


KEY INFORMATION MEMORANDUM (KIM)

Edelweiss Exchange Traded Scheme - Nifty (Nifty EES) (An Open Ended Exchange Traded Fund)

This product is suitable for investors who are seeking*:

- Long term capital appreciation
- Investment in securities covered by CNX Nifty Index
- High risk  **(BROWN)**

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

(BLUE) investors understand that their principal will be at low risk

(YELLOW) investors understand that their principal will be at medium risk

(BROWN) investors understand that their principal will be at high risk

Offer of Units of Rs.10/- each for cash issued at a premium approximately equal to the difference between the face value and allotment price during the New Fund Offer Period and continuous offer for Units in Creation Unit Size at NAV based prices.

NEW FUND OFFER OPENS ON: **April 24, 2015**
NEW FUND OFFER CLOSING ON: **May 5, 2015**
SCHEME RE-OPENS ON: **On or Before May 14, 2015**

INVESTORS SHOULD NOTE THAT:

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centers or distributors or from the website www.edelweissmf.com.

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

Disclaimer of NSE: It is to be distinctly understood that the permission given by NSE should not in any way be deemed or construed that the Scheme Information Document has been cleared or approved by NSE nor does it certify the correctness or completeness of any of the contents of the Draft Scheme Information Document. The investors are advised to refer to the Scheme Information Document for the full text of the 'Disclaimer Clause of NSE'.

Disclaimer of BSE: It is to be distinctly understood that the permission given by BSE Ltd. should not in any way be deemed or construed that the SID has been cleared or approved by BSE Ltd. nor does it certify the correctness or completeness of any of the contents of the SID. The investors are advised to refer to the SID for the full text of the Disclaimer Clause of BSE Ltd.

Disclaimer of IISL: The "Product" offered by "the issuer" is not sponsored, endorsed, sold or promoted by India Index Services & Products Limited (IISL). IISL does not make any representation or warranty, express or implied (including warranties of merchantability or fitness for particular purpose or use) and disclaims all liability to the owners of "the Product" or any member of the public regarding the advisability of investing in securities generally or in "the Product" linked to CNX Nifty Index or particularly in the ability of the CNX Nifty Index to track general stock market performance in India. Please read the full Disclaimers in relation to the CNX Nifty Index in the Scheme Information Document.

Edelweiss Exchange Traded Scheme - Nifty (Nifty EES) is a Scheme to be launched by Edelweiss Mutual Fund (EMF) which will be managed through its Asset Management Company i.e. Edelweiss Asset Management Limited (EAML).

MUTUAL FUND:

Edelweiss Mutual Fund
Edelweiss House, Off. C.S.T Road,
Kalina, Mumbai - 400 098
www.edelweissmf.com

TRUSTEE:

Edelweiss Trusteeship Company Limited
Edelweiss House, Off. C.S.T Road,
Kalina, Mumbai - 400 098

SPONSOR:

Edelweiss Financial Services Limited
Edelweiss House, Off. C.S.T Road,
Kalina, Mumbai - 400 098
www.edelweissfin.com

INVESTMENT MANAGER:

Edelweiss Asset Management Limited
Edelweiss House, Off. C.S.T Road,
Kalina, Mumbai - 400 098

REGISTRAR:

Karvy Computershare Private Limited
Unit - Edelweiss Mutual Fund
3rd Floor, Karvy Plaza,
#8-2-596, Street No 1,
Banjara Hills,
Hyderabad - 500 034,
Tel: 040-23312454/40308000

This KIM is dated April 15, 2015.



TOLL FREE
1800 425 0090



NON TOLL FREE
+91 40 23433150



SMS
IQ to 5757590



WEBSITE
www.edelweissmf.com



EMAIL : INVESTORS
emfhelp@edelweissfin.com

Edelweiss Exchange Traded Scheme – Nifty (Nifty EES) (An Open Ended Exchange Traded Fund)			
INVESTMENT OBJECTIVE	The investment objective of the Scheme is to provide returns before expenses that closely correspond to the total returns of the CNX Nifty subject to tracking errors. However, there is no assurance that the investment objective of the scheme will be realized and the Scheme does not assure or guarantee any returns.		
ASSET ALLOCATION PATTERN	Under normal circumstances, the asset allocation would be as follows:		
	Asset Class	Indicative Allocation (% to net assets)	Risk Profile
	Stocks constituting CNX Nifty Index	95% to 100%	Medium to High
	Debt and money market instruments	0% to 5%	Low
	Exposure to equity derivatives of the index itself or its constituent stocks may be undertaken when equity shares are unavailable, insufficient or for rebalancing in case of corporate actions for a temporary period. The gross position to such derivatives will be restricted to 5% of net assets of the scheme. The Scheme shall neither make investments in any Fund of Funds Scheme, Securitised Debt instrument, Credit Default Swap, Foreign Securities and/or ADRs/GDRs nor will it engage in short selling of securities. Further, the Scheme can take derivative exposure upto a limit as stated in the tables above. The total exposure related to options premium paid will not exceed 5% of the net assets of the Scheme. Presently the Scheme does not intend to participate in repo in corporate debt securities. However, Scheme may participate in repo in corporate debt securities by ensuring necessary compliance with SEBI circular No. CIR/IMD/DF/19/2011 dated November 11, 2011 and SEBI circular No. CIR/IMD/DF/23/2012 dated November 15, 2012. The cumulative gross exposure through equity, debt and derivative positions will not exceed 100% of the net assets of the Scheme. However, cash or cash equivalents with residual maturity of less than 91 days may be treated as not creating any exposure. The Scheme may engage in Stock Lending. Not more than 20% of the net assets of the Scheme invested in the stocks constituting CNX Nifty Index would generally be deployed in stock lending and not more than 5% of the net assets of the Scheme invested in the stocks constituting CNX Nifty Index would be deployed in Stock Lending to any single counterparty. From time to time, the Scheme may hold cash and/or invest in the Collateralized Borrowing & Lending Obligations (CBLO) or repo to meet the liquidity requirements. The funds under the Scheme shall be deployed in eligible securities, in accordance with the investment objective of the scheme within 5 Business Days from closure of NFO Period. Pending deployment of funds of the Scheme in securities in terms of the investment objective of the Scheme, the AMC may park the funds of the Scheme in short term deposits of scheduled commercial banks, subject to the guidelines issued by SEBI vide its circular dated April 16, 2007, as amended from time to time. The Fund will endeavor to keep the tracking error as low as possible. Under normal circumstances, such tracking error is not expected to exceed 2% per annum. Subject to the Regulations, the asset allocation pattern indicated above may change, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors or due to voluntary / involuntary corporate actions. Voluntary Corporate Actions are events in which the Fund as shareholders have the choice whether to participate in the event or not eg. buyback. Involuntary Corporate Actions are events where participation of shareholders is mandatory eg. dividend payment. It must be clearly understood that the percentages stated above are only indicative and not absolute. Such changes in the investment pattern will be for short term and defensive considerations. In the event of the asset allocation falling outside the limits specified in the asset allocation table due to any of the events, other than involuntary corporate actions, the Scheme will rebalance the portfolio within seven days. However, if market conditions do not permit the Fund Manager to rebalance the portfolio of the Scheme within the stipulated period of 7 days then the AMC would notify the Board of the Trustee Company and the Investment Committee of the AMC with appropriate justifications. In case of involuntary corporate actions, the AMC shall rebalance/realign the portfolio as soon as possible.		
INVESTMENT STRATEGY OF THE SCHEME	The Scheme will track CNX Nifty Index and will use a “Passive” or indexing approach to try and achieve Scheme’s investment objective. The Scheme will not attempt to beat the markets it tracks and will not seek defensive positions at any point in time, irrespective of the market movements. Passive approach means the Scheme will deliver index-linked returns and eliminates risks pertaining to over/underperformance vis-à-vis a benchmark. The Scheme shall invest all of its funds as per its investment objective and asset allocation pattern, except to meet its liquidity requirements. The Scheme shall also invest upto 5% in Money Market Instruments, Government Securities, bonds, debentures and cash at call, as per its investment allocation pattern.		
RISK PROFILE	Mutual Fund Units involve investment risks including the possible loss of principal. Please read the Scheme Information Document carefully for details on risk factors before investment. The performance of the Scheme may be affected by changes in Government policies, general levels of interest rates and risks associated with trading volumes, liquidity and settlement systems, etc. Investment in Edelweiss Exchange Traded Scheme – Nifty (Nifty EES) will involve certain scheme specific risk factors apart from the general risks mentioned in SAI which are summarized below: <div><div>1. Risk Factors Associated with Equity & Equity related instruments:</div><div>The value of the Scheme’s investments may be affected generally by factors affecting securities markets, such as price and volume volatility in the capital markets, etc. Settlement periods and transfer procedures may restrict the liquidity of the investments made by the Scheme. The Scheme may face liquidity risk or execution risk or redemption risk or the risk of NAV going below par. For details, please refer SAI.</div><div>2. Risk Factors associated with Exchange traded schemes:</div><div><div>a. Passive Investments:</div><div>As the scheme proposes to invest not less than 95% of the net assets in the securities of the benchmark Index, the Scheme will not be actively managed. The Scheme may be affected by a general decline in the Indian markets relating to its Underlying Index.</div><div>b. Tracking Error Risk:</div><div>The Scheme’s returns may deviate from those of its Underlying Index. "Tracking Error" is defined as the standard deviation of the difference between daily returns of the Index and the NAV of the Scheme.The Fund Manager would monitor the Tracking Error of the Scheme on an ongoing basis and would seek to minimize the Tracking Error to the maximum extent possible. There can be no assurance or guarantee that the Scheme will achieve any particular level of Tracking Error relative to performance of the underlying Index.</div><div>c. Market Trading Risks:</div><div><div>i. Absence of Prior Active Market:</div><div>Although the Scheme will be listed on NSE & BSE, there can be no assurance that an active secondary market will develop or be maintained.</div><div>ii. Trading in Units may be halted:</div><div>Trading in the units of the scheme on NSE & BSE may be halted because of market conditions or for reasons that in view of NSE & BSE or SEBI, trading in the units of the scheme is not advisable.</div><div>iii. Lack of Market Liquidity:</div><div>The Scheme may not be able to immediately sell certain types of illiquid Securities.</div><div>iv. Units of the scheme may trade at prices other than NAV:</div><div>The units of the Scheme may trade above or below their NAV. The NAV of the Scheme will fluctuate with changes in the market value of the Scheme's holdings.</div><div>v. Regulatory Risk:</div><div>Any changes in trading regulations by the Stock Exchange(s)/SEBI may affect the ability of market maker to arbitrage resulting into wider premium/discount to NAV.</div><div>vi. Asset Class Risk:</div><div>The returns from the types of Securities in which the Scheme invests may under-perform returns of general Securities markets or different asset classes.</div><div>d. Redemption Risk:</div><div>Subscription/redemptions directly with the Fund would be limited to such investors who have the ability to subscribe/redeem the units of the Scheme in specific lot sizes.</div><div>3. Risk factors associated with Derivatives:</div><div>The Fund may use derivatives instruments like equity futures & options, or other derivative instruments as permitted under the Regulations and Guidelines. Usage of derivatives will expose the Scheme to liquidity risk, open position risk, and opportunities risk etc. Such risks include the risk of mispricing or improper valuation and the inability of derivatives to correlate perfectly with underlying assets, rates and indices. Debt derivatives instruments like interest rate swaps, forward rate agreements or other derivative instruments also involve certain risks. For details, please refer SAI.</div><div>4. Risk factors associated with Fixed Income and Money Market Instruments:</div><div>The Scheme will invest not less than 95% its corpus in the securities representing CNX Nifty index as this Scheme endeavors to earn returns that closely correspond to the total returns represented by CNX Nifty index. The Scheme will have insignificant cash or debt/money market investments. Therefore, the scheme is not significantly susceptible to risks associated with debt/money markets.</div><div>5. Risks Associated With Stock Lending:</div><div>The risks in lending portfolio securities, as with other extensions of credit, consist of the failure of another party, in this case the approved intermediary, to comply with the terms of agreement entered into between the lender of securities i.e. the Scheme and the approved intermediary.</div></div></div></div>		
PLANS AND OPTIONS	The Scheme does not offer any Plans/Options for investment. The AMC/Trustee reserve the right to introduce Plans/Option(s) as may be deemed appropriate at a later date.		
APPLICABLE NAV	In case of Purchase / Redemption directly with Mutual Fund: The Cut-off time for receipt of valid application for Subscriptions and Redemptions is 3.00 p.m. However, as the Scheme is an Exchange Traded Fund, the Subscriptions and Redemptions of Units would be based on the Portfolio Deposit and Cash Component as defined by the Fund for that respective Business Day. In case of Purchase/Redemption through Stock Exchange(s):		

