



Key Information Memorandum and Application Form

HSBC Fixed Term Series 125-126 (HFTS 125 - 126)


(A close-ended Income Scheme with two Plans)

New Fund Offer : HSBC Fixed Term Series 126 (1138 days)

New Fund Offer Opens on	May 16, 2016
New Fund Offer Closes on	May 19, 2016

Scheme re-opens for continuous sale and repurchase on: The Units of the Plan will be listed on the NSE / any other Stock Exchange. Investors can purchase / sell Units on a continuous basis on the Stock Exchange(s) on which the Units are listed. As the Units are listed on the Stock Exchange, the Plan will not provide redemption facility until the date of Maturity / Final Redemption date.

Product Labeling:

<p>HSBC Fixed Term Series 126</p> <p>This product is suitable for investors who are seeking*:</p> <ul style="list-style-type: none"> ▶ regular fixed income over the term of the Plan; ▶ investment in debt/money market instruments
<p style="text-align: center;">Riskometer</p>  <p style="text-align: center;">Investors understand that their principal will be at Moderate risk</p>

* Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Offer of Units of Rs. 10/- per unit during the New Fund Offer

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights and services, risk factors, penalties and pending litigations etc. investors should, before investment, refer to the Scheme Information Document dated May 4, 2016 and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.assetmanagement.hsbc.com/in.

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

NSE Disclaimer: It is to be distinctly understood that the permission given by NSE should not in any way be deemed or construed that the Scheme Information Document (SID) has been cleared or approved by NSE nor does it certify the correctness or completeness of any of the contents of the SID. The investors are advised to refer to the SID for the full text of the Disclaimer clause of NSE.

The date of this KIM is May 4, 2016.

Sponsor:

HSBC Securities and Capital Markets (India) Private Limited
Regd. Office: 52/60, Mahatma Gandhi Road, Fort, Mumbai 400 001, India.

Trustee:

Board of Trustees
16, Veer Nariman Road, Fort, Mumbai 400 001, India.

Asset Management Company:

HSBC Asset Management (India) Private Limited

Regd. & Corp. Office: 16, Veer Nariman Road, Fort, Mumbai 400 001, India

Plan	HSBC Fixed Term Series 126 (1138 days Plan)					
Investment Objective	To seek generation of returns by investing in a portfolio of fixed income instruments which mature on or before the maturity date of the Plan(s). However, there is no assurance or guarantee that the investment objective of the Plan will be achieved. The Plan does not assure or guarantee any returns.					
Asset Allocation Pattern of the Plan	The asset allocation of HFTS 126 will be as follows:					
	Types of Instruments	Normal Allocation (% of Net Assets)			Risk Profile	
	Debt Instruments	80% - 100%			Low to Medium	
	Money Market Instruments	0% - 20%			Low to Medium	
	The Plan will not invest in securitized debt. The total exposure to Debt and Money Market Instruments will not exceed 100% of the net assets of the Plan(s).					
Type of instruments with intended allocation	The Plan will invest predominantly in debt and money market instruments where interest rate risk is low to medium. The Fund Manager shall adopt the following allocation in the Plan with respect to the asset class of securities. The floors and ceilings within a range of 5% of the intended allocation against each sub asset class/credit rating will be as follows:					
	Security / Credit Rating of securities	A1 + * (%)	AAA ** (%)	AA ** (%)	A ** (%)	Others (credit rating not applicable) (%)
	Money market instruments					
	CDs	-	-	-	-	-
	CPs	-	-	-	-	-
	CBLO, Reverse repo in government securities and treasury bills	-	-	-	-	0 - 5
	Debt instruments					
	NCD	-	60 - 65	35 - 40	-	-
	Government securities	-	-	-	-	-
	* for short-term instruments					
	** for long-term debt instruments					
	Notes:					
	1) The Plan under the Scheme will not invest into any unrated debt securities.					
	2) Securities with rating A and AA shall include A+ and A- and AA+ and AA- respectively.					
	3) All investments shall be made based on the rating prevalent at the time of investment. However, in case of an instrument having dual ratings, the most conservative publicly available rating would be considered.					
	4) Positive variation in investments towards higher credit rating in the same instrument shall be allowed.					
	5) In case of non-availability of NCDs/CPs as per the above allocation, the Plan will invest into PSU Bank CD and/or any other Bank CD with highest credit rating (A1+), and if such CDs are not available, investment will be made in CBLO/reverse repo in government securities or treasury bills. However, in these scenarios, there will be no dilution in the rating category as mentioned above. Such deviation may exist, till suitable NCDs/CPs of desired credit quality are not available.					
	6) At the time of building up the portfolio post NFO and towards maturity of a Plan, there may be higher allocation to cash and cash equivalents.					
	7) During the tenure of the Plan, the above allocation may vary due to instances like (a) coupon inflow; (b) the instrument is called or bought back by the issuer (c) in anticipation of any adverse credit event etc. In case of such deviations, the Plan may invest in highest rated CDs (ie. A1+ or equivalent) / CBLOs/ Reverse Repo and Repo in Government Securities or T-Bills, if suitable CPs / NCDs of desired credit quality are not available.					
	8) There will be no variation between the intended and final portfolio allocation in the Plan, subject to notes 4-7 above.					
	9) The Plan will not participate in repo in corporate debt securities.					
	10) The Plan will not engage in short selling of securities or securities lending and borrowing.					
	11) The Plan will not participate in Credit Default Swaps or invest in foreign securities.					
	12) The Plan will have no exposure to Derivative instruments.					
	Credit Evaluation Policy					
	With the aim of controlling risks, a credit evaluation of the instruments proposed to be invested in will be carried out by the Investment Team of the AMC. The credit evaluation process includes a study of the operating environment of the company, the past track record as well as the future prospects of the issuer, the short as well as long-term financial health of the issuer. The AMC will also be guided by the ratings of rating agencies such as CRISIL, CARE and ICRA or any other rating agency as approved by the regulators.					
	Sectors into which the Plan will not invest					
	Real estate and Airlines are identified sectors into which the Plan would not invest.					
Investment Strategy of the Plan	The Plan will invest predominantly in debt and money market instruments where interest rate risk is relatively low to medium. The funds will be invested in a portfolio of debt and money market instruments maturing within the maturity date of the Plan. HFTS is a portfolio of securities with known maturity seeking to generate returns. As part of the portfolio strategy, there would be no security in the portfolio maturing at a date later than the maturity date, thus the average maturity of the Plan will always be equal to or lower than the tenure of the Plan. The Plan would invest only in such securities which mature on or before the date of the maturity of the Plan. However, the Fund Manager would aim to reinvest the proceeds from securities maturing before the maturity of the Plan. In case if reinvesting is not viable or the opportunities are not available in the market, the money will be parked into overnight instruments. The Scheme may invest in unlisted and / or privately placed debt securities subject to the limits indicated under "Investment Restrictions for the Scheme" prescribed in this SID, from issuers of repute and sound financial standing.					

	<p>The Fund may invest a part of the portfolio in various debt securities issued by corporates and / or state and central government. Such government securities may include securities which are supported by the ability to borrow from the Treasury or supported only by India's sovereign guarantee or of the state government or supported by GOI / state government in some other way.</p>
Maturity of the Plan	<p>HSBC Fixed Term Series 126 - 1138 days from the date of allotment.</p> <p>The Plan will come to an end on its maturity date. On the maturity date of the Plan, all Units under the Plan will be compulsorily, and without any further act by the Unit Holders, redeemed at the Applicable NAV of that day and paid out on the next day of the maturity date. For the Units held in electronic form, the Units will be extinguished with the Depository as per the beneficiary position details received from the Depository and the redemption amount will be paid to the Unit Holders on the next day of maturity, at the prevailing NAV on that date.</p> <p>If the maturity date falls on a non-Business Day, the immediately succeeding Business Day will be considered as the maturity date.</p>
Liquidity	<p>In accordance with the SEBI circular dated December 11, 2008, every close-ended scheme (except Equity Linked Saving Scheme) shall be mandatorily listed on a recognized stock exchange. Accordingly, the Units of the Plan will be listed on the National Stock Exchange of India Ltd. (NSE), or any other recognized Stock Exchange(s), at the sole discretion of the AMC.</p> <p>Being a close-ended Plan, subscription to the Units of the Plan will be permissible only during the New Fund Offer period (NFO). The Plan will not be open for ongoing subscriptions / switch ins. The Unit holders are given an Option to hold the Units by way of an Account Statement (physical form) or in Dematerialized (demat form).</p> <p>The Units of the Plan can be purchased / sold on a continuous basis on NSE and/ or any other Stock Exchange(s) on which the Units are listed, during the trading hours like any other publicly traded stock. The price of the Units in the market will depend on demand and supply at that point of time. There is no minimum investment, although Units are purchased in round lots of 1.</p> <p>The Units cannot be redeemed by the investors directly with the Fund until the maturity of the Plan and there will be redemption by the Fund only on the maturity of the Plan. However, investors who wish to exit/ redeem before the maturity, may do so through stock exchange mode. Further, investors are requested to note that they should have a demat account to trade in the Units of the Plan.</p>
Redemption options	<p>Until maturity of the Plan, the Units cannot be redeemed by the investors directly with the Fund. Upon maturity, Unit Holders shall have the following options:</p> <ul style="list-style-type: none"> - Payment by cheque to the Unit Holder; - Direct credit into the account of the Unit Holder; and - Switch into any scheme of HSBC Mutual Fund (subject to the same being available).
Dematerialization	<p>Unit holders opting to hold the Units in demat form must provide their Demat Account details in the specified section of the application form. The Unit holder intending to hold the Units in demat form is required to have a beneficiary account with the Depository Participant (DP) (registered with NSDL / CDSL as may be indicated by the Fund at the time of launch of the Plan) and will be required to indicate in the application, the DP's name, DP ID Number and the beneficiary account number of the applicant with the DP at the time of purchasing Units during the NFO of the Plan.</p> <p>In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange till the holdings are converted in to demat form. Investors opting to hold Units in demat form should furnish Bank Account details linked with their demat account.</p> <p>Further, Unit holders holding Units by way of account statement intending to get their Units dematerialized may submit the Dematerialization Request Form (DRF) to the DP.</p>
Risk Profile of the Plan	<p>Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below:</p> <ul style="list-style-type: none"> • Risk factors associated with listing of Units on the stock exchange. • There have been times in the past, when settlements have been unable to keep pace with the volume of securities transactions, making it difficult to conduct further transactions. • The liquidity and valuation of the Scheme's investments due to its holdings of unlisted securities may be affected if they have to be sold prior to their target date of divestment. • Price-Risk or Interest Rate Risk: As with all debt securities, changes in interest rates may affect the NAV of the Plan under the Scheme as the prices of securities increase as interest rates decline and decrease as interest rates rise. • Liquidity or Marketability Risk: This refers to the ease with which a security can be sold at or near to its valuation yield-to-maturity (YTM). • Credit Risk: Credit risk or default risk refers to the risk that an issuer of a fixed income security may default. Any losses in case of a default by an issuer will be borne by the investor. • Concentration Risk: As the debt market in India is not so matured, there is a possibility of having high exposures to 4 to 5 issuers. Further, the investors could also be exposed to group level risks resulting from an aggregation of issuers associated with the same group. • Reinvestment Risk: This risk refers to the interest rate levels at which cash flows received from the securities in the Scheme are reinvested. The risk is that the rate at which interim cash flows can be reinvested may be lower than that originally assumed. • Benchmark Risk: Currently, majority of the issuance of floating rate papers is linked to NSE MIBOR. The fewer number of benchmark rates could result in limited diversification of the benchmark risk. • Different types of securities in which the Scheme would invest as given in the SID carry different levels and types of risk. Accordingly the Scheme's risk may increase or decrease depending upon its investment pattern.

	<ul style="list-style-type: none"> • Duration Risk: Duration is a risk measure used to measure the bond / security price changes to potential changes in interest rates. The higher the duration of the portfolio, the greater the changes in value (i.e. higher risk) to movement in interest rates. • Prepayment Risk: The risk associated with the early unscheduled return of principal on a fixed-income security. The early unscheduled return of principal may result in reinvestment risk. • Indicative yield/portfolio: The AMC and/or its empanelled distributors have not and shall not give Scheme's indicative portfolio/yield in any communication or any manner, whatsoever. Investors are advised not to rely on any communication of indicative portfolio/ yield with regard to Scheme's investments. • Legal, tax and regulatory risk: Changes in legal, tax & regulatory regime may adversely affect the Scheme or its investors, wherein such changes could also have retrospective effect leading to additional taxation imposed on the Scheme which was not contemplated either when investments were made, valued or disposed off. • In the event of restructuring/re-scheduling of debt/money market instruments held in the portfolio resulting in maturity of the instruments going beyond the scheme maturity date, the Fund Manager may be constrained to sell these at realizable value and pass on the impact to investors in the scheme. <p>Please refer the Scheme Information Document of HFTS 126 for detailed risk factors.</p>																				
Options under the Plan	<p>Growth and Dividend Payout options under both Regular and Direct Plan. <i>(Direct Plan is a Plan available for investors who purchase/subscribe to units directly with the Fund i.e. investments/applications not routed through a Distributor.</i></p> <p>The Regular and Direct Plans, and Options under them will have a common portfolio. Please refer to section on 'Default Option and Plans' for more details.</p>																				
Applicable NAV (after the Scheme opens for repurchase and sale)	<p>For Purchases including switch-ins Being a close-ended Plan, subscription to the Units will be permissible only during the NFO. The Plan will not be open for ongoing subscriptions / switch ins. The Unitholders also have the option to switch all or part of their investment from any scheme of HSBC Mutual Fund to this Plan during its NFO.</p> <p>For Redemptions including switch-outs The Units of the Plan cannot be redeemed by the investors directly with the Fund until the maturity of the Plan and there will be redemption by the Fund only on the maturity of the Plan. Therefore, the provisions of cut-off timings will not be applicable to the Plan. However, investors who wish to exit/redeem before the maturity, may do so through stock exchange mode. Further, investors are requested to note that they should have a demat account to trade in the Units of the Plan. For investors who do not wish to trade through the exchange, the Units will be redeemed on the Maturity Date of the Plan, at the Applicable NAV.</p>																				
Minimum Application Amount/ Number of Units	<p>Purchase (during NFO): Rs. 5,000/-</p> <p>Additional Purchase (during NFO): In multiples of Re. 1/- thereafter</p> <p>Repurchase: Not applicable. As the Units of the Plan will be listed on the exchange, the Units cannot be redeemed by the investors directly with the Fund until the maturity of the Plan and there will be redemption by the Fund only upon maturity. However, investors who wish to exit/redeem before the maturity, may do so through stock exchange mode. Further, investors are requested to note that they should have a demat account to trade in the Units of the Plan.</p>																				
Despatch of Repurchase (Redemption) Request	Within 10 Business days from the Maturity Date.																				
Benchmark Index	CRISIL Composite Bond Fund Index																				
Dividend Policy	Under the Dividend option, it is proposed to declare dividends on the Maturity Date of the Plan, subject to discretion of Trustees and availability of distributable profits. Dividend declaration and distribution shall be in accordance with SEBI Regulations as applicable from time to time. The Trustee / AMC reserve the right to change the record date from time to time.																				
Name of the Fund Manager(s)	Piyush Harlalka																				
Name of the Trustee Company	Board of Trustees (The Trustees) of HSBC Mutual Fund The Sponsor has appointed a Board of Individual Trustees (the Trustees) to be the Trustees of HSBC Mutual Fund. Presently Mr. N. P. Gidwani, Mr. Glenn Berry, Mr. Nasser Munjee, Mr. Mehli Mistri, Mr. Dilip J. Thakkar and Mr. Manu Tandon are the Trustees of HSBC Mutual Fund.																				
Performance of the Plan	This Plan is a new scheme and does not have any performance track record.																				
Scheme Portfolio Holdings (As on 30th April, 2016)	<table border="1"> <thead> <tr> <th colspan="2">Top 10 Holdings issuer wise</th> <th colspan="2">Fund Allocation towards various Sectors</th> </tr> <tr> <th>Scheme Portfolio Holdings</th> <th>% to Net Assets</th> <th>Sector</th> <th>% to Net Assets</th> </tr> </thead> <tbody> <tr> <td colspan="2">This is a new Scheme being launched, hence, this is not applicable.</td> <td colspan="2">This is a new Scheme being launched; hence, this is not applicable</td> </tr> <tr> <td colspan="2">Note: The portfolio shall be available, once the portfolio has been constructed.</td> <td colspan="2">Kindly refer the Fund's website, www.assetmanagement.hsbc.com/in for monthly portfolio disclosures.</td> </tr> <tr> <td colspan="4">Portfolio Turnover: Not Applicable.</td> </tr> </tbody> </table>	Top 10 Holdings issuer wise		Fund Allocation towards various Sectors		Scheme Portfolio Holdings	% to Net Assets	Sector	% to Net Assets	This is a new Scheme being launched, hence, this is not applicable.		This is a new Scheme being launched; hence, this is not applicable		Note: The portfolio shall be available, once the portfolio has been constructed.		Kindly refer the Fund's website, www.assetmanagement.hsbc.com/in for monthly portfolio disclosures.		Portfolio Turnover: Not Applicable.			
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Expenses of the Plan (i) Load Structure	<p>New Fund Offer Period Entry Load - Not Applicable</p> <p><i>In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors assessment of various factors including the service rendered by the distributors.</i></p> <p>Exit Load - Not Applicable</p>																				

