Mutual Fund shall upload the details of the investors on the system of the KYC Registration Agency (KRA). Registrat & Transfer Agent (RTA) of the Mutual Fund may also undertake the KYC of the investors on behalf of the Mutual Fund. KRA shall send a letter to the investor within 10 working days of the receipt of the initial/updated KYC documents from the Mutual Fund, confirming the details thereof.
 Once the investor has done KYC with a SEBI registered intermediary, the investor need not undergo the same process again with another intermediary including mutual funds. However, the Mutual Fund reserves the right to carry out fresh KYC of the investor
- investor.
- It is mandatory for intermediaries including mutual funds to carry out In-Person Verification (IPV) of its new investors w.e.f. January 01, 2012.
- The IPV carried out by any SEBI registered intermediary can be relied upon by the Mutual Fund. BSLAMC and NISM/AMFI certified distributors who are KYD compliant are authorized to undertake the IPV for Mutual Fund investors. Further, in case of any applications received directly (i.e. without being routed through the distributors) from the investors, the Mutual Fund may rely upon the IPV (on the KYC Application Form) performed by the scheduled commercial banks.
 Existing KYC compliant investors of the Mutual Fund can continue to invest as per the current practice. However, existing investors are also urged to comply with the new KYC requirements including IPV as mandated by SEBI.

5. MODE OF PAYMENT

d)

- DE OF PAYMENT Resident investors may make payment by cheque payable locally in the city where the application form is submitted at the local Birla Sun Life Asset Management Company Ltd. (BSLAMC) Offices / Authorised Collection Centres. The cheque should be drawn on any bank which is situated at and is a member/sub member of the bankers clearing house or the cheque should be drawn on Bank branch which is participating in "Speed Dearing" facility made available by Reserve Bank of India (RBI) [i.e. if the presenting bank branch and location is appearing in list of "Speed Clearing" locations as prescribed by RBI from time to time for Core Banking Solution (CBS) branches]. Investors are requested to note that only cheques of value of upto ₹ 1 lacs shall be accepted under this "Speed Clearing" facility. Further, the list of Speed Clearing-enabled bank branches are hosted on the website of the RBI under the link http://www.rbi.org.in/Script3bs_viewcontent.aspx?Id=2016. Payment through Stock invest, outstation cheques, cash and third party payments will not be accepted. For all mode of oavements, details of source account.source bank name and source branch name should be mentioned. ii)
- For all mode of payments, details of source account, source bank name and source branch name should be mentioned. Restriction on acceptance of Third Party Payment:

V) a)

- Pursuant to the AMFI Best Practice Guidelines circular on 'Risk mitigation process against Third-Party Cheques in mutual fund subscriptions' read with compliance with 'Know your Customer (KYC)' norms under Prevention of Money Laundering Act, 2002 (PMLA), Birla Sun Life Asset Management Company Limited (BSLAMC)/ Birla Sun Life Mutual Fund (BSLMF) shall not accept applications for subscriptions of units accompanied with Third Party Payments, except in the cases as enumerated below in para (c).
- "Third Party Payment" means payment through an instrument issued from a bank account other than that of the beneficiary investor. In case of payments from a joint bank account, the first named investor/holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is made. BSLAMC shall not accept subscriptions accompanied with Third Party Payments except in the following exceptional b)
- C)
 - DocLando stalan froé stala notes accorptisation accompanie of man maine of man maine state provide the state of the sta Fund in that folio as a guardian.
 - ii. Payment by Employer on behalf of employee under Systematic Investment Plans (SIP) through Payroll deductions
 - iii. Custodian on behalf of an FII or a client
 - In case of 'exceptional situations' mentioned above, investors are required to submit following documents/declarations alongwith the application form without which such applications will be rejected/not processed/refunded:
 - i.
 - ngwith the application form without which such applications will be rejecteuring processeur/enumeed: Mandatory KYC for all Investors (guardian in case of minor) and the person making the payment i.e. third party. In order for an application to be considered as valid, investors and the person making the payment should attach their valid KYC Acknowledgement Letter to the application form. A separate, complete and valid 'Third Party Payment Declaration Form', inter alia, containing the details of the bank account from which the payment is made and the relationship with the investor(s). The declaration has to be given by the person making the payment i.e. Third Party. Please contact the nearest Investor Service Centre (ISC) of BSLAMC or visit our website www.birlasunifie.com for the said Declaration Form. ii.

BSLAMC/BSLMF shall verify the source of funds to ensure that funds have come from the drawer's account only Investors are requested to note that, in case of: e)

- Payment by Cheque: An investor at the time of his/her purchase must provide the details of his pay-in bank account (i.e. account from which a subscription payment is made) and his pay-out bank account (i.e. account in who has a cocum in the bank which redemption/dividend proceeds are to be paid). If the name/bank account number is not pre-printed on the cheque and signature on the cheque does not match with signature on the application, then the first named match account proceeds are to full the first name of the account number of the proceeds are to be paid). If the proceeds are to be paid in the signature on the application, then the first named match account proceeds are to full the proceeds are to be paid in the proceed of the proceeds are to be proceed and the proceeds are to be paid in the proceed of the proceeds are to be paid in the proceed of the proceeds are to be paid in applicant/investor should submit any one of the following documents:
 - a copy# of the bank passbook or a statement of bank account having the name and address of the account a. holder and account number;
 - a letter* (in original) from the bank on its letterhead certifying that the investor maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available). b.

Investors should also bring the original documents along with the documents mentioned in (a) above to the ISCs/Official Points of Acceptance of BSLMF. The copy of such documents will be verified with the original documents to the satisfaction of the BSLAMC/BSLMF. The original documents will be returned across the counter to the investor after due verification.

* In respect of (b) above, it should be certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number.

Investors should note that where the bank account numbers have changed on account of the implementation of core banking system at their banks, any related communication from the bank towards a change in bank account number should accompany the application form for subscription of units.

Payment by Prefunded Instrument: (1) If the subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque, etc., a Certificate (in original) from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The account number mentioned in the Certificate should be a registered bank account or the first named unitholder should be one of the account holders to the bank account debited for issue of the instrument. The account of the certificate is the bank account debited for issue of the instrument account of the original form the last issue of the instrument. ii

the first named untiholder should be one of the account holders to the bank account debited for issue of such instruments. Investors may also submit a copy of the acknowledgement from the bank, wherein the instructions to debit carry the bank account details and name of the investor as an account holder, or a copy of the passbook/bank statement evidencing the debit for issuance of a DD, provided bank account number has to match with the details provided in the application form and name should match with the name of the first named unitholder. (2) A pre-funded instrument issued by the Bank against Cash shall not be accepted for investments of ₹ 50,000- or more. This also should be accompanied by a certificate from the bank wriging name, address and PAN (if available) of the person who has requested for the payment instrument. The name mentioned on the Certificate should match with the name of the first named unitholder and certificate must state such investor's bank account number and PAN as per bank record, if available.

The Certificate(s) mentioned in (1) and (2) above should be duly certified by the bank manager with his/her full signature, name, employee code, bank seal and contact number

iii. Payment by RTGS, NEFT, ECS, NECS, Bank transfer, etc: A copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer Instruction copy should be a registered bank account or the first named unitholder should be one of the account holders to the bank account.

account holders to the bank account. iv. Cash acceptance towards subscription In accordance with SEBI circular CIR/IMD/DF/10/2014 dated May 22, 2014, Investors who are KRA-KYC compliant and do not belong to the top 15 cities' may avail of facility of subscribing to units of the Scheme through cash to the extent of ₹ 50,000- per investor per financial year. However, repayments in form of redemptions, dividend, etc. with respect to investments through cash, shall be paid only through banking channel. Currently, cash shall be accepted at designated Investor Services Centers of Computer Age Management Services PV. Ltd (CAMS) in Nellore, Bhagalpur, Palanpur, Satara, Sangli, Bhatinda, Kota, Namakkal, Barelly and Haldia. For detailed Address of Investor Services Centers of CMS, refere gn 08, Also, for the detailed procedures for making cash applications, Investors may enquire at the said designated ISCs for further assistance. "Top 15 cities shall mean top 15 cities based on Association of Mutual Fund fault Finds in India (AMFI) data on 'AUM by Geography -Consolidated Data for Mutual Fund Industry' as at the end of the previous financial In case the apolication for subscription does not comply with the above provisions, BSLAMC/BSLMF retains the

In case the application for subscription does not comply with the above provisions, BSLAMC/BSLMF retains the Sole and absolute discretion to reject/not process such application and refund the subscription money and shall not be liable for any such rejection.