Edelweiss Exchange Traded Scheme – Nifty (Nifty EES)
(An Open Ended Exchange Traded Fund)

APPLICABLE NAV	An investor can buy/sell Units on a continuous basis on the NSE & BSE or such other stock exchange where the Scheme shall be listed during the trading hours on all trading days.
MINIMUM APPLICATION/ REDEMPTION AMOUNT	<p>Minimum application amount During NFO: Minimum of ₹10,000/- and in multiples of ₹1/- thereafter per application which includes switch-ins from other Schemes of Edelweiss Mutual Fund. During Ongoing offer Period: a. For Subscription of units directly with the Mutual Fund: Ongoing purchases directly from the Mutual Fund would be restricted to Authorized Participants and Large Investors, provided the value of units to be purchased is in Creation Unit size and in multiples thereof. Authorized Participants / Large Investors may buy the units on any Business Day of the Scheme directly from the Mutual Fund: i. in exchange of the Portfolio Deposit, Cash Component and any other applicable transaction charges; or ii. by depositing basket of securities comprising CNX Nifty Index along with the cash component and applicable transaction charges. The Creation Unit size will be 300 units. The Fund may from time to time change the size of the Creation Unit in order to equate it with marketable lots of the underlying instruments. b. For Subscription through Stock Exchange(s): All categories of investors may purchase the Units of the Scheme through the Stock Exchange(s) on which the units of the Scheme are listed, on any trading day in round lot of one (1) Unit and multiples thereof at the prevailing listed price. The transactions (trading) in the Stock Exchange(s) shall be subject to the Regulations, Bye laws and Rules applicable to the Stock Exchanges and its clearing house respectively. The trading members shall be responsible for delivering the units to the demat account of the investors on successful completion of settlement. Investors are advised to contact their trading members to understand the various cut-off times to meet their fund pay-in obligations for ensuring successful settlement of their transactions. Note In addition to the NAV, any person transacting with the Fund will have to reimburse transaction handling charges. Transaction handling charges include brokerage, Securities transaction tax, regulatory charges if any, depository participant charges, uploading charges and such other charges that the mutual fund may have to incur in the course of cash subscription/redemption or accepting the Portfolio Deposit or for giving a portfolio of securities as consideration for a redemption request. Such transaction handling charges shall be recoverable from the transacting Authorised Participant or Large Investor. The units will be listed on NSE and BSE to provide liquidity through secondary market. It may also be listed on any other exchanges subsequently. All categories of Investors may purchase the units through secondary market on any trading day. The AMC will appoint at least two Authorised Participants to provide liquidity in secondary market on an ongoing basis. Currently, it is proposed that, Edelweiss Securities Limited and Reliance Securities Limited shall act as Authorised Participants. The Authorised Participant(s) would offer daily two-way quote in the market. The applicant under the Scheme will be required to have a beneficiary account with a Depository Participant of NSDL/CDSL and will be required to indicate in the Application Form the Depository Participants (DP's) name, DP ID Number and the beneficiary account number of the applicant.</p> <p>Minimum Redemption Amount (During Ongoing Offer Period): a. For Redemption of units directly with the Mutual Fund: Mutual Fund will repurchase units from Authorised participants / Large Investors on any Business Day in Creation Unit size at applicable NAV based prices, subject to applicable exit load; if any. Currently there is no Exit Load. However, transaction charges payable to Custodian/Depository Participants, and other incidental charges relating to conversion of units into basket of securities may be deducted from redemption proceeds. Investors other than Authorised Participants can redeem units directly with the Fund for less than Creation Unit size at Applicable NAV based prices and no exit load shall be charged for redemption of units if: 1. the traded price of the units of the Scheme is at a discount of more than 3% to the NAV for continuous 30 days; or 2. discount of bid price to NAV over a period of 7 consecutive days is greater than 3%; or 3. there are no quotes available on the Stock Exchange(s) for 3 consecutive trading days; or 4. total bid size on the exchange is less than half of creation units size daily, averaged over a period of 7 consecutive trading days b. For Redemption through Stock Exchange(s): All categories of investors may sell the Units of the Scheme through the Stock Exchange(s) on which the units of the Scheme are listed, on any trading day in round lot of one (1) Unit and multiples thereof.</p>
DESPATCH OF REPURCHASE (REDEMPTION) REQUEST	As per SEBI Regulations, the Mutual Fund shall dispatch Redemption proceeds within 10 Business Days from the date of receipt of valid redemption or repurchase request. In case the Redemption proceeds are not made within 10 Business Days of the date of redemption or repurchase, interest will be paid @ 15% per annum or such other rate from the 11th Business Day onwards, as may be prescribed by SEBI from time to time.
BENCHMARK INDEX	CNX Nifty Index. The Fund reserves the right to change the benchmark for evaluation of the performance of the Scheme from time to time, subject to SEBI Regulations and other prevailing guidelines, if any.
DIVIDEND POLICY	Dividend will be declared at the discretion of the Trustees, subject to availability of distributable surplus as computed in accordance with SEBI Regulations. If and when dividends are declared, dividends will be distributed to all unit holders registered on the registers of the depositories on the record date. The dividend warrants shall be dispatched to the unitholders within 30 days of the date of declaration of the dividend. In the event of failure to dispatch dividend within 30 days, the AMC shall be liable to pay interest at 15% per annum to the unit holders. There is no assurance or guarantee to the Unit holders as to the rate of Dividend distribution nor that the Dividend will be paid regularly. If the Fund declares Dividend, the NAV of the Scheme will stand reduced by the amount of Dividend and Dividend distribution tax (if applicable) paid. All the Dividend payments shall be in accordance and compliance with SEBI & Stock Exchange Regulations, as applicable from time to time.
FUND MANAGERS	Mr. Ashish Sahay is the Fund Manager of the Scheme. Mr. Bhavesh Jain is the Co- Fund Manager of the Scheme.
NAME OF THE TRUSTEE COMPANY	Edelweiss Trusteeship Company Limited
PERFORMANCE OF THE SCHEME	The Scheme is a new Scheme and does not have any performance track record.
EXPENSES OF THE SCHEME	<p>(i) Load Structure Entry Load: NIL^ Exit Load: NIL^^ ^No entry load will be charged for purchase / additional purchase / switch-in transaction(s) accepted by the Fund. The upfront commission shall be paid by the investor directly to the ARN Holder based on the investor's assessment of various factors including service rendered by the ARN Holder. ^^Investors other than Authorised Participants can redeem units directly with the Fund for less than Creation Unit size at Applicable NAV based prices and no exit load shall be charged for redemption of units if: 1. the traded price of the units of the Scheme is at a discount of more than 3% to the NAV for continuous 30 days; or 2. there are no quotes available on the Stock Exchange(s) for 3 consecutive trading days; or 3. discount of bid price to NAV over a period of 7 consecutive days is greater than 3%; or 4. total bid size on the exchange is less than half of creation units size daily, averaged over a period of 7 consecutive trading days The exit load charged, if any, shall be credited to the Scheme net of service tax. For any change in load structure AMC will issue an addendum and display it on the website/Investor Service Centres.</p>

Edelweiss Exchange Traded Scheme – Nifty (Nifty EES)
(An Open Ended Exchange Traded Fund)

Other charges for transactions through Stock Exchange Mode –

The units of the Scheme are compulsorily traded on Stock Exchange(s) in dematerialized form, and hence, there shall be no entry/exit load for the units purchased or sold through Stock Exchanges. However, the investor shall have to bear costs in the form of bid/ask spread and brokerage and such other costs as charged by his broker for transacting in the units of the Scheme through secondary market.