	<p>The Units under the Plan cannot be directly redeemed with the Fund as the Units are listed on the stock exchange(s). However Investors can subscribe (purchase) / redeem (sell) Units on a continuous basis on NSE and/or any other Stock Exchange(s) on which the Units of the Plan are listed.</p> <p>New Fund Offer Expenses The NFO expenses of HFTS 126 shall be borne by the AMC.</p> <p>Continuous Offer Load Structure - Not applicable</p>												
(ii) Recurring expenses	<p>The recurring expenses of HFTS 126 will be as follows:</p> <table border="1"> <thead> <tr> <th>Particulars</th> <th>(As a % of daily net assets)</th> <th>Additional expenses under Regulation 52 (6A)(c)</th> <th>Additional TER as per Regulation 52 (6A)(b)</th> </tr> </thead> <tbody> <tr> <td>Regular Plan</td> <td>Upto 1.00%</td> <td>Upto 0.20%</td> <td>Upto 0.30%</td> </tr> <tr> <td>Direct Plan</td> <td>Upto 0.70%</td> <td>Upto 0.20%</td> <td>NIL</td> </tr> </tbody> </table> <p>Notes:</p> <ol style="list-style-type: none"> Any other expenses which are directly attributable to the Plan, may be charged with approval of the Trustee within the overall limits as specified in the Regulations except those expenses which are specifically prohibited. The expenses towards Investment Management and Advisory fees under Regulation 52 (2) and the various sub-heads of recurring expenses mentioned under the Regulation 52 (4) of SEBI (Mutual Funds) Regulations are fungible in nature. Further additional expenses under Regulations 52(6A) (c) shall also be incurred towards the same expense heads. Additional expenses includes : <ol style="list-style-type: none"> expenses not exceeding 0.30% of daily net assets may be charged, if new inflows from beyond from beyond top 15 cities** are at least (i) 30% of gross new inflows in the Scheme or (ii) 15% of the average assets under management (year to date) of the Scheme, whichever is higher. In case inflows from beyond such cities is less than higher of (i) or (ii) mentioned above, such additional expense on daily net assets of the Scheme shall be charged on proportionate basis in accordance with SEBI Circular no. CIR/IMD/DF/21/2012 dated September 13, 2012. The expense so charged shall be utilised for distribution expenses incurred for bringing inflows from such cities. However, the amount incurred as expense on account of inflows from such cities shall be credited back to the Scheme in case the said inflows are redeemed within a period of one year from the date of investment. ** The top 15 cities shall mean top 15 cities based on Association of Mutual Funds in India (AMFI) data on 'AUM by Geography – Consolidated Data for Mutual Fund Industry' as at the end of the previous financial year. expenses not exceeding 0.20% of daily net assets which may be incurred towards different expense heads as mentioned under Regulation 52 (2) and (4) of the Regulations. Brokerage & transaction cost (inclusive of service tax) incurred for execution of trade upto 0.12% in case of cash market transactions may be capitalized and such costs in excess of said 0.12 % may also be charged within the maximum limit of TER as prescribed under regulation 52 of the Regulations. <p>Any expenditure in excess of the said prescribed limit (including brokerage and transaction cost, if any) shall be borne by the AMC or by the trustee or sponsors.</p> For the actual current expenses being charged, the investor should refer to the website of the Fund. Atleast 30% of the TER will be charged towards distribution expenses/ commission in the Regular Plan. The TER of the Direct Plan will be lower to the extent of the above mentioned distribution expenses/ commission (atleast 30% of TER) which is charged in the Regular Plan. For example, in the event that the TER of the Regular Plan is 1% p.a., the TER of the Direct Plan would not exceed 0.70% p.a.). Service tax on investment and advisory fees shall be charged to the Scheme in addition to the maximum limit of total recurring expenses as permitted under regulation 52 (6) and 52 (6A) of the Regulations. Service tax on any other fees/expenses shall be borne by the Scheme within the overall limit of the TER. 	Particulars	(As a % of daily net assets)	Additional expenses under Regulation 52 (6A)(c)	Additional TER as per Regulation 52 (6A)(b)	Regular Plan	Upto 1.00%	Upto 0.20%	Upto 0.30%	Direct Plan	Upto 0.70%	Upto 0.20%	NIL
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Regular Plan	Upto 1.00%	Upto 0.20%	Upto 0.30%										
Direct Plan	Upto 0.70%	Upto 0.20%	NIL										
Procedure for Direct Applications	Pursuant to SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, no Entry Load will be charged for all Mutual Fund Schemes. Therefore, the procedure for Waiver of Load for Direct Applications is no longer applicable.												
Tax treatment for the Investors (Unitholders)	Investors are advised to refer to the details in the Statement of Additional Information (SAI) and also independently refer to his tax advisor.												
Daily Net Asset Value (NAV) Publication	The AMC will calculate and disclose the NAVs of the Plan (including NAV of Direct Plan) at the close of every Business Day. The NAVs will be published in two newspapers having nationwide circulation and updated on AMC's website www.assetmanagement.hsbc.com/in and on AMFI website www.amfiindia.com . The NAV of the Plan / Option(s) shall be made available at all Investor Service Centres of the AMC.												
Modes of Payment	In addition to Cheque and Demand Draft as payment mechanisms, an additional mode of payment viz. Applications Supported by Blocked Amount (ASBA) is available for investors. ASBA refers to an application made for subscribing to an NFO, along with an authorization to a Self Certified Syndicate Bank (SCSB) to block the application money in a bank account. This will be available upon stock exchanges commencing this facility for mutual fund investors through their platforms. Please refer to the instructions in the KIM for details.												
For Investor Grievances, please contact	Registrar (Processing centre): Computer Age Management Services Private Limited (CAMS), HSBC Mutual Fund Unit, Rayala Towers, 3rd Floor, 158, Anna Salai, Chennai 600 002.												

	<p>Mutual Fund Ms. Rheitu Bansal, HSBC Asset Management (India) Private Limited, 16, V. N. Road, Fort, Mumbai.-400 001. Tel.: 1800 200 2434. Fax : 40029600 E-mail : hsbcmf@hsbc.co.in</p>
<p>Unitholders' Information</p>	<p>Account Statement (for non-demat holders)</p> <p>An allotment confirmation specifying the number of units allotted will be sent to the unit holders by way of e-mail and/or SMS to the registered e-mail address and/or mobile number, within 5 Business Days from the date of closure of the initial subscription list and/or from the date of receipt of the request from the unit holders. Unit holders holding Units in physical form and intending to get their Units dematerialized may submit the Dematerialization Request Form (DRF) to the DP.</p> <p>Thereafter, a Securities Consolidated Account Statement (SCAS) for each calendar month shall be sent by the depositories on or before 10th of the succeeding month to those Unit holder(s) in whose demat account(s) or folio(s), transaction(s) has / have taken place during the month. In case there is no transaction in any of the mutual fund folios and demat accounts then SCAS with holding details shall be sent to the investor on half yearly basis.</p> <p>Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding. Based on the PANs provided by the AMCs / MF-RTAs, the Depositories shall match their PAN database to determine the common PANs and send statements to such investors.</p> <p>Where statements are presently being dispatched by email either by the Mutual Funds or by the Depositories, SCAS shall be sent through email. However, where an investor does not wish to receive SCAS through email, option shall be given to the investor to receive the SCAS in physical form at the address registered in the Depository system.</p> <p>Investors who hold mutual fund folios but do not have demat accounts, CAS shall continue to be sent to you by the AMC/its RTA as is being presently done. However, for folios not included in SCAS, the Fund will henceforth issue a separate fund specific Account Statement to the Unitholders on a monthly basis, pursuant to any financial transaction in such folios on or before 10th day of succeeding month, by email to the registered email address. In the event that the registered email address of the Unitholder is not available with the Fund, a physical statement will be sent. Further, an e-CAS will be sent either to the email id updated in KYC records or to the email id available in the last transacted folio. Unitholders are requested to update a common email id across all folios with different mutual funds and also in their KYC records.</p> <p>In case of any specific request received from the unit holder(s), the AMC / Fund will provide the account statement to the unit holder(s) within 5 Business Days from the receipt of such request.</p> <p>Allotment Advice (for demat holders)</p> <p>An allotment advice will be sent upon allotment of Units stating the number of Units allotted to each of the Unit holder(s) who have opted for allotment in dematerialized mode within 5 days from the date of closure of the NFO Period. The Units allotted will be credited to the DP account of the Unit holder as per the details provided in the application form. It may be noted that trading and settlement in the Units of the Scheme over the stock exchange(s) (where the Units are listed) will be permitted only in demat form.</p> <p>Normally, no Unit certificates will be issued. However, if the applicant so desires, the AMC shall issue a non-transferable Unit certificate to the applicant upon receipt of request for the certificate.</p> <p>Annual Financial Results: A schemewise Annual Report of the Fund or an abridged summary thereof shall be mailed to all Unitholders as soon as may be but not later than 4 months from the date of closure of the relevant accounting year (i.e. 31st March of each year). The abridged / Full schemewise Annual Report shall contain such details as are required under the Regulations / Circular issued thereafter. A full copy of the schemewise / abridged annual report shall be made available for inspection at the Head Office of the Fund and a copy shall be made available to the Unitholders on request, on payment of nominal fees if any. These results shall also be displayed on the website of the Mutual Fund and Association of Mutual Funds in India (AMFI). Full Annual Report shall be disclosed on our website, www.assetmanagement.hsbc.com/in. The Fund shall, from the financial year 2011-12 onwards, send the Scheme wise annual report/abridged summary thereof as under:</p> <ul style="list-style-type: none"> (i) By e-mail only, to those unit holders' whose e-mail address is available with the Fund; (ii) In physical form, to those unit holders' whose email address is not available with the Fund and/or to those unit holders' who have specifically opted/requested for physical report. <p>Unit holders' are therefore requested to update their email address with the Fund to receive annual reports through email.</p> <p>Half Yearly Financial Results and Portfolio Disclosure: The Fund shall within one month from the close of each half year, that is on 31st March and on 30th September, host a soft copy of its unaudited financial results on their website, containing details as specified in Twelfth Schedule of the Regulations and such other details as are necessary for the purpose of providing a true and fair view of the operations of the Fund. The Fund shall publish an advertisement disclosing the hosting of such financial results on their website, in at least one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the Fund is situated.</p> <p>The Fund shall within one month from the close of each half year, that is on 31st March and on 30th September, publish the Plan's portfolio in the prescribed formats in one English daily newspaper circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Fund is situated. The portfolios of the Plan shall also be displayed on the Fund's website at www.assetmanagement.hsbc.com/in and on AMFI's website at www.amfiindia.com, in the formats as prescribed by SEBI.</p>

Date: May 4, 2016.

Important Instructions

- 1) Please refer to the SID, SAI and the KIM of the Scheme carefully before filling the Application Form.
- 2) Please refer the sections on "Who can invest" and "Who cannot invest" for a list of eligible investors in the SID. Applications from investors resident in USA or Canada will not be accepted.
- 3) All applicants are deemed to have accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the Application Form and tendering the payment.
- 4) Investors are requested to use the services of AMFI certified Distributors empanelled with the AMC. The AMC shall not be liable to an Investor, with respect to investments made through non-empanelled Distributors.

If the investor wishes to invest directly, i.e. without involving the services of any agent or broker, "DIRECT" should be mentioned in the space provided for "ARN Number" in the Application Form/Transaction Form. Any subsequent change/update/removal of broker code will be based on the written request from the Unit holder and will be on a prospective basis only from the date when the Registrar executes such written instruction.

Employee Unique Identification Number (EUIIN) : SEBI circular CIR/IMD/DF/21/2012 dated September 13, 2012, required creation of a unique identity number of the employee/ relationship manager / sales person of the distributors interacting with the investor for the sale of mutual fund products, in addition to the AMFI Registration Number (ARN) of the distributor. This has been recommended by SEBI in order to avoid any instance of mis-selling, particularly in advisory based transactions. This would further help tackle the problem of mis-selling even if the employee/relationship manager/sales person leave the employment of the distributor. Due to this regulatory change, all employees of distributors who are involved in sale of mutual fund products are required to obtain an Employee Unique Identification Number (EUIIN). Applications received without a valid EUIIN and/or valid sub-broker code(should be a valid ARN and not an internal code) are subject to rejection by the fund.

- 5) In respect of purchase of units in Equity oriented Schemes with amounts equal to or more than Rs. 2 lakhs, the closing NAV of the business day on which the funds and transaction are available on or before 3 pm shall be applicable.

Indicative yield / portfolio: The AMC and / or its empanelled distributors have not and shall not give Scheme's indicative portfolio / yield in any communication or any manner, whatsoever. Investors are advised not to rely on any communication of indicative portfolio / yield with regard to Scheme's investments.

6) Identification of Ultimate Beneficial Owner (UBO)

Pursuant to SEBI master circular vide ref. no. CIR/ISD/AML/3/2010 dated December 31, 2010 on Anti Money Laundering Standards and guidelines on Identification of Beneficial Ownership issued by SEBI vide its circular ref. no. CIR/MIRSD/2/2013 dated January 24, 2013, investors (other than Individuals) are required to provide details of Ultimate Beneficial Owner(s) ("UBO(s)") and submit proofs to identify the UBO, i.e., Identity and address proof.