Investors residing in Centres, where the Investors service Centres of the mutual fund are not located, are v Introduct to make payment by demand draftspayable at the Centre where the application is to be lodged. D.D. charges would be borne by the fund only for the investors residing at places which are not covered by our offices / authorised centres & DD Charges are mentioned in the form. The maximum charges so borne by the fund would be restricted to limits as prescribed by State Bank of India.

NRUNVESTORS Repatriation basis:

Payments by NRIs/FIIs may be made by way of Indian rupee drafts purchased abroad or out of funds held in NRE/FCNR account or by way of cheques drawn on non-resident external accounts payable at par and payable at the cities where the Investor Service Centres are located. In case of Indian rupee drafts purchased and subscriptions through NRIs / FCNR account, an account debit cer tificate from the bank issuing the draft confirming the debit should also be enclosed.

Non Repatriation basis:

NRIs investing on a non repatriable basis may do so by issuing cheques/ demand drafts drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Investor Service Centres are located.

NOMINATION

- Unit holder can nominate (in the manner prescribed under the SEBI Regulations), maximum upto 3 person(s) in whom the Units held by him/her shall vest in the event of his/her death. It shall be mandatory to indicate clearly the percentage of allocation / share in favour of each of the nominees against their name and such allocation / share should be in whole numbers without any decimals making a total of 100 percent. In the event of the Unitholers not indicating the percentage of allocation / share for each of the nominees, the AMCs, by invoking default option shall settle the claim equally amongst all the percentage.
- Nomination made by unitholder shall be applicable for investments in all the Schemes in the folio or account and every new nomination for a folio or account will overwrite the existing nomination. Thus, a new nomination request will imply simultaneous cancellation of existing nomination and request for fresh nomination. ii)
- iii) The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly in favour of one or more persons. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. Nomination form cannot be signed by Power of attorney (PoA)
- iv) In case a folio has joint holders, all joint holders should sign the request for nomination / cancellation of nomination, even if the mode of holding is not "joint"
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided V) thinks of the intervention of the second sec
- Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by vi)
- virtue of these offices or a religious or charitable trust. The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange vii) controls in force, from time to time.
- Nomination shall not be allowed in a folio/account held on behalf of a minor viii)
- Nomination in respect of the units stands rescinded upon the transfer of units. ix)
- Transfer of units in favour of a Nominee shall be valid discharge by the AMC against the legal heir. X)
- The cancellation of nomination can be made only by those individuals who hold units on their own behalf single or jointly and who made the original nomination. xi) xii)
- On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the units in favour of the Nominee.
- The nomination details as registered with the Depository Participant shall be applicable to unitholders who have opted to xiii) hold units in Demat mode
- Nomination bencember Nomination shall be mandatory for new folios/accounts opened by individuals especially with sole/single holding and no new folios/accounts for individuals in single holding shall be opened without nomination. However, in case investors do not wish to nominate must sign separately confirming their non-intention to nominate, failing which the form may be rejected at the discretion of the AMC/Mutual Fund. xiv)

BSLAMC solution of the redemptions/dividend payouts directly to the designated Bank A/c of the unitholders of Birla Sun Life Mutual Fund schemes through any of the available electronic mode (i.e. RTGS/NEFT/Direc Credit/NECS/ES). BSLAMC reserves the right to use any of the above mode of payment as deemed appropriate for all folios where the required information is available. The Mutual Fund, however, reserves the right to issue a cheque / demand draft inspite of an investor opting for Electronic Payout.

9 DIRECT APPLICATIONS AND FUIN

8.

- DIRECT APPLICATIONS AND EUIN a. Investment in Direct Plan: Investors applying under Direct Plan, are advised to write the word 'DIRECT' in the column 'ARN No or 'Broker Code' in their applications for purchases/additional purchases/switches in all such cases where applications are not routed through any distributor/agent/broker. In cases where unit holder uses a pre-printed transaction slip/application form where details in the 'ARN No' or 'Broker Code' column is already printed, unit holder should cancel the ARN No' Broker Code, write 'DIRECT' in the said column. Also, in case ARN No' Broker Code is mentioned in the application form, but 'Direct Plan' is indicated, the ARN No' Broker Code will be ignored and the application will be processed under Direct Plan, subject to it being complete in all other aspects. Further, where application will be processed under Direct Plan. be transaction will be processed under Direct Plan.
- b. Employee Unique Identification Number (EUIN) is a unique number allotted to Sales personnel i.e. employee/ relationship Employee Unique Identification Number (EUIN) is a unique number allotted to Sales personnel i.e. employee/relationship manager/sales person of the distributor interacting with the investor for the sale of mutual fund products. Such sales personnel associated with Distributor, should also be holding a valid NISM certificate. Thus, in case of applications routed through distributors, in addition to the AMFI Registration Number (ARN) of the distributor, Investors are requested to also provide the EUIN of the individual ARN holder or of employee/relationship manager/sale person of the Distributor interacting with the investor. Providing appropriate EUIN in the application/transaction forms would assist in tackling the problem of mis-selling even if the Sales personnel on whose advice the transaction was executed by investor leaves the employment of the distributor rule route rule between the distributor has not given any advice pertaining to the investment (i.e. transaction is 'execution only), then the EUIN box may be left blank, but it would be mandatory for the investor to provide confirmation as mentioned in the application form.

E-MAIL COMMUNICATION 10.

Account Statements, Quarterly Newsletter, Annual Reports and Transaction Confirmation can be sent to Unit holders by post / enail. Should the Unit holder experience any difficulty in accessing in the electronically delivered documents, the unit holder shall promptly inform the same to the Mutual Fund. It is deemed that the Unit holder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties.

11. TERMS AND CONDITIONS FOR ON-LINE ACCOUNT ACCESS

- User of Customer Identification PIN (CIP) facility in the parlance of Birla Sun Life Asset Management Co Ltd (BSLAMC) means a Unitholder being serviced by BSLAMC.
- A CIP will enable the user to view the Account Statement on the Birla Sun Life website (www.birlasunlife.com) and other services mentioned herein after. ii)
- iii) The user shall have no objection to BSLAMC verifying the identity before allotting the CIP.
- The CIP allotted to the user is confidential in nature and the user confirms that he/she will keep the CIP confidential and will iv) The or another of the carbody else. The user also agrees to take all possible care to prevent discovery of the CIP by any person. The responsibility for misuse of the CIP of the User is solely of the user and BSLAMC shall not be responsible for the use/misuse of the CIP in any manner whatsoever.
- The User shall inform BSLAMC immediately in case the CIP becomes known to any other person. BSLAMC may in its absolute discretion, issue to the user a new CIP on similar terms and conditions or under such terms and conditions as BSLAMC may deem fit. v)
- BSLAMC will take reasonable efforts to keep its website updated so as to provide most current information to the user. The vi) BSLANC will take reasonable efforts to keep its website updated so as to provide most current information to the user. The user acknowledges that BSLANC expressly disclaims liability for errors or omissions in the information on the website. The user also recognises that because of communication and other issues, it is possible that the site may not be operating/working on many occasions. The user also agrees that the look and feel of the Web screen and outputs there from may differ based on the nature of the software used by the user to howse the site. The user agrees not only to the terms and conditions herein contained but also the disclaimer and other matters, as may be displayed/posted on the site.

