

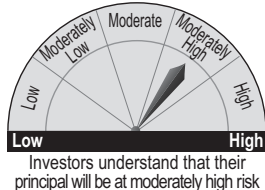
Name of the Asset Management Company:  
**ICICI Prudential Asset Management Company Limited**  
 Name of the Mutual Fund:  
**ICICI Prudential Mutual Fund**

**KEY INFORMATION MEMORANDUM**

**ICICI Prudential Nifty Low Vol 30 iWIN ETF**  
 (An open-ended Index Exchange Traded Fund)

**ICICI Prudential Nifty Low Vol 30 iWIN ETF**  
 is suitable for investors who are seeking\*:

- Long term wealth creation solution
- An Exchange Traded Fund that aims to provide returns that closely correspond to the returns provided by Nifty 100 Low Volatility 30 Index, subject to tracking error.



\* Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

**Offer of Units of Rs. 10 each, issued at a premium approximately equal to the difference between face value and Allotment Price during the New Fund Offer and at NAV based prices on an on-going basis.**

New Fund Offer opens	New Fund Offer closes*
June 23, 2017	June 27, 2017

Scheme will re-open for continuous Sale and Repurchase within 5 business days from the date of allotment.

The Scheme is proposed to be listed on BSE Limited and National Stock Exchange of India Limited

\*The AMC reserves the right to extend or pre close the New Fund Offer (NFO) period, subject to the condition that the NFO Period including the extension, if any, shall not be for more than 15 days or such period as allowed by SEBI.

<b>Sponsors:</b>	<b>ICICI Bank Limited:</b> Regd. Office: ICICI Bank Tower, Near Chakli Circle, Old Padra Road, Vadodra 390 007, Gujarat, India; and <b>Prudential plc</b> (through its wholly owned subsidiary, Prudential Corporation Holdings Limited): Laurence Pountney Hill, London EC4R 0HH, United Kingdom
<b>Trustee :</b>	<b>ICICI Prudential Trust Limited</b> Corporate Identity Number: U74899DL1993PLC054134 Regd. Office: 12th Floor, Narain Manzil, 23, Barakhamba Road, New Delhi-110 001.
<b>Investment Manager:</b>	<b>ICICI Prudential Asset Management Company Limited</b> Corporate Identity Number: U99999DL1993PLC054135 Regd. Office: 12th Floor, Narain Manzil, 23, Barakhamba Road, New Delhi-110 001. Corporate Office: : One BKC, 13th Floor, Bandra Kurla Complex, Mumbai - 400051. Tel: +91 22 2652 5000, Fax: +91 22 2652 8100 , Central Service Office: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai 400 063. Tel: (91) (22) 26852000, Fax: (91)(22) 2686 8313. website:www.icicipruamc.com, email id: enquiry@icicipruamc.com

**This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, due diligence certificate by AMC, Key Personnel, Investor's rights & services, risk factors, penalties & litigations etc. investor should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centre or distributors or from the website www.icicipruamc.com**

The particulars of ICICI Prudential Nifty Low Vol 30 iWIN ETF, the mutual fund Scheme offered under this KIM, have been prepared in accordance with the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date, and filed with the Securities and Exchange Board of India (SEBI), and the Units being offered for public subscription have not been approved or disapproved by the SEBI nor has the SEBI certified the accuracy or adequacy of this KIM.

**Disclaimer of National Stock Exchange of India Limited:**

It is to be distinctly understood that the permission given by National Stock Exchange of India Limited should not in any way be deemed or construed that the SID has been cleared or approved by National Stock Exchange of India Limited. nor does it certify the correctness or completeness of any of the contents of the SID. The investors are advised to refer to the SID for the full text of the 'Disclaimer clause of the National Stock Exchange of India Limited.

**Disclaimer of BSE Limited:**

It is to be distinctly understood that the permission given by BSE Limited should not in any way be deemed or construed that the SID has been cleared or approved by BSE Ltd. nor does it certify the correctness or completeness of any of the contents of the SID. The investors are advised to refer to the SID for the full text of the Disclaimer clause of the BSE Limited.

**Disclaimer of India Index Services & Products Limited (IISL):**

The Scheme is not sponsored, endorsed, sold or promoted by India Index Services & Products Limited ("IISL"). IISL does not make any representation or warranty, express or implied, to the owners of the Scheme or any member of the public regarding the advisability of investing in securities generally or in the Scheme particularly or the ability of the Nifty 100 Low Volatility 30 Index to track general stock market performance in India. The relationship of IISL to the Licensee is only in respect of the licensing of certain trademarks and trade names of its Index which is determined, composed and calculated by IISL without regard to the Licensee or the Schemes. IISL does not have any obligation to take the needs of the Licensee or the owners of the Scheme into consideration in determining, composing or calculating the Nifty 100 Low Volatility 30 Index. IISL is not responsible for or has participated in the determination of the timing of, prices at, or quantities of the Scheme to be issued or in the determination or calculation of the equation by which the Scheme is to be converted into cash. IISL has no obligation or liability in connection with the administration, marketing or trading of the Scheme.

IISL do not guarantee the accuracy and/or the completeness of the Nifty 100 Low Volatility 30 Index or any data included therein and they shall have no liability for any errors, omissions, or interruptions therein. IISL does not make any warranty, express or implied, as to results to be obtained by the Licensee, owners of the Scheme, or any other person or entity from the use of the Nifty 100 Low Volatility 30 Index or any data included therein. IISL makes no express or implied warranties, and expressly disclaim all warranties of merchantability or fitness for a particular purpose or use with respect to the index or any data included therein. Without limiting any of the foregoing, IISL expressly disclaim any and all liability for any damages or losses arising out of or related to the Products, including any and all direct, special, punitive, indirect, or consequential damages (including lost profits), even if notified of the possibility of such damages.

**INVESTMENT OBJECTIVE:**

The investment objective of the scheme is to provide returns before expenses that closely correspond to the total return of the Underlying Index subject to tracking errors. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

**ASSET ALLOCATION PATTERN:**

Under normal circumstances, the asset allocation under the Scheme will be as follows:

Instruments	Indicative allocations (% of total Assets)		Risk Profile
	Maximum	Minimum	
Securities of companies constituting the Underlying Index (Nifty 100 Low Volatility 30 Index)	100	95	Medium to High
Debt and Money Market Instruments with maturity of upto 91 days only.	5	0	Low to Medium

The notional exposure of the Scheme in Derivative instruments shall be restricted to 05% of the net assets of the Scheme.

The Scheme may take exposure to equity derivatives of underlying index or constituents of the underlying index for short duration when securities of the underlying index are not readily available in needed quantities within the required time frame, or in case of corporate actions or for rebalancing at the time of change in underlying index.

Investment in derivatives shall be made in accordance with the SEBI Circular No. Cir/ IMD/ DF/ 11/ 2010 dated August 18, 2010 and such other guidelines on derivatives as issued by SEBI from time to time.