Ultimate Beneficial Owner (UBO) is the natural person, who ultimately owns or controls, directly or indirectly your organisation. Controlling ownership interest has been defined as ownership of /entitlement to ;

- a) more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
- b) more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership or,
- c) more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals
- d) In case of Trust, beneficial owners of the trust needs to be known by determining the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.
- e) In case the Investor is a listed company or a subsidiary where the majority is held by a listed company, then the details of shareholders or beneficial owners is not required.
- f) The identification of beneficial ownership in case of Foreign Portfolio Investors (FPIs), their sub-accounts and Multilateral Funding Agencies/Bodies Corporate incorporated outside India with the permission of Government of India/Reserve Bank of India may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012.

Investors (other than Individuals & Listed companies) shall be mandatorily required to submit the following additional documents along with the declaration, to the Fund at the time of an investment transaction. Additionally, investors shall be required to notify the fund, when there is a change in the beneficial ownership:

- Copy of the latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the Company Secretary/Whole time director/MD.
- Documents confirming identity and address of the UBOs of the entity.

Investors are requested to note that, the fund shall reserve the right to seek additional information to ascertain the beneficial or controlling ownership in the entity investing with the fund. Application without the requisite information shall be rejected.

7) Applications under Power of Attorney / Body Corporate / Registered Society / Trust / Partnership

The original Power of Attorney or a duly notarised copy of the Power of Attorney shall be required to be submitted where applications are made under a Power of Attorney.

A company, body corporate, eligible institutions, registered society, trusts, partnership or other eligible non-individuals who apply in the schemes should furnish a certified copy of resolution or authority to make the application as the case may be and a certified copy of the Memorandum and Articles of Association and / or bye-laws and / or Trust Deed and / or Partnership Deed and certificate of registration or any other document as the case may be. In case of a Trust / Fund, it shall submit a certified true copy of the resolution from the Trustee(s) authorising such purchases. The officials should sign the application under their official designation and furnish a list of authorised signatories. All communications and payments shall be made to the First Applicant only.

8) PAN Details (PAN Mandatory for all investments)

As per Securities and Exchange Board of India (SEBI) Circular dated 27 April, 2007 and letter dated 25 June, 2007, Permanent Account Number (PAN) has been made the sole identification number for all participants investing in the securities market, irrespective of the amount of investment.

With effect from 1 January, 2008, for all applicable transactions, investors will need to mention their PAN number on Application Form/Transaction Form. The PAN requirements will be applicable to all joint applicants as well as the Guardian, in case of applications by a Minor. As per SEBI circular no. MRD/DoP/MF Cir-08/2008 dated April 3, 2008 investors residing in the state of Sikkim are exempted from the mandatory requirement of PAN for their investments in Mutual Funds. However, this would be subject to verification of the veracity of the claim of the investors that they are residents of Sikkim, by collecting sufficient documentary evidence.

Accordingly, submission of Form 49A and/ or declaration in Form 60/61 will not be accepted.

9) Prevention of Money Laundering and Know Your Customer (KYC)

KYC is mandatory under the Prevention of Money Laundering Act, 2002 for all investors irrespective of the amount of investment (including Switch, transfer of units, minor to major status change & Systematic Investment Plan / Systematic Transfer Plan registered). SEBI vide circular no. MIRSD/SE/Cir-21/2011 dated October 05, 2011 issued guidelines for uniform KYC requirements for investors while opening accounts with any intermediary in the securities market (w.e.f January 01, 2012). In order to bring this into effect, SEBI has appointed KYC Registration Agencies (KRA's) who will act as a central authority to maintain records of KYC compliant investors in the securities market. This is in order to avoid duplication of KYC process with every intermediary. As a result, once the client has done KYC with a SEBI registered intermediary, he/she need not undergo the same process again with another intermediary.

An investor can fulfill the KYC requirements by filling up the prescribed form and submitting the same along with the other requisite details /proof (attested true copies of supporting documents relating to proof of identity and address for verification with the original) and submit along with the investment application, if not already KYC compliant. Stand alone KYC requests shall not be accepted by the AMC / RTA of AMC. Fresh KYC requests received in the old KYC form will be subject to rejection.

Introduction of In-Person Verification (IPV): SEBI has vide circular no. MIRSD/Cir-26/2011 dated December 23, 2011 mandated IPV for all new clients. The IPV carried out by one SEBI registered intermediary

can be relied upon by another intermediary. It is also stated that, in case of Mutual Funds, the Asset Management Companies (AMCs) and the distributors who comply with the certification process of National Institute of Securities Market (NISM) or Association of Mutual Funds (AMFI) and have undergone the process of 'Know Your Distributor (KYD)', can perform the IPV. However, in case of applications received by the mutual funds directly from the clients (i.e. not through any distributor), the AMCs may also rely upon the IPV performed by the scheduled commercial banks.

Investors investing in the fund are requested to note that. SEBI vide circular no. MIRSD/Cir-5/2012 dated April 13, 2012 & SEBI vide circular CIRMIRSD132013 dated Dec 26, 2013 has advised the following:

- Investors who had obtained KYC prior to 1 January 2012, shall be required to record additional information to comply with the minimum standard KYC information prescribed by SEBI.
- W.e.f 25 June 2014, select information from the standard KRA KYC form will now become a part of the application form of the fund.

A. Individual Investors :

- Investors who had obtained MF CVL KYC prior to 1 January 2012, shall be required to record additional information to comply with the minimum standard KYC information prescribed by SEBI
- Any individual investor (including joint holders, POA holder, Guardian) investing with the fund for the first time or an existing investor whose KYC status is not recorded with the fund at the time of investment, will require to fulfill the following:
 - Update the missing information with any intermediary registered with the KRA and obtain KRA KYC verification.

B. Non-Individual Investors (including Company, Trust, Society etc.) :

W.e.f. 1 December 2012, a non-individual investor investing with the fund for the first time shall be required to mandatorily obtain uniform KRA KYC compliance. Investors need not resubmit the information/documents which are already submitted at the time of registering their MF-KYC with CDSL Ventures Limited. They may mention "Already submitted" in columns where information/documents already submitted. The KRA KYC acknowledgement received thereof should be attached with the investment application.

- C. All Individual & Non-individual investors already invested with the fund and had obtained KYC compliance prior to 1 January 2012, are required to update the above information on KRA records by submitting the required documentation to the fund or its RTA.

Additionally, Investors are requested to note that, if an individual becomes an Investor due to an operation of law, e.g., minor attaining majority and transmission of units upon death of an investor; the claimant/person(s) entering the Register of unit holders of the Fund will be required to be KYC compliant before such transfer can take place.

In accordance with SEBI Circular nos. MIRSD/SE/Cir-21/2011 dated October 5, 2011 and MIRSD/Cir-5/2012 dated April 13, 2012 on Uniform Know Your Client (KYC) read with AMFI Best practices guidelines circular no. 62/2015-16 dated September 18, 2015, it shall be mandatory for existing Unitholders to provide additional KYC information such as Income details, Occupation, Politically Exposed Person status, Net worth etc. as mentioned in the application form as well as complete In-Person Verification (IPV) and provide any missing KYC information, failing which the AMC shall have the authority to reject the transaction for additional subscription (including switches) in their existing folios.

10) Third Party Payments

- a) Third party payments (i.e where payment is made from a source other than that of the first holder) will not be accepted by the Fund, except if made under the following exceptional categories, namely
 - i) as gift by parents/grandparents/related persons in favour of minor, not exceeding Rs. 50,000/-,
 - ii) employer on behalf of employee as payroll deductions or deductions out of expense reimbursements for SIP / Lumpsum investments,
 - iii) Custodian on behalf of FII / client and
 - iv) Payment by Asset Management Company (AMC) to a Distributor empanelled with it on account of commission / incentive etc. in the form of the Mutual Fund Units of the Funds managed by the AMC through Systematic Investment Plans or Lumpsum Investment (w.e.f. January 16, 2012).
 - v) Payment by a Corporate to its Agent / Distributor / Dealer, on account of commission or incentive payable for sale of its goods / services, in the form of the Mutual Fund Units through Systematic Investment Plan or Lumpsum Investment (w.e.f. April 20, 2015). In such cases, KYC acknowledgement along with additional declarations will have to be submitted along with the

application form, failing which the application will be rejected. Such declaration to be submitted in original & in the prescribed standard format and unique across each lumpsum investment. (Declaration formats can be obtained from ISCs or downloaded from the Fund's website.)

- b) In case of payment from a joint bank account, first holder in the folio has to be one of the joint holders of the bank account from which the payment is made. Hence, joint holders may pre-register their bank accounts (single/multiple) with the AMC/RTA, by completing the Multiple Bank Account Registration Form, if they intend to make payment on behalf of other joint holder(s) in the folio. In such cases the application will be accepted and not treated as a third party payment.
- c) Where the payment instrument does not mention the bank account holders name/s, investor should attach bank pass book copy/bank statement/bank letter to substantiate that the first unit holder is one of the joint holders of the bank account. Where a payment is through a pre-funded instrument, a bank certification of the bank account no. and account holders name should be attached, in the required format. Pre-funded instrument issued against cash shall not be accepted for investments of Rs. 50,000 or more.

For RTGS/NEFT/online bank transfer etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application.
- d) The AMC reserves the right to reject the application, post acceptance of the same, if any of the requisite documents / declarations are unavailable or incomplete, in which case the AMC shall refund the subscription money. No interest will be payable on the subscription money refunded. Refund orders will be marked "A/c. payee only" and will be in favour of and be despatched to the Sole / First Applicant, by courier / speed post / registered post.

- 11) Subject to the SEBI (MF) Regulations, any application for Units may be accepted or rejected in the sole and absolute discretion of the Trustee. The Trustee may inter alia reject any application for the purchase of Units if the application is invalid or incomplete or if the Trustee for any other reason does not believe that it would be in the best interest of the Scheme or its Unit holders to accept such an application.

12) NRIs, Persons of Indian Origin, FIIs

The Foreign Exchange Management (Transfer or Issue of Security by a Person Resident Outside India) Regulations, 2000 (the "FEMA Regulations") permit a NRI/ POI to purchase on repatriation or non-repatriation basis, without limit, units of domestic mutual funds. Payment for such units must be made either by cheque or demand draft by means of: (i) inward remittance through normal banking channels; or (ii) out of funds held in the NRE / FCNR account, in the case of purchases on a repatriation basis or out of funds held in the NRE / FCNR / NRO account, in the case of purchases on a non-repatriation basis.

Investments by NRIs / FIIs shall be in accordance with the prevailing laws governing such investments.

Payment by the FII must be made either by inward remittance through normal banking channels or out of funds held in foreign currency account or non resident rupee account maintained by the FII with a designated branch of an authorised dealer in terms of paragraph 2 of Schedule 2 to the FEMA Regulations.

13) Confirmation under the Foreign Account Tax Compliance Act (FATCA) for determining US person status (MANDATORY for ALL unitholders)

The United States Department of the Treasury and the US Internal Revenue Service (IRS) has introduced The Foreign Account Tax Compliance Act (FATCA), effective 1 July 2014. The purpose of FATCA is to report financial assets owned by United States persons to the United States tax authorities. Accordingly, Government of India may collect information from banks and financial institutions and onward submit it to United States authorities.

All Investors including non-individual investors, shall be required to submit a mandatory declaration form along with the investment request confirming their status against a list of US indicia's. The indicia's are to identify a United States Person as defined under the Laws of the United States of America. The absence of these completed documentations may prevent us from accepting the investment and may require us to redeem existing investments in case the same is mandated by AMFI/ SEBI. We may also be required to report information relating to these folios to the authority established by the Government of India.

In case of any change in the information such as address, telephone number, citizenship, etc., investors are requested to bring this to the notice of the fund and submit the FATCA declaration form (available on the fund website). AMC reserves the right to seek additional information/ documents in order to ascertain your status.

Common Reporting Standards:

India has joined the Multilateral Competent Authority Agreement (MCAA) on automatic exchange of financial information in Tax Matters, commonly known as Common Reporting Standards ('CRS'). All countries which are signatories to the MCAA are obliged to exchange a wide range of financial information after collecting the same from financial institutions in their jurisdiction.

In accordance with Income Tax Act read with SEBI Circular nos. CIR/MIRSD/2/2015 dated August 26, 2015 and CIR/MIRSD/3/2015 dated September 10, 2015 regarding implementation of CRS requirements, it shall be mandatory for all new investors to provide details and declaration pertaining to CRS in the application form, failing which the AMC shall have authority to reject the application.

14) Nomination Details

Applicants applying for Units singly/jointly can also make the nomination at the time of initial investment. Investors are advised to consider availing nomination facility in their own interest.

- a) The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate.
 - b) Where a folio has joint holders, all joint holders should sign the request for nomination/cancellation of nomination, even if the mode of holding is not "joint". Nomination form cannot be signed by Power of attorney (PoA) holders.
 - c) There can be no nomination in a folio held in the name of minor.
 - d) The investors/Unitholders are requested to note that a maximum of three nominees can be registered for a Folio. In case of multiple nominees, the 'Share/Ratio' of nomination has to be clearly specified in the nomination form/request letter. If the 'Share/Ratio' of nomination is not explicitly stated, then the nomination shall be treated at 'Equal Share / Ratio'.
- e) A minor can be nominated and in that event, the name, address and PAN of the Guardian of the minor nominee (in case the minor does not have PAN) shall be provided by the Unitholder.
 - f) The Nominee shall not be a trust, (other than a religious or charitable trust) society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange controls in force, from time to time. Nomination can also be made in favour of the Central Government, State Government, Local Authority, any person designated by virtue of his office or a religious or charitable trust.
 - g) Nomination in respect of the Units stands rescinded upon the transmission of Units.
 - h) Transmission of Units in favour of a Nominee shall be valid discharge by the AMC /Mutual Fund/Trustees against the legal heir.
 - i) The cancellation of nomination can be made only by those individuals who hold Units on their own behalf singly or jointly and who made the original nomination.
 - j) On cancellation of the nomination, the nomination shall stand rescinded and the AMC/Mutual Fund/Trustees shall not be under any obligation to transmit the Units in favour of the Nominee.
 - k) The rights in the units will rest in the Nominee(s) only upon death of all Unitholders.
 - l) Every new nomination for a folio/account will overwrite the existing nomination.
 - m) Nomination shall be mandatory for new folios/accounts opened by individual especially with sole holding and no new folios/ accounts for individuals in single holding should be opened without nomination.
 - n) In case of investors opting to hold the Units in demat form, the nomination details provided by the investor to the depository participant will be applicable.

Instructions for filling up the Application Form

1. General Information

- a) Investors adopting the ASBA (Applications Supported by Blocked Amount) route for payment, may use the ASBA Application form attached, while other investors may use the normal application form to be used by non- ASBA investors.
- b) The Application Form should be completed in ENGLISH in BLOCK LETTERS only. Please Tick (✓) in the appropriate box (), where boxes have been provided.
- c) Please do not overwrite. For any correction / changes (if any) made on the application form, applicants are requested to authenticate the same by canceling and re-writing the correct details and counter-signed by the sole / all applicants.
- d) In view of the RBI Circular - DPSS.CO.CHD.No.1832/01.07.05/2009-10 dated 22nd February 2010, kindly note the following:
No changes / corrections should be carried out on the cheques. For any change in the payee's details, amount in figures or amount in words, etc., fresh cheque would be required. Cheque with alterations / corrections will not be honoured effective 1st July, 2010.

2. Applicant's Information

Applicants must provide all the details under Section 2 of the Application Form.