- vii) BSLAMC may, in the interest of the user request a fax confirmation of the Instructions and any additional information that BSLAMC may require. BSLAMC shall not be bound to act on instructions/ requests received until the said fax confirmation and additional information is received from the user.
 viii) The user shall be fully liable to BSLAMC for every transaction entered into using the CIP facility, whether with or without the
- Knowledge of the user and consequences thereof. The user shall not use the online services on a PC or other Internet access device which belongs to any other person or which ix) The use's shall not use the using services of a PC of other internet access device which beings to any other persons which is provided to the user by his/mer employer without such person's or, as the case may be, his/her employer's previous written permission. BSLAMC will not be responsible for any harm or loss caused to any person as a result of the user not complying with this condition. The user indemnifies and agrees to keep BSLAMC at all times saved, defended, harmless and indemnified from and against any and all loss, costs, outgoings, expenses, claims, damages or consequences whatsoever that BSLAMC may suffer as a result of the user using any PC or Internet device without the permission of the owner thereof and he/she shall be bound to compensate. BSLAMC shall not be liable for the non-sultability thereof or if any other data cor software contained in such PC or Internet access device through which the online services are accessed by the user is dmanaed or lotting any manner whatesoever. damaged or lost in any manner whatsoever
- damaged or lost in any manner whatsoever. The user is aware of all security risks including possible third party interception of his/her account and the content of his/her account becoming known to third parties. The user accepts that the use of online services is not a secure method of viewing, accepting and transmitting information and that it involves security hazards and the risk of any loss of information or obtaining of information by any third party will be to his/her account and BSLAMC shall, in no way, be held responsible for the same and this shall not be considered as a breach of its or its constituent company user confidentially. The user agrees that the use and storage of any information including without limitation, the CIP, account halances and any other information available on the user personal computer is at his/her come risk and is his/her sole responsibility.
- xi)
- The user shall not interfere with alter, amend, tamper with or misuse in any manner whatsoever the Online Services and in the event of any damage due to improper or fraudulent use by the user, he/shall be liable in damages to BSLAMC. xii)
- In case of any discrepancy in the details of any transaction carried out in respect of the user's Account, the user shall be obliged to intimate BSLAMC thereof in writing within 10 (ten) days of receipt of the Statement of Account, the user shall be obliged to intimate BSLAMC thereof in writing within 10 (ten) days of receipt of the Statement of Account, policy document in respect of the user, failing which the statement / policy will be deemed to be correct and accepted by the user. xiii)
- BSLAMC is authorized to provide any information or details relating to the user or his/her account to any third person so far as is necessary to give effect to any instructions or to comply with any order of Court or of any competent/ statutory authority or as is required under applicable law.
- The user hereby acknowledges that he/she is utilizing this facility at his/her own risk. These risks would, among others, include the following:
 - a) Misuse of Password: The user acknowledges that if any third person obtains access to his/her password such third person would be able to provide transaction request to BSLAMC. The user shall ensure that the terms and conditions applicable to the use of the password as contained herein are complied with at all times.
 - b) Internet Frauds: The Internet per se is susceptible to a number of frauds, misuse, hacking and other actions, which could affect Instructions to BSLAMC. Whilst BSLAMC shall aim to provide security to prevent the same, there cannot be any guarantee from such Internet frauds, hacking and other actions, which could affect Instructions to BSLAMC. The user shall separately evaluate all risks arising out of the same.
 - c) The technology for enabling the services offered by BSLAMC could be affected by virus or other malicious, destructive or corrupting code, programme or macro. This could result in delays in the processing of Instructions or failure in the processing of instructions and other such failures and inabilities. The user understands that BSLAMC disclaims all and any liability, whether direct or indirect, whether arising out of loss of profit or otherwise arising out of any disclaims all and any liability of bSLAMC to honour any user instruction for whatsoever reason. The user understands and accepts that BSLAMC shall be accepted to the decouption of the decouption for whatsoever reason. The user understands and accepts that BSLAMC shall be accepted to the decouption of the decouption of the decouption of the decouption. not be responsible for any of the aforesaid risks. The user also accepts that BSLAMC shall disclaim all liability in respect of the said risks.
- xvi) The user acknowledges having read and understood the Terms and Conditions relating to opening of an account and various services. The user accepts and agrees to be bound by the said Terms and Conditions including those excluding BSLAMC's liability.
- xvii) The user understands that BSLAMC may, at its absolute discretion, alter, suspend or terminate any of the services completely or partially without any notice to the Unitholder and without assigning any reasons thereof.
- xviii) The user agrees that at present online services are offered as a privilege services to the users without any charge. However, BSLAMC may levy any service charges as applicable from time to time in consideration for the services provided herein. However users not consenting to the charge then, may opt out of the CIP facility.
- BSLAMC reserves the exclusive right to amend the terms and conditions for issue and use of CIP to the users without any prior approval of the user concerned, and thereafter such amended terms and conditions will apply to the user.
- In consideration of BSLAMC providing the user with the online services, user agrees to indemnify and keep safe, harmless and indemnified BSLAMC, its constituent companies, their officers, employees, successors and assigns from and against all actions, claims, demands, proceedings, loss, damages, costs, charges and expenses whatsoever which BSLAMC or its constituent companies may at any time incur, sustain, suffer or be put to as a consequence of or arising out of the user' use of the sum of the su XX) the said online services.
- The user hereby indemnifies and agrees to keep BSLAMC saved, defended, harmless and indemnified for all liabilities, losses, damages and expenses which BSLAMC may sustain or incur either directly or indirectly as a result of : a) llegal, unauthorized, fraudulent usage or misuse of the user's CIP to access BSLAMC's Website; all requests carrying the user's CIP as evidenced by electronic records available at BSLAMC will be the user's sole responsibility b) Non-compliance of the terms and conditions relating to online services on BSLAMC's website.
- xxii) The Courts in Mumbai alone shall have jurisdiction over all disputes arising out of or in respect of this arrangement. RTGS/NEFT

Funds Transfer shall be effected only if the recipient/destination Bank/Branch is participating in RTGS/NEFT.

It is the responsibility of the Investor to ensure the correctness of the message especially the IFSC code of the recipient / destination branch & account number. The collecting bank as well as BSLMF will get valid discharge if the amount is credited to The concern grant a second manner. The concern grant as well as boltwin will get valid discritized in the Application even if the name of the Investor account holder differs. BSLMF shall not assume any liability or responsibility arising out of or made liable for any incorrect request or message. If the date of payment happens to be a holiday at the centre where the recipient branch is situated, the credit will be passed on to the Investor on next working day.

12.

- BSLMF shall not be liable for delay in payments to the Investor if: a. Incorrect and insufficient details are provided.
- If there is dislocation of work due to circumstances beyond the control of Remitting/Destination Banks including but not limited to circumstances like non-functioning of computer system, disruption of work due to natural calamities, strike, riot etc or Netware or internet problem or other causes beyond the control of the Branch/ bank resulting in disruption of communication, such cases will be settled on the next working day when RTGS/INEFT is functioning property. The Investor hereby agrees and undertakes that he is aware of all the RTGS/ NEFT rules set by RBI & to abide by all the rules,

terms, conditions and administrative guidelines issued or which may be issued by the RBI or any other regulatory authorities applicable to the transactions relating to RTGS/NEFT whether directly or/and indirectly.

Details under FATCA / Foreign laws 13.

Details under FATCA / Poreign laws Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with the relevant tax authority. If you have any questions about your tax residency, please contact your tax advisor. Further if you are a Citizen or resident or green card holder or tax resident other than India, please include all such countries in the tax resident country information field along with your Tax Identification Number or any other relevant reference ID / Number. If there is any change in the information provided, promptly intimate the same to us within 30 days.

AUTOMATIC SWITCH OF REDEMPTION PROCEEDS ON MATURITY (AUTO MATURITY SWITCH) 14.

AUTOMATIC SWITCH OF REDEMPTION PROCEEDS ON MATURITY (AUTO MATURITY SWITCH) The Mutual Fund provides the investors the flexibility to switch their redemption proceeds receivable on maturity of the scheme to any of the Pland/Dption of Birds Sun Life Mutual Fund Schemes, as may be specified by the investor in the application form. Investor can choose to avail of this facility only at the time of making the application to subscribe to the units of the scheme by signing separately in the designated space in the application form and confirming their intention to avail auto maturity switch. If this section is not signed or in case of discrepancies in signatures in sections 7 & 10, switch request may be liable to be rejected. Further, in case of any inconsistency in selection of option viz. Payout of redemption proceeds on maturity switch and on the advection space in the application to Payout of redemption proceeds on maturity switch effective, investor needs to hold all the units till maturity of the scheme. The switch shall be scheme. The applicable terms and conditions of both the switch-out scheme and switch-in scheme as regards the minimum number of Units that may be redeemed or issued, Load, Applicable NAV etc. DVIDEND OPTION(SWEEP FACILITY)

DIVIDEND OPTION (SWEEP FACILITY) 15.

Under Dividend Sweep Facility, the Unitholders can opt for switching the dividend earned under the Scheme to the Growth Option oncer Driver Driver a sweep Facility, the Unitholders can opt for switching the dividend earned under the Scheme to the Growth Option of any open ended Debt / Equity Schemes of the Fund. The criteria of complying with the 'Minimum Application Amount' specified in the Scheme Information Document for respective Target Scheme will not be applicable to avail this facility. The minimum amount of dividend eligible for transfer (net of applicable taxes, if any) under Dividend Sweep Facility is ₹ 1,000^L (Rupees One Thousand Only). In case the dividend amount to be transferred is less than the eligible amount, then the dividend will be paid to the Unitholder.

The dividend (net of applicable TDS, if any) shall be switched at applicable NAV based prices (ex-dividend NAV, if the opted Scheme has a record date on the date of exercise of sweep facility). This facility shall be processed on the record date of the dividend declared under the Scheme.