The repurchase price however, shall not be lower than 93% of the NAV and the Sale Price will not be higher than 107% of the NAV and the difference between the repurchase price and sale price shall not exceed 7% on the sale price.

The AMC / Trustee Company reserve the right to introduce/revise the load structure from time to time. Such changes will become effective prospectively from the date such changes are incorporated.

The investors are requested to check the prevailing load structure of the Scheme before investing.

(ii) Recurring expenses

The total expense ratio of the Scheme (including investment management and Advisory Fees) shall not exceed one and one half percent (1.5%) of the daily net assets as stated in Regulation 52(6)(b) of SEBI (MF) Regulations.

The aforesaid expenses are fungible within the overall maximum limit prescribed under SEBI Regulations. This means that mutual fund can charge expenses within overall limits, without any internal cap on the aforesaid expenses head.

Additional Expenses under Regulation 52 (6A):

1. To improve the geographical reach of the Fund in smaller cities/towns as may be specified by SEBI from time to time, expenses not exceeding of 0.30 % p.a. of daily net assets, if the new inflows from such cities (i.e. beyond Top 15 cities*) are at least:
 - (i) 30 % of gross new inflows in the Scheme, or;
 - (ii) 15 % of the average assets under management (year to date) of the Scheme, whichever is higher:

In case the inflows from beyond Top 15 cities* is less than the higher of (i) or (ii) above, such additional expenses on daily net assets of the Scheme shall be charged on proportionate basis. The expenses so charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from such cities.

Further, the additional expense charged on account of new inflows from beyond Top 15 cities* shall be credited back to the Scheme, in case the said inflows are redeemed within a period of 1 year from the date of investment.

*The Top 15 cities shall mean top 15 cities based on Association of Mutual Funds in India (AMFI) data on 'AUM by Geography – Consolidated Data for Mutual Fund Industry' as at the end of the previous financial year.

2. Expenses not exceeding 0.20 % p.a. of daily net assets of the Scheme, towards different heads mentioned under Regulation 52(2) and Regulation 52(4) of SEBI Regulations.
3. Brokerage and transactions costs incurred for the purpose of execution of trades and are included in the cost of investments shall be charged to the Scheme in addition to the limits on total expenses prescribed under Regulation 52(6) and will not exceed 0.12% in case of cash market transactions and 0.05% in case of derivatives transactions.

As per SEBI Circular no. CIR/IMD/DF/24/2012 dated November 19, 2012, the brokerage and transaction cost incurred for the purpose of execution of trade may be capitalized to the extent of 0.12% for cash market transactions and 0.05% for derivatives transactions.

Any payment towards brokerage and transaction cost, over and above the said 0.12% for cash market transactions and 0.05% in case of derivatives transactions may be charged to the scheme within the maximum limit of TER as prescribed under Regulation 52 (6) of the SEBI (MF) Regulations. Any expenditure in excess of the said prescribed limit shall be borne by the AMC/Trustees.

The Scheme shall not incur any distribution expenses and no commission shall be paid by this Scheme.

Service Tax:

In addition to the expenses under Regulation 52 (6) and (6A), AMC shall charge service tax as below:

1. Service tax on investment and advisory fees will be charged to the Scheme in addition to the maximum limit of TER as prescribed in Regulation 52 (6).
2. Service tax on other than investment and advisory fees, if any, will be borne by the Scheme within the maximum limit of TER as prescribed in Regulation 52 (6).
3. Service tax on brokerage and transaction cost paid for execution of trade, if any, shall be within the limit prescribed under Regulation 52.
4. Service tax on exit load, if any, shall be paid out of the exit load proceeds and exit load net of service tax, if any, shall be credited to the Scheme

The AMC may incur expenses on behalf of the Mutual Fund which can be reimbursed on actual basis to the AMC to the extent such expenses are permissible & are within the prescribed SEBI limit.

For the actual current expenses being charged, the investor may refer to the website of the mutual fund.

For more details please refer point B “Annual Scheme Recurring Expenses” under the section “Fees and Expenses” in the SID.

WAIVER OF LOAD FOR DIRECT APPLICATIONS

Not applicable

TAX TREATMENT FOR THE INVESTORS (UNITHOLDERS)

Investors are advised to refer to the details in the SID and Statement of Additional Information and also independently refer to his tax advisor.

DAILY NET ASSET VALUE (NAV) PUBLICATION

The AMC will calculate and disclose the first NAV not later than 5 Business Days from the date of allotment. Subsequently, the Fund shall calculate NAV on daily basis and send the same for publication in at least two daily newspapers having circulation all over India. The NAVs declared, will also be uploaded on the AMFI website (www.amfiindia.com) by 9.00 p.m and also on Edelweiss Mutual Fund's website (www.edelweisssmf.com) every Business Day. In case of any delay in uploading the NAV on AMFI website, the reasons for such delay would be explained to AMFI by the next business day. If the NAVs are not available before the commencement of business hours on the following business day due to any reason, the Mutual Fund shall issue a press release giving reasons and explaining when the Mutual Fund would be able to publish the NAV. Investors can also visit the website of the Fund www.edelweisssmf.com or contact any of the Investor Service Centres (ISCs) of the Fund for the latest NAV.

The Fund may also calculate intra-day indicative NAV (computed based on snapshot prices received from NSE) and will be updated during the market hours on Edelweiss Mutual Fund's website (www.edelweisssmf.com). Intra-day indicative NAV will not have any bearing on the creation or redemption of units directly with the Fund by the AP/LI.

Investors can also visit the website of the Fund (www.edelweisssmf.com) or contact any Investor Service Centres (ISCs) of the Fund for the latest NAV.

FOR INVESTOR GRIEVANCES PLEASE CONTACT

Name and Address of Registrar	Name and Address of Corporate office of Edelweiss Asset Management Ltd.
Karvy Computershare Private Limited Unit - Edelweiss Mutual Fund, 3rd Floor, Karvy Plaza, #8-2-596, Street No 1, Banjara Hills, Hyderabad - 500 034, Tel: 040-23312454/40308000	6th Floor, Edelweiss House, Off. C.S.T Road, Kalina, Mumbai - 400 098. Tel. No.: (022) 40979821, Fax No.: (022) 40979878 Customer Service Center: Toll Free Number : 1800 425 0090 Non Toll Free Number: +91 40 23433150 (For non MTNL/BSNL land line, mobile users and investors outside India.)

For any grievance with respect to transactions through BSE and/or NSE, the investors/Unit holders should approach either their stock broker or the investor grievance cell of

Edelweiss Exchange Traded Scheme – Nifty (Nifty EES)
(An Open Ended Exchange Traded Fund)