- (i) Name and address must be given in full (P.O. Box Address alone is not sufficient).
- (ii) Non-Resident Investors and Foreign Nationals should mandatorily state their complete overseas address in the application form else the application will be rejected. Further, Investors are requested to note that in case information with regard to USA or Canada residence is subsequently received from the investor by way of change of address or obtained from KRA database, the AMC at a later date reserves the right to redeem the investments after providing due notification to such investor.
- (iii) Please provide the name of the Contact Person in case of investments by a Company / Body Corporate / Partnership Firm / Trust / Society / FIs / Association of Persons / Body of Individuals.
- (iv) If the application is on behalf of a Minor, the Guardian's Name and date of birth of the Minor should be provided.
- (v) The minor shall be the first and the sole holder in an account. There shall not be any joint accounts with minor as the first or joint holder.

3. Bank Account Details / Multiple Bank Account Registration

Investors to ensure the name in the application form and in their bank account are the same. Applicants should provide the name of the bank, branch address, account type and account number of the Sole/First Applicant. As per SEBI guidelines, **it is mandatory for investors to mention their bank account details in the Application Form.** AMC will endeavour to directly credit redemption/ dividend proceeds in customer's bank account wherever possible.

For NRI investors - Kindly also provide the FIRC letter from your banker (i.e. source of funds confirmation) if your account type is NRE.

Applications without this information will be rejected.

Multiple Bank Accounts Registration / Deletion Facility:

1. The fund offers its investors' facility to register multiple bank accounts for pay-in & payout purposes and designate one of the bank account as "Default Bank Account". This facility can be availed by using a designated **Multiple Bank Accounts Registration/Deletion Form** (available at the ISCs or downloaded from the Fund's website). In case of new investors, the bank account mentioned on the purchase application form, used for opening the folio, will be treated as default bank account till the investor gives a separate request to register multiple bank accounts and change the default bank account to any of other registered bank account. Registered bank accounts may also be used for verification of pay-ins (i.e. receiving of subscription funds) to ensure that a third party payment is not used for mutual fund subscription. Default Bank Account will be used for all dividends and redemptions payouts unless investor specifies one of the existing registered bank account in the redemption request for receiving redemption proceeds.
2. **Bank Account Details with Redemption Request:** Please note the following important points related to payment of redemption proceeds:
 - a. Proceeds of any redemption request will be sent only to a bank account that is already registered and validated in the folio at the time of redemption transaction processing.
 - b. Unit holder(s) may choose to mention any of the existing registered bank accounts with redemption request for receiving redemption proceeds. If no registered bank account is mentioned, default bank account will be used.
 - c. Updation of Bank Account in a customer's account / folio should be submitted either using the Multiple Bank Account Registration Form or the standalone Change of Bank Mandate form only. Hence, any form containing redemption request will not have the facility to change the bank mandate or update a new bank mandate.
The Fund will continue to follow a cooling period policy whenever any change of Bank Mandate request is received/processed few days prior to submission of a redemption request.

- d. Unit holders will be required to submit a valid request for a change in bank account details along with a cancelled original cheque leaf of the new bank account. Unit holders should without fail cancel the cheque and write 'Cancelled' on the face of it to prevent any possible misuse.

Where such name is not printed on the original cheque, the Unit holder may submit a letter from the bank on its letterhead certifying that the Unit holder maintains/maintained an account with the bank, the bank account information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available). In case of non-availability of any of these documents, an attested copy of the bank pass book or a statement of bank account having the name and address of the account holder and account number.

Following documents in originals/attested copies will be mandatorily required to effect Change in Bank account details: (Original of any one of the following documents or originals should be produced for verification of self-attested copy or copy should be attested by the Bank).

- i. Cancelled original cheque of the new bank mandate with first unit holder name and bank account number printed on the face of the cheque.
 - ii. Latest bank statement not older than 3 months containing the first unit holder name of the investor, bank account number
 - iii. Latest bank passbook with current entries not older than 3 months containing the first unit holder name of the investor, bank account number.
 - iv. Bank Letter in original duly signed by branch manager/authorized personnel in their letter head or bank seal affixed containing the first unit holder name of the investor, bank account number.
 - e. Any request without the above mentioned documents will be treated invalid and will not be acted upon and any financial transaction, including redemptions, will be carried with the previous details only. Valid change of bank mandate requests with supporting documents will be processed within ten days of documents reaching the head office of the Registrar and any financial transaction request received in the interim will be carried with previous details only.
 - f. Unitholder(s) are strongly advised to register their various bank accounts and continuously update the bank account details with the mutual fund, using this facility well in advance and specify any one of registered bank account for payment of redemption proceeds with each redemption request. If any of the registered bank accounts are closed / altered, please intimate such change with an instruction to delete / alter it from of our records using this form.
 - g. The registered bank accounts will also be used to identify the pay-in proceeds. Hence, unit holder(s) are advised to register their various bank accounts in advance using this facility and ensure that payments for ongoing purchase transactions are from any of the registered bank accounts only, to avoid fraudulent transactions and potential rejections due to mismatch of pay-in bank details with the accounts registered in the folio
 - h. If in an NRI folio, subscription investments are vide SB or NRO bank account, the bank account types for redemption can be SB or NRO only. If the purchase investments are made vide NRE account(s), the bank accounts types for redemption can be SB/ NRO / NRE.
 - i. HSBC Mutual Fund, the AMC or its registrar and other service providers shall not be held liable for any loss arising to the unit holder(s) due to the credit of the redemption proceeds into any of the bank accounts registered in the folio.
 - j. HSBC Mutual Fund, the AMC and its registrar shall not be held liable for any loss arising to the Unitholder(s) on account of inadequate or incomplete documentation resulting in delay or rejection of the request.
 - k. In case a redemption request is received before the change of bank details has been validated and registered, the redemption request would be processed to the currently registered (existing on fund records) bank account. In such a case the Trustee / AMC will not be responsible in case of old bank mandate being frozen / locked by the bank for any purpose including non-maintenance of adequate balance.
- 3. Bank Mandate Registration as part of new folio creation:** Investor(s) or Unit Holder(s) are requested to note that any one of the following documents shall be submitted by the investor(s) or Unit Holder(s), in case the cheque/Fund Transfer Request provided along with fresh subscription / new folio creation does not belong to the bank mandate specified in the application form:
1. Cancelled original cheque with first unit holder's name and bank account number printed on the face of the cheque [or]
 2. Self-attested copy of latest bank statement/passbook (not older than 3 months) duly attested by the bank manager with name, branch seal, signature and designation [or]
 3. Bank Letter in original duly signed by the branch manager/authorized personnel confirming the investor(s)/Unit holder(s) details and bank mandate information

[Note: Self-attested copies of the documents can be submitted with original produced for verification at any of branch of HSBC Asset Management (India) Private Limited (the AMC)]

Where such additional document(s) are not provided for the verification of bank account, the AMC reserves the right to capture the bank account used towards subscription for the purpose of redemption and dividend payments.

4. Investment and Payment Details

- a) The application amounts can be tendered by cheque / demand drafts payable locally at any of the ISCs and designated collection centres. Application Forms accompanied with outstation cheques / stockinvests / postal orders / money orders / cash will not be accepted.
- b) All cheques and bank drafts must be drawn in the name of the Scheme e.g. "HSBC Fixed Term Series 126" or "HFTS 126" and crossed "Account Payee only". A separate cheque or bank draft must accompany each Application. Please note that amount in words and figures on the cheque must tally and should not be in local languages.

Kindly note that post-dated cheques are not allowed.

- c) If no indication is given for the investment the default Option will be as follows :

Indication not made / incorrectly made regarding	Default
Scheme Name	As indicated on the application form
Distributor code incorrect or not mentioned / Distributor code mentioned and 'Direct Plan' indicated against scheme	Direct Plan
Dividend Payout/ Growth option	Growth option
Mode of holding (in cases where there are more than one applicant)	Joint
*Demat account details	Units will be held in physical mode

* For Investors, who wish to opt for Demat mode, the applicants under the Scheme (including a transferee) will be required to have a beneficiary account with a DP of NSDL / CDSL and will be required to indicate in the application the DP's name, DP ID Number and its beneficiary account number with DP. In the absence of the information (including incomplete information) in respect of DP ID / BO ID, the application will be processed with statement option as 'physical' only.

- d) Bank charges for outstation demand drafts will be borne by the AMC and units will be allotted inclusive of the DD charges incurred. The above will be limited to the bank charges as per table below.

Amount	DD Charges
Upto ₹ 10,000/-	At actuals, subject to a maximum of ₹ 65/-
Above ₹ 10,000/-	At ₹ 3.50/- per ₹ 1,000/-. Minimum ₹ 65/- and Maximum ₹ 12,500/-

However, such Demand Draft charges would be borne by the AMC only when the investor is not residing in any of the locations where the AMC or CAMS have official points of acceptance and the Demand draft has not been issued at the AMC / CAMS point of acceptance. Such demand drafts should be payable at the AMC / CAMS location where the investment application is submitted. The AMC will not entertain any request for refund of demand draft charges.

With regard to Broker Code, default Plan as per the following table will apply to investors.

Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured
Not mentioned	Not mentioned	Direct Plan
Not mentioned	Direct	Direct Plan
Not mentioned	Regular	Direct Plan
Mentioned	Direct	Direct Plan
Direct	Not Mentioned	Direct Plan
Direct	Regular	Direct Plan
Mentioned	Regular	Regular Plan
Mentioned	Not Mentioned	Regular Plan

In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.

e) ASBA Facility

As per SEBI circular dated March 15, 2010 read with circular dated July 28, 2010, investors can subscribe to the NFO through ASBA facility for NFOs launched on or after October 1, 2010. The ASBA facility shall co-exist with the current process, wherein cheques / demand drafts are accepted by the Mutual Fund as a mode of payment for subscription. ASBA is an application containing an authorisation given by the investor to block the application money in his specified bank account towards the subscription of Units

offered during the NFO of the Scheme. Thus, for an investor who applies through ASBA facility, the application money blocked towards subscription of Units shall be debited only if his / her application is selected for allotment of Units. The ASBA facility is offered by selected Self Certified Syndicate Banks (SCSBs) which are registered with SEBI for offering the facility, and whose names appear in the list of SCSBs on the website of NSE, BSE and SEBI. Investors are requested to check with their respective banks about the availability of the ASBA facility. Investors adopting the ASBA route may only fill up the ASBA application form.

5. Transaction Charges

In accordance with SEBI circular No. Cir/IMD/DF/13/2011 dated August 22, 2011, the Fund will deduct Transaction Charges from the investment amount received from the investors investing through a valid ARN Holder i.e. AMFI registered Distributor (provided the Distributor has opted to receive the Transaction Charges for a specific product type). Transaction Charge of Rs. 150 (for a first time investor across mutual funds) or Rs. 100 (for investor other than first time mutual fund investor) per purchase/subscription of Rs. 10,000 and above are deductible from the purchase/subscription amount and payable to the Distributor. The balance amount shall be invested.

6. Process and requirements for Change of Address

AMFI vide its circular 26/2011-12 dated 21 March 2012 has advised uniform procedure to be followed for Change of address in Mutual Fund folios. The following documents will be mandatorily required to effect Change in Address :

a. For Non-KYC compliant folios / clients:

- Proof of new Address (POA), and
- Proof of Identity (POI): PAN card copy if PAN is updated in the folio, or PAN / other proof of identity if PAN is not updated in the folio.

b. For KYC compliant folios / clients:

- Proof of new Address (POA),
- Any other document / form that the KRA may specify form time to time.

Copies of documents submitted must be self-attested and accompanied by originals for verification. If originals are not produced, copies should be properly attested / verified by entities authorized for attesting / verification of documents as per extant KYC guidelines.

Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.
2. Utility bills like Telephone Bill (only land line),Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary public / Elected representatives to the Legislative Assembly or Parliament / Documents issued by any Govt. or Statutory Authority.
6. Identity card / document with address, issued by any of the following: Central / State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. The proof of address in the name of the spouse may be accepted.

List of people authorized to attest the Proof of Address and Proof of identity after verification with the originals:

1. Authorised officials of Asset Management Companies (AMC).
2. Authorised officials of Registrar & Transfer Agent (R&T) acting on behalf of the AMC.
3. KYD compliant mutual fund distributors.
4. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial /Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
5. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy / Consulate General in the country where the client resides are permitted to attest the documents.
6. Government authorized officials who are empowered to issue Apostille Certificates.

7. Mode of Holding

Demat / Non-Demat Mode: Investors have the option to hold the units in demat form. Please tick the relevant option of Yes / No for opting / not opting units of the Plan in demat form. In no option is exercised, "No" will be the default option.

Investor can hold units in demat / non-demant mode. In case investor did not provide demat account details or details of DP ID / BO ID, provided by the investor, is incorrect or demat account is not activated or not in active status, the units would be allotted in non demat mode.

The investor can dematerialize his / her holdings in non demat mode. Similarly, investor can rematerialize holdings in demat mode. All expenses in connection with conversion from demat to remat mode or remat to demat mode will have to be incurred by the investors.

Allotment letters would be sent to investors who are allotted units in demat mode and Statement of Accounts would be sent to investors who are allotted units in non demat mode.

This being a Closed Ended Scheme, no premature redemption can be made through redemption instruction to the Mutual Fund until Maturity. However, the Scheme provides for liquidity through listing on the NSE (and any other recognized stock exchange where the units are listed). Unitholders who intend to avail of the facility to trade in units are required to have a Demat Account. Unitholders holding units in SOA form and desires to trade in

the units, can do so by dematerialising the SOA through depositories. For conversion of Mutual Fund units represented by SOA into dematerialized form or vice-versa, the unitholders are required to approach depositories. Currently, the units are listed at National Stock Exchange (NSE).

8. Declaration and Signatures

- Signature should be in black or blue ink only.
- Signatures should be in English or in any Indian language. Thumb impressions and Signatures in languages not specified in the Eight Schedule of the Constitution of India should be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his / her official seal. In case of HUF, the Karta will sign on behalf of the HUF.
- Applications on behalf of minors should be signed by their Guardian.

HSBC Mutual Fund / AMC, reserves the right to reject any application inter alia in the absence of fulfilment of regulatory requirements, fulfilment of requirements of the SID, SAI and furnishing necessary information to the satisfaction of the Mutual Fund / AMC.

CHECKLIST FOR DOCUMENTATION

Documents	Individuals	NRIs	Minors	Companies / Body Corporates	Trusts	Societies	HUF	Partnership Firms	FPIs	Investments through Constituted Attorney
1. Certificate of Incorporation/Registration				✓	✓			✓	✓	
2. Resolution/Authorisation to invest				✓	✓	✓		✓	✓	
3. List of Authorised Signatories with Specimen Signature(s)				✓	✓	✓		✓	✓	✓
4. Memorandum & Articles of Association				✓						
5. Trust Deed					✓					
6. Bye-Laws						✓				
7. Partnership Deed/Deed of Declaration							✓	✓		
8. Notarised Power of Attorney										✓
9. Proof of PAN and KYC (including for guardian)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
10. Overseas Auditor's Certificate (applicable for DTAA)		✓							✓	
11. Foreign Inward Remittance Certificate		✓							✓	
12. Date of Birth Certificate or School Living Certificate or Passport of Minor			✓							
13. Document evidencing relationship with Guardian			✓							
14. Declaration for Identification of Beneficial ownership				✓	✓	✓		✓	✓	
15. FATCA / CRS	✓	✓	✓	✓	✓	✓	✓	✓	✓	

All documents for entities above should be originals/true copies certified by the Director/Trustee/Company Secretary/Authorised Signatory/Notary Public.