Further this facility shall not allow for switch of partial dividend or switch of dividend to multiple schemes. In case the investor fails to specify his preference of Option/Facility for the scheme into which the dividend has to be sweeped, Growth option under the respective Open ended Equity or Balanced Scheme, as applicable, shall be default option and the application form shall be processed accordingly

3.

6.

Birla Sun Life Resurgent India Fund - Series 3

(A Close ended Equity Scheme)



INVESTORS MUST READ THE SCHEME INFORMATION DOCUMENT/KEY INFORMATION MEMORANDUM AND INSTRUCTIONS BEFORE COMPLETING THIS FORM.

APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA) FORM

of write of \$10/ each few each during the New Fund Offew The subserviting list way the sleeped addies by sign

New Fund Offer Opens:				ew Fu	nd Off	er Clos	es: Thu	rsday, N	larch 0			_			
This Product is suitable for in	nvestors wh	o are seeking*	:								oderately	Moder	ate Mc	derately	
Long term capital growth										N	OOLOW			lightely	
Invests primarily in equity Indian economy.	and equity re	elated securitie	s that are like	ely to ber	nefit from	recovery	in the			Mo7 LOW	7			HIC	Hah
*Investors should consult their	financial adv	isers if in doubt	whether the	producti	s suitabl	e for them						stand that f oderately	heir princip high risk		
											D	ate D			
ASBA Application No.				1	VFO Applic	ation No. (m	andatory &	only for Nor	n-Demat h	olders]					
BROKER/AGENT IN	FORMATION				1		F	OR OFFICE	E USE ON	NLY					
Name and AMFI Regn No.	Sub Broker N	ame & Code	SCS			SCSB IFSC				te Membe				SL No.	
ARN:			[Name &	Codej		[11 digit co	odej		[Nan	ne and Co	ldej				
NAME OF FIRST APPLICANT (Name s	hould be as available	in Demat Account in ca	se of Demat Accour	nt holders)											
Mr. Ms. M/s.															
EXISTING FOLIO NO. (If you have an existi	ng folio number, plea	se mention the number	here)												
olio No.															
DEPOSITORY ACCOUNT DETAILS															
epository Name [Please tick]	ional Securities	Depository Ltd	🗌 Cent	ral Deposit	ory Service	s [India] Lin	nited								
Depository Participant Name															
IP-ID															
eneficiary Account Number															
PAN OF 1ST APPLICANT (Permanent Acc	count Number)														
PAN															
NVESTMENT DETAILS [Please tick ([✔]]														
Birla Sun Life Resurgen India Fund - Series 3	t Plans	🗌 Regular	Direct	Options	Gro	wth idend Swee	an		Dividend	Payout			Default (Dividend (Payout	Option	
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DETAILS OF BANK ACCOUNT FOR	BLOCKING O	F FUNDS													
Bank Account Number															
lank Name															
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otal Amount to be blocked (₹ In figures)															
upees in words															
JNDERTAKING BY ASBA INVESTO	R														
J/We hereby undertake that I/We am/are a ccordance with ASBA process provided in the cheme, to the extent mentioned above in cheme/Birla Sun Life Mutual Fund on receip structions to the SCSB to remove the block) In case the amount available in the bank a , Beneficiary Account No. or PAN furnished fanagement Company Limited or Birla Sun Li ame of the Sole/First Applicant, NFO Application N	he SEBI Regulation the "SCSB / ASB t of instructions fit on the funds in the account specified if by me/us in the ife Trustee Compa	is and as disclosed A Account details" om the Registrar an ie bank account spe n the application is application is incorre ny Private Limited o	in this application or unblocking of d Transfer Agen cified in the applinsufficient for bl ct or incomplete r SCSBs shall no	on, I/We aut f funds in the lication, upon locking the a or not mate ot be liable	horize (a) the bank ac allotment of allotment amount equi- ching with the for losses, i	the SCSB to of count maintain the Units end of Units and valent to the he depository f any. All future	do all neces ined with th titling me/us to transfer th application records, the ure commun	sary acts inc le SCSB spe to receive L he requisite r money toward e application ication in cor	luding bloc cified in th Inits on su noney to th ds the Sub shall be inection with	king of ap nis applicat ch transfer e Scheme scription of rejected an th NFO sho	plication m ion form, of funds, 's account Units, the d the Birla ould be add	oney towar transfer of etc. (b) Re / Bank acc SCSB sha Sun Life I dressed to	ds the Sub funds to the gistrar and count of Birl I reject the Mutual Fund	scription o ne Bank a Transfer A a Sun Life applicatior or Birla S	f Units of t ccount of t Agent to iss Mutual Fur h 4) If the I Sun Life Ass
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ACKNOWLEDGEMENT SLIP (to b		o invoctor]	ASBA APPLI						Rirla (Sun Lifo	Docurao	nt India I	Lund C	arian 2	

Birla Sun Life Asset Management Company Limited One India Bulls Centre, Tower 1, 17th floor, Jupiter Mill Compound, 841, S.B. Marg, Elphinstone Road, Mumbai - 400 013 CIN: U65991MH1994PLC080811

Toll Free : 1800-270-7000/ 1-800-22-7000 | SMS 'GAIN' to 567679 | Email: connect@birlasunlife.com

Birla Sun Life Mutual Fund

Application Number [mandatory for non-demat account holders]:					ASBA Application Number:	Date ://	
Birla Sun Life Resurgent India Fund - Series 3	Plans	🗌 Regular	Direct	Options	Growth Dividend Sweep	Dividend Payout	Default Option: Dividend Option (Payout facility)
Received from Mr /Ms /Mrs /M/s							

Address	
SCSB Account details: A/c No Bank Name	
Branch Name	SCSB Stamp, Signature
Total Amount to be Blocked: ₹ In figures Rupees In words	
Date & time of receipt	
Date & time of receipt	

INSTRUCTIONS FOR FILLING ASBA APPLICATION FORM

- An ASBA investor shall submit a duly filled up ASBA Application form, physically or electronically, to the SCSB with whom the bank account to be blocked, is maintained.
- In case of ASBA application in physical mode, the investor shall submit the ASBA Form at the Bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB.
- In case of ASBA application in electronic form, the investor shall submit the ASBA Form either through the internet banking facility available with the SCSB, or such other electronically enabled mechanism for subscribing to units of Mutual Fund scheme authorising SCSB to block the subscription money in a bank account. Investors shall correctly mention the Bank Account number in the ASBA Application Form and ensure that funds equal to the subscription amount are available in the bank account maintained with the SCSB before submitting the same to the
- Upon submission of an ASBA Form with the SCSB, whether in physical or electronic mode, investor shall be deemed to have agreed to block the entire subscription amount specified and authorized the Designated Branch to block such amount in the Bank Account.
- On the basis of an authorisation given by the account holder in the ASBA application, the SCSB shall block the subscription money in the Bank Account specified in the ASBA application. The subscription money shall remain blocked in the Bank Account till allotment of units under the scheme or till rejection of the application, as the case may be.
- 5 If the Bank Account specified in the ASBA application does not have sufficient credit balance to meet the subscription money, the ASBA application shall be rejected by the SCSB.
- The ASBA Form should not be accompanied by cheque, demand draft or any mode of payment other than authorisation to block subscription amount in the Bank Account.
- All grievances relating to the ASBA facility may be addressed to the AMC / FTA to the Issue, with a copy to the SCSB, giving full details such as name, address of the applicant, subscription amount blocked on application, bank account number and the Designated Branch or the collection centre of the SCSB where the ASBA Form was submitted by the Investor.
- 8 ASBA facility extended to investors shall operate in accordance with the SEBI guidelines in force from time to time.

BIRLA SUN LIFE ASSET MANAGEMENT COMPANY LTD (Branches)

Display Control Contr

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Cash towards subscription would be acceptable at these locations. Please refer SAI for further details on Cash investments. In addition to the above, CAMS, Registrar & Transfer Agents to Birla Sun Life Mutual Fund will be the official point of acceptance for all online / electronic transactions by investors who have subscription would be acceptable at these locations. Please refer SAI for further details on Cash investments. In addition to the above, CAMS, Registrar & Transfer Agents to Birla Sun Life Mutual Fund will be the official point of acceptance for all online / electronic transactions by investors who have subscription who have subscription would be acceptance. The investors can underfake purchase / sale / switch transactions and avail of such other online facilities as may be provided by AMC from time to time through its official website - www.birliasunific.com, which is the official point of acceptance for electronic transactions and through other secured internet sites of specified banks, financial institutions, etc. with whom AMC has entered or may enter into specific arrangements for providing online facility. Secured internet sites operated by CAMS will also be official point of acceptance.