UNIT HOLDERS' INFORMATION	<p>Account Statements: On acceptance of application for subscription, an Allotment Confirmation specifying the number of units allotted will be sent by way of email and/or SMS and/or mail to the Unit holder's registered e-mail address and/or mobile number and/or correspondence address within 5 Business Days from the close of the NFO Period of the Scheme.</p> <p>For transactions during ongoing subscription and redemption: As the Units of the Scheme are in demat, the holding statement issued by the Depository Participant would be deemed to be adequate compliance with requirements of SEBI regarding dispatch of statements of account. For more details, please refer Scheme Information Document (SID).</p> <p>Annual Financial Results: As per SEBI Regulations, Scheme wise annual report or an abridged summary thereof shall be sent in the following manner: (i) By email to the Unit holders whose email address is available with the AMC/Fund. (ii) In physical form to the Unit holders whose email address is not available with the AMC/Fund and/or in case of receipt of specific request from the Unit holders for a physical copy. The scheme wise annual report or an abridged summary thereof shall be sent by mail/e-mail not later than four months from the date of closure of the relevant accounting year (i.e. 31st March each Year). The physical copy of the same shall be made available to the investors at the registered office of the AMC. A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India (AMFI).</p> <p>Half yearly Portfolio Disclosure: The AMC shall communicate the portfolio of the Scheme on a half-yearly basis to the Unit holders within one month from the close of each half year (i.e. 31st March and 30th September) either by sending a complete statement to all the Unit holders or by publishing the same by way of advertisement in one national English daily newspaper in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is located. The portfolio will also be displayed on the website of AMC and AMFI.</p> <p>Half Yearly Unaudited Financial Results: The Fund/AMC shall within one month from the close of each half year, i.e. on 31 March and on 30 September, host a soft copy of its unaudited financial results on their website www.edelweissmf.com. Such half-yearly unaudited financial results shall contain details as specified in Twelfth Schedule of SEBI Regulations and such other details as are necessary for the purpose of providing a true and fair view of the operations of the Fund. The Fund/AMC shall publish an advertisement disclosing the hosting of such unaudited financial results on their website, in at least one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the Fund is situated.</p> <p>Monthly Portfolio Disclosure: The Fund/AMC will disclose the portfolio (along with ISIN) of the Scheme as on the last day of the month on its website www.edelweissmf.com on or before the tenth day of the succeeding month in a user-friendly and downloadable format.</p>
TRANSACTION CHARGES	<p>The AMC will deduct Transaction Charges on purchase/subscription of Rs.10,000/- and above made through a valid ARN Holder i.e. AMFI Registered distributors/intermediaries, provided such distributor has opted to receive the Transaction Charges. The distributors have an option either to opt in or opt out of levying transaction charge based on type of the product. Such Transaction Charges collected by the AMC will be paid to the ARN Holder in the following manner:</p> <p>(i) For the First Time Investor in Mutual Funds (across all mutual funds): Transaction Charge of Rs. 150/- for subscription of Rs. 10,000/- and above will be deducted from the subscription amount and paid to the distributor/agent of such First Time Investor and the balance amount will be invested.</p> <p>(ii) For Investor other than First Time Mutual Fund Investor (existing investors in any mutual fund): Transaction Charge of Rs. 100/- per subscription of Rs.10,000/- and above will be deducted from the subscription amount and paid to the distributor/agent of the investor and the balance amount will be invested.</p> <p>(iii) No Transaction Charges shall be deducted:</p> <ol style="list-style-type: none"> where the ARN Holder/distributor of the investor has not opted to receive any Transaction Charges; for purchases/subscriptions of an amount less than Rs. 10,000/-; for transactions other than purchases/ subscriptions relating to new inflows such as Switches etc; for purchases/subscriptions made directly with the Mutual Fund (i.e. not through any distributor); for purchase/subscription routed through the Stock Exchange Platform. <p>Identification of investors as "first time" or "existing" will be based on Permanent Account Number (PAN) at the First/Sole Applicant/ Guardian level. Hence, Unit holders are urged to ensure that their PAN / KYC is updated with the Fund. Unit holders may approach any of the Official Points of Acceptances of the Fund in this regard. The Account Statement / Consolidated Account Statement sent to the Unit holders shall clearly state the net investments as gross subscription less Transaction Charges and shall also show the number of units allotted against the net investments.</p>
PROCEDURE FOR PURCHASING IN CREATION UNIT SIZE	<p>The requisite Securities constituting the Portfolio Deposit have to be transferred to the Fund's Depository Participant account while the Cash Component has to be paid to the Custodian/AMC. On confirmation of the same by the Custodian/AMC, the AMC will create and transfer the equivalent number of Units of the Scheme into the Investor's Depository Participant account. The Portfolio Deposit and Cash Component for units of the Scheme may change from time to time due to changes in the Underlying Index on account of corporate actions and changes to the index constituents. The AMC reserves the right to adjust the number of Units to be credited in case the instrument towards the Cash Component is not honoured.</p> <p>The Fund may allow cash Purchases of Units of the Scheme in Creation Unit size by Large Investors/Authorised Participants. Purchase request for Creation Unit shall be made by such Investor to the Fund/AMC where upon the Fund/AMC will arrange to buy the underlying portfolio Securities. The Portfolio Deposit and Cash Component will be exchanged for units of the Scheme in Creation Unit size.</p>
PROCEDURE FOR REDEMPTION IN CREATION UNIT SIZE	<p>The requisite number of Units of the Scheme equalling the Creation Unit has to be transferred to the Fund's Depository Participant account and the Cash Component to be paid to the AMC/Custodian. On confirmation of the same by the AMC, the AMC will transfer the Portfolio Deposit to the Investor's Depository Participant account and pay the Cash Component, if applicable.</p> <p>Fund may allow cash Redemption of the Units of the Scheme in Creation Unit size by Large Investors/Authorized Participant. Such Investors shall make Redemption request to the Fund/AMC whereupon the Fund/AMC will arrange to sell underlying portfolio Securities on behalf of the Investor. Accordingly, the sale proceeds of portfolio Securities, after adjusting transaction handling charges will be remitted to the Investor.</p>

APPLICATION FORM

Edelweiss Exchange Traded Scheme - Nifty (Nifty EES)

(An Open Ended Exchange Traded Fund)

Please read Product Labelling available on the Front Page and instructions before filling this form (all points marked * are mandatory)



New Fund Offer Opens On: April 24, 2015

New Fund Offer Closes On: May 5, 2015

Sponsor: Edelweiss Financial Services Limited. Trustee Company: Edelweiss Trusteeship Company Limited. Investment Manager: Edelweiss Asset Management Limited. Edelweiss House, Off. C.S.T Road, Kalina, Mumbai - 400098

1 DISTRIBUTOR INFORMATION					FOR OFFICE USE ONLY		Application No:
Name & Distributor Code	Sub-Broker Code	Employee Unique Identification Number (EUIIN)*	Sub-Broker Code	E - Code	Registrar/Bank Serial No.	Date & Time of Receipt	
	ARN		Internal Code				

*Investors should mention the EUIIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker".

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. For Direct investments, please mention 'Direct' in the column 'Name & Distributor Code'

All sections to be filled in English and in BLOCK LETTERS.

Use this form if you are making a one time investment. For SIP investment use the separate SIP Form

All columns marked * are mandatory

Signature(s)	Sole/1st Applicant/Guardian / Authorised Signatory / POA Signatory		2nd Applicant / Authorised Signatory	3rd Applicant / Authorised Signatory

2 TRANSACTION CHARGES (Please ✓) (Default option Existing Investor) (Refer Instruction No. XI)	
<input type="checkbox"/> I am a First Time Investor in Mutual Funds	<input type="checkbox"/> I am an Existing Investor in Mutual Funds

In case the subscription amount is ₹10,000/- or more and your Distributor has opted to receive Transaction Charges, ₹150 (for first time mutual fund investor) or ₹100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested.

3 Mandatory *	PAN Please attach certified PAN copy (Refer Instruction No. V)	Know Your Customer (KYC) (Refer Instruction No. IX)
1st Applicant /Guardian	P A N N U M B E R Yes <input type="checkbox"/> (Please submit proof)	Yes <input type="checkbox"/> (Please submit KYC Application Form)