OFFICIAL POINTS OF ACCEPTANCE OF TRANSACTION REQUESTS

HSBC MUTUAL FUND

● **Ahmedabad** : Mardia Plaza, CG. Road, Ahmedabad - 380 006. ● **Bengaluru** : No. 7, Hsbc Center, M.G. Road, Bengaluru - 560 001. ● **Chennai** : No. 30, Rajaji Salai, 2nd Floor, Chennai - 600 001. ● **Hyderabad** : 6-3-1107 & 1108, Rajbhavan Road, Somajiguda, Hyderabad - 50082. ● **Kolkata** : Jasmine Tower, 1St Floor, 31, Shakespeare Sarani, Kolkata - 700 017. ● **Mumbai** : 16, V.N. Road, Fort, Mumbai - 400 001 ● **New Delhi** : 3Rd Floor, East Tower, Birla Tower, 25, Barakhamba Road, New Delhi - 110 001. ● **Pune** : Amar Avinash Corporate City, Sector No. 11, Bund Garden Road, Pune - 411011.

CAMS SERVICE CENTRES / CAMS LIMITED TRANSACTION POINTS / CAMS COLLECTION CENTRES

For details on CAMS Service Centres, CAMS Limited Transaction Points and CAMS Collection Centres, please visit www.camsonline.com or call us on 1800 200 2434 AND Investors calling from abroad may call on - +91 44 39923900 to connect to our customer care centre.

CAMS Limited Transaction Points and CAMS Collection Centres have limited operating hours from 12:00 p.m. to 3 p.m. Collection Centres only accept application forms and service requests. For any enquiries, customers transacting at these locations are requested to call the nearest CAMS Service Center or the National Toll Free Customer Support number.

Toll Free Number : 1800 200 2434 (can be dialled from all phones within India)

AND Investors calling from abroad may call on - +91 44 39923900 to connect to our customer care centre.

For more details on visit : www.camsonline.com

ASBA

All Self Certified Syndicated Banks (SCSBs) and their branches, through which Applications Supported by Blocked Amounts (ASBA) are sourced shall also be considered as Official Points of Acceptance for ASBA Applications.

Please check our website www.assetmanagement.hsbc.com/in for an updated list of Official Points of Acceptance of HSBC Mutual Fund.

Please note that during the NFO period, switch transactions (switch-out from an existing Scheme of HSBC Mutual Fund to HFTS 126 should be submitted only at HSBC Mutual Fund Investor Service Centres listed above.

3c. For Individuals [Tick (✓) if applicable] :	For Non-Individual Investors (Companies, Trust, Partnership etc.) :
<input type="checkbox"/> Politically Exposed Person (PEP)	I. Is the company a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company (If No, please attach mandatory UBO Declaration) <input type="checkbox"/> Yes <input type="checkbox"/> No
<input type="checkbox"/> Related to a Politically Exposed Person (PEP)	II. Foreign Exchange / Money Changer Services <input type="checkbox"/> Yes <input type="checkbox"/> No
<input type="checkbox"/> Not Applicable	III. Gaming / Gambling / Lottery/ Casino Services <input type="checkbox"/> Yes <input type="checkbox"/> No
	IV. Money Lending / Pawning <input type="checkbox"/> Yes <input type="checkbox"/> No
3d. For Non Individual Investors - Identification of Beneficial Ownership	Mandatory UBO Declaration form duly filled and signed attached. (Not Required for a Listed Company or Subsidiary of Listed Company or Controlled by a Listed Company) <input type="checkbox"/> Yes <input type="checkbox"/> No

4 CONTACT DETAILS AND CORRESPONDENCE ADDRESS

Address for Correspondence † [P.O. Box Address is NOT sufficient] (Should be same as in KRA records)

City	Country	Pin Code
State		

Contact Details	Phone	O	Extn.	Fax
	R		Mobile	
e-mail				

† On providing e-mail id investors shall receive scheme wise annual report or an abridged summary thereof / account statements / statutory & other documents and marketing material by email

Overseas Address / Registered Address in case of Non-Individual investors

(Mandatory in case of NRI / FII applicant in addition to mailing address) (Should be same as in KRA records)

State	Country (Mandatory)	City	Zip Code
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5 JOINT APPLICANTS, IF ANY AND THEIR DETAILS (Please tick (✓) wherever applicable)

Mode of Holding (✓) Single Joint (Default if not mentioned) Anyone or Survivor

NAME OF SECOND APPLICANT (Not applicable if Sole / First Applicant is a Minor and Second Applicant cannot be a Minor) **Are you a resident of Canada?** (✓) Yes No †† Default if not ticked.

Mr Ms M/s	Should match with PAN Card
-----------	----------------------------

PAN** (Mandatory) Enclosed (✓) PAN Card Copy KYC Compliance Proof*

Date of Birth [D D M M Y Y Y Y] Nationality Country of Residence

a. Occupation (please ✓) : Private Sector Service Public Sector Service Government Service Professional Agriculturist Retired Housewife Student Business [Nature of Business] Doctor Forex Dealer Money lender Casino Owner Arms manufacturer Gambling services offerer Money lender Pawn Broker Others [Please specify]

b. Gross Annual Income (please ✓) : Below ₹ 1 Lac ₹ 1-5 Lacs ₹ 5-10 Lacs ₹ 10-25 Lacs ₹ 25 Lacs - ₹ 1 Crore > ₹ 1 Crore **OR** **Net-worth in Rupees** (Mandatory for Non-Individuals) ₹ Net-worth should not be older than 1 year

c. Others (please ✓) : Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP) Not Applicable

NAME OF THIRD APPLICANT (Not applicable if Sole / First Applicant is a Minor and Third Applicant cannot be a Minor) **Are you a resident of Canada?** (✓) Yes No †† Default if not ticked.

Mr Ms M/s	Should match with PAN Card
-----------	----------------------------

PAN** (Mandatory) Enclosed (✓) PAN Card Copy KYC Compliance Proof*

Date of Birth [D D M M Y Y Y Y] Nationality Country of Residence

a. Occupation (please ✓) : Private Sector Service Public Sector Service Government Service Professional Agriculturist Retired Housewife Student Business [Nature of Business] Doctor Forex Dealer Money lender Casino Owner Arms manufacturer Gambling services offerer Money lender Pawn Broker Others [Please specify]

b. Gross Annual Income (please ✓) : Below ₹ 1 Lac ₹ 1-5 Lacs ₹ 5-10 Lacs ₹ 10-25 Lacs ₹ 25 Lacs - ₹ 1 Crore > ₹ 1 Crore **OR** **Net-worth in Rupees** (Mandatory for Non-Individuals) ₹ Net-worth should not be older than 1 year

c. Others (please ✓) : Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP) Not Applicable

POA HOLDER DETAILS* (If the investment is being made by a Constituted Attorney please furnish Name and PAN of PoA holder)

Mr Ms M/s	Should match with PAN Card
-----------	----------------------------

PAN** (Mandatory) Enclosed (✓) PAN Card Copy KYC Compliance Proof*

PoA copy notarised or the original copy of PoA needs to be submitted in case of Investment through PoA.

Nationality Country of Residence

a. Occupation (please ✓) : Private Sector Service Public Sector Service Government Service Professional Agriculturist Retired Housewife Student Business [Nature of Business] Doctor Forex Dealer Money lender Casino Owner Arms manufacturer Gambling services offerer Money lender Pawn Broker Others [Please specify]

b. Gross Annual Income (please ✓) : Below ₹ 1 Lac ₹ 1-5 Lacs ₹ 5-10 Lacs ₹ 10-25 Lacs ₹ 25 Lacs - ₹ 1 Crore > ₹ 1 Crore **OR** **Net-worth in Rupees** (Mandatory for Non-Individuals) ₹ Net-worth should not be older than 1 year

c. Others (please ✓) : Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP) Not Applicable

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CALL US AT

HSBC MUTUAL FUND INVESTOR SERVICE CENTRES:

• **Ahmedabad** : Mardia Plaza, CG. Road, Ahmedabad - 380 006. • **Bengaluru** : No. 7, Hsbc Center, M.G. Road, Bengaluru - 560 001. • **Chennai** : No. 30, Rajaji Salai, 2nd Floor, Chennai - 600 001. • **Hyderabad** : 6-3-1107 & 1108, Rajbhavan Road, Somajiguda, Hyderabad - 50082. • **Kolkata** : Jasmine Tower, 1St Floor, 31, Shakespeare Sarani, Kolkata - 700 017. • **Mumbai** : 16, V.N. Road, Fort, Mumbai - 400 001 • **New Delhi** : 3Rd Floor, East Tower, Birla Tower, 25, Barakhamba Road, New Delhi - 110 001. • **Pune** : Amar Avinash Corporate City, Sector No. 11, Bund Garden Road, Pune - 411011.

TOLL FREE NUMBER : 1800 200 2434 (can be dialled from all phones within India) AND Investors calling from abroad may call on - +91 44 39923900 to connect to our customer care centre.

Contact us at hsbcmf@hsbc.co.in

Visit us at www.assetmanagement.hsbc.com/in

6 BANK ACCOUNT DETAILS (MANDATORY as per SEBI Guidelines) (refer Instruction No. 3 for Multiple Bank Account Registration details)

Core Banking A/c No. A/c. Type (✓) Current Savings NRO* NRE* * For NRI Investors

Bank Name

Branch Address

MICR Code 9 digit number next to your Cheque No. RTGS IFSC Code For Rupees One lakh and above NEFT IFSC Code For less than Rupees One lakh

Please also provide a cancelled cheque leaf of the same bank account as mentioned above. Mentioning your 11 digit RTGS IFSC Code or NEFT IFSC Code, as applicable, will help us transfer the amount to your bank account quicker, electronically.

7 INVESTMENT & PAYMENT DETAILS (Refer Instruction 4)

Scheme Name : **HSBC Fixed Term Series 126 (HFTS 126) Plan (✓)** Regular Direct **Option (✓)** Growth (default) Dividend Payout

Payment Mode Cheque DD RTGS NEFT Fund Transfer

Cheque/DD/RTGS/NEFT No. Cheque/RTGS/NEFT/DD/FT Date D D / M M / Y Y Y Y

Investment Amount (₹) (i) DD charges (₹) (ii)

Total Amount (₹) (iii) (₹ in words)

Cheque / DD Drawn on :

Bank

Branch City

A/c. No. A/c. Type (✓) Current Savings NRO* NRE* * For NRI Investors

The scheme name mentioned on the application form and the cheque has to be the same. In case of any discrepancy between the two, units will be allotted as per the scheme name mentioned on the application only.

Documents attached to avoid Third Party Payment Rejection where applicable : Third Party Declarations Bank Certificate for Pre-funded Instruments

MANDATORY DECLARATION : The details of the bank account provided above pertain to my/our own bank account in my /our name Yes No. If no, my relationship with the bank account holder (✓) Parent Grandparent Employee Custodian Others _____ (Please specify); and the Third Party declaration form is attached (Refer important instruction No. 10 on the Third Party Payments).

8 DEMAT ACCOUNT DETAILS

Please ensure that unit holders are given an option to hold the units in demat form in addition to account statement as per current practice and the sequence of names as mentioned in the application form matches with the Depository Participant.

	NSDL	CDSL
DP Name	<input type="text"/>	<input type="text"/>
DP ID	<input type="text"/> I N <input type="text"/>	<input type="text"/> N A <input type="text"/>
Beneficiary Account No.	<input type="text"/>	<input type="text"/>

(Refer point 5 of Instructions for filling up the Application Form.)

9 NON-INTENTION TO NOMINATE (Mandatory for new Folios of Individuals where mode of holding is single and who do not wish to nominate)

Please ✓ I/We hereby confirm that I/We do not wish to exercise the right of nomination in respect of units subscribed/purchased by me/us.

Signature(s)	Sole/First Applicant	Second Applicant	Third Applicant
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

OR

NOMINATION DETAILS (Mandatory for new Folios of Individuals where mode of holding is single) (ref. Important Instruction 15)

I/We _____ (Unit holder 1),
 _____ (Unit holder 2) and
 _____ (Unit holder 3) *do hereby

nominate the person(s) more particularly described hereunder / and* / cancel the nomination made by me / us on the _____ day of _____ in respect of the Units under Folio No. _____ (*strike out which is not applicable)

Name & Address of Nominee(s)	Date of Birth	Name & Address of Guardian	Signature of Nominee / Guardian of Nominee (Optional)	Proportion (%) in which the units will be shared by each Nominee*
	(To be furnished in case the Nominee is a Minor)			
Nominee 1	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Nominee 2	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Nominee 3	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

* the aggregate total should be 100%.

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CONFIRMATION UNDER THE FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) AND COMMON REPORTING STANDARD (CRS)
[Mandatory for all investors including Unit holder (Guardian in case of minor) and Joint holder(s)]

FATCA / CRS SELF CERTIFICATION FOR INDIVIDUAL INVESTORS (INDIVIDUAL / NRI / HUF / ON BEHALF OF MINOR / PROPRIETORSHIP FIRM)

	Sole / First Applicant Guardian	Second Applicant	Third Applicant
Place & Country of Birth	Place _____ Country _____	Place _____ Country _____	Place _____ Country _____
Type of address given at KRA (Please ✓)	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office	<input type="checkbox"/> Residential or Business <input type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office
Gender			
Father's Name			
Spouse's Name			
Documents required	<input type="checkbox"/> Passport <input type="checkbox"/> Election ID Card <input type="checkbox"/> Government ID Card <input type="checkbox"/> Driving License <input type="checkbox"/> PAN Card <input type="checkbox"/> UIDAI Card <input type="checkbox"/> NREGA Card <input type="checkbox"/> Others (Please specify) _____	<input type="checkbox"/> Passport <input type="checkbox"/> Election ID Card <input type="checkbox"/> Government ID Card <input type="checkbox"/> Driving License <input type="checkbox"/> PAN Card <input type="checkbox"/> UIDAI Card <input type="checkbox"/> NREGA Card <input type="checkbox"/> Others (Please specify) _____	<input type="checkbox"/> Passport <input type="checkbox"/> Election ID Card <input type="checkbox"/> Government ID Card <input type="checkbox"/> Driving License <input type="checkbox"/> PAN Card <input type="checkbox"/> UIDAI Card <input type="checkbox"/> NREGA Card <input type="checkbox"/> Others (Please specify) _____
Identification No. of Document provided			

**** Please indicate all countries other than India in which you are a resident for tax purpose, associated Taxpayer Identification Number and its identification type e.g. TIN etc.**

Country #	Tax Identification Number ^	Identification Type	Country #	Tax Identification Number ^	Identification Type	Country #	Tax Identification Number ^	Identification Type
1			1			1		
2			2			2		
3			3			3		

To also include USA, where the individual is a citizen / green card holder of USA.

^ In case Tax Identification Number is not available, kindly provide its functional equivalent.

FATCA / CRS SELF CERTIFICATION FOR NON-INDIVIDUAL INVESTORS AND THEIR ULTIMATE BENEFICIAL OWNER (UBO)
(COMPANY / TRUST / SOCIETY / PARTNERSHIP FIRM etc.)

Please complete Annexure A & B

11 DECLARATION AND SIGNATURES (In case of joint holding, signatures of all unit holders are mandatory)

FATCA / CRS DECLARATION

I acknowledge and confirm that the information provided with respect to FATCA / CRS is true and correct to the best of my knowledge and belief. I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this form relates. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I am aware that I will be responsible for it. I authorize the Fund to update its records from the FATCA / CRS information provided by me and received by the Fund from other SEBI Registered Intermediaries. Further, I authorize the Fund to share the given information provided by me to the Fund with other SEBI Registered Intermediaries to facilitate single submission / updation. I also undertake to keep the Fund informed in writing about any changes / modification / updation to the above information in future and also undertake to provide any other additional information as may be required at the Fund's end and/or by the domestic tax authorities. I authorize the Fund / AMC / RTA to close or suspend my account(s) under intimation to me for non-submission of documentation.