The cumulative gross exposure through equity, debt and derivative positions should not exceed 100% of the net assets of the scheme.

The margin money requirement for the purpose of derivative exposure may be held in the form of term deposits.

For the time duration of change in the index constituents, the Scheme may have to invest in Derivatives to maintain the respective weightages for the companies, constituting the index. Other than for purposes of portfolio rebalancing, the Scheme will not invest in Derivatives. These investments would be for a short period of time.

In case of any deviation from the above asset allocation, the portfolio shall be rebalanced within 7 Days to ensure adherence to the above norms. In the event of involuntary corporate action, the fund shall dispose the security not forming part of the Underlying index within 7 business days from the date of allotment/ listing.

Negative list : The Scheme does not intend to undertake/ invest/ engage in:

- Repos in corporate debt securities
- Short selling of securities
- Securities lending
- Unrated instruments (except CBLOs/ Government Securities/ T- Bills / Repo and Reverse Repo in Government Securities).
- Foreign securities/ADR/GDR
- Securitised debts

**Change in Investment Pattern**

As an index linked scheme, the policy is passive management. However, investment pattern is indicative and may change for short duration. In the event the Underlying Index is dissolved or is withdrawn by Index Service Provider or is not published due to any reason whatsoever, the Trustees reserve the right to modify the Scheme so as to track a different and suitable index or to suspend tracking the Underlying Index and appropriate intimation will be sent to the Unitholders of the Scheme. In such a case, the investment pattern will be modified suitably to match the composition of the securities that are included in the new index to be tracked and the Scheme will be subject to tracking errors during the intervening period.

Provided that any change in the Underlying Index shall be effected only in accordance with the provisions of sub regulation (15A) of Regulation 18 of the Regulations, as detailed later in this document.

Subject to the Regulations, the asset allocation pattern indicated above may change

from time to time, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors.

Provided further and subject to the above, any change in the asset allocation affecting the investment profile of the Scheme shall be effected only in accordance with the provisions of sub regulation (15A) of Regulation 18 of the Regulations, as detailed later in this document.

#### WHERE WILL THE SCHEME INVEST?

The Scheme invests in the securities included in the Underlying Index regardless of their investment merit.

Subject to the Regulations and the disclosures as made under the Section "How the Scheme will allocate its Assets", the corpus of the Scheme can be invested in any (but not exclusive) of the following securities/ instruments:

- 1) Equity and equity related securities forming part of Underlying Index, including warrants carrying the right to obtain equity shares.
- 2) Securities created and issued by the Central and State Governments and/or re-pos/reverse repos in such Government Securities as may be permitted by RBI (including but not limited to coupon bearing bonds, zero coupon bonds and treasury bills)
- 3) Securities guaranteed by the Central and State Governments (including but not limited to coupon bearing bonds, zero coupon bonds and treasury bills)
- 4) Debt securities issued by domestic Government agencies and statutory bodies, which may or may not carry a Central/State Government guarantee
- 5) Corporate debt securities (of both public and private sector undertakings)
- 6) Securities issued by banks (both public and private sector) as permitted by SEBI from time to time and development financial institutions
- 7) Money market instruments permitted by SEBI, having maturities of up to 91 days, or in alternative investment for the call money market.
- 8) The non-convertible part of convertible securities
- 9) Derivative instruments like Stock / Index Futures, Stock / Index Options and such other derivative instruments permitted by SEBI.

Subject to the Regulations, the securities mentioned above could be listed, privately placed, secured, unsecured, rated or unrated and of varying maturity. The securities may be acquired through Public Offerings, secondary market operations, private placement, rights offers or negotiated deals.

#### INVESTMENT STRATEGY:

The corpus of the Scheme will be invested predominantly in stocks constituting the underlying index in the same proportion as in the Index and endeavor to track the benchmark index. A very small portion (0-5% of the Net Assets) of the fund may be kept liquid to meet the liquidity and expense requirements.

The performance of the Scheme may not be commensurate with the performance of the underlying index on any given day or over any given period. Such variations are commonly referred to as the tracking error. The fund intends to maintain a low tracking error by closely aligning the portfolio in line with the index. The stocks comprising the underlying index are periodically reviewed by Index Service provider. A particular stock may be dropped or new securities may be included as a constituent of the index. In such an event, the Fund will endeavor to reallocate its portfolio but the available investment/ disinvestment opportunities may not permit precise mirroring of the underlying index immediately. Similarly, in the event of a constituent stock being demerged / merged / delisted from the exchange or due to a major corporate action in a constituent stock, the fund may have to reallocate the portfolio and seek to minimize the variation from the index.

#### Equities and equity related instruments:

The Scheme would invest in stocks comprising the underlying index and endeavor to track the benchmark index.

#### Fixed Income Securities:

The Scheme may also invest in debt and money market instruments, in compliance with Regulations to meet liquidity requirements. The scheme may also invest in liquid schemes of ICICI Prudential Mutual Fund. Money Market Instruments include commercial papers, commercial bills, treasury bills, and Government securities having an unexpired maturity upto one year, call or notice money, certificate of deposit, usance bills, CBLOs and any other like instruments as specified by the Reserve Bank of India from time to time.

#### Investment in Derivatives:

The Scheme may take an exposure to equity derivatives of constituents of the underlying Basket when securities of the Basket are unavailable, insufficient or for rebalancing at the time of change in Basket or in case of corporate actions, for a short period of time. The Scheme may use derivative instruments such as stock futures and options contracts, warrants, convertible securities, swap agreements or any other derivative instruments that are permissible or may be permissible in future under applicable regulations and such investments shall be in accordance with the investment objective of the Scheme.

#### Implementation of Policies

The Scheme, in general, will hold all of the securities that comprise the Underlying Index in the same proportion as the index. Expectation is that, over time, the tracking error of the Scheme relative to the performance of the Underlying Index will be relatively low.

The Investment Manager would monitor the tracking error of the Scheme on an ongoing basis and would seek to minimize tracking error to the maximum extent possible. There can be no assurance or guarantee that the Scheme will achieve any particular level of tracking error relative to performance of the Underlying Index.

#### Investment Process

The Scheme will track the Underlying Index and is a passively managed scheme. The investment Decisions will be determined as per the Underlying Index. In case of any change in the index due to corporate actions or change in the constituents of the Underlying Index (as communicated by the Index Service Provider), relevant investment decisions will be determined considering the composition of the Underlying Index.

The Investment decision of the Fund will be carried out by the designated Fund Manager.

#### Portfolio Turnover

Portfolio turnover is defined as the lower of purchases and sales divided by the average assets under management of the respective Scheme during a specified period of time.

Generally, portfolio turnover of the Scheme will be confined to rebalancing of portfolio on account of change in the composition and corporate actions of the Underlying Index.

#### RISK PROFILE OF THE SCHEME:

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme Specific Risk Factors summarised below.