4 APPLICANT INFORMATION (Refer Instruction No. II) to be filled in BLOCK LETTERS*		Applications from residents of USA and Canada will not be accepted	
Name of Sole /1st Applicant Mr. Ms. M/s. Others (Please Specify)		Date of Birth (DOB)^ / Date of Incorporation D D / M M / Y Y	
In case of Minor - Parent/ Legal Guardian Name of 1st Applicant /Contact person (in case of non individual applicant)			
Relationship with Minor/ Designation			
^Mandatory proof of Date of Birth for Minors (Any One)		<input type="checkbox"/> Birth Certificate <input type="checkbox"/> School Leaving Certificate <input type="checkbox"/> Passport	
<input type="checkbox"/> Mark sheet issued by Higher Secondary Board / ICSE / CBSE <input type="checkbox"/> Others Please Specify			
Mailing Address of Sole/First Applicant (P.O. Box alone may not be sufficient) Overseas Investor must provide Indian Address			
City		State	Country I N D I A Pin Code
Contact Details of Sole / First Applicant	Email ID (In BLOCK Letters)	Mobile No.	
Tel. No.	STD Code	Res.	Office Fax
Email ID & Mobile No. are essential to enable us to communicate with you better			
Overseas Address (mandatory for NRI/FII applicant*)			
Country		Zip Code	Address for correspondence (for NRI applicants) <input type="checkbox"/> Indian <input type="checkbox"/> Overseas
Gross Annual Income [please ✓]*		Occupation* [please ✓]	
<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs		<input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist	
<input type="checkbox"/> >25 Lacs-1 crore <input type="checkbox"/> >1 crore		<input type="checkbox"/> House Wife <input type="checkbox"/> Student <input type="checkbox"/> Defence <input type="checkbox"/> Bureaucrat	
Net-worth in (Mandatory for Non-Individuals) ₹		<input type="checkbox"/> Forex Dealer <input type="checkbox"/> Unlisted Company <input type="checkbox"/> Body Corporate	
as on D D / M M / Y Y Y Y (Not older than 1 year)		<input type="checkbox"/> Listed Company <input type="checkbox"/> Others Please Specify	
Mandatory for Non-Individual Investor		For Individual Investor* Politically Exposed Person (PEP) <input type="checkbox"/> Yes <input type="checkbox"/> No	
Is the entity involved/providing any of the following services <input type="checkbox"/> Yes <input type="checkbox"/> No		Related to PEP <input type="checkbox"/> Yes <input type="checkbox"/> No	
♦ For Foreign Exchange / Money Changer Services <input type="checkbox"/> Yes <input type="checkbox"/> No		♦ Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates) <input type="checkbox"/> Yes <input type="checkbox"/> No	
♦ Money Lending / Pawning <input type="checkbox"/> Yes <input type="checkbox"/> No			
Mode of Holding* [please ✓] <input type="checkbox"/> Single <input type="checkbox"/> Joint <input type="checkbox"/> Any one or survivor(s)			
Name of 2nd Applicant Mr. Ms.		PAN	
Gross Annual Income [please ✓]*		Occupation* [please ✓]	
<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs		<input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist	
<input type="checkbox"/> >25 Lacs-1 crore <input type="checkbox"/> >1 crore		<input type="checkbox"/> House Wife <input type="checkbox"/> Student <input type="checkbox"/> Defence <input type="checkbox"/> Bureaucrat	
Net-worth in (Mandatory for Non-Individuals) ₹		<input type="checkbox"/> Forex Dealer <input type="checkbox"/> Unlisted Company <input type="checkbox"/> Body Corporate	
as on D D / M M / Y Y Y Y (Not older than 1 year)		<input type="checkbox"/> Listed Company <input type="checkbox"/> Others Please Specify	
Mandatory for Non-Individual Investor		For Individual Investor* Politically Exposed Person (PEP) <input type="checkbox"/> Yes <input type="checkbox"/> No	
Is the entity involved/providing any of the following services <input type="checkbox"/> Yes <input type="checkbox"/> No		Related to PEP <input type="checkbox"/> Yes <input type="checkbox"/> No	
♦ For Foreign Exchange / Money Changer Services <input type="checkbox"/> Yes <input type="checkbox"/> No		♦ Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates) <input type="checkbox"/> Yes <input type="checkbox"/> No	
♦ Money Lending / Pawning <input type="checkbox"/> Yes <input type="checkbox"/> No			
Name of 3rd Applicant Mr. Ms.		PAN	
Gross Annual Income [please ✓]*		Occupation* [please ✓]	
<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs		<input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist	
<input type="checkbox"/> >25 Lacs-1 crore <input type="checkbox"/> >1 crore		<input type="checkbox"/> House Wife <input type="checkbox"/> Student <input type="checkbox"/> Defence <input type="checkbox"/> Bureaucrat	
Net-worth in (Mandatory for Non-Individuals) ₹		<input type="checkbox"/> Forex Dealer <input type="checkbox"/> Unlisted Company <input type="checkbox"/> Body Corporate	
as on D D / M M / Y Y Y Y (Not older than 1 year)		<input type="checkbox"/> Listed Company <input type="checkbox"/> Others Please Specify	
Mandatory for Non-Individual Investor		For Individual Investor* Politically Exposed Person (PEP) <input type="checkbox"/> Yes <input type="checkbox"/> No	
Is the entity involved/providing any of the following services <input type="checkbox"/> Yes <input type="checkbox"/> No		Related to PEP <input type="checkbox"/> Yes <input type="checkbox"/> No	
♦ For Foreign Exchange / Money Changer Services <input type="checkbox"/> Yes <input type="checkbox"/> No		♦ Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates) <input type="checkbox"/> Yes <input type="checkbox"/> No	
♦ Money Lending / Pawning <input type="checkbox"/> Yes <input type="checkbox"/> No			
Name of 4th Applicant Mr. Ms.		PAN	
Gross Annual Income [please ✓]*		Occupation* [please ✓]	
<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs		<input type="checkbox"/> Business <input type="checkbox"/> Service <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist	
<input type="checkbox"/> >25 Lacs-1 crore <input type="checkbox"/> >1 crore		<input type="checkbox"/> House Wife <input type="checkbox"/> Student <input type="checkbox"/> Defence <input type="checkbox"/> Bureaucrat	
Net-worth in (Mandatory for Non-Individuals) ₹		<input type="checkbox"/> Forex Dealer <input type="checkbox"/> Unlisted Company <input type="checkbox"/> Body Corporate	
as on D D / M M / Y Y Y Y (Not older than 1 year)		<input type="checkbox"/> Listed Company <input type="checkbox"/> Others Please Specify	
Mandatory for Non-Individual Investor		For Individual Investor* Politically Exposed Person (PEP) <input type="checkbox"/> Yes <input type="checkbox"/> No	
Is the entity involved/providing any of the following services <input type="checkbox"/> Yes <input type="checkbox"/> No		Related to PEP <input type="checkbox"/> Yes <input type="checkbox"/> No	
♦ For Foreign Exchange / Money Changer Services <input type="checkbox"/> Yes <input type="checkbox"/> No		♦ Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates) <input type="checkbox"/> Yes <input type="checkbox"/> No	
♦ Money Lending / Pawning <input type="checkbox"/> Yes <input type="checkbox"/> No			



ACKNOWLEDGEMENT SLIP

To be filled in by the investor

New Fund Offer Opens On: March 18, 2015
New Fund Offer Closes On: March 18, 2015

Application No:

Received from: Mr. / Ms. / M/s _____ an application for allotment
Scheme **Edelweiss Exchange Traded Scheme - Nifty (Nifty EES)** Plan _____ Option _____
vide Cheque No _____ Dated ____/____/____ Amount (₹) _____ Drawn on
Bank and Branch _____

Please note: All purchases are subject to realization of cheques and as per applicable load structure (please refer Scheme Information Document)

Collection Center's Stamp &
Receipt Date and Time



TOLL FREE

1800 425 0090



NON TOLL FREE

+91 40 23433150



SMS

IQ to 5757590



WEBSITE

www.edelweissmf.com



EMAIL : INVESTORS

emfhelp@edelweissfin.com

5 E-MAIL COMMUNICATION (Refer Instruction No. III) [please ✓]

I/we wish to receive the following document via email in lieu of physical document(s) Account Statement / News Letter / Annual Report / Other Statutory Information ☐ Yes ☐ No

6 BANK ACCOUNT DETAILS* (Refer Instruction No. IV)

A/c Type [please ✓] <input type="checkbox"/> SB <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR									
Account No					Bank Name				
Branch					Branch Address				
					City			Pin	
IFSC Code					MICR Code				

7 INVESTMENT & PAYMENT DETAILS (Refer Instruction No. VI)

Edelweiss Exchange Traded Scheme - Nifty (Nifty EES) :

Mode of Payment [please ✓] <input type="checkbox"/> RTGS/NEFT <input type="checkbox"/> Transfer Letter <input type="checkbox"/> Cheque		Cheque No:		Payment Dt.		D	D	M	M	Y	Y	Y	Y
Gross Amount (₹)		Charges (₹)		Net Amount (₹)									
Bank /Branch & City													
Account No.		Account Type [please ✓] <input type="checkbox"/> SB <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR											

8 FOR SWITCH (Please mention the folio number from where the units to be switched over from _____)

Switch Over From (Scheme)									
Plan:				Option:			Amount ₹		Or Units
Switch Over application to be submitted only at Designated Investor Service Centers of Edelweiss Mutual Fund									

9 DEMAT ACCOUNT DETAILS (Mandatory) (Refer Instruction No. XIV)

Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with any one of the Depository Participant.

National Securities Depository Limited	Depository participant Name _____ DP ID No. <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center; width: 150px;"> <tr><td style="width: 20px;">I</td><td style="width: 20px;">N</td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td></tr> </table> Beneficiary Account No. <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center; width: 150px;"> <tr><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td></tr> </table>	I	N															Central Depository Securities Limited	Depository participant Name _____ Target ID No. <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center; width: 200px;"> <tr><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td><td style="width: 20px;"></td></tr> </table>																	
I	N																																			
Enclosures (Please tick any one box) : <input type="checkbox"/> Client Master List (CML) <input type="checkbox"/> Transaction cum Holding Statement <input type="checkbox"/> Cancelled Delivery Instruction Slip (DIS)																																				

The details provided by investors in the application form should be same as the details registered with the DP. In case of any conflict, details registered with the DP will prevail. In case of incorrect details, the application would be rejected and investment amount refunded, within 5 business days from the NFO closure

10 NOMINATION DETAILS (Refer Instruction No. VIII)

Will be as provided in your demat account

11 POWER OF ATTORNEY (POA)

[illegible]

If investment is being made by a Constitutional Attorney, please submit notarised copy of POA

12	DOCUMENT ENCLOSED (Please 4)	Total number of documents	<input type="checkbox"/> Trust Deed <input type="checkbox"/> Bye-laws <input type="checkbox"/> PIO Card <input type="checkbox"/> Trigger Form <input type="checkbox"/> Partnership Deed <input type="checkbox"/> Notarised POA <input type="checkbox"/> Proof of Address <input type="checkbox"/> Copy of PAN Card <input type="checkbox"/> Overseas Auditor Certificate <input type="checkbox"/> KYC Compliance (Mandatory) <input type="checkbox"/> Foreign Inward Remittance Certificate <input type="checkbox"/> Resolution/ Authorisation to invest <input type="checkbox"/> Memorandum & Articles of Association <input type="checkbox"/> List of authorised signatories with specimen signatures									

Total number of documents	<input type="checkbox"/> Trust Deed	<input type="checkbox"/> Bye-laws	<input type="checkbox"/> PIO Card	<input type="checkbox"/> Trigger Form	<input type="checkbox"/> Partnership Deed	<input type="checkbox"/> Notarised POA	<input type="checkbox"/> Proof of Address
	<input type="checkbox"/> Copy of PAN Card	<input type="checkbox"/> Overseas Auditor Certificate	<input type="checkbox"/> KYC Compliance (Mandatory)	<input type="checkbox"/> Foreign Inward Remittance Certificate			
	<input type="checkbox"/> Resolution/ Authorisation to invest	<input type="checkbox"/> Memorandum & Articles of Association	<input type="checkbox"/> List of authorised signatories with specimen signatures				