OTHER DECLARATIONS

Having read and understood the contents of the SID and SAI, I / We hereby apply to the Trustees of HSBC Mutual Fund for units of the Scheme and agree to abide by the terms, conditions, rules and regulations of the Scheme and the above mentioned documents of HSBC Mutual Fund. I / We hereby authorise HSBC Mutual Fund, the AMC and its Agents to disclose my / our details including investment details to my / our bank(s) / HSBC Mutual Fund's Bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us, or to disclose to such other service providers as deemed necessary for conduct of business. I / We express my / our willingness to make payments referred above through participation in ECS / Direct Debit Facility. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I / We would not hold the Fund, the AMC, its service providers or representatives responsible. I / We will also inform the AMC, about any changes in my / our bank account. I / We have read and agreed to the terms and conditions for ECS / Direct Debit.

I / We confirm that I am / we are Non-Residents of Indian Nationality / Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO / FCNR Account (Applicable to NRI).

I / We confirm that the details provided by me / us are true and correct. I / We hereby declare that the amount being invested by me/us in the Scheme(s) is through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any other applicable laws or Notifications issued by any governmental or statutory authority from time to time. I / We acknowledge that the AMC has not considered my / our tax position in particular and that I / we should seek tax advice on the specific tax implications arising out of my / our participation in the Scheme. I / We have understood the details of the Scheme and I / We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I / We confirm that the ARN holder has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us.

I / We confirm that I / We do not have any existing Micro SIP investments which together with the current application will result in aggregate investments exceeding Rs. 50,000/- in a year. (Applicable for Micro SIP investments only).

I / We confirm that I am / We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I / We shall notify the AMC, in which event the AMC reserves the right to redeem my / our investments in the Scheme(s).

We confirm that we have not issued any bearer shares or share warrants. We also confirm that we will inform the AMC if bearer shares or share warrants are issued subsequently.

Sole / First Applicant / Guardian / PoA	Second Applicant / PoA	Third Applicant / PoA
Date <input type="text"/>		

Please write Application Form No. / Folio No. on the reverse of the Cheque / Demand Draft.

Default options will be applied in cases where the information provided is either ambiguous or has any discrepancy.

Annexure A - Ultimate Beneficial Ownership (UBO) Declaration form

[MANDATORY for Non-Individual Applicants/Investors]

This declaration is NOT needed for Companies that are Listed on any recognized stock exchange in India or is a Subsidiary of such Listed Company or is Controlled by such Listed Company



Global Asset Management

A APPLICANT DETAILS:											
Applicant Name											
PAN		Folio Nos.									Application No.

B CATEGORY [tick (✓) applicable category]:

Unlisted Company Partnership Firm LLP Unincorporated association / body of individuals Public Charitable Trust Religious Trust Private Trust/ Trust created by a Will Others [Specify] _____

C DETAILS OF ULTIMATE BENEFICIAL OWNERS (If the given space below is not adequate, please attach multiple declaration forms)

Please list below each controlling person, confirming ALL countries of tax residency / permanent address / citizenship and ALL Tax Identification Numbers for EACH controlling person. If the given rows are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.

Type of Beneficial Ownership (control or Benefit directly or indirectly through a chain of controls or ownerships)

> 25% control of company

> 15% control of Partnership / LLP / Trust / AoP / BoI

If there is no UBO, please declare that there is no holding beneficial interest - striking off the below table and provide signatures under the declaration & signature section.

Sr. No	Name of UBO [Mandatory]	Country of Tax Residency	PAN / Taxpayer Identification Number / Equivalent ID Number	Document Type	% of beneficial interest (Enclose appropriate proof)	Place & Country of Birth / Incorporation	Date of Birth / Incorporation [dd-mm-yyyy]	Address, Address Type* & Contact details [include City, Pin code, State, Country]	Gender [Male, Female, others]	Father's Name	Nationality	Occupation
1.												<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others
2.												<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others
3.												<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others
4.												<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others
5.												<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others

* Address Type should either Residence or Business or Registered Office

I / We acknowledge and confirm that the information provided above is / are true and correct to the best of my / our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I / We aware that I / We may liable for it. I / We hereby authorize you to update your records from the above information received by the Fund or from other SEBI Registered Intermediaries. Further, I authorize you to share the beneficial owner information (in this form) provided by me to the Fund with other SEBI Registered Intermediaries to facilitate single submission / updation. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. In such case, the concerned SEBI registered intermediary reserves the right to reject the application or redeem / reverse the allotment of units, if subsequently it is found that applicant has concealed the facts of beneficial ownership. I / We also undertake to keep you informed in writing about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required at your end.

Date _____	_____	_____
Place _____	Authorized Signatory 1	Authorized Signatory 2
		Authorized Signatory 3

INSTRUCTIONS ON CONTROLLING PERSONS / ULTIMATE BENEFICIAL OWNER

As per SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 regarding Client Due Diligence policy, related circulars on anti-money laundering and SEBI circular No. CIR/MIRSD/2/2013 dated January 24, 2013, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs / UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.

1. For Investors other than individuals or trusts:

- (i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:-
- more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
 - more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership;
 - more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

(ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.

(iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official

2. For Investors which is a trust:

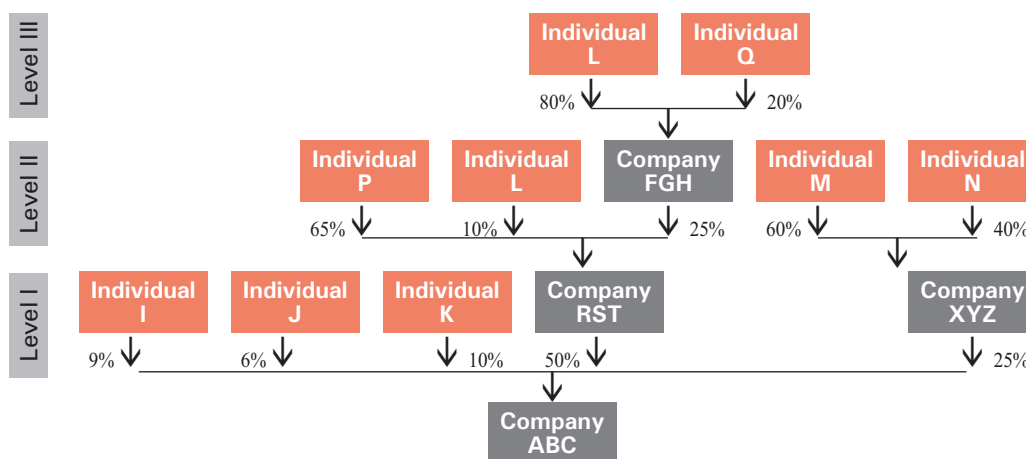
The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

3. Exemption in case of listed companies / foreign investors:

The client or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012, for the purpose of identification of beneficial ownership of the client

ILLUSTRATION FOR ESTABLISHING BENEFICIAL OWNERSHIP

To assist with this process, an example can be found below on how to determine BOs of a company. Here is the ownership structure of Company ABC:



Here is the corresponding calculation of beneficial ownership:

Calculation of ownership

Individual	Company	Level I	Level II	Level III	Effective ownership
Individual I		9%			9%
Individual J		6%			6%
Individual K		10%			10%
Individual M	Company XYZ	25%	60%		15%
Individual N	Company XYZ	25%	40%		10%
Individual L	Company RST	50%	10%		5%
	Company FGH	50%	25%	80%	10%
Individual P	Company RST	50%	65%		32.50%
Individual Q	Company FGH	50%	25%	20%	2.50%
Total =					100%

In the above example, KYC documents & shareholding pattern of Company RST & XYZ required. Additionally KYC documents of Individual P shall be required who holds 32.50% in ABC.

FATCA AND CRS SELF CERTIFICATION FOR NON-INDIVIDUALS

[MANDATORY for Non-Individual Investors]

Please turn over for Definitions / Instructions / Guidance

APPLICANT DETAILS											
Applicant Name:											
PAN				Application No				Folio Nos			

Type of address given at KRA Residential or Business Residential Business Registered Office

INCORPORATION and TAX RESIDENCY DETAILS (Mandatory)

Place of Incorporation:	Country of Incorporation:	Date of Incorporation:
-------------------------	---------------------------	------------------------

Is Entity a tax resident of any country other than India? Yes No

(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below)

#	Country of Tax Residency	TIN or equivalent number^	Identification Type (TIN or Other, please specify)
1			
2			
3			
4			

^ In case Tax Identification Number is not available, kindly provide its functional equivalent
 In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person (as per definition E5), please mention the exemption code in the box (Refer instruction D4):	
--	--

FATCA and CRS DETAILS (Mandatory)

(Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

PART A (to be filled by Financial Institutions or Direct Reporting NFEs)	
We are a, (Please ✓ as appropriate : <input type="checkbox"/> Financial Institution (Refer definition A) or <input type="checkbox"/> Direct reporting NFE (Refer definition B)	GIIN <input type="text"/> Note: If you do not have a GIIN (Global Intermediary Identification number) but you are sponsored by another entity, please provide your sponsor's GIIN above and indicate your sponsor's name below Name of sponsoring entity: <input type="text"/> <input type="checkbox"/> Applied for <input type="checkbox"/> Not required to apply for - please specify 2 digits sub-category <input type="text"/> <input type="text"/> (refer definition C) <input type="checkbox"/> Not obtained – Non-participating FI
GIIN - Not Available If the entity is a financial institution,	

PART B (please fill Any One as appropriate, to be filled by NFEs other than Direct Reporting NFEs)	
Is the Entity a publicly traded company? (that is, a company whose shares are regularly traded on an established securities market) (Refer definition D1)	Yes <input type="checkbox"/> (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange _____
Is the Entity a related entity of a publicly traded company? (a company whose shares are regularly traded on an established securities market) (Refer definition D2)	Yes <input type="checkbox"/> (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Name of listed company _____ Nature of relation: <input type="checkbox"/> Subsidiary of the Listed Company OR <input type="checkbox"/> Controlled by a Listed Company Name of stock exchange _____
Is the Entity an Active NFE? (Refer definition D3)	Yes <input type="checkbox"/> Also provide UBO Form <input type="checkbox"/> Nature of Business _____ Please specify the sub-category of Active NFE <input type="text"/> <input type="text"/> (Mention code - refer D3)
Is the Entity a Passive NFE? (Refer definition E2)	Yes <input type="checkbox"/> Also provide UBO Form <input type="checkbox"/> Nature of Business _____

DECLARATION & SIGNATURE(S)	
I acknowledge and confirm that the information provided with respect to FATCA / CRS is true and correct to the best of my knowledge and belief. I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this form relates. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I am aware that I will be responsible for it. I authorize the Fund to update its records from the FATCA / CRS information provided by me and received by the Fund from other SEBI Registered Intermediaries. Further, I authorize the Fund to share the given information provided by me to the Fund with other SEBI Registered Intermediaries to facilitate single submission / updation. I also undertake to keep the Fund informed in writing about any changes / modification / updation to the above information in future and also undertake to provide any other additional information as may be required at the Fund's end and/or by the domestic tax authorities. I authorize the Fund / AMC / RTA to close or suspend my account(s) under intimation to me for non-submission of documentation.	
Date : ____ / ____ / ____ Place : _____	Authorized Signatories [with Company/ Trust/Firm/Body Corporate seal]

DEFINITIONS/ INSTRUCTIONS / GUIDANCE

- A. Financial Institution (FI)-** The term FI means any financial institution that is a
- 1 Depository institution:** Accepts deposits in the ordinary course of banking or similar business.
 - 2 Custodial institution:** An entity that as a substantial portion of its business, holds financial assets for the account of others and where the entity's gross income attributable to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of- (a) The three-year period ending on December 31 of the year preceding the year in which the determination is made; (b) The period during which the entity has been in existence before the determination is made)
 - 3 Investment entity :** Conducts a business or operates for or on behalf of a customer for any of the following activities: (a) Trading in money market instruments, foreign exchange, foreign currency, etc. (b) Individual or collective portfolio management. (c) Investing, administering or managing funds, money or financial asset on behalf of other persons. [OR] The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described herein. An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of: (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or (ii) The period during which the entity has been in existence.
 - 4 Specified Insurance company:** Entity issuing insurance products i.e. life insurance or cash value products.
 - 5 Holding company or treasury company:** Is an entity that is a holding company or treasury centre that is a part of an expanded affiliate group that includes a depository, custodial institution, specified insurance company or investment entity.
- B. Direct Reporting NFE:** means a Non-financial Entity (NFE) that elects to report information about its direct or indirect substantial U.S. owners to the IRS.
- C. GIIN not required:** Categories with codes

Code	Sub-Category
01	Governmental Entity, International Organization or Central Bank
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund
04	Entity is an Indian FI solely because it is an investment entity
05	Qualified credit card issuer
06	Investment Advisors and Investment Managers
07	Exempt collective investment vehicle
08	Trustee of an Indian Trust
09	I with a local client base
10	Non-registering local banks
11	FI with only Low-Value Accounts
12	Sponsored investment entity and controlled foreign corporation
13	Sponsored, Closely Held Investment Vehicle
14	Owner Documented FI

- D. Non-Financial Entity (NFE):** Entity that is not a financial institution (including a territory NFE). Types of NFEs excluded from FATCA reporting are as below:
- 1. Publicly traded corporation (listed company):** A company is publicly traded if its stock are regularly traded on one or more established securities markets.
 - 2. Related entity of a listed company:** The NFE is a related entity of an entity of which is regularly traded on an established securities market;
 - 3. Active NFE:** (is any one of the following):

Code	Sub-Category
01	Less than 50 percent of the NFE's gross income for the preceding financial year or other appropriate reporting period is passive income and less than 50 percent of the assets held by the NFE during the preceding calendar year or other appropriate reporting period are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for NFE status if the entity functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE is a 'non for profit organization which meets all of the following requirements: <ul style="list-style-type: none"> It is established and operated in its jurisdiction of residence exclusively for religious, charitable, scientific, artistic, cultural, athletic, or

	educational purposes; or it is established and operated in its jurisdiction of residence and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; <ul style="list-style-type: none"> It is exempt from income tax in India; It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
--	--

The applicable laws of the NFE's jurisdiction of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's jurisdiction of residence or any political subdivision thereof.