KEY INFORMATION MEMORANDUM

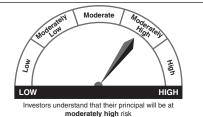
Birla Sun Life Resurgent India Fund - Series 3

(A Close ended Equity Scheme)



This Product is suitable for investors who are seeking*:

- Long term capital growth
- Invests primarily in equity and equity related securities that are likely to benefit from recovery in the Indian economy.
- *Investors should consult their financial advisers if in doubt whether the product is suitable for them.



Offer of Units of ₹ 10/- each for cash during the New Fund Offer Period

New Fund Offer Opens: Thursday, February 23, 2017 | New Fund Offer Closes: Thursday, March 09, 2017

The subscription list may be closed earlier by giving at least one day's notice in one daily newspaper. The Trustee reserves the right to extend the closing date of the New Fund Offer Period, subject to the condition that the subscription of the New Fund Offer Period shall not be kept open for more than 15 days.

NAME OF THE ASSET MANAGEMENT COMPANY	NAME OF MUTUAL FUND
BIRLA SUN LIFE ASSET MANAGEMENT COMPANY LIMITED	BIRLA SUN LIFE MUTUAL FUND
One Indiabulls Centre, Tower-1, 17th floor, Jupiter Mill Compound, 841, Senapati Bapat Marg,	One India Bulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg,
Elphinstone Road, Mumbai - 400 013	Elphinstone Road, Mumbai - 400 013
Tel.: +91-22 43568000	Tel. +91-22 43568000
Fax No. +91-22 43568110 / 8111	Fax No. +91-22 43568110 / 8111
CIN: U65991MH1994PLC080811	Website www.birlasunlife.com

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.birlasunlife.com.

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM. It is to be distinctly understood that the permission given by National Stock Exchange of India Limited (NSE)/ BSE Limited (BSE) should not in any way be deemed or construed that the Scheme Information Document has been cleared or approved by NSE/BSE nor does it certify the correctness or completeness of any of the contents of the Scheme Information Document. The investors are advised to refer to the Scheme Information Document for the full text of the 'Disclaimer Clause of NSE/BSE'.

		will be achieved.	
he scheme will have duration/tenure of 3.5 years from and including the date	of allotment.		
nstrument	Normal Allocation (% of total assets)	Risk Profile
		Maximum	
quity and Equity related securities	80%	100%	High
Ioney Market & Debt instruments	0%	20%	Low
,			
he scheme does not intend to invest in Securitized Debt, derivatives and repo	in corporate debt securities.		
he scheme does not intend to invest in Credit Default Swaps and ADR/ GDR/	Foreign Securities. The scheme sha	Il not engage in securities lending / sł	hort selling.
hange in Asset Allocation			
, , ,			
egulations and political and economic factors. It must be clearly understood the lanager, the intention being at all times to seek to protect the interests of the leart he Fund Manager shall rebalance the portfolio within 30 days from the date ebalancing is not done within the specified period, justification for the same sha voestment Committee shall then decide on the course of action. To clarify an mall Caps and Mid Caps and other than Small & Mid Caps beyond the allocat s per provisions enumerated herein.	at the percentages stated above cai Jnit Holders. Such changes in the in of deviation to bring it in line with the all be provided to the Investment Co d subject to above mentioned excep ion range as above, the same shall b	n vary sübstantially depending upon vestment pattern will be for short tern asset allocation pattern as indicater mmittee and the reason for the same ptions, in case the portfolio of the So e considered as devitaion in asset al	the perception of the Investment m and defensive considerations. d in this SID. Further, in case the shall be recorded in writing. The sheme is found to be allocated in location and require rebalancing
ompanies / sectors and could be a significant driver of returns. select portfolio of such bottom-up picks in the equity market offer significant nalvais to identify the sectors that could be key beneficiaries of turnaround in aluations. As part of the investment strategy, scheme will book profits at op ortion of its corpus in fixed income securities including money market instrum- urther, the fund manager believes that an economic recovery is already lead uch companies / sectors and could be a significant driver of returns. This belie Over last few years the GDP Growth has been recovering from 4.47% p.z Earnings growth of Indian corporates have turned positive after 3 years in The markets have experienced robust foreign as well as domestic fund fil global growth and adverse events lew government policies have been fairly growth and reform focused and taki ills as per requirement and also running Skill India program to create skilled Iz ountry. Addressing issues of FDI and easing of policies to do business have leval to corporing growth, some of these sectors include Roads. Ports, Railway he Scheme will have tenure of 3.5 years and the corpus of the Scheme will pupreciate in the long run and benefit from the turnaround in the Indian econom to return of the Investment Manager will be to pick stocks across busis otential leaders in their respective fields in the future. Some of these key traits Visionary & Trustworthy management with established track record Being a dominant player in the sector / business or within a particular mar Strong prospects for future growth and scalability Financial discipline and favourable fundamentals measured by various ra	opportunity for higher portfolio retur economy. Besides this, short-term , bortune moments to take advantage ents to manage its liquidity requiremen ng to earnings upgrades of various s froots from various developments in L. (2012-13) to 7.6% p.a. (2015-16). the 4th quarter of 2015-16. bow indicating strong confidence in In ng various measures to create a Ref bour. The initiatives like on smart cit a attracted inflows. The government d well and economy is moving from o o percolate into growth for select ind s, consumption related sectors, bani I be primarily invested in equity and y in the medium term. nesses or sectors which are more of for companies are: ket by segment / geography atios like Return on Investment (ROI)	ns. In addition, the fund managers w opportunities would also be seized, to e of the volatility in the market. The sents. tocks/sectors. This is very likely to re the country's fundamentals: dian markets in wake of plethora of g formed India. Government has been y project and Make in India are to cre is also trying to build a strong finam deficit liquidity zone to neutral liquidit ustries to begin with which could hav ks & NBFCs etc. d equity related securities of the cor closely correlated to the Indian ecor	vill also seek to bring in top down that are supported by underlying Scheme may also invest a small soult in a rerating of valuations for plobal macro headwinds, slowing assisting states to modify labour eate more job opportunities in the cial foundation through financial by regime. All this collectively will e a higher multiplier effect on the mpanies that have a potential to nomic growth and could become
In Induity Press True Polinaciu Induix Press	strument quity and Equity related securities oney Market & Debt instruments he scheme does not intend to invest in Securitized Debt, derivatives and repo he scheme does not intend to invest in Credit Default Swaps and ADR/GDR/ hange in Asset Allocation he above mentioned investment pattern is indicative and may change for sho ubject to the SEBI (MF) Regulations, the asset allocation pattern indicated a gulations and political and economic factors. It must be clearly understood th anager, the intention being at all times to seek to protect the interests of the 1 be Fund Manager shall rebalance the portfolio within 30 days from the date of balancing is not done within the specified period, justification for the same sh vestment Committee shall then decide on the course of action. To clarify an mall Caps and Mid Caps and other than Small & Mid Caps beyond the allocat be regulation (15A) of Regulation 18 of the SEBI (MF) Regulations. Tovided further and subject to the above, any change in the asset allocation a bregulation (15A) of Regulation 18 of the SEBI (MF) Regulations. The fund manager believes that an economic recovery is already leading to er- mpanies / sectors and could be a significant driver of returns. select portfolio of such bottom-up picks in the equity market offer significant ralysis to identify the sectors that could be key beneficiaries of turnaround in aluations. As part of the investment strategy, scheme will book profits at opp rotin of its corpus in fixed incorporates have turned positive after 3y ears in The markets have experienced robust foreign as well as domestic fund fill global growth and adverse events ew government policies have been fairly growth and reform focused and taki lls as per requirement and also running Skill India program to create skilled la putry. Addressing issues of FDI and easing of policies to do business have clusion initiatives and strict monetory norms. The focus on inflation has fare ad to strong growth. some of these sectors include	strument Normal Allocation (Minimum guity and Equity related securities 80% oney Market & Debt instruments 0% e scheme shall invest only in such securities which mature on or before the date of the maturity of the scheme. ne scheme shall invest only in such securitized Debt, derivatives and repo in corporate debt securities. ne scheme shall invest only in such securitized Debt, derivatives and repo in corporate debt securities. ne above mentioned investment pattern is indicative and may change for short duration. ubject to the SEBI (MF) Regulations, the asset allocation pattern indicated above may change from time to time, guidations and political and economic tractors. It must be clearly understood that the percentages stated above can agaer, the intention being at all times to seek to protect the interests of the Unit Holders. Such changes in the in the Fund Manager shall rebalancing is not done within the specified period, justification for the same shall be provided to the Investment Co vestment Committee shall then decide on the course of action. To clarify and subject to above mentioned excer mall Caps and Mid Caps and other than Small & Mid Caps beyond the allocation range as above, the same shall be regulation (15A) of Regulation 18 of the SEBI (MF) Regulations. refund manager believes that an economic recovery is already leading to earnings upgrades of various stocks/ mpanies /sectors and could be a significant driver of returns. select portfolio of such bottom-up picks in the equity market offer significant opportunity for higher portfolio returnalaysito identify the eleves that an economic recovery is already	strument Normal Allocation (% of total assets) quity and Equity related securities 0% 100% oney Market & Debt instruments 0% 100% ne scheme shall invest only in such securities which mature on or before the date of the maturity of the scheme. ne scheme does not intend to invest in Credit Default Swaps and ADR/GDR/Foreign Securities. The scheme shall not engage in securities lending /si hange in Asset Allocation ne scheme does not intend to invest in Credit Default Swaps and ADR/GDR/Foreign Securities. The scheme shall not engage in securities lending /si hange in Asset Allocation biject to the SEBI (MF) Regulations, the asset allocation pattern indicated above may change from time to time, keeping in view market conditions, guidations and political and economic factors. It must be clearly understood that the percentages stated above can vary substantially depending upon agare, the intention being at all times to seek to protect the interest of the Unit holders. Such changes in the investment pattern will be or short ter ne Fund Manager shall rebalancing in or to protect the interest of the Unit holders. Such changes in the investment committee shall then decide on the course of action. To clarity and subject to above mentioned exceptions, in case the portfolio of the Sc mall Cage and Mid Cags and Mid Cags beyond the allocation affecting the investment profile of the Scheme shall be considered as devitation in asset al borgution (15A) of Regulation 18 of the SEBI (MF) Regulations. ne fund manager believes that an economic recovery is already leading to earnings upgrades of various stocks/sectors. This is very likely to result in supiregulation (15A) of Regulation 18 or the equi