13 DECLARATION AND SIGNATURE(S)

Having read and understood the contents of the Scheme Information Document of the Scheme and Statement of Additional Information and subsequent amendments thereto including the section on who cannot invest, "Prevention of Money Laundering" and "Know Your Customer", I/We hereby apply to the Trustee of Edelweiss Mutual fund for units of the Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We further declare, I am / we are authorised to invest the amount & that the amount invested by me/us in the above mentioned Scheme is derived through legitimate sources and is not held or designed for the purpose of contravention of any acts, rules, regulations or any state or legislation or any other applicable laws or notifications, directions issued by the governmental or statutory authority from time to time. It is expressly understood that I/We have the express authority from our constitutional documents to invest in the units of the Scheme and the AMC/Trustee/Fund would not be responsible if the investment is ultra vires thereto and the investment is contrary to the relevant constitutional documents. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the Scheme, then Edelweiss Asset Management Ltd., Investment Manager to the Edelweiss Mutual Fund, has full right to refund the excess to me/us to bring my/our investment below 25%. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investments. I/We hereby authorise Edelweiss Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) / Edelweiss Mutual Fund's bank(s) and / or Distributor / Broker / Investment Advisor. I/We authorise this Fund to reject the application, revert the units credited/redeem units created at applicable NAV, restrain me/us from making any further investment in any of the Schemes of the fund, recover/debit my/our folios(s) with the penal interest and take any appropriate action against me/us in case the cheque(s)/payment instrument is/are returned by my/our banker for any reason whatsoever. I/We undertake that these investments are my/our own and acknowledge that AMC reserves the right to call for such other additional information/documents as required to comply with KYC norms. I/We hereby, further agree that the Fund can directly credit all the dividend payouts and redemption amount to my bank details given above. I/We hereby declare that the particulars above are correct.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We further agree that the Fund/AMC can send us all types of SMS relating to the products offered by them.

Applicable to investors who have not opted for nomination facility. I/We hereby confirm that it is my/our informed decision not to avail the nomination facility offered by Edelweiss Mutual Fund.

I / We confirm that I am/We are not resident(s) of United States under the laws of United States or resident(s) of Canada. In case of change to this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my/our investments in the Scheme(s).

Applicable to NRI only: I/We confirm that I am / we are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels from funds in my/our Non-Resident External/Ordinary Account/FCNR Account. Please (✓) (Including amount of Additional Purchase Transaction made in future)

☐ Repatriation ☐ Non Repatriation

Date	D	D	M	M	Y	Y	Signature(s)	Sole/1st Applicant/Guardian / Authorised Signatory / POA Signatory	2nd Applicant / Authorised Signatory	3rd Applicant / Authorised Signatory
Place										

For Detailed Instructions on Filling the Application Form please refer to Page no. 11.

CHECKLIST (Please submit the following documents with your application (where applicable). All documents should be original/true copies certified by a Director/Trustee /Company Secretary /Authorised signatory / Notary Public.)

[illegible]

ASBA Application Form

Edelweiss Exchange Traded Scheme - Nifty (Nifty EES)

Please read Product Labelling available on the Front Page and instructions before filling this form (all points marked * are mandatory)



(An Open Ended Exchange Traded Fund)

New Fund Offer Opens On: April 24, 2015

New Fund Offer Closes On: May 5, 2015

Sponsor: Edelweiss Financial Services Limited. Trustee Company: Edelweiss Trusteeship Company Limited. Investment Manager: Edelweiss Asset Management Limited. Edelweiss House, Off. C.S.T Road, Kalina, Mumbai - 400098

1 DISTRIBUTOR INFORMATION			FOR OFFICE USE ONLY				Application No:
Name & Distributor Code	Sub-Broker Code	Employee Unique Identification Number (EUIIN)*	SCSB	SCSB IFSC Code	Syndicate Member Stamp & Code	SCSB Branch Sr. No.	
	ARN		Name & Code	11 Digit Code	Name & Code		
<p>*Investors should mention the EUIIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor "I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker".</p> <p>Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. For Direct investments, please mention 'Direct' in the column 'Name & Distributor Code'</p> <p>All sections to be filled in English and in BLOCK LETTERS.</p> <p>All columns marked * are mandatory</p>							
Signature(s)			Sole/1st Applicant/Guardian / Authorised Signatory / POA Signatory		2nd Applicant / Authorised Signatory		3rd Applicant / Authorised Signatory

2 APPLICANT INFORMATION TO BE FILLED IN BLOCK LETTERS*									
Name of Sole /1st Applicant	Mr.	Ms.	M/s.	Others (Please Specify)					
In case of Minor - Parent/ Legal Guardian Name of 1st Applicant /Contact person (in case of non individual applicant)									
Relationship with Minor/ Designation									
Pan of first applicant/Guardian									

3 BANK ACCOUNT DETAILS FOR BLOCKING OF FUNDS*									
A/c Type [please ✓]	<input type="checkbox"/> SB	<input type="checkbox"/> Current	<input type="checkbox"/> NRO	<input type="checkbox"/> NRE	<input type="checkbox"/> FCNR				
Account No					Bank Name				
Branch					Branch Address				
					City			Pin	
IFSC Code					MICR Code				

4 DEMAT ACCOUNT DETAILS*										
Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with any one of the Depository Participant.										
National Securities Depository Limited	Depository participant Name					Central Depository Securities Limited	Depository participant Name			
	DP ID No.	I	N							
	Beneficiary Account No.									
Enclosures (Please tick any one box) : <input type="checkbox"/> Client Master List (CML) <input type="checkbox"/> Transaction cum Holding Statement <input type="checkbox"/> Cancelled Delivery Instruction Slip (DIS)										
The details provided by investors in the application form should be same as the details registered with the DP. In case of any conflict, details registered with the DP will prevail. In case of incorrect details, the application would be rejected and investment amount refunded, within 5 business days from the NFO closure										

5 INVESTMENT & PAYMENT DETAILS*									
Edelweiss Exchange Traded Scheme - Nifty (Nifty EES)					ISIN :				
Subscription Amount (₹)					Amount in words				

6 DECLARATION AND SIGNATURE(S)									
<p>Having read and understood the contents of the Scheme Information Document of the Scheme and Statement of Additional Information and subsequent amendments thereto including the section on who cannot invest, "Prevention of Money Laundering" and "Know Your Customer", I/We hereby apply to the Trustee of Edelweiss Mutual fund for units of the Scheme as indicated above and agree to abide by the terms and conditions, rules and regulations of the Scheme. I/We further declare, I am / we are authorised to invest the amount & that the amount invested by me/us in the above mentioned Scheme is derived through legitimate sources and is not held or designed for the purpose of contravention of any acts, rules, regulations or any statute or legislation or any other applicable laws or notifications, directions issued by the governmental or statutory authority from time to time. It is expressly understood that I/We have the express authority from our constitutional documents to invest in the units of the Scheme and the AMC/Trustee/Fund would not be responsible if the investment is ultra vires thereto and the investment is contrary to the relevant constitutional documents. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the Scheme, then Edelweiss Asset Management Ltd., Investment Manager to the Edelweiss Mutual Fund, has full right to refund the excess to me/us to bring my/our investment below 25%. I/We have not received nor been induced by any rebate or gifts, directly or indirectly in making this investments. I /We hereby authorise Edelweiss Mutual Fund, its Investment Manager and its agents to disclose details of my investment to my bank(s) / Edelweiss Mutual Fund's bank(s) and / or Distributor / Broker / Investment Advisor. I/We authorise this Fund to reject the application, revert the units credited/redeem units created at applicable NAV, restrain me/us from making any further investment in any of the Schemes of the fund, recover/debit my/our folios(s) with the penal interest and take any appropriate action against me/us in case the cheque(s)/payment instrument is/are returned by my/our banker for any reason whatsoever. I/We undertake that these investments are my/our own and acknowledge that AMC reserves the right to call for such other additional information/documents as required to comply with KYC norms. I/We hereby, further agree that the Fund can directly credit all the dividend payouts and redemption amount to my bank details given above. I/We hereby declare that the particulars above are correct.</p> <p>The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We further agree that the Fund/AMC can send us all types of SMS relating to the products offered by them.</p> <p>Applicable to investors who have not opted for nomination facility. I/We hereby confirm that it is my/our informed decision not to avail the nomination facility offered by Edelweiss Mutual Fund.</p> <p>I / We confirm that I am/We are not US Person(s) as defined under the laws of United States or resident(s) of Canada. In case of change to this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my/our investments in the Scheme.</p>									

ASBA ACKNOWLEDGEMENT SLIP			Application No:	
(To be filled in by the investor)				
Received from: Mr. / Ms. / M/s _____ an application for allotment				
Scheme Edelweiss Exchange Traded Scheme - Nifty (Nifty EES) Plan _____ Option _____				
SCSB Account Details Account Number _____				
Bank Name _____			Branch Name _____	
Total Amount to be blocked ₹ (Figures) _____				
Total Amount to be blocked ₹ (Words) _____				
			SCSB Receipt Signature Stame and Date	

TOLL FREE
1800 425 0090

NON TOLL FREE
+91 40 23433150

SMS
IQ to 5757590

WEBSITE
www.edelweissmf.com

EMAIL : INVESTORS
emfhelp@edelweissfin.com

Applicable to NRI only: I/We confirm that I am / we are Non Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels from funds in my/our Non-Resident External/Ordinary Account/FCNR Account. Please (✓) (Including amount of Additional Purchase Transaction made in future)

☐ Repatriation ☐ Non Repatriation

ASBA AUTHORIZATION: 1) I/We hereby undertake that I/We am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements), Regulations 2009 ('SEBI Regulations') as amended from time to time. 2) In accordance with ASBA process provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB / ASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/ Edelweiss Mutual Fund on receipt of instructions from the Registrar and Transfer Agent after the allotment of the Units entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar and Transfer Agent to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account / Bank account of Edelweiss Mutual Fund. 3) In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application. 4) If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records, the application shall be rejected and the Edelweiss Mutual Fund or Edelweiss Asset Management Company Limited or Edelweiss Trusteeship Company Limited or SCSBs shall not be liable for losses, if any.