Code	Sub-Category
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)
B	The United States or any of its agencies or instrumentalities
C	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(f)
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state
G	A real estate investment trust
H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
I	A common trust fund as defined in section 584(a)
J	A bank as defined in section 58
K	A broker
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
M	A tax exempt trust under a section 403(b) plan or section 457(g) plan

E. Other definitions

- 1 Related entity:** An entity is a related entity of another entity if either entity controls the other entity or the two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the vote or value in an entity.
- 2 Passive NFE:** The term passive NFE means any NFE that is not (i) an Active NFE (including publicly traded entities or their related entities), or (ii) a withholding foreign partnership or withholding foreign trust pursuant to relevant U.S. Treasury Regulations. (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)
- 3 Passive income:** The term passive income means the portion of gross income that consists of: (a) Dividends, including substitute dividend amounts; (b) Interest; (c) Income equivalent to interest, including substitute interest and amounts received from or with respect to a pool of insurance contracts if the amounts received depend in whole or part upon the performance of the pool; (d) Rents and royalties, other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFE; (e) Annuities; (f) The excess of gains over losses from the sale or exchange of property that gives rise to passive income described in this section.; (g) The excess of gains over losses from transactions (including futures, forwards, and similar transactions) in any commodities, but not including: (i) Any commodity hedging transaction, determined by treating the entity as a controlled foreign corporation; or (ii) Active business gains or losses from the sale of commodities, but only if substantially all the foreign entity's commodities are property (h) The excess of foreign currency gains over foreign currency losses; (i) Net income from notional principal contracts; (j) Amounts received under cash value insurance contracts; (k) Amounts earned by an insurance company in connection with its reserves for insurance and annuity contracts
- 4 Controlling persons:** Controlling persons are natural persons who exercise control over an entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than trust, such term means persons in equivalent or similar positions. The term "Controlling Persons" shall be interpreted in a manner consistent with the Financial Action Task Force recommendations.
- 5 Specified US Persons -** Any US Person other than i). A publicly traded corporation; ii). A corporation that is a member of the same expanded affiliate group; iii). A tax exempt organization; iv). an individual retirement plan; v). the United States or an agency or instrumentality of the United States; vi). Any state (including District of Columbia and United States possession) or State Authorities; vii). A bank, viii). A real estate investment trust; ix). A regulated investment company; x). an entity registered with the SEC under the Investment Company Act of 1940; xi). A common trust fund; xii). A tax exempt trust; xiii). A registered dealer; xiv). A registered broker
- 6 Expanded affiliated group:** Expanded affiliated group is defined to mean one or more chains of members connected through ownership (50% or more, by vote or value, as the case may be) by a common parent entity if the common parent entity directly owns stock or other equity interests meeting the requirements in at least one of the other members.
- 7 Owner documented FI:** An FI meeting the following requirements: (i) The FI is an FI solely because it is an investment entity; (ii) The FI is not owned by or related to any FI that is a depository institution, custodial institution, or specified insurance company; (iii) The FI does not maintain a financial account for any nonparticipating FI; (iv) The FI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and (v) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 FI, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FI that holds its interest through a participating FI, a deemed-compliant FI (other than an owner-documented FI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

Applications Supported by Blocked Amount (ASBA) Application Form (to be used by investors adopting the ASBA route)

DISTRIBUTOR INFORMATION (Only empanelled Distributors / Brokers will be permitted to distribute Units) Date: _____ Application No. : _____

Broker Name & ARN code	Sub-broker ARN code	Sub Code	EUIN	SCSB	SCSB IFSC Code	Syndicate Member Code	Sl. No.
------------------------	---------------------	----------	------	------	----------------	-----------------------	---------

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investor's assessment of various factors including the service rendered by the distributor.
I / We hereby confirm that the EUIN box has been intentionally left blank by me / us as this transaction is executed without any interaction or advice by the employee / relationship manager / sales person of the above distributor / sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee / relationship manager / sales person of the distributor / sub broker.

Sole / Ist Applicant / Authorised Signatory IInd Applicant / Authorised Signatory IIIrd Applicant / Authorised Signatory

TRANSACTION CHARGES (Please refer point 5 on page 11 regarding transaction charges applicability)

I confirm that I am a First time Investor across Mutual Funds (₹ 150 will be deducted as transaction charges for per purchase of ₹ 10000 & above) I confirm that I am an Existing investor in Mutual Funds (₹ 100 will be deducted as transaction charges for per purchase of ₹10,000 & above)

1 INVESTOR'S DETAILS (Names should be in the same sequence as appearing in your Demat Account. In case of discrepancies, the Application is liable to get rejected.)

Sole / First Applicant's Name Mr Ms M/s _____
Guardian's (If Sole / First Applicant is a Minor) OR **Contact Person's** (In case of Non-Individual Investors only)
Name Mr Ms M/s _____
Sole / First Applicant : PAN _____ **Guardian / Contact Person : PAN** _____
 Are you a resident of USA/Canada? (✓) Yes No* *Default if not ticked.

2 INVESTMENT DETAILS

Scheme Name : HSBC Fixed Term Series 126 **Plan** (✓) Regular Direct **Option** (✓) Growth (default) Dividend Payout
 Total Amount to be blocked (in Figures) ₹ _____ (in words) _____

3 SCSB ACCOUNT DETAILS (please refer websites of NSE, BSE and SEBI for list of SCSBs) (MANDATORY)

Bank Name _____
Branch Address _____
Bank A/c No. _____ **Bank City** _____
Bank A/c No. _____ **A/c. Type** (✓) Current Savings NRO* NRE* * For NRI Investors

4 DEMAT ACCOUNT DETAILS (MANDATORY)

	NSDL	CDSL
DP Name	_____	_____
DP ID	I N _____	_____
Beneficiary Account No.	_____	_____

5 UNDERTAKING BY ASBA INVESTOR / ACCOUNT HOLDER

I/We hereby undertake that I/We am/are an ASBA investor(s) as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009. 2) In accordance with ASBA process provided in the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009, I/We authorize (a) the Self Certified Syndicate Bank (SCSB) to do all acts as are necessary to make an application for purchase of units in the NFO blocking the amount to the extent mentioned above in the "SCSB details" or unblocking of funds in the bank account maintained with the SCSB specified in the ASBA Form, transfer of funds to the Issuer's account designated for this purpose on receipt of instruction from the Registrar after finalisation of the basis of allotment entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar to HSBC Mutual Fund to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the ASBA Form, upon finalisation of the basis of allotment and to transfer the requisite money to the Issuer's account designated for this purpose. 3) In case the amount available in the bank account specified in the ASBA Form is insufficient for blocking the amount equivalent to the application money, the SCSB shall reject the application. 4) If the DP ID, Client ID or PAN furnished by me/us in the ASBA Form is incorrect or incomplete, the ASBA Application shall be rejected and the AMC, R&TA and SCSB shall not be liable for losses, if any. 5) I/We hereby authorize the SCSB to make relevant revisions as may be required to be done during the NFO, in the event of price revision.
 Having read and understood the contents of the SID and SAI, I / We hereby apply under Direct / AMFI Certified empanelled distributors to the Trustees of HSBC Mutual Fund for units of the Scheme / Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I / We have understood the details of the Scheme and I / We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I / We hereby authorise HSBC Mutual Fund, its Investment Manager and its Agents to disclose details of my / our investment to my / our bank(s) / HSBC Mutual Fund's Bank(s) and / or Distributor / Broker / Investment Advisor and to verify my / our bank details provided by me / us. I / We hereby declare that the particulars given above are correct and express my / our willingness to make payments referred above through participation in ECS / Direct Debit Facility. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I / We would not hold HSBC Asset Management (India) Pvt. Ltd. (Investment Manager to HSBC Mutual Fund), their appointed service providers or representatives responsible. I / We will also inform HSBC Asset Management (India) Pvt. Ltd., about any changes in my / our bank account. We have understood that the present scheme does not offer any guarantee or assured return and that the scheme is subject to credit risk or default risk including possible loss of principal, any losses in case of a default will be borne by me/us. *I / We confirm that I am / We are Non-Residents of Indian Nationality / Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO / FCNR Account. I / We confirm that the details provided by me / us are true and correct. I / We hereby declare that the amount being invested by me / us in the Scheme of HSBC Mutual Fund is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any governmental or statutory authority from time to time. *Applicable to NRI I / We confirm that the ARN holder has disclosed to me / us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us. I/We acknowledge that the AMC has not considered my/our tax position in particular and that I/we should seek tax advice on the specific tax implications arising out of my/our participation in the scheme.
 I/We confirm that I am/We are not United States person(s) under the laws of United States or resident(s) of Canada. In case of change to this status, I/We shall notify the AMC, in which event the AMC reserves the right to redeem my/our investments in the Scheme(s).

Signature of the Applicant(s)	1. Sole / First Applicant / Guardian / POA	2. Second applicant / POA	3. Third Applicant / POA	Attn: NRI investors; payment must be made through NRE/ FCNR Accounts)
Signature of the Bank Account Holder(s)	1. Sole / First Applicant / Guardian / POA	2. Second applicant / POA	3. Third Applicant / POA	

ACKNOWLEDGEMENT SLIP FOR INVESTOR

Date: _____ Application No. : _____
 Scheme Name _____ Option _____
 Received from Mr. / Ms. _____
 Address _____
 Pin Code _____
 Tel. _____ Mobile _____ Bank A/c No. _____
 Bank Name & Branch Address _____
 Total Amount to be Blocked (Rs.) _____
 (in words) _____

SCSB Stamp, Signature, Date & Time of Form submission

Instructions for filling up the Application Form

Background:

In its continuing endeavour to make the existing New Fund Offer process more efficient, SEBI introduced a supplementary process of applying in New Fund Offer, viz: the "Applications Supported by Blocked Amount (ASBA)" process. Accordingly, Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009, as amended have been amended for ASBA process. The salient features of circular no. SEBI/CFD/DIL/ASBA/1/2009/30/12 dated December 30, 2009 available on SEBI website for "Additional mode of payment through Applications Supported by Blocked Amount (hereinafter referred to as "ASBA") are mentioned below for understanding the ASBA process:

- 1. Meaning of ASBA:** ASBA is an application for subscribing to a New Fund Offer (NFO), containing an authorisation to block the application money in a bank account.
- 2. Self Certified Syndicate Bank (SCSB):** SCSB is a banker to an issue registered with the SEBI which offers the facility of applying through the ASBA process. The list of SCSBs will be displayed by SEBI on its website at www.sebi.gov.in from time to time. ASBAs can be accepted only by SCSBs, whose names appear in the list of SCSBs displayed on SEBI's website. Investors maintaining their accounts in any of these Banks may approach one of the designated branches of these SCSBs for availing this facility. Further it may be noted that from time to time new banks register themselves as SCSBs who become eligible to provide these services and also the existing SCSBs designate additional branches that also provide this facility. An updated list of all the registered SCSBs, their controlling branches, contact details and details of their contact persons, a list of their designated branches which are providing such services is available on the website of SEBI at the address <http://www.sebi.gov.in>. Further these details are also available on the websites of the Stock Exchanges at <http://www.bseindia.com> and <http://www.nseindia.com>. Alternatively, investors may also contact the AMC, R&TA for information about the SCSBs or the ASBA process. These SCSBs are deemed to have entered into an arrangement with the Issuer and shall be required to offer the ASBA facility to all its account holders for all issues to which ASBA process is applicable. An SCSB shall identify its Designated Branches (DBs) at which an ASBA Applicant shall submit ASBA and shall also identify the Controlling Branch (CB), which shall act as a coordinating branch for the Registrar to the Issue, Stock Exchanges and Merchant Bankers. The SCSB, its DBs and CB shall continue to act as such, for all issues to which ASBA process is applicable. The SCSB may identify new DBs for the purpose of ASBA process and intimate details of the same to SEBI, after which SEBI will add the DB to the list of SCSBs maintained by it. The SCSB shall communicate the following details to Stock Exchanges for making it available on their respective websites. These details shall also be made available by the SCSB on its website: (i) Name and address of the SCSB (ii) Addresses of DBs and CB and other details such as telephone number, fax number and email ids. (iii) Name and contact details of a nodal officer at a senior level from the CB.
- 3. Eligibility of Investors:** An Investor shall be eligible to apply through ASBA process, if he/she:
 - (i) is a "Resident Retail Individual Investor, Non Institutional Investor, QIBs, Eligible NRIs applying on non-repatriation basis, Eligible NRIs applying on repatriation basis i.e. any investor,
 - (ii) is applying through blocking of funds in a bank account with the SCSB; Such investors are hereinafter referred as "ASBA Investors".
- 4. ASBA Facility in Brief:** Investor shall submit his / her Application through an ASBA Application Form, either in physical or electronic mode, to the SCSB with whom the bank account of the ASBA Investor or bank account utilised by the ASBA Investor ("ASBA Account") is maintained. The SCSB shall block an amount equal to the NFO application Amount in the bank account specified in the ASBA Application Form, physical or electronic, on the basis of an authorisation to this effect given by the account holder at the time of submitting the Application. The Application Amount shall remain blocked in the aforesaid ASBA Account until the Allotment in the New Fund Offer and consequent transfer of the Application Amount against the allocated Units to the Issuer's account designated for this purpose, or until withdrawal/failure of the Offer or until withdrawal / rejection of the ASBA Application, as the case may be. The ASBA data shall thereafter be uploaded by the SCSB in the electronic IPO system of the Stock Exchanges. Once the Allotment is finalised, the R&TA shall send an appropriate request to the Controlling Branch of the SCSB for unblocking the relevant bank accounts

and for transferring the amount allocable to the successful ASBA Applicants to the AMC account designated for this purpose. In case of withdrawal/Rejection of the Offer, the R&TA shall notify the SCSBs to unblock the blocked amount of the ASBA Applicants within one day from the day of receipt of such notification.

- 5. Obligations of the AMC:** AMC shall ensure that adequate arrangements are made by the R&TA to obtain information about all ASBAs and to treat these applications similar to non-ASBA applications while allotment of Units, as per the procedure specified in the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009.

Investors are requested to check with their respective banks about the availability of the ASBA facility.

6. Other Information for ASBA Investors:

1. On the closure date of the NFO, the ASBA form should be submitted to the SCSBs before the 3.00 p.m. or such other time as may be decided by respective SCSBs.
2. The Applicant intending to invest in the Scheme through ASBA Process will be required to have a beneficiary account with a Depository Participant (DP) of NSDL/ CDSL and will be required to mention in the application form DP ID No. and Beneficiary Account No. with the DP at the time of purchasing Units during the NFO.
3. Signatures as available with depository will be taken for all purpose after the allotment of units incase of demat holding.
4. All static details in our records would be taken from the demat account (DP ID) provided by you.
5. Bank account details provided in the ASBA Application form will be used for refunding reject applications where DP ID is not matching
6. Bank Mandate for redemptions/dividend will be as per your DP ID incase of demat holding.
7. SCSB shall give ASBA investors an acknowledgement for the receipt of ASBAs.
8. SCSB shall not upload any ASBA in the electronic system of the Stock Exchange(s) unless (i) it has received the ASBA in a physical or electronic form; and (ii) it has blocked the application money in the bank account specified in the ASBA or has systems to ensure that Electronic ASBAs are accepted in the system only after blocking of application money in the relevant bank account opened with it.
9. SCSB shall ensure that complaints of ASBA investors arising out of errors or delay in capturing of data, blocking or unblocking of bank accounts, etc. are satisfactorily redressed.
10. SCSB shall be liable for all its omissions and commissions in discharging responsibilities in the ASBA process.
11. R&TA shall act as a nodal agency for redressing complaints of ASBA and non-ASBA investors, including providing guidance to ASBA investors regarding approaching the SCSB concerned.
12. ASBA facility is currently available only to those investors who wish to hold the units in dematerialized form.

Grounds for rejection of ASBA applications

ASBA application forms can be rejected by the AMC/Registrar/ SCSBs, on the following technical grounds:

1. Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.
2. Mode of ASBA i.e. either Physical ASBA or Electronic ASBA, not selected or ticked.
3. ASBA Application Form without the stamp of the SCSB.
4. Application by any person outside India if not in compliance with applicable Foreign and Indian laws.
5. Bank account details not given/incorrect details given.
6. Duly certified Power of Attorney, if applicable, not submitted along with the ASBA Application Form.
7. No corresponding records available with the Depositories matching the parameters namely (a) Names of the ASBA applicants (including the order of names of joint holders) (b) DP ID (c) Beneficiary account number or any other relevant details pertaining to the Depository Account.
8. Insufficient funds in the investor's account.
9. Application accepted by SCSB and not uploaded on/with the Exchange/ Registrar.