Risk Profile of the Scheme	investment	possible loss of principal. Please read the Scheme Information I risk factors including but not limited to risks associated with: inve Bate Rick, Credit Rick, Liquidity or Markatability Rick, Bainyastm	
	redeeming Units, i.e. before making a decision to invest/r	risk factors including but not limited to risks associated with: inve Rate Risk, Credit Risk, Liquidity or Marketability Risk, Reinvestm nent/Key Information Memorandum carry different levels and t porate bonds carry a higher amount of risk than Government se surance that a Scheme's investment objectives will be achieved Further, the Fund/AMC is not guaranteeing or assuring any retur tment and other professional advisors to determine possible le- ideem Units. Isiting of units and risks inherent to the close ended nature of th d scheme specific risk factors.	gal, tax, financial or other considerations of subscribing to or
Risk Control	Since investing requires disciplined risk management, process involves reducing risks through portfolio diversit the desired level of consistency in returns. The AMC may The AMC aims to identify securities, which offer superior proposed to be invested in will be carried out by the invest	he AMC would incorporate adequate safeguards for controlling cation, taking care however not to dilute returns in the process. also implement certain internal control procedures / risk & exposu r levels of yield at lower levels of risks. With the aim of controlli nent team of the AMC.	risks in the portfolio construction process. The risk control The AMC believes that this diversification would help achieve ire limits etc., which may be varied from time to time ing risks, rigorous in-depth credit evaluation of the securities
Plans/Options Offered	Each Series / Scheme will have Regular Plan and Direc indicating the choice in the application form.	t Plan** with a common portfolio and separate NAVs. Investors	should indicate the Plan for which the subscription is made by
Default Plan / Option / Sub-option (In case the investor fails to specify his preference, the given default plan / option / sub-option	 investments through a Distributor. ii. Eligible investors: All categories of investors (w subscribe under Direct Plan. iii. Modes for applying: Investments under Direct Pla Stock Exchange Platforms for Mutual Funds and al iv. How to apply: a. Investors desirous of subscribing under Direct b. Investors should also indicate "Direct" in the A Default Option: Dividend Option (Payout facility) In case of valid application received without indicating cf accordingly. Default Plan: 	e /subscribe Units in a Scheme directly with the Mutual I hether existing or new Unitholders) as permitted under the So n can be made through various modes offered by the Mutual Fu other Platform(s) where investors' applications for subscription of Plan of a Scheme will have to ensure to indicate "Direct Plan" aga RN column of the application form. pice between Growth and Dividend Option, the same shall be co	cheme Information Document of the Scheme are eligible to nd for investing directly with the Mutual Fund [except through of units are routed through Distributors]. ainst the Scheme name in the application form.
would apply)	Investors are requested to note the following scenarios for Scenario Broker Code mentioned by the ir	the applicability of "Direct Plan or Regular Plan" for valid applicative vestor Plan mentioned by the investor	tions received under the Scheme: Default Plan to be captured
	1 Not mentioned	Not mentioned	Direct Plan
	2 Not mentioned	Direct	Direct Plan
	3 Not mentioned 4 Mentioned	Regular Direct	Direct Plan Direct Plan
	5 Direct	Not Mentioned	Direct Plan
	6 Direct	Regular	Direct Plan
	7 Mentioned 8 Mentioned	Regular	Regular Plan
		Not Mentioned orned on the application form, the application shall be processe of the application form from the investor/ distributor. In case, the date of application without any exit load.	Regular Plan d under Regular Plan. The AMC shall contact and obtain the correct code is not received within 30 calendar days, the AMC
Applicable NAV		directly with the fund until the date of maturity of the scheme. The	erefore, the provisions of cut off timing for redemptions will not
	be applicable. Purchase	Repurchase	
Minimum Application Amount / Number of Units	Minimum of ₹ 5,000/- and in multiples of ₹ 10/- thereafter during the New Fund Offer period.	No redemption (repurchase) of units is allowed before the maselling their units through Stock Exchange at prevailing listed p	rrice on such Stock Exchange.
Despatch of Proceeds of Repurchase (Redemption) Request Benchmark Index	Redemption or repurchase proceeds shall be dispatched records of the Scheme's DP account, as applicable.	to the unitholders within 10 working days from the date of mature	rity of the scheme, subject to confirmation with the depository
benchmark index		nmark for evaluation of the performance of the scheme from tin	ne to time, subject to SEBI Regulations and other prevailing
Dividend Policy	dividend payout and dividend distribution tax, if any.	table surplus and at the discretion of the AMC/Trustee. On paym	ent of Dividends, the NAV will stand reduced by the amount of
Name of the Fund Manager	Mr. Satyabrata Mohanty		
Name of the Trustee Company	Birla Sun Life Trustee Company Private Limited		
Performance of the Scheme	This scheme does not have any performance track reco	rd	
Expenses of the Scheme (i) Load Structure	*In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 1682 commission, if any, on investment made by the investor s	MC. e allowed prior to the maturity of the scheme. Investors wishing to 10/09 dated June 30, 2009, no entry load will be charged by the nall be paid by the investor directly to the Distributor, based on his	Scheme to the investor effective August 1, 2009. The upfront
(ii) Recurring expenses	by the Distributor. Maximum estimated permissible expenses, including	investment management and advisory fees, as a % per annu	um of daily net assets:
(As a % of daily net assets)	A. Expense Head / Nature of expense		% of daily net assets
	Investment Management and Advisory Fees (AMC fee		
	Trustee fee Audit fees		
	Custodian fees		
	Registrar & Transfer Agent (RTA) Fees		
	Marketing & Selling expense including agent commissi	on	
	Cost related to investor communications Cost of fund transfer from location to location		Upto 2.50%
	Cost of providing account statements/allotment advice	and dividend/redemption cheques and warrants	
	Costs of Statutory advertisements		
	Cost towards investor education & awareness (at least		
		d 5 bps for cash and derivative market trades respectively.	
	Service tax on expenses other than investment manag Service tax on brokerage and transaction cost	ement and advisory lees	
	Other expenses\$		
	Maximum total expense ratio (TER) permissible un	ler Regulation 52(6)(c)(i) ##	Upto 2.50%
		ed cities under Regulation 52 (6A) (b) to improve geographical re	
	\$ Listing expenses are part of other expenses.	· · · · · · ·	· · · · · · · · · · · · · · · · · · ·
		in understanding the various costs and expenses that an inve is only and have been made in good faith as per the information a	