#### Scheme Specific Risk Factors and Risk Management Strategies:

##### Investing in Equities

Investors may note that AMC/Fund Manager's investment decisions may not be always profitable. Although it is intended to generate capital appreciation and maximize the returns by investing in equity securities and utilising debt and money market instruments as a defensive investment strategy. In view of the same, there can be no assurance that the investment objective of the Scheme will be realised.

While securities that are listed on the stock exchange carry lower liquidity risk, the ability to sell these investments is limited by the overall trading volume on the stock exchanges. Trading volumes, settlement periods and transfer procedures may restrict the liquidity of the investments made by the Scheme. The NAV of the Scheme can go up and down because of various factors that affect the capital markets in general.

The value of the Scheme's investments, may be affected generally by factors affecting securities markets, such as price and volume volatility in the capital markets, interest rates, currency exchange rates, changes in policies of the Governments, taxation laws or any other appropriate authority policies and other political and economic developments which may have an adverse bearing on individual securities, a specific sector or all sectors including equity and debt markets. Consequently, the NAV of the Units of the Scheme may fluctuate and can go up or down.

The Scheme is subject to the principal risks described below. Some or all of these risks may adversely affect Scheme's NAV, trading price, yield, total return and/or its ability to meet its objectives..

##### Market Risk

The Scheme's NAV will react to the stock market movements. The Investor could lose money over short periods due to fluctuation in the Scheme's NAV in response to factors such as economic and political developments, changes in interest rates and perceived trends in stock prices and market movements, and over longer periods during market downturns.

##### Market Trading Risks

- Absence of Prior Active Market: Although units of the Scheme are to be listed on the Exchanges, there can be no assurance that an active secondary market will develop or be maintained.
- Lack of Market Liquidity: Trading in units of the respective Scheme on the Exchange may be halted because of market conditions or for reasons that in the view of the Market Authorities or SEBI, trading in units of the Scheme are not advisable. In addition, trading in units of the Scheme are subject to trading halts caused by extraordinary market volatility and pursuant to NSE/BSE and SEBI "circuit filter" rules. There can be no assurance that the requirements of the Market necessary to maintain the listing of units of the Scheme will continue to be met or will remain unchanged.
- Units of the Scheme may trade at Prices Other than NAV: Units of the Scheme may trade above or below its NAV. The NAV of the Scheme will fluctuate with changes in the market value of Scheme's holdings. The trading prices of units of the Scheme will fluctuate in accordance with changes in their NAVs as well as market supply and demand of units of the Scheme. However, given that units can be created and redeemed only in Creation Units directly with the Fund, it is expected that large discounts or premiums to the NAVs of the Scheme will not sustain due to arbitrage possibility available.
- Regulatory Risk: Any changes in trading regulations by the Stock Exchange/s or SEBI may affect the ability of market maker to arbitrage resulting into wider premium/discount to NAV. Although, the units are listed on NSE and BSE, the AMC and the Trustees will not be liable for delay in listing of Units of the Scheme on the stock exchanges / or due to connectivity problems with the depositories due to the occurrence of any event beyond their control.
- Settlement Risk: In certain cases, settlement periods may be extended significantly by unforeseen circumstances. The inability of the Scheme to make intended securities purchases due to settlement problems could cause the Scheme to miss certain investment opportunities as in certain cases, settlement periods may be extended significantly by unforeseen circumstances. Similarly, the inability to sell securities held in the Scheme portfolio may result, at times, in potential losses to the Scheme, and there can be a subsequent decline in the value of the securities held in the Scheme's portfolio.
- Right to Limit Redemptions: The Trustee, in the general interest of the Unit holders of the Scheme offered in this Document and keeping in view the unforeseen circumstances / unusual market conditions, may limit the total number of Units which can be redeemed on any Business Day.

##### Redemption Risk

Investors should note that even though the Scheme is an open ended Scheme, subscription/redemptions directly with the Fund would be limited to such investors who have the ability to subscribe/redeem the units of the Scheme in the creation unit size. Generally, this lot size is larger as compared to normal funds. However, investors wishing to subscribe/redeem units in other than specific lot size can do so by buying/selling the same on the Stock Exchange. Investors can also approach the Fund directly for redemption in other than Creation Unit Size on occurrence of various events as listed in this document.

##### Passive Investments

The Scheme is not an actively managed. The Scheme may be affected by a general decline in the Indian markets relating to its Underlying Index. The Scheme invests in the securities included in its Underlying Index regardless of their investment merit.

The AMC does not attempt to individually select stocks or to take defensive positions in declining markets.

#### Tracking Error Risk

The AMC would monitor the tracking error of the Scheme on an ongoing basis and would seek to minimize tracking error to the maximum extent possible. Under normal circumstances, the AMC will endeavour that the tracking error of the Scheme does not exceed 2% per annum. However, this may vary due to various reasons mentioned below or any other reasons that may arise and particularly when the markets are very volatile.

Factors such as the fees and expenses of the Scheme, Corporate Actions, Cash balance, changes to the Underlying Index and regulatory policies may affect AMC's ability to achieve close correlation with the Underlying Index of the Scheme. The Scheme's returns may therefore deviate from those of their Underlying Index. "Tracking Error" is defined as the standard deviation of the difference between daily returns of the index and the NAV of the Scheme. Tracking Error may arise due to the following reasons: -

- Expenditure incurred by the Scheme
- Any delay experienced in the purchase or sale of shares due to illiquidity of the market, settlement and realization of sale proceeds and the registration of any securities transferred and any delays in receiving cash and scrip dividends and resulting delays in reinvesting them.
- Securities trading may halt temporarily due to circuit filters.
- The underlying index reflects the prices of securities at close of business hours. However, the Fund may buy or sell the securities at different points of time during the trading session at the then prevailing prices which may not correspond to the closing prices on the exchange.
- Index Service provider undertakes the periodical review of the scrips that comprise the underlying index and may either drop or include new securities. In such an event, the Fund will endeavour to reallocate its portfolio but the available investment/ disinvestment opportunities may not permit precise mirroring of the Index immediately.
- The potential for trades to fail which may result in the Scheme not having acquired shares at a price necessary to track the index.
- The holding of a cash position (0-5% of the Net Assets to meet the redemptions and other liquidity requirements) and accrued income prior to distribution and accrued expenses.
- Disinvestments to meet redemptions, recurring expenses, dividend payouts etc.

Under normal circumstances, such tracking errors are not expected to exceed 2% per annum. However, this may vary due to the reasons mentioned above or any other reasons that may arise and particularly when the markets are very volatile.

#### Risk associated with investing in companies forming part of Nifty 100 Low Volatility 30 Index:

The index tracks the performance of 30 stocks with the lowest volatility in last one year selected from stocks in Nifty 100. The Nifty 100 index represents the large market capitalisation segment of market. Volatility is computed as the standard deviation of the security's daily price return over a period of one year. The scheme by mandate restricts its investments to securities of the underlying index which represents the Nifty 100 Low Volatility 30 Index having 30 constituents and will therefore be subject to the risks associated with such concentration. In addition, the scheme will be subject to certain risks associated with investment in low volatility stocks and low volatility fund management strategies.