1 THIRD PARTY PAYMENT DECLARATION (Should be enclosed with each payment/SIP Enrolment)

Payments by : Parent/Grand-Parents/Related Persons Other than the Registered Guardian
 Payments to : Minor Folio only; In consideration of: Natural love and affection or as gift only
 Maximum Value : Not Exceeding Rs 50,000/- (each regular purchase or per SIP instalment)

Application and Payment Details (All details below are Mandatory, including relationship, PAN & KYC):

Folio No.											Application Form No.												
Beneficiary Name																							
Investment Amount in Rs.											<input type="checkbox"/> Lump sum	<input type="checkbox"/> SIP with post-dated Cheques	<input type="checkbox"/> SIP Auto Debit										
Bank Details	A/c No.										Branch												
	Bank Name																						
DD / Cheque No. (Lump sum)											Dated	D	D	M	M	Y	Y	Y	Y				
Cheque Nos. (for SIP via PDC)	From											To											
Cheque Drawn on A/c No.																							

Declaration and Signatures:

	Parent/Grand-Parents/Related Persons Other than the Registered Guardian	Guardian of Minor, as registered in the Folio																			
Name																					
Relationship with Minor																					
Income Tax PAN																					
KYC Acknowledgement	<input type="checkbox"/> Attached (Mandatory for any amount)										<input type="checkbox"/> Attached (Mandatory for any amount)										
Declaration	I hereby declare and confirm the minor stated above is the beneficial owner of the investment details mentioned above and I am providing the funds for these investments on account of my natural love and affection or as gift from my bank account only.										I confirm that I am the legal guardian of the Minor, registered in folio and have no objection to receiving these funds on behalf of the Minor.										
Signature																					
Contact Number																					

2 BANKER'S CERTIFICATE (in case of Demand Draft / Pay Order / Any Other pre-funded instrument)

To whomsoever it may concern, we hereby confirm the following details regarding the instrument issued by us:

Instrument Details:

Instrument Type	<input type="checkbox"/> Demand Draft	<input type="checkbox"/> Pay Order / Banker's Cheque							
	<input type="checkbox"/> Debit to Account	<input type="checkbox"/> Against Cash (< Rs. 50,000 only)							
Instrument Number	Date	D	D	M	M	Y	Y	Y	Y
Investment Amount in Rs.									
In Favour of / Favouring									
Payable At									

Details of Bank Account Debited for issuing the instrument:

Bank Account No.												Account Type										
Account Holder Details	Name										Income Tax PAN											
1.																						
2.																						
3.																						

If the issuing Bank branch is outside India:

We further declare that we are registered as a Bank/branch as mentioned below:

Under the Regulator	Name of the Regulator
In the Country	Country Name
Registration No.	Registration Number

We confirm having carried out necessary Customer Due Diligence with regard to the Beneficiary and to the source of the funds received from him, as per the standards of Anti-Money Laundering laws and other applicable relevant laws in our country

Branch Manager/Declarant(s)

Signature _____

Name _____ Bank & Branch Seal

Address _____

City _____ State _____ Postal code _____

Country _____ Contact Number _____

Important Note: It is clarified that the Bankers Certificate suggested above is recommendatory in nature, as there may be existing Bank Letters / Certificates / Declarations, which will confirm to the spirit of the requirements, if all required details are mentioned in the certificate.

3 PAYMENT BY EMPLOYER ON BEHALF OF EMPLOYEE (under Systematic Investments Plans through Payroll deductions or Expense Reimbursement)

To whomsoever it may concern

We hereby declare that the Application Form No/s. _____ for subscription of units in _____ (Name of the Scheme) is accompanied by _____

Cheque No. _____ Dated _____ Drawn on _____ (Name of the Bank / Branch).

We confirm that the beneficial owner(s) of the investment in these units is/are _____ (Name of the Employee/s, with employee number/s), who is/are my /our employee/s and am providing the funds for these investments through the payroll deduction / expense reimbursement (strike off which is not applicable).

Signature of Declarant(s) _____

Name of Declarant(s) _____

Income Tax PAN _____ KYC Acknowledgement attached (Mandatory for any amount)

Address of Declarant(s) _____

City _____ Postal code _____

State _____ Country _____

Signature of Beneficiary(ies) _____

4 CUSTODIAN ON BEHALF OF AN FII OR CLIENT (Should be enclosed with each payment)

To whomsoever it may concern

Application and Payment Details (All details below are Mandatory):

Folio No.												Application Form No.												
Beneficial Applicant/ Investor Name																								
Investment Amount in Rs.																								
Payment Mode	<input type="checkbox"/> Cheque	<input type="checkbox"/> Fund Transfer	<input type="checkbox"/> RTGS	<input type="checkbox"/> NEFT																				
Payment Cheque / UTR No.																Dated	D	D	M	M	Y	Y	Y	Y
Payment from Bank																								
Payment from A/c No.																								

We further declare that we are registered as a Custodian with SEBI under Registration No.: _____

We confirm the beneficial owner as stated above and that this payment is issued by us in our capacity as Custodian to the Applicant/Investor. The source of this payment is from funds provided to us by the Applicant/Investor.

Signature of Declarant(s) _____

Name of Declarant(s) _____

Income Tax PAN _____ KYC Acknowledgement attached (Mandatory for any amount)

Address of Declarant(s) _____

City _____ Postal code _____

State _____ Country _____

Multiple Bank Accounts Registration / Deletion Form

(Please read the Instructions overleaf and attached necessary documents for registration of Bank Accounts. Strike off the Sections not used by you to avoid unauthorised use.)

Folio No. (For Existing Unit Holders) <input style="width: 100%;" type="text"/>	OR	Application No. (For New Unit Holders) <input style="width: 100%;" type="text"/>
Name of Sole / First Unit Holder <input style="width: 100%;" type="text"/>		Permanent Account No. (PAN) <input style="width: 100%;" type="text"/>

A ADDITION OF BANK ACCOUNTS

Please register my/our following bank accounts for all investments in my/our folio. I/We understand that I/We can choose to receive payment proceeds in any of these accounts, by making a specific request in my/our redemption request. I/We understand that the bank accounts listed below shall be taken up for registration in my/our Folio in the order given below and the same shall be registered only if there is a scope to register additional bank accounts in the Folio subject to a maximum of five in the case of individuals and ten in the case of non individuals.

For each bank account, Investors should produce original for verification or submit originals of the documents mentioned below.

Core Bank Account No. <input style="width: 100%;" type="text"/> Bank Name <input style="width: 100%;" type="text"/> City <input style="width: 100%;" type="text"/> MICR Code[^] <input style="width: 100%;" type="text"/>	Account Type (✓) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO# <input type="checkbox"/> NRE# <input type="checkbox"/> FCNR# <input type="checkbox"/> Branch <input style="width: 100%;" type="text"/> PIN Code <input style="width: 100%;" type="text"/> IFSC Code^{^^} <input style="width: 100%;" type="text"/>
Attested Document attached* [Any one (✓)] <input type="checkbox"/> Cancelled Cheque with name pre-printed <input type="checkbox"/> Bank statement <input type="checkbox"/> Passbook <input type="checkbox"/> Bank Certificate	

Core Bank Account No. <input style="width: 100%;" type="text"/> Bank Name <input style="width: 100%;" type="text"/> City <input style="width: 100%;" type="text"/> MICR Code[^] <input style="width: 100%;" type="text"/>	Account Type (✓) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO# <input type="checkbox"/> NRE# <input type="checkbox"/> FCNR# <input type="checkbox"/> Branch <input style="width: 100%;" type="text"/> PIN Code <input style="width: 100%;" type="text"/> IFSC Code^{^^} <input style="width: 100%;" type="text"/>
Attested Document attached* [Any one (✓)] <input type="checkbox"/> Cancelled Cheque with name pre-printed <input type="checkbox"/> Bank statement <input type="checkbox"/> Passbook <input type="checkbox"/> Bank Certificate	

Core Bank Account No. <input style="width: 100%;" type="text"/> Bank Name <input style="width: 100%;" type="text"/> City <input style="width: 100%;" type="text"/> MICR Code[^] <input style="width: 100%;" type="text"/>	Account Type (✓) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO# <input type="checkbox"/> NRE# <input type="checkbox"/> FCNR# <input type="checkbox"/> Branch <input style="width: 100%;" type="text"/> PIN Code <input style="width: 100%;" type="text"/> IFSC Code^{^^} <input style="width: 100%;" type="text"/>
Attested Document attached* [Any one (✓)] <input type="checkbox"/> Cancelled Cheque with name pre-printed <input type="checkbox"/> Bank statement <input type="checkbox"/> Passbook <input type="checkbox"/> Bank Certificate	

Core Bank Account No. <input style="width: 100%;" type="text"/> Bank Name <input style="width: 100%;" type="text"/> City <input style="width: 100%;" type="text"/> MICR Code[^] <input style="width: 100%;" type="text"/>	Account Type (✓) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO# <input type="checkbox"/> NRE# <input type="checkbox"/> FCNR# <input type="checkbox"/> Branch <input style="width: 100%;" type="text"/> PIN Code <input style="width: 100%;" type="text"/> IFSC Code^{^^} <input style="width: 100%;" type="text"/>
Attested Document attached* [Any one (✓)] <input type="checkbox"/> Cancelled Cheque with name pre-printed <input type="checkbox"/> Bank statement <input type="checkbox"/> Passbook <input type="checkbox"/> Bank Certificate	

[^] 9 digit code on your cheque next to the cheque number. ^{^^} 11 digit code printed on your cheque.

* The documents submitted need to be attested by authorised bank personnel. # For NRI Investors.

B DEFAULT BANK ACCOUNT

From among the bank accounts registered with you or mentioned above, please register the following bank account as a Default Bank Account into which future redemption and/or dividend proceeds, if any, of the above mentioned folio will be paid:

Core Bank Account No. <input style="width: 100%;" type="text"/>	Bank Name <input style="width: 100%;" type="text"/>
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DECLARATION & SIGNATURES

(To be signed as per mode of holding in the Folio. In case of non Individual Unit holders, to be signed by AUTHORISED SIGNATORIES)

I/We have read and understood the Instructions and the Terms and Conditions for Multiple Bank Accounts Registration and agree to abide by the same. I/We acknowledge that my/our request will be processed only if all details are properly filled and valid documents are attached, failing which the request maybe rejected/delayed as the case may be in which case I/We will not hold HSBC Mutual Fund, the AMC and the Registrar liable for any loss due to delayed execution or rejection of the request.

Sole / First Applicant / Unit holder	Second Applicant / Unit holder	Third Applicant / Unit holder

C BANK ACCOUNT DELETION

Folio No. <input style="width: 100%; height: 20px;" type="text"/>	Permanent Account No. (PAN) <input style="width: 100%; height: 20px;" type="text"/>
Name of Sole / First Unit Holder <input style="width: 100%; height: 20px;" type="text"/>	

Please delete the following Bank accounts as registered accounts for my/our above folio:

Bank Account No. <input style="width: 95%; height: 20px;" type="text"/>	Bank Name <input style="width: 95%; height: 20px;" type="text"/>
Bank Account No. <input style="width: 95%; height: 20px;" type="text"/>	Bank Name <input style="width: 95%; height: 20px;" type="text"/>
Bank Account No. <input style="width: 95%; height: 20px;" type="text"/>	Bank Name <input style="width: 95%; height: 20px;" type="text"/>
Bank Account No. <input style="width: 95%; height: 20px;" type="text"/>	Bank Name <input style="width: 95%; height: 20px;" type="text"/>

Deletion of a default bank account will not be effective in the Folio unless the investor mentions another valid registered Bank Account as a default account in Part B of this Form.

DECLARATION & SIGNATURES		
(To be signed as per mode of holding in the Folio. In case of non Individual Unit holders, to be signed by AUTHORISED SIGNATORIES)		
I / We have read and understood the Instructions and the Terms and Conditions for Multiple Bank Accounts Registration and agree to abide by the same. I / We acknowledge that my / our request will be processed only if all details are properly filled and valid documents are attached, failing which the request may be rejected / delayed as the case may be in which case I / We will not hold HSBC Mutual Fund, the AMC and the Registrar liable for any loss due to delayed execution or rejection of the request.		
Sole / First Applicant / Unit holder	Second Applicant / Unit holder	Third Applicant / Unit holder

INSTRUCTIONS AND TERMS & CONDITIONS

1. This facility allows a unit holder to register multiple bank account details for all investments held in the specified folio (existing or new). Individuals/HuF can register upto 5 different bank accounts for a folio by using this form. Non individuals can register upto 10 different bank accounts for a folio. For registering more than 5 accounts, please use extra copies of this form.
2. Supporting Documents as mentioned in Part A will help in verification of the account details and register them accurately. The application will be processed only for such accounts for which valid documents are provided. Accounts not matching with such documents will not be registered.
3. If the bank account number on the cheque leaf is handwritten or investor name is not printed on the face of the cheque, bank account statement or pass book giving the name, address and the account number should be enclosed. If photocopies are submitted, investors must produce original for verification.
4. Bank account registration / deletion request will be accepted and processed only if all the details are correctly filled and the necessary documents are submitted. The request is liable to be rejected if any information is missing or incorrectly filled or if there is deficiency in the documents submitted.
5. The first / sole unit holder in the folio should be one of the holders of the bank account being registered. Unitholder(s) cannot provide the bank account(s) of any other person or where the First / Sole Unitholder is not an account holder in the bank account provided.
6. The investors can change the default bank account only by submitting this form. In case multiple bank accounts are opted for registration as default Bank Account, the mutual fund retains the right to register any one of them as the default bank account.
7. A written confirmation of registration of the additional bank account details will be dispatched to you within 10 calendar days of receipt of such request. Unitholder(s) must preserve this written confirmation as the account statement will only reflect the default bank mandate.
8. If any of the registered bank accounts are closed / altered, please intimate the AMC in writing of such change with an instruction to delete / alter it from our records.
9. The Bank Account chosen as the primary / default bank account will be used for all Redemption payouts / Dividend payouts. At anytime, investor can instruct the AMC to change the default bank account by choosing one of the additional accounts already registered with the AMC.
10. If request for redemption is received prior to / together with a change of bank account or before verification and validation of the new bank account, the redemption request would be processed to the currently registered default (old) bank account.
11. If in a folio, purchase investments are vide SB or NRO bank account, the bank account types for redemption can be SB or NRO only. If the purchase investments are made vide NRE account(s), the bank account types for redemption can be SB / NRO / NRE.
12. The registered bank accounts will also be used to identify the pay-in proceeds. Hence, unit holder(s) are advised to register their bank accounts in advance using this facility and ensure that payments for ongoing purchase transactions are from any of the registered bank accounts only, to avoid fraudulent transactions and potential rejections due to mismatch of pay-in bank details with the accounts registered in the folio.
13. HSBC Mutual Fund, the AMC and its registrar shall not be held liable for any loss arising to the Unitholder(s) on account of inadequate or incomplete documentation resulting in delay or rejection of the request.

HSBC Asset Management (India) Private Limited

Regd. Office : 16, Veer Nariman Road, Fort, Mumbai 400 001

Tel. : 1800-200-2434 OR +91 44 39923900 to connect to our customer care centre. Fax : 022-4002 9600

Email : hsbcmf@hsbc.co.in Website : www.assetmanagement.hsbc.com/in.