	Note: (a) Atleast 10%# of the TER is charged towards distribution expenses/ commission in the Regular Plan. The TER of the Direct Plan will be lower to the extent of the abovementioned distribution expenses/ commission (at least 10%#) which is charged in the Regular Plan. Foreg. In case the TER charged under Regular Plan is 2.00% p.a., then in such case, the TER charged under Direct plan will be lower by attack 2.00% p.a., then in such case, the term of the expected difference in Total Expense Ratio to be charged to Direct Plan and Regular Plan under Scheme would be determined and disclosed at the time of filing of Final Scheme Information Document with SEBI before launch of each Series/ Scheme. (b) ##The Maximum total expense ratio for the Direct Plan as per Regulation 52(6)(c)(i) will not exceed 2.00% p.a. of daily net assets of the Scheme (c) ^ In terms of SEBI Circular No. CIR/IMD/DF/21/2012 dated September 13, 2012, the AMC / Mutual Fund shall annually set apart at least 2 basis points (i.e. 0.02%) on daily net assets of the scheme within the maximum limit of total Expense Ratio as per Regulation 52 of the SEBI (MF) Regulations for investor education and awareness initiatives. (d) In terms of SEBI Circular No. CIR/IMD/DF/21/2012 dated September 13, 2012, AMC may charge service tax on following Fees and expenses as below: a. Investment Management and Advisory Fees: AMC may charge service tax on expenses other than investment management and advisory fees is the scheme within the maximum limit of Total Expense Ratio as prescribed under Regulation 52 of the SEBI (MF) Regulations. b. Other than Investment Management and Advisory Fees: AMC may charge service tax on expenses other than investment management and advisory fees to the scheme winit in the maximum limit of Total Expense
Waiver of Load for Direct Applications	Not Applicable
Listing	The scheme being offered through this Scheme Information Document is a close ended Scheme and the units offered under the Scheme will be listed on NSE / BSE and/or any other recognized stock exchange/s as may be decided by AMC from time to time within 5 (five) Business days from the date of allotment. Thus, the units of the Scheme will be listed and traded on the stock exchange/s and settled through depository mechanism as per the settlement cycle of the stock exchange/s. As the units will be listed on stock exchange/s and settled through depository mechanism as per the settlement cycle of the stock exchange/s. As the units will be listed on stock exchange/s of Units on a continuous basis on the stock exchange/s during the trading hours like any other publicly traded stock at market prices. The minimum number of Units that can be bought or sold on the Exchange is 1 (one) unit. A separate ISIN (International Security Identification Number) will be allotted for each Plan/Option of the respective Series/Scheme. Unitholders who wish to trade in units would be required to have a demat account. Although Units are to be listed on stock exchange/s due to the occurrence of any event beyond their control. As per SEBI circular SEBI/IMD/CIR No. 12/147132/08 dated December 11, 2008 the units of the scheme will be listed on National Stock Exchange of India (NSE) and BSE Limited (BSE) for which the Trustees have obtained an in-principle approval from NSE vide letter dated and BSE vide letter dated.
Tax treatment for the Investors (Unitholders)	Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.
Daily Net Asset Value (NAV) Publication	The NAV will be declared on all business days and will be published in atleast 2 daily newspapers in accordance with SEBI (MF) Regulations. NAV can also be viewed on www.birlasunlife.com and www.amfiindia.com Investors can also call up at our toll free number 1800-22-7000/1800-270-7000.
For Investor Grievances please contact	Birla Sun Life Asset Management Company LimitedRegistrar & Transfer Agents:One India Bulls Centre, Tower 1, 17th Floor, Jupiter Mill Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai 400 013Computer Age Management Services Pvt. Ltd. (CAMS) Rayala Towers, 158, Anna Salai, Chennai - 600002. Contact Details: 1800-425-2267E-mail: connect@ birlasunlife.comE-mail: birlasunlife@camsonline.comCIN: U65991MH1994PLC080811Website Address:www.camsonline.com
Unitholders' Information	 Alotment: The process of allotment of units will be completed within 5 (five) business days from the date of closure of the New Fund Offer Period. APPLICABLE TO INVESTORS WHO OPT TO HOLD DUNTS IN PHYSICAL (NON-DEMAT) MODE AND ON OT HAVE DEMAT ACCOUNT : Penns actose ended Scheme, investors can subscribe to the Units of the Scheme during the New Fund Offer Period only and the scheme will not reopen for subscriptions after the desure of NPO. An allotment confirmation specifying the number of units allotted to the investor shall be send by way of email and/or SMS's to the investors' registered email address and/or mobile number not tatter than file (he) business days from the date of closure of the New Tund Offer Period. Thereafter, a Consolidated Account Statement (CAS) for each calendar month to the Unitholder(s) in whose folic(s) transactions': including details of transaction charges pail to the distribution, law, across all schemes of all mutual funds, duridend payout, dividend reinvestors within 5 (five) business days from the receipt of sub-residual and schemes of all mutual funds, duridend payout, dividend reinvestors within 5 (five) business days from the receipt of sub-request without any dransactori shall he days and days and the advector the Advector tatement. The transactions viz purchase, redemption, switch, dividend payout, dividend reinvestors within 5 (five) business days from the receipt of sub-request without any the transactions viz purchase, redemption, switch, dividend payout, durident payout, allot carried and the transactions and payout and the advector the CAS is all on the received to min meetors and payout, durident payout, durident payout, allot carried and the advector the CAS is alloted to the investor shall be sand by days of the transactions viz purchase, redemption, switch, dividend payout, day, carried au