Date	D	D	M	M	Y	Y	Signature(s)	Signature of First Bank Account Holder	Signature of Second Bank Account Holder	Signature of Third Bank Account Holder
Place										

ASBA Forms should be submitted only with an authorized branch of designated SCSBs. Copy of acknowledgement receipt of the ASBA form issued by SCSB should be submitted with the NFO form to Edelweiss Mutual Fund or its Registrar, Karvy.

INSTRUCTIONS FOR FILLING ASBA APPLICATION FORM

- Please visit www.sebi.gov.in/cms/sebi_data/attachdocs/1365051213899.html or www.nseindia.com for list of currently available Self Certified Syndicate Banks (SCSB) offering ASBA facility with their designated branches.
- The investor shall submit the ASBA Form at the Bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB. Investors should check with their bank branch to confirm whether the branch is offering ASBA facility.
- ASBA Application Form will not be accepted by any of the offices of Edelweiss Mutual Fund or its Registrar & Transfer Agent, i.e. Karvy Computershare Pvt Ltd.
- An ASBA investor shall submit a duly filled up **ASBA Application form, physically or electronically**, to the SCSB with whom the bank account to be blocked, is maintained.
 - In case of **ASBA application in physical mode**, the investor shall submit the ASBA Form at the Bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB.
 - In case of **ASBA application in electronic form**, the investor shall submit the ASBA Form either through the internet banking facility available with the SCSB, or such other electronically enabled mechanism for subscribing to units of Mutual Fund scheme authorising SCSB to block the subscription money in a bank account.
- Investors shall correctly mention the Bank Account number in the ASBA Application Form and ensure that funds equal to the subscription amount are available in the bank account maintained with the SCSB before submitting the same to the designated branch.
- Upon submission of an ASBA Form with the SCSB, whether in physical or electronic mode, investor shall be deemed to have agreed to block the entire subscription amount specified and authorized the Designated Branch to block such amount in the Bank Account.
- On the basis of an authorisation given by the account holder in the ASBA application, the SCSB shall block the subscription money in the Bank Account specified in the ASBA application. The subscription money shall remain blocked in the Bank Account till allotment of units under the scheme or till rejection of the application or incase of winding up of scheme, as the case may be.
- If the Bank Account specified in the ASBA application does not have sufficient credit balance to meet the subscription money, the ASBA application shall be rejected by the SCSB.
- The ASBA Form should not be accompanied by cheque, demand draft or any mode of payment other than authorisation to block subscription amount in the Bank Account.
- Investor is required to submit a copy of the acknowledgment receipt of the ASBA Form (as submitted with SCSB) along with the NFO application form to Edelweiss Mutual Fund or any of its official point of acceptance.
- Edelweiss Mutual Fund or it's Registrar, Karvy shall not be liable for any negligence or mistake committed by the SCSBs.
- All grievances relating to the ASBA facility may be addressed to the AMC / RTA to the Issue, with a copy to the SCSB, giving full details such as name, address of the applicant, subscription amount blocked on application, bank account number and the designated Branch or the collection centre of the SCSB where the ASBA Form was submitted by the Investor.
- ASBA facility extended to investors shall operate in accordance with the SEBI guidelines in force from time to time.



INSTRUCTIONS TO INVESTORS FOR FILLING UP THE APPLICATION FORM

I. GENERAL INSTRUCTIONS

1. Please read the Key Information Memorandum, Scheme Information Document (SID) and Statement of Additional Information (SAI) containing the terms of offer carefully before investing. In the SID your attention is particularly drawn to the risk factors of investing in the Scheme and also the sections "Who can't invest" and "Important note on Anti Money Laundering, KYC & investor protection".
2. Applications from residents of USA and Canada will not be accepted.
3. All applicants are deemed to have accepted the terms subject to which the offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.
4. Application Form should be filled legibly in ENGLISH in BLOCK letters using Black or Dark Blue ink. Incomplete application forms are liable to be rejected. Please refer to the checklist at the end of the application form to ensure that the requisite details and documents have been provided in order to avoid unnecessary delays and / or rejection of your application.
5. Please strike out any section that is not applicable. Correction/Cancellation on any of the mandatory information should be countersigned by the investor.

II. APPLICANT INFORMATION

1. Name should be given in full without any abbreviations. Preferably write exactly as it appears in your Bank Account or as it appears in the incorporation document as the case may be.
2. Name, Date of birth of the Minor, Name of Parent/Legal Guardian and relationship with minor is mandatory for investment on behalf of Minor applicant.
3. Name of the Contact Person, email and Telephone No. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FII and other non-individual applicants.
4. The signature should be in English or in any of the Indian languages. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Application by minor should be signed by the guardian. In case of H.U.F., the Karta should sign on behalf of the H.U.F.
5. The designated Investor Service Center/ Collection Center will affix time stamp/manual stamp and return the acknowledgement slip from the application form, to acknowledge receipt of the Application. No separate receipt will be issued for the application money.
6. Please fill in all the fields to prevent rejection of your Application Form. Please refer to the checklist provided at the end of the Application Form to ensure that the necessary details and attachments are made available. The application complete in all respects along with the cheque/ fund transfer instructions must be submitted to the nearest designated Investor Service Center/Collection Center. Applications which are incomplete, invalid in any respect or not accompanied by cheque or fund transfer instructions for the amount payable are liable to be rejected
7. Investors must write the Application Form number / Folio number on the reverse of the cheques accompanying the Application Form.
8. Direct application - Investors are requested to mention the correct distributor Code in the Application Form. In case, the investor is directly applying, then they should clearly mention "DIRECT" in the column mentioned Name and Distributor Code, in all such cases where applications are not routed through any distributor/agent/broker. In cases where unit holder uses a pre-printed Broker Code, unit holder should cancel the ARN No/ Broker Code, write "DIRECT" in the said column and it should also be counter signed by the First unit holder.
9. In case of NRI investment, complete postal address should be stated. P.O. Box address alone is not sufficient. NRIs/ FIIs should necessarily state their overseas address failing which application may be rejected. In addition, Indian address should be stated for correspondence.
10. Investment through constituted Attorney should necessarily be signed by the constituted Power of Attorney holder.
11. Some additional details are required for validating your identity for certain transactions / Communications. Hence please fill the parent's name in case of first applicant and date of birth of all unit holders.
12. Please provide email ID & Mobile Number, this will help us send investment / product related communication and resolve any queries more promptly.
13. In the event the application has more than one investor and the mode of holding is not specified in the application form, the default option for holding would be considered to be "anyone or survivor". However, in all such cases, communications, proceeds of all dividend/redemption will be paid to the first named holder.

III. EMAIL COMMUNICATION

Account confirmation will be sent to Unit holders by Post /Courier /Email for the NFO. Subsequent Communication like Newsletters / Annual Reports / Other statutory information (as permitted under SEBI (Mutual Funds) Regulations, 1996) will be sent to each Unit holder by e-mail. Investors are requested to provide their e-mail address for the same and this will also help us resolve your queries more promptly. Unitholders who have provided email id will be sent all communications/reports as mentioned above by email only and no physical communications will be sent. Any change in the e-mail address should be communicated to nearest designated Investor Services. EMF/Registrars are not responsible for e-mail not reaching the investor and for all consequences thereof. Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the AMC will arrange for the same through physical mode on receipt of request for the same. It is deemed that the Unitholder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties.

IV. BANK ACCOUNT DETAILS

It is mandatory to attach cancelled original cheque / self certified copy of blank cheque / self certified Bank Statement / first page of the Bank Pass book (bearing account number and first unit holder name on the face of the cheque/ Bank Pass Book/ Bank Statement) is required as an incremental additional document in case of: a. Registration of the investor's Bank Mandate at the time of investment b. Subsequent change in the investor's Bank Mandate. SEBI Regulations have made it mandatory for investors to mention the Bank Name & address of branch and bank Account Number in their Investment application form in order to protect the interest of investors from fraudulent encashment of cheques. For registering multiple bank account please fill separate Form for Registering/ Adding Multiple Bank Accounts. Individuals / HUF can register upto 5 bank accounts and Non Individuals upto 10 bank accounts. For further information please refer SAI.

V. PAN DETAILS

It is mandatory for all investors to quote their Permanent Account Number (PAN) and submit

certified copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor, PAN details of the Guardian must be submitted. Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission; however sufficient documentary evidence shall have to be submitted for verifying that they are residents of the State of Sikkim. Applications without the aforesaid details are liable to be rejected without any reference to the investors.

VI. MODE OF PAYMENT

1. As per AMFI best practice guidelines on 'Risk mitigation process against third party cheques in mutual fund subscriptions', Edelweiss Mutual Fund shall not accept applications for subscriptions with third party payment instruments with effect from November 15, 2010. For further information please refer SAI.
2. In case of investment through electronic mode (RTGS/ Transfer letter), you are requested to contact the nearest AMC/ Karvy ISC for the Bank Account Number to which the purchase/additional purchase amount is to be credited.
3. In case of payment through electronic mode (RTGS/NEFT or Transfer Letter), need to provide the bank acknowledgement copy along with purchase application.