#### Risk associated with Investing in money market instruments

- **Interest Rate risk:** This risk is associated with movements in interest rate, which depend on various factors such as government borrowing, inflation, economic performance etc. The values of investments will appreciate/depreciate if the interest rates fall/rise.
- **Credit risk:** This risk arises due to any uncertainty in counterparty's ability or willingness to meet its contractual obligations. This risk pertains to the risk of default of payment of principal and interest.
- **Liquidity risk:** The liquidity of a security may change depending on market conditions leading to changes in the liquidity premium linked to the price of the security. At the time of selling the security, the security can become illiquid leading to loss in the value of the portfolio

#### Risks associated with investing in Derivatives:

Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of the fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies.

Derivative products are specialized instruments that require investment techniques and risk analysis different from those associated with stocks. The use of a derivative requires an understanding not only of the underlying instrument but of the derivative itself. Derivatives require the maintenance of adequate controls to monitor the transactions entered into, the ability to assess the risk that a derivative adds to the portfolio and the ability to forecast price or interest rate movements correctly. There is a possibility that a loss may be sustained by the portfolio as a result of the failure of another party (usually referred to as the "counterparty") to comply with the terms of the derivatives contract. Other risks in using derivatives include the risk of mispricing or improper valuation of derivatives and the inability of derivatives to correlate perfectly with underlying assets, rates and indices, illiquidity risk whereby the Scheme may not be able to sell or purchase derivative quickly enough at a fair price. The risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments.

**Risk management strategies:** The Fund by utilizing a holistic risk management strategy will endeavor to manage risks associated with investing in equity and debt markets. The risk control process involves identifying & measuring the risk through various risk measurement tools.

The Fund has identified following risks of investing in equity and debt securities and designed risk management strategies, which are embedded in the investment process to manage such risks.

Risk and Description	Risk mitigants / management strategy
<b>Risks associated with Equity investment</b>	
<b>Market Risk:</b> The Scheme is vulnerable to movements in the prices of securities invested by the Scheme, which could have a material bearing on the overall returns from the Scheme. The value of the underlying Scheme investments, may be affected generally by factors affecting securities markets, such as price and volume, volatility in the capital markets, interest rates, currency exchange rates, changes in policies of the Government, taxation laws or any other appropriate authority policies and other political and economic developments which may have an adverse bearing on individual securities, a specific sector or all sectors including equity and debt markets.	Market risk is inherent to an equity scheme. Being a passively managed scheme, it will invest in the securities included in its Underlying Index.
<b>Liquidity risk:</b> The liquidity of the Scheme's investments is inherently restricted by trading volumes in the securities in which they invests.	As such the liquidity of stocks that the scheme invests into could be relatively low. The fund will try to maintain a proper asset-liability match to ensure redemption payments are made on time and not affected by illiquidity of the underlying stocks.
<b>Derivatives Risk:</b> As and when the Scheme trades in the derivatives market there are risk factors and issues concerning the use of derivatives since derivative products are specialized instruments that require investment techniques and risk analyses different from those associated with stocks and bonds.	Derivatives will be used for the purpose of rebalancing. Derivatives will be used in the form of Index Options, Index Futures and other instruments as may be permitted by SEBI. All derivatives trade will be done only on the exchange with guaranteed settlement. No OTC contracts will be entered into.
<b>Tracking Error risk (Volatility/ Concentration risk):</b> The performance of the Scheme may not be commensurate with the performance of the underlying Index viz. Nifty100 Low Volatility 30 Index on any given day or over any given period.	Over a short to medium period, the Scheme may carry the risk of variance between portfolio composition and Benchmark. The objectives of the Scheme are to closely track the performance of the Underlying Index over the same period, subject to tracking error. The Scheme would endeavor to maintain a low tracking error by actively aligning the portfolio in line with the Index.

Risk and Description	Risk mitigants / management strategy
<b>Risks associated with Debt investment</b>	
<b>Market Risk/ Interest Rate Risk:</b> As with all debt securities, changes in interest rates may affect the Scheme's Net Asset Value as the prices of securities generally increase as interest rates decline and generally decrease as interest rates rise. Prices of long-term securities generally fluctuate more in response to interest rate changes than do short-term securities. Indian debt markets can be volatile leading to the possibility of price movements up or down in fixed income securities and thereby to possible movements in the NAV.	The Scheme may invest only in money market instruments having a residual maturity upto 91 days thereby mitigating the price volatility due to interest rate changes generally associated with long-term securities.
<b>Liquidity or Marketability Risk:</b> This refers to the ease with which a security can be sold at or near to its valuation yield-to-maturity (YTM).	The Scheme may invest only in government securities and money market instruments. The liquidity risk for government securities, money market instruments is generally low.
<b>Credit Risk:</b> Credit risk or default risk refers to the risk that an issuer of a fixed income security may default (i.e., will be unable to make timely principal and interest payments on the security).	Management analysis will be used for identifying company specific risks. Management's past track record will also be studied. In order to assess financial risk a detailed assessment of the issuer's financial statements will be undertaken to review its ability to undergo stress on cash flows and asset quality. A detailed evaluation of accounting policies, off-balance sheet exposures, notes, auditors' comments and disclosure standards will also be made to assess the overall financial risk of the potential borrower.



## PLANS/ OPTIONS AVAILABLE UNDER THE SCHEME

Currently, there are no plans/ options under the Scheme.

The Trustees reserve the right to introduce/ alter/ extinguish any of the option at a later date. For any change in plans/ options offered under the Scheme, the AMC shall publish a notice-cum-addendum for the information of the investors.

## LIQUIDITY:

Through Stock Exchanges: Currently, the Scheme is proposed to be listed on National Stock Exchange of India Limited (NSE) and BSE Limited. Buying or selling of units of the Scheme by investors can be done on all the Trading Days of the stock exchanges. The minimum number of units that can be bought or sold is 1 (one) unit.

Directly with the Mutual Fund: Authorised Participant(s)/ Investor(s) can subscribe/ redeem the units of the Scheme directly with the Mutual Fund only in creation unit size and in multiples thereof.

The subscription & redemption of units would be based on the portfolio deposit & cash component as defined by the fund for that respective business day. The Fund may allow cash purchases/cash redemption of the units of the Scheme or by depositing basket of securities comprising the underlying index in Creation Unit Size by Authorised Participant(s)/ Investor(s).

Purchase/redemption request shall be made by such investors to the Fund whereupon the Fund shall arrange to buy/sell the underlying portfolio of securities on behalf of the investor. In case of shares bought and sold by AMC on behalf of the investor, the profit/loss due to buy/sell of shares and transaction handling charges/costs would be borne by investor.

Investors, other than Authorised Participants, can sell units in less than Creation Unit Size of the Scheme directly to the Mutual Fund without any exit load in the following cases:

- if the traded price of the ETF units is at a discount of more than 3% to the NAV for continuous 30 days; or
- if discount of bid price to applicable NAV is more than 3% over a period of 7 consecutive trading days; or
- if no quotes are available on exchange for 3 consecutive trading days; or
- when the total bid size on the exchange(s) is less than half of creation unit size daily, averaged over a period of 7 consecutive trading days.

Under these circumstances, investors, as specified above, can redeem units of the Scheme directly with the fund house without any exit load. In case of redemptions by NRIs, requisite TDS will be deducted from the respective redemption proceeds.

The aforesaid criteria for the direct redemption with the fund house are also available at the website of the AMC at 'http://www.icicipruamc.com/Acceptance-of-Direct-Redemption-for-ETFs.aspx'. The mutual fund will track the aforesaid liquidity criteria and display it on its website viz., www.icicipruamc.com if the same is triggered, no exit load would be applicable in such cases.

## APPLICABLE NAV

As the Scheme is an Exchange Traded Fund (ETFs) and the units of the Scheme will be listed on the stock exchanges, in the interest of the investors/ unitholders, the operational processes of the Schemes with respect to all the provisions of "Uniform cut-off timings for applicability of Net Asset Value (NAV)" issued by SEBI from time to time shall stand modified.

Unless otherwise stated in this document, Applicable NAV is the Net Asset Value per Unit of the Scheme as declared by the Fund and applicable for valid Purchase/ Redemption/ Switch of Units of the Scheme, based on the Business Day and Cutoff time at which the application is received and accepted and also subject to compliance with other conditions as mentioned in this document.

## COMPARISON BETWEEN THE SCHEMES

In the category of ETFs, ICICI Prudential Mutual Fund (the Mutual Fund) offers six (6) schemes, out of which five (5) schemes are Index ETFs and one is Gold Exchange Traded Fund (a commodity ETF). Index ETFs tracks specific Index of the exchange. In the nature of open ended Index ETFs, the Fund offers the below schemes which track different Index as given below:

Name of Index ETF	Index which is tracked
ICICI Prudential Nifty iWIN ETF	Nifty 50 Index
ICICI Prudential Nifty 100 iWIN ETF	Nifty 100 Index
ICICI Prudential Sensex iWIN ETF	S&P BSE Sensex Index
ICICI Prudential NV20 iWIN ETF	Nifty50 Value 20 Index
ICICI Prudential Midcap Select iWIN ETF	S&P BSE Midcap Select Index

The current proposed scheme is also an Index ETF. However, this scheme will track Nifty 100 Low Volatility 30 Index. This scheme seeks to replicate the Nifty 100 Low Volatility 30 Index, by buying the same stocks in the same proportion as they are in the index, hence the fund managers do not take any sector or stock exposure that is different from what is seen on the chosen index. Nifty100 Low Volatility 30 Index aims to measure the performance of the low volatile securities in the large market capitalisation segment. The selection of securities and its weights in Nifty100 Low Volatility 30 are based on volatility. The least volatile stocks receive the highest weight in the index. In order to ensure replication of index, weight of stocks with liquidity below the minimum threshold is capped at 3%.

The details of other existing Exchange Traded Funds of the Mutual Fund are provided below:

Features of the Scheme	ICICI Prudential Sensex iWIN ETF		ICICI Prudential Gold iWIN Exchange Traded Fund	
Asset Allocation as per SID (in %)	Securities comprising the SEN-SEX.	Money Market instruments, convertible bonds & other securities.	Gold bullion and instruments with Gold as underlying that may be specified by SEBI	Debt & Money Market Instruments (including cash & cash equivalent)*
	95 -100	0 - 5	95 - 100	0 – 5
			*Investments in Securitised debt shall be limited to the maximum exposure allowed to the debt instruments as per above asset allocation.	
Investment Objective	The investment objective of ICICI Prudential Sensex ETF is to provide investment returns that, before expenses, closely correspond to the total returns of the securities as represented by the S&P BSE SENSEX. However, the performance of Scheme may differ from that of the underlying index due to tracking error. There can be no assurance or guarantee that the investment objective of the Scheme will be achieved.		The objective of the Scheme is to seek to provide investment returns that, before expenses, closely track the performance of domestic prices of Gold derived from the LBMA AM fixing prices. However, the performance of the Scheme may differ from that of the underlying gold due to tracking error. There can be no assurance or guarantee that the investment objective of the Scheme will be achieved. The fund is not actively managed. It does not engage in any activities designed to obtain a profit from, or to ameliorate losses caused by, changes in the price of gold.	
Assets under Management (as on May 31, 2017)	Rs. 3.89 crore		Rs. 107.34 crore	
No. of folios as on May 31, 2017	2		3471	

Features of the Scheme	ICICI Prudential Nifty iWIN ETF		ICICI Prudential Nifty 100 iWIN ETF		ICICI Prudential iWIN NV20 ETF	
Asset Allocation as per SID (in %)	Securities of companies constituting Nifty 50 Index (the Underlying Index)	Money Market Instruments having residual maturity upto 91 days	Securities of companies constituting Nifty 100 Index (the Underlying Index)	Money Market Instruments having residual maturity upto 91 days	Securities of companies constituting the Underlying Index (Nifty50 Value 20 Index)	Debt and Money Market Instruments with maturity of upto 91 days only.
	95 - 100	0 - 5	95 - 100	0 - 5	95 - 100	0 - 5

<b>Investment Objective</b>	The investment objective of the Scheme is to provide returns before expenses that closely correspond to the total return of the Underlying Index, subject to tracking errors. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.	The investment objective of the Scheme is to provide returns before expenses that closely correspond to the total return of the Underlying Index, subject to tracking errors. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.	The investment objective of the scheme is to provide returns before expenses that closely correspond to the total return of the Underlying Index subject to tracking errors. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.
<b>Assets under Management (as on May 31, 2017)</b>	Rs. 834.99 crore*	Rs. 3.68 crore*	Rs. 0.50 crore *
<b>No. of folios as on May 31, 2017</b>	2	2	2

\*The AUM/AAUM figures have been adjusted with respect to investments made by other schemes of the Mutual Fund into the aforesaid Schemes.

The aggregate value of such inter-scheme investments amounts to:

- Rs. 226.66 crore – ICICI Prudential Nifty iWIN ETF
- Rs. 31.02 crore – ICICI Prudential Nifty 100 iWIN ETF
- Rs. 6.90 crore – ICICI Prudential NV20 iWIN ETF

Features of the Scheme	ICICI Prudential Midcap Select iWIN ETF		ICICI Prudential Nifty Low Vol 30 iWIN ETF (the Scheme)	
<b>Asset Allocation as per SID (in %)</b>	Securities of companies constituting the Underlying Index (S&P BSE Midcap Select Index)	Debt and Money Market Instruments with maturity of upto 91 days only.	Securities of companies constituting the underlying index (Nifty 100 Low Volatility 30 Index)	Debt & Money Market Instruments with maturity of upto 91 days only.
	95 - 100	0 - 5	95 - 100	0 - 5
<b>Investment Objective</b>	The investment objective of the scheme is to provide returns before expenses that closely correspond to the total return of the Underlying Index subject to tracking errors. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.		The investment objective of the scheme is to provide returns before expenses that closely correspond to the total return of the underlying index, subject to tracking errors. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.	
<b>Assets under Management (as on May 31, 2017)</b>	Rs. 1.28 crores*		Since the scheme is a new, this is not available.	
<b>No. of folios as on May 31, 2017</b>	2		Since the scheme is a new, this is not available	

\*The AUM/AAUM figures have been adjusted with respect to investments made by other schemes of the Mutual Fund into the aforesaid Schemes.

The aggregate value of such inter-scheme investments amounts to:

- Rs. 7.66 crore – ICICI Prudential Midcap Select iWIN ETF

#### Switch transactions during NFO:

**Switch into the Scheme:** Investors who hold units in any of the schemes of ICICI Prudential Mutual Fund except ICICI Prudential US Bluechip Equity Fund and ICICI Prudential Global Stable Equity Fund may switch all or part of their holdings to the Scheme during the New Fund Offer Period subject to the provisions in the scheme information document of the respective scheme. Switch-in requests are subject to the minimum application amount as mentioned in this Scheme Information Document.

For switch-in requests received from the open-ended scheme during the New Fund Offer Period (NFO) under the Scheme, the switch-out requests from such Scheme will be effected based on the applicable NAV of such Scheme, as on the day of receipt of the switch request, subject to applicable cut-off timing provisions. However, the switch-in requests under the Scheme will be processed on the date of the allotment of the Units.

#### Investors can also subscribe to the New Fund Offer (NFO) through ASBA facility.

Outstation Cheques/Demand Drafts will not be accepted.

MICR cheques, Transfer cheques and Real Time Gross Settlement (RTGS) will be accepted till the end of business hours upto June 27, 2017. Switch-in requests from equity schemes and from non-equity schemes will be accepted upto June 27, 2017, till the cutoff time applicable for switches.

Switch-in request from ICICI Prudential US Bluechip Equity Fund and ICICI Prudential Global Stable Equity Fund will not be accepted.

#### MULTIPLE BANK ACCOUNTS

The unit holder/ investor can register multiple bank account details under its existing folio by submitting separate form available on the website of the AMC at www.icicpruamc.com

Individuals/HuF can register upto 5 different bank accounts for a folio, whereas non-individuals can register upto 10 different bank accounts for a folio.

#### Minimum Application Amount

**During NFO:** Rs. 5,000 and in multiples of Re.1.

**On Stock Exchanges:** Investors can buy/sell units of the Scheme in round lot of 1 unit and in multiples thereof.

**Directly with the Mutual Fund:** Authorised Participant(s)/ Investor(s) can buy/sell units of the Scheme in Creation Unit Size viz. 30,000 and in multiples thereof.

An investor can buy/ sell units on a continuous basis in the normal market segment of National Stock Exchange of India Limited (NSE)/ BSE Limited or any other stock exchange where the Scheme will be listed, during the trading hours like any other publicly traded stock at prices which are quoted on the stock exchanges. These prices may be close to the actual NAV of the Scheme. There is no minimum investment, although units are to be purchased in lots of 1 (one) unit.

#### DESPATCH OF REDEMPTION REQUEST:

As per the Regulations, the Fund shall dispatch redemption proceeds within 10 working days of receiving the redemption request.

#### BENCHMARK INDEX:

The benchmark of the Scheme is Nifty 100 Low Volatility 30 Index..

The Trustee reserves the right to change the benchmark in future if a benchmark better suited to the investment objective of the Scheme is available.

#### DIVIDEND POLICY:

The Trustee may declare Dividend to the Unit holders under the Scheme subject to the availability of distributable surplus and the actual distribution of Dividends and the frequency of distribution will be entirely at the discretion of the Trustee. Such Dividend will be payable to the Unit holders whose names appear on the register of Unit holders on the record date as fixed for the Scheme. The Dividend declared will be paid net of tax deducted at source, wherever applicable, to the Unit holders within 30 days from the declaration of the Dividend. There is no assurance or guarantee to the Unit holders as to the rate of Dividend distribution nor that will the Dividend be paid regularly. If the Fund declares Dividend, the NAV of the Scheme will stand reduced by the amount of Dividend and Dividend distribution tax (if applicable) paid. All the Dividend payments shall be in accordance and compliance with SEBI & NSE Regulations, as applicable from time to time.

#### NAME OF THE FUND MANAGERS:

The investments under the Scheme will be managed by Mr. Kayzad Eghlim. Since the Scheme is a new scheme, tenure of fund manager is not available.

#### ADDITIONAL DISCLOSURES

- Scheme's portfolio holdings:** Since the Scheme is a new Scheme, portfolio holdings and sector wise holdings are not available.
- Scheme's portfolio Turnover ratio:** Since the Scheme is a new Scheme, portfolio turnover ratio is not available

#### NAME OF THE TRUSTEE COMPANY:

ICICI Prudential Trust Limited

**PERFORMANCE OF THE SCHEME:** This Scheme is a new Scheme and does not have any performance track record.

#### EXPENSES OF THE SCHEME:

##### Load Structure:

**Entry Load – Not Applicable**

In terms of SEBI circular no. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investors with effect from August 01, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered distributor's based on the investor's assessment of various factors including the service rendered by the distributor.

**Exit Load:** Nil

There will be no exit load for units sold through the secondary market on the NSE/ BSE. Investors shall note that the brokerage on sales of the units of the scheme on the stock exchanges shall be borne by the investors.

The Authorised Participant(s)/Investor(s) can redeem units directly with the fund. Currently there is no exit load applicable for the said transactions.

However, during the process of creation/redemption there may be transaction costs and/or other incidental expenses (forming part of the Cash Component), which are liable to be borne by the investors/Authorized Participants.

Investors, other than Authorised Participants, can sell units in less than Creation Unit Size of the Scheme directly to the Mutual Fund without any exit load in the following cases:

- if the traded price of the ETF units is at a discount of more than 3% to the NAV for continuous 30 days;
- if discount of bid price to applicable NAV is more than 3% over a period of 7 consecutive trading days;
- if no quotes are available on exchange for 3 consecutive trading days;
- when the total bid size on the exchange(s) is less than half creation unit size daily, averaged over a period of 7 consecutive trading days.

Under these circumstances, investors, as specified above, can redeem units of the Scheme directly with the fund house without any exit load. The aforesaid criteria for the direct redemption with the fund house are also available at the website of the AMC at 'http://www.icicipruamc.com/Acceptance-of-Direct-Redemption-for-ETFs.aspx'. The mutual fund will track the aforesaid liquidity criteria and display it on its website viz., www.icicipruamc.com if the same is triggered, no exit load would be applicable in such cases.

#### Recurring Expenses:

As per the Regulations, the maximum recurring expenses that can be charged to the Scheme shall not exceed one and one half percent (1.5%) of daily net assets.

Pursuant to SEBI circular no. CIR/IMD/DF/21/2012 dated September 13, 2012 and SEBI (Mutual Funds) Second Amendment Regulations, 2012, following additional costs or expenses may be charged to the scheme, namely:

- The AMC may charge service tax on investment and advisory fees to the scheme of the Fund in addition to the maximum limit of total expenses ratio as prescribed in Regulation 52 of the Regulations, whereas service tax on other than investment and advisory fees, if any, shall be borne by the scheme within the maximum limit as per regulation 52 of the Regulations.
- expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from such cities as specified by the Securities and Exchange Board of India, from time to time are at least –
  - 30 per cent of the gross new inflows into the scheme, or;
  - 15 per cent of the average assets under management (year to date) of the scheme, whichever is higher;

Provided that if inflows from such cities are less than the higher of the above, such expenses on daily net assets of the scheme shall be charged on proportionate basis;

Provided further that expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from such cities;

Provided further that amount incurred as expense on account of inflows from such cities shall be credited back to the scheme in case the said inflows are redeemed within a period of one year from the date of investment.

- Additional expenses, incurred towards different heads mentioned under sub-regulations (2) and (4) of Regulation 52 of the Regulations, not exceeding 0.20 per cent of daily net assets of the scheme.

At least 2 basis points on daily net assets within the maximum limit of overall expense Ratio shall be annually set apart for investor education and awareness initiatives.

Further, the brokerage and transaction cost incurred for the purpose of execution of trade may be capitalized to the extent of 12bps and 5bps for cash market transactions and derivatives transactions respectively. Any payment towards brokerage and transaction cost, over and above the said 12 bps and 5bps for cash market transactions and derivatives transactions respectively may be charged to the scheme within the maximum limit of Total Expense Ratio as prescribed under regulation 52 of the SEBI (Mutual Funds) Regulations, 1996. Service tax on brokerage and transaction cost paid for execution of trade, if any, shall be within the limit prescribed under regulation 52 of the Regulations.

Subject to Regulations, expenses over and above the prescribed limit shall be borne by the Asset Management Company.

#### ACTUAL EXPENSES FOR THE PREVIOUS FINANCIAL YEAR:

Not Applicable as the Scheme is new.

#### WAIVER OF LOAD FOR DIRECT APPLICATIONS:

Not applicable.

#### TAX BENEFITS OF INVESTING IN THE MUTUAL FUND:

Investors are advised to refer to Statement of Additional Information (SAI) available on the website of AMC viz; www.icicipruamc.com and also independently refer to the tax advisor.

#### PUBLICATION OF DAILY NET ASSET VALUE (NAV):

The AMC will calculate and disclose the first NAV within 5 business days from the date of allotment. Subsequently, the NAV will be calculated and disclosed by 9.00 p.m. on every business day. NAV shall be published at least in two daily newspapers having circulation all over India. In addition, the AMC shall disclose the full portfolio of the Scheme at least on a half-yearly basis on the website of AMC and AMFI. The AMC shall also disclose portfolio of the Scheme on the AMC website i.e. www.icicipruamc.com along with ISIN, on a monthly basis as on last day of each month, on or before tenth day of the succeeding month. Portfolio of top 10 holdings(issuer wise and sector wise) also disclosed in this SID.

AMC shall update the NAVs on the website of Association of Mutual Funds in India – AMFI (www.amfiindia.com) and AMC website (www.icicipruamc.com) by 9.00 p.m. on every business day. In case of any delay, the reasons for such delay would be explained to AMFI and SEBI by the next day. If NAVs are not available before commencement of business hours on the following day due to any reason, the Fund shall issue a press release providing reasons and explaining when the Fund would be able to publish the NAVs.

In addition to above, the indicative NAV will be updated on AMCs website during market hours, once in 2 hours.

#### FOR INVESTOR GRIEVANCES PLEASE CONTACT:

Name and Address of Registrar	Name, address, telephone number, fax number, e-mail address of ICICI Prudential Mutual Fund
Computer Age Management Services Private Limited (CAMS), New No 10, Old No. 178, Opp. to Hotel Palm Grove, MGR Salai (K.H. Road), Chennai 600034	Mr. Yatin Suvarna - Investor Relations Officer, ICICI Prudential Asset Management Company Limited, 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai - 400 063. Tel No.: 022-26852000, Fax No.: 022-2686 8313 e-mail - enquiry@icicipruamc.com

#### UNITHOLDERS' INFORMATION:

The AMC shall disclose portfolio of various Plans on the website www.icicipruamc.com along with ISIN on a monthly basis as on last day of each month, on or before tenth day of the succeeding month.

The Fund shall before the expiry of one month from the close of each half year, that is as on March 31 and September 30, publish its scheme portfolios in one English daily newspaper having all India circulation and in a newspaper published in the language of the region where the Head Office of the AMC is situated in the prescribed format and update the same on AMC's website at www.icicipruamc.com and AMFI's website www.amfiindia.com.

In terms of Regulations 59 and SEBI circular no. CIR/IMD/DF/21/2012 dated September 13, 2012, the AMC shall host the half-yearly financial statements of schemes of the Fund on its website and publish a notice in the newspapers regarding the availability of the same.

It is hereby notified that wherever the investor(s) has/have provided his/her e-mail address in the application form in any of the folio belonging to the investor(s), the Fund/ Asset Management Company reserves the right to use Electronic Mail (e-mail) as a default mode to send various communication for transactions done by the investor(s).

#### TRANSACTION CHARGES

Pursuant to SEBI Circular No. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011 the transaction charge per subscription of Rs.10,000/- and above may be charged in the following manner:

- The existing investors may be charged Rs. 100/- as transaction charge per subscription of Rs.10,000/- and above;
- A first time investor may be charged Rs.150/- as transaction charge per subscription of Rs.10,000/- and above.

There shall be no transaction charge on subscription below Rs. 10,000/- and on transactions other than purchases/ subscriptions relating to new inflows.

However, the option to charge "transaction charges" is at the discretion of the distributors. Investors may note that distributors can opt to receive transaction charges based on 'type of the Scheme'. Accordingly, the transaction charges would be deducted from the subscription amounts, as applicable.

The aforesaid transaction charge shall be deducted by the Asset Management Company from the subscription amount and paid to the distributor, as the case may be and the balance amount shall be invested subject to deduction of service tax.

However, upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by such distributor.

Transaction Charges shall not be deducted if:

- Purchase/Subscription made directly with the fund through any mode (i.e. not through any distributor/agent).
- Purchase/ subscription made through stock Exchange, irrespective of investment amount.

CAS/ Statement of account shall state the net investment (i.e. gross subscription less transaction charge) and the number of units allotted against the net investment.

#### CONSOLIDATED ACCOUNT STATEMENT (CAS):

- The Consolidated Account Statement (CAS) for each calendar month will be issued on or before tenth day of succeeding month to the investors who have provided valid Permanent Account Number (PAN). Due to this regulatory change, AMC shall now cease to send physical account statement to the investors after every financial transaction\*\* including systematic transactions. Further, CAS will be sent via email where any of the folios consolidated has an email id or to the email id of the first unit holder as per KYC records.

\*\*The word 'financial transaction' shall include purchase, redemption, switch, dividend payout, dividend reinvestment, systematic investment plan, systematic withdrawal plan and systematic transfer plan.

- For folios not included in the Consolidated Account Statement (CAS), the AMC shall henceforth issue account statement to the investors on a monthly basis, pursuant to any financial transaction in such folios on or before tenth day of succeeding month.

In case of a New Fund Offer Period (NFO), the AMC shall send confirmation specifying the number of units allotted to the applicant by way of a physical account statement or an email and/or SMS's to the investor's registered address and/or mobile number not later than five business days from the date of closure of the NFO.

- In case of a specific request received from the unit holder, the AMC shall provide the account statement to the investors within 5 business days from the receipt of such request.
- In the case of joint holding in a folio, the first named Unit holder shall receive the CAS/account statement. The holding pattern has to be same in all folios across Mutual Funds for CAS.

Further, in case if no transaction has taken place in a folio during the period of six months ended September 30 and March 31, the CAS detailing the holdings across all Schemes of all mutual funds, shall be emailed at the registered email address of the unitholders on half yearly basis, on or before tenth day of succeeding month, unless a specific request is made to receive the same in physical form.

Each CAS issued to the investors shall also provide the total purchase value / cost of investment in each scheme.

Further, CAS issued for the half-year (September/ March) shall also provide:

- a. The amount of actual commission paid by AMCs/Mutual Funds (MFs) to distributors (in absolute terms) during the half-year period against the concerned investor's total investments in each MF scheme. The term 'commission' here refers to all direct monetary payments and other payments made in the form of gifts / rewards, trips, event sponsorships etc. by AMCs/MFs to distributors. Further, a mention may be made in such CAS indicating that the commission disclosed is gross commission and does not exclude costs incurred by distributors such as service tax (wherever applicable, as per existing rates), operating expenses, etc.
- b. The scheme's average Total Expense Ratio (in percentage terms) for the half-year period for each scheme's applicable plan, where the concerned investor has actually invested in.

Such half-yearly CAS shall be issued to all MF investors, excluding those investors who do not have any holdings in MF schemes and where no commission against their investment has been paid to distributors, during the concerned half-year period.

In case of the units are held in dematerialized (demat) form, the statement of holding of the beneficiary account holder will be sent by the respective Depository Participant periodically.

The AMC reserve the right to furnish the account statement in addition to the CAS, if deemed fit in the interest of investor(s).

#### **CAS for investors having Demat account:**

- Investors having MF investments and holding securities in Demat account shall receive a single Consolidated Account Statement (CAS) from the Depository.
- Consolidation of account statement shall be done on the basis of Permanent Account Number (PAN). In case of multiple holding, it shall be PAN of the first holder and pattern of holding. The CAS shall be generated on a monthly basis.
- If there is any transaction in any of the Demat accounts of the investor or in any of his mutual fund folios, depositories shall send the CAS within ten days from the month end. In case, there is no transaction in any of the mutual fund folios and demat accounts then CAS with holding details shall be sent to the investor on half yearly basis.
- In case an investor has multiple accounts across two depositories, the depository with whom the account has been opened earlier will be the default depository.

The dispatch of CAS by the depositories would constitute compliance by the AMC/ the Mutual Fund with the requirement under Regulation 36(4) of SEBI (Mutual Funds) Regulations.

However, the AMC reserves the right to furnish the account statement in addition to the CAS, if deemed fit in the interest of investor(s).

#### **MAILING OF SCHEME WISE ANNUAL REPORT OR ABRIDGED SUMMARY:**

Pursuant to Securities and Exchange Board of India (Mutual Funds) (Amendments) Regulations, 2011 dated August 30, 2011 read with SEBI circular No. Cir/ IMD/ DF/16/ 2011 dated September 8, 2011, the unit holders are requested to note that scheme wise annual report and/or abridged summary of annual reports of the Schemes of the Fund shall be sent to the unit holders only by email at their email address registered with the Fund.

Physical copies of the annual report or abridged summary of annual reports will be sent to those Unit holders whose email address is not available with the Fund and/or who have specifically requested or opted for the same.

The unit holders are requested to update/ provide their email address to the Fund for updating the database.

Physical copy of the scheme wise annual report or abridged summary will be available to the unit holders at the registered office of the Fund/AMC. A separate link to scheme annual report or abridged summary is available on the website of the Fund.

As per regulation 56(3) of the Regulations, copy of Schemewise Annual Report shall be also made available to unitholder on payment of nominal fees. Further as per Securities and Exchange Board of India (Mutual Funds) (Third Amendment) Regulations, 2008 Notification dated September 29, 2008 & SEBI Circular No. SEBI/ IMD/CIR No. 10/141712/ 08 October 20, 2008, the schemewise Annual Report of a mutual fund or an abridged summary shall be mailed to all unitholders as soon as may be possible but not later than four months from the date of closure of the relevant accounts year.

#### **CASH INVESTMENTS IN THE SCHEME:**

Pursuant to SEBI circulars dated September 13, 2012 and May 22, 2014, it is permitted to accept cash transactions to the extent of Rs. 50,000/- subject to compliance with Prevention of Money Laundering Act, 2002 and Rules framed there under and the SEBI Circular(s) on Anti Money Laundering (AML) and other applicable AML rules, regulations and guidelines. Provided that the limit shall be applicable per investor for investments done in a financial year across all schemes of the Mutual Fund, subject to sufficient systems and procedures in place for such acceptance. However any form of repayment either by way of redemption, dividend, etc. with respect to such cash investment shall be paid only through banking channel.

The Asset Management Company is in process of implementing adequate systems and controls to accept Cash Investment in the Scheme. Information in this regard will be provided to Investors as and when the facility is made available.

Note: The Scheme under this document was approved by the Directors of ICICI Prudential Trust Limited vide resolution passed by circulation dated August 17, 2016.

For and on behalf of the Board of Directors of  
**ICICI Prudential Asset Management Company Limited**  
Sd/-

**Nimesh Shah**  
Managing Director

Place : Mumbai  
Date : June 13, 2017



# ICICI