	Half Yearly Consolidated Account Statement:		
	 A CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail on or before 10th day of succeeding month, to all such Unitholders in whose folios no transaction has taken place during that period. 		
	 The half yearly consolidated account statement will be sent by e-mail to the Unitholders whose e-mail address is available, unless a specific request is made to receive in physical. 		
	 Investors should note that, no separate account statements will be issued to investors opted to hold units in electronic (demat) mode since the statement of account furnished by depository participant periodically will contain the details of transactions. 		
	For more details, Investors are requested to refer the Scheme Information Document (SID) and Statement of Additional Information (SAI).		
	Annual Report:		
		nt to all Unitholders not later than four months from the date of closure of the relevan al Fund and a copy shall be made available to the Unitholders on request on paymer	
	The scheme wise annual report or an abridged summary thereof (the reports) shall be sent:		
	(i) By e-mail only to the Unitholders whose e-mail address is available wi	ith BSLAMC / Mutual Fund;	
		e with Mutual Fund and/or to those Unitholders who have opted / requested for the s	
	Accordingly, unitholders are requested to ensure that their folio(s) are updated with e-mail address, in case they wish to receive the reports electronically i.e. via e-mail. Also, in case the unitholders wish to receive physical copies of reports they may indicate as such, notwithstanding registration of e-mail address with BSLAMC / Mutual Fund.		
	The physical copy of the scheme wise annual report or abridged summary thereof shall be made available to the investors at the registered office of the BSLAMC. A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Mutual Fund (www.birlasunlife.com) and shall also be displayed on the website of AMFI (www.amfiindia.com).		
	Half Yearly Disclosures (Unaudited Financial Results / Portfolio):		
	Mutual Fund / AMC shall within one month from the close of each half year, (i.e. 31st March and on 30th September), host a soft copy of its unaudited financial results on its website		
	(www.birlasunlife.com). Further, the Mutual Fund / AMC shall publish an advertisement disclosing the hosting of such unaudited half yearly financial results on their website, in atleast one national English daily newspaper and a regional newspaper published in the language of the region where the Head Office of the Mutual Fund is situated.		
	The Mutual Fund / AMC shall before the expiry of one month from the close of each half year (i.e. 31st March and 30th September), publish complete statement of the scheme portfolio in		
	prescribed format as at end of such half year in one national English dely newspaper and in a regional newspaper published in the language of the region where the Head Office of the		
	Mutual Fund is situated.		
	Monthly Portfolio Disclosures:		
	Monthly Portfolio Disclosures: The monthly portfolio of the scheme (alongwith ISIN) shall also be made a	available on the website of Mutual Fund (www.birlasunlife.com) on or before tent	h day of the succeeding
	Monthly Portfolio Disclosures:		h day of the succeeding
Transaction Charges	Monthly Portfolio Disclosures: The monthly portfolio of the scheme (alongwith ISIN) shall also be made a month. SEBI has, with the intent to enable investment by people with small saving p of the distributor is considered vital, allowed AMCs vide its circular No. Cir,		er towns, wherein the role
(For Lumpsum Purchases	Monthly Portfolio Disclosures: The monthly portfolio of the scheme (alongwith ISIN) shall also be made a month. SEBI has, with the intent to enable investment by people with small saving p of the distributor is considered vital, allowed AMCs vide its circular No. Cirr above.	available on the website of Mutual Fund (www.birlasunlife.com) on or before tent otential and to increase reach of Mutual Fund products in urban areas and in smalle / IMD/ DF/13/ 2011 dated August 22, 2011 to deduct transaction charges for subs	er towns, wherein the role scription of `10,000/- and
(For Lumpsum Purchases routed through distributor /	Monthly Portfolio Disclosures: The monthly portfolio of the scheme (alongwith ISIN) shall also be made a month. SEBI has, with the intent to enable investment by people with small saving p of the distributor is considered vital, allowed AMCs vide its circular No. Cirr above. In accordance with the said circular, BSLAMC / Mutual Fund will deduct the	available on the website of Mutual Fund (www.birlasunlife.com) on or before tent otential and to increase reach of Mutual Fund products in urban areas and in smalle / IMD/ DF/13/ 2011 dated August 22, 2011 to deduct transaction charges for subs e transaction charges from the subscription amount and pay to the distributors as	er towns, wherein the role scription of `10,000/- and
(For Lumpsum Purchases	Monthly Portfolio Disclosures: The monthly portfolio of the scheme (alongwith ISIN) shall also be made a month. SEBI has, with the intent to enable investment by people with small saving p of the distributor is considered vital, allowed AMCs vide its circular No. Cirr above. In accordance with the said circular, BSLAMC / Mutual Fund will deduct the opted-in to receive the transaction charges on basis of type of product). There	available on the website of Mutual Fund (www.birlasunlife.com) on or before tent otential and to increase reach of Mutual Fund products in urban areas and in smalle / IMD/ DF/13/ 2011 dated August 22, 2011 to deduct transaction charges for subs e transaction charges from the subscription amount and pay to the distributors as reafter, the balance of the subscription amount shall be invested.	er towns, wherein the role scription of `10,000/- and
(For Lumpsum Purchases routed through distributor /	Monthly Portfolio Disclosures: The monthly portfolio of the scheme (alongwith ISIN) shall also be made a month. SEBI has, with the intent to enable investment by people with small saving p of the distributor is considered vital, allowed AMCs vide its circular No. Cirrabove. In accordance with the said circular, BSLAMC / Mutual Fund will deduct the opted-in to receive the transaction charges on basis of type of product). Ther 1. Transaction charges shall be deducted for Applications for purchase/s	available on the website of Mutual Fund (www.birlasunlife.com) on or before tent otential and to increase reach of Mutual Fund products in urban areas and in smalle (IMD/ DF/13/ 2011 dated August 22, 2011 to deduct transaction charges for subs e transaction charges from the subscription amount and pay to the distributors as reafter, the balance of the subscription amount shall be invested. subscription relating to new inflows and routed through distributor/ agent:	er towns, wherein the role scription of `10,000/- and
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(For Lumpsum Purchases routed through distributor /	Monthly Portfolio Disclosures: The monthly portfolio of the scheme (alongwith ISIN) shall also be made a month. SEBI has, with the intent to enable investment by people with small saving p of the distributor is considered vital, allowed AMCs vide its circular No. Cirr above. In accordance with the said circular, BSLAMC / Mutual Fund will deduct the opted-in to receive the transaction charges on basis of type of product). Ther 1. Transaction charges shall be deducted for Applications for purchase/s Investor Type First Time Mutual Fund Investor (across Mutual Funds) Investor other than First Time Mutual Fund Investor 2. ^The transaction charge, if any, shall be deducted by the BSLAMC fr	available on the website of Mutual Fund (www.birlasunlife.com) on or before tent otential and to increase reach of Mutual Fund products in urban areas and in smalle /IMD/ DF/13/ 2011 dated August 22, 2011 to deduct transaction charges for subs e transaction charges from the subscription amount and pay to the distributors as reafter, the balance of the subscription amount shall be invested. subscription relating to new inflows and routed through distributor/ agent: Transaction charges^ ₹150 for subscription application of ₹10,000 and above.	er towns, wherein the role scription of `10,000/- and shown below (who have
(For Lumpsum Purchases routed through distributor /	Monthly Portfolio Disclosures: The monthly portfolio of the scheme (alongwith ISIN) shall also be made a month. SEBI has, with the intent to enable investment by people with small saving p of the distributor is considered vital, allowed AMCs vide its circular No. Cir above. In accordance with the said circular, BSLAMC / Mutual Fund will deduct the opted-in to receive the transaction charges on basis of type of product). Ther 1. Transaction charges shall be deducted for Applications for purchase/s Investor Type First Time Mutual Fund Investor (across Mutual Funds) Investor other than First Time Mutual Fund Investor 2. ^The transaction charge, if any, shall be deducted by the BSLAMC fr units allotted. The statement of account shall clearly state the net inve	available on the website of Mutual Fund (www.birlasunlife.com) on or before tent otential and to increase reach of Mutual Fund products in urban areas and in smalle / IMD/ DF/13/ 2011 dated August 22, 2011 to deduct transaction charges for subs e transaction charges from the subscription amount and pay to the distributors as reafter, the balance of the subscription amount shall be invested. subscription relating to new inflows and routed through distributor/ agent: Transaction charges^ ₹150 for subscription application of ₹10,000 and above. ₹100 for subscription application of ₹10,000 and above. om the subscription amount and paid to the distributor; and the balance shall be i	er towns, wherein the role scription of `10,000/- and shown below (who have
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(For Lumpsum Purchases routed through distributor /	Monthly Portfolio Disclosures: The monthly portfolio of the scheme (alongwith ISIN) shall also be made a month. SEBI has, with the intent to enable investment by people with small saving p of the distributor is considered vital, allowed AMCs vide its circular No. Cir above. In accordance with the said circular, BSLAMC / Mutual Fund will deduct the opted-in to receive the transaction charges on basis of type of product). Ther 1. Transaction charges shall be deducted for Applications for purchase/s Investor Type First Time Mutual Fund Investor (across Mutual Funds) Investor other than First Time Mutual Fund Investor 2. ^The transaction charge, if any, shall be deducted by the BSLAMC fruits allotted. The statement of account shall clearly state the net investivestment amount. 3. Transaction charges shall not be deducted/applicable for :	available on the website of Mutual Fund (www.birlasunlife.com) on or before tent otential and to increase reach of Mutual Fund products in urban areas and in smalle / IMD/ DF/13/ 2011 dated August 22, 2011 to deduct transaction charges for subsc e transaction charges from the subscription amount and pay to the distributors as reafter, the balance of the subscription amount shall be invested. subscription relating to new inflows and routed through distributor/ agent: Transaction charges^ ₹ 150 for subscription application of ₹ 10,000 and above. ₹ 100 for subscription application of ₹ 10,000 and above. om the subscription amount and paid to the distributor; and the balance shall be i stment as gross subscription less transaction charge and depict the number of unit	er towns, wherein the role scription of `10,000/- and shown below (who have
(For Lumpsum Purchases routed through distributor /	Monthly Portfolio Disclosures: The monthly portfolio of the scheme (alongwith ISIN) shall also be made a month. SEBI has, with the intent to enable investment by people with small saving p of the distributor is considered vital, allowed AMCs vide its circular No. Cira above. In accordance with the said circular, BSLAMC / Mutual Fund will deduct the opted-in to receive the transaction charges on basis of type of product). Ther 1. Transaction charges shall be deducted for Applications for purchase/s Investor Type First Time Mutual Fund Investor (across Mutual Funds) Investor Type Of the ransaction charge, if any, shall be deducted by the BSLAMC from this allotted. The statement of account shall clearly state the net investive sinvestment amount. 3. Transaction charges shall not be deducted/applicable for : • Purchases /subscriptions for an amount less than ₹ 10,000/-;	available on the website of Mutual Fund (www.birlasunlife.com) on or before tent otential and to increase reach of Mutual Fund products in urban areas and in smalle (IMD/ DF/13/ 2011 dated August 22, 2011 to deduct transaction charges for subsc e transaction charges from the subscription amount and pay to the distributors as reafter, the balance of the subscription amount shall be invested. subscription relating to new inflows and routed through distributor/ agent: Transaction charges^ ₹ 150 for subscription application of ₹ 10,000 and above. ₹ 100 for subscription application of ₹ 10,000 and above. om the subscription amount and paid to the distributor; and the balance shall be i stment as gross subscription less transaction charge and depict the number of unit flows such as Switches, etc.	er towns, wherein the role scription of `10,000/- and shown below (who have
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Notwithstanding anything contained in the Key Information Memorandum, the provisions of SEBI (Mutual Funds) Regulations, 1996 and Guidelines thereunder shall be applicable. Further, investors may ascertain about any further changes from the Mutual Fund/Investor Service Centres / Distributors or Brokers.

Date: February 13, 2017

Place: Mumbai