VII. PAYMENT OF REDEMPTION /DIVIDEND

Investors are requested to provide the following details along with the mandatory requirement of bank account details (bank, branch address, account type and account no.) in the application form for electronic fund transfer (EFT) of dividend / redemption amount to the unit holders bank account. AMC will automatically extend this facility to all unit holders in case the bank account as communicated by the unit holder is with any of the bank providing EFT facility.

a. The 11 digit IFSC (Indian Financial System) Code b. The 9-digit MICR (Magnetic Ink Character Recognition) number appearing next to the cheque number in the cheque leaf (Please attach copy of the cancelled cheque for verification) Based on the above information AMC will enable secure transfer of your redemption and dividend payouts via the various electronic mode of transfers (RTGS / NEFT / Direct Credit mode that are available in the banking system). We are currently offering Direct Credit facility with the following Banks:

1. Axis Bank
2. CITI Bank
3. HDFC Bank
4. HSBC
5. ICICI Bank
6. Kotak Mahindra Bank
7. Standard Chartered Bank
8. Yes Bank

If investor is having bank account with any of the above mentioned bank, He/ She will receive Redemption/Dividend proceeds via Direct Credit/ RTGS/ NEFT mode to their respective bank accounts.

This facility of EFT is safe and fast and eliminates the potential risk of loss of instruments in transit through physical mode. The Mutual Fund, however, reserves the right to issue a cheque / demand draft to unit holders residing at locations where this facility is not available.

"If the remittance is delayed or not affected for reasons of incomplete or incorrect information, AMC cannot be held responsible". For validation of IFSC/MICR code, investor to attach the cancelled cheque/copy of cheque (PSU banks account holders to provide the front page of pass book along with cheque copy). If these documents are not provided the fund will not be responsible consequent delay in receipt of payment. Fund is also not responsible for bankers delay.

ELECTRONIC CLEARING SERVICE (ECS) - ECS facility is available only in respect of dividend payments and not in the case of Redemption of Units. Based on the bank particulars, investors will receive a direct credit of the amount due to them in their notified account with intimation to the unit holder.

VIII. NOMINATION DETAILS

Since the Units of the Scheme will be issued in electronic (demat) mode, Nomination details provided to the Depository Participant shall be applicable. Such nomination including any variation, cancellation or substitution of Nominee(s) shall be governed by the rules and by-laws of the Depository.

IX. PREVENTION OF MONEY LAUNDERING AND KNOW YOUR CUSTOMER (KYC)

According to SEBI Guidelines under 'The Prevention of Money Laundering Act, 2002', Mutual Funds are required to follow enhanced know your customer (KYC) norms. Further, SEBI has also notified SEBI (KYC Registration Agency) Regulations, 2011 on December 23, 2011 with a view to bring uniformity in KYC requirements for the securities market and to develop a mechanism for centralization of the KYC records. Accordingly the following procedures shall apply:

- SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries viz. Mutual Funds, Portfolio Managers, Depository Participants, Stock Brokers, Venture Capital Funds, Collective Investment Schemes, etc. New Investors are therefore requested to use the common KYC Application Form and carry out the KYC process including IPV with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are also available on our website www.edelweissmf.com.
- The Fund shall perform the initial KYC of its new investors and may undertake enhanced KYC measures commensurate with the risk profile of its investors in line with the aforementioned circulars/circulars issued by SEBI in this regard from time to time. The Fund shall upload the details of the investors on the system of the KYC Registration Agency ("KRA"). The Registrar & Transfer Agent of the Fund viz. Karvy Computershare Private Limited ("Karvy") may also undertake the KYC of the investors on behalf of the Fund. On receipt of the KYC documents from the Fund, the KRA shall send a letter to the investor within SEBI stipulated timelines, confirming the details thereof.
- Once the investor has done KYC with a SEBI registered intermediary, the investor need not undergo the same process again with another intermediary but can submit the letter/acknowledgment issued by the KRA.
- It is mandatory for intermediaries including mutual funds to carry out IPV of its new investors. The IPV carried out by any SEBI registered intermediary can be relied upon by the Fund. Edelweiss Asset Management Limited and NISM/AMFI certified distributors who are Know Your Distributor (KYD) compliant are authorized to undertake the IPV for mutual fund investors. Further, in case of any applications received directly (i.e. without being routed through the distributors) from the investors, the Fund may rely upon the IPV (on the KYC Application Form) performed by the scheduled commercial banks.
- Existing KYC compliant investors of the Fund can continue to invest as per the current practice. However, existing investors are also urged to comply with the new KYC requirements including IPV as mandated by SEBI.
- Application Form not accompanied by KYC Application Form or letter/acknowledgment issued by KRA may be rejected by the Fund. The KYC compliance status will be validated with the records of the KRA. AMC reserves the right to call for any additional information from the investors/applicant/reject applications/subsequent application in order to fulfill the requirements of PMLA norms prescribed by SEBI/PMLA Regulation from time to time.

X. TRANSACTION CHARGE IN RESPECT OF APPLICATIONS ROUTED THROUGH DISTRIBUTORS/ BROKERS:

In terms of SEBI circular no. CIR/ IMD/ DF/ 13/ 2011 dated August 22, 2011, as amended from time to time, Transaction Charge per subscription of ₹10,000/- and above shall be charged to the investors w.e.f. November 1, 2011 and paid to the distributors/ brokers (who have opted in

for transaction charges) in respect of applications relating to new subscriptions only (lumpsum and SIP), subject to the following:

- For existing mutual fund investors: ₹100/- per subscription of ₹10,000/- and above;
- For the first time mutual fund investors: ₹150/- per subscription of ₹10,000/- and above;
- In case of SIPs, transaction charge shall be applicable only if the total commitment through SIP amounts to ₹10,000/- and above. In such cases the transaction charge would be recovered in 4 installments, starting from the 2nd to 5th instalment.
- There shall be no transaction charge on subscription of below ₹10,000/-.
- There shall be no transaction charge on transactions other than purchases/ subscriptions relating to new inflows.
- There shall be no transaction charge on direct investments.
- There shall be no transaction charge on subscriptions carried out through the Stock Exchange Platform.

In accordance with SEBI circular no. CIR/IMD/DF/21/2012 dated September 13, 2012, distributors shall also have an option either to opt in or opt out of levying transaction charge based on type of the product.

The Transaction Charge as mentioned above shall be deducted by the AMC from the subscription amount of the unitholder and paid to the distributor and the balance shall be invested.

XI. Employee Unique Identification Number (EUIIN):

SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of Mutual Fund products to quote the EUIIN obtained by him/her from AMFI in the Application Form. EUIIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing Mutual Fund products are also required to obtain and quote EUIIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIIN is correctly filled up in the Application Form.

However, if your distributor has not given you any advice pertaining to the investment, the EUIIN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect. Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EUIIN of the Sales Person (if any) in the EUIIN space.

XII BENEFICIAL OWNERSHIP DETAILS:

Under the Prevention of Money Laundering Act, 2005 ("PMLA"), all intermediaries including mutual funds are required to obtain sufficient information from their clients in order to identify and verify the persons who beneficially own or control the account. SEBI circular dated January 24, 2013 on identification of Beneficial Ownership has prescribed a uniform approach to be followed for determination of beneficial owners. A 'Beneficial owner' is defined as a natural person/s who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, which includes persons who exercise ultimate effective control over a legal person or arrangement.

All categories of investors except individuals, company listed on a stock exchange or majority owned subsidiary of such company, are requested to provide details about beneficial ownership in the Application Forms for all their investments. The Fund reserves the right to reject applications/restrict further investments or seek additional information from investors who have not provided the requisite information on beneficial ownership. In the event of change in beneficial ownership, investors are requested to immediately update the details with the Fund/Registrar.

XIII DEMAT ACCOUNT:

The Units of the Schemes are issued / repurchased and traded compulsorily in dematerialized form. As the Units of the Scheme will be issued in demat form, relevant details of the Depository Participant and the beneficiary account should be provided in the "Demat Account Details" section in the Application Form. Investors should fill in details for either CDSL or NSDL, not for both.

XIV CANCELLATION

Please note that application once submitted cannot be cancelled under any circumstances. Once an application for Subscription is submitted, the Investor will have to submit a Redemption request for Redeeming the Units.

Investor Service Centers (ISC) / Official Point of Acceptance (OPA)

Edelweiss Asset Management Limited - ISC / OPA

Ahmedabad:	Madhusudan House, Basement, Near Navrangpura Telephone Exchange, Off CG Road, Navrangpura, Ahmedabad – 380 009 Tel - 079 - 6514 1246
Bengaluru:	S M Tower, 3rd floor, 3/2 & 4/3/1, 11th Main Road, Jayanagar 3rd Block East, Above Nike Showroom, Bengaluru – 560011 Tel - 080 - 4059 2935
Chennai:	7th Avenue, 2nd floor Ammayi Eye hospital building, Ashok Nagar, Chennai- 600083 Tel: 044- 42895741
Delhi:	104,1st Floor, Mercantile House, 15 Kasturba Gandhi Marg, New Delhi – 110001 Tel - 011 - 4357 1105
Indore:	312-313, D.M. Tower, Third Floor, Race Course Road, Indore, Madhya Pradesh - 452001 Tel - 0731 6701522
Kolkata:	Savitri Tower, 2nd Floor, 3A Upper Wood Street, Kolkata - 700017 Tel - 033 - 4421 8800
Mumbai:	Edelweiss House, 6th Floor, Off. C.S.T Road, Kalina, Mumbai - 400098 Tel - 022 - 4097 9821

Edelweiss Asset Management Limited - ISC / OPA (During ongoing Period)

Edelweiss House, 6th Floor, Off. C.S.T Road, Kalina, Mumbai - 400098 Tel - 022 - 4097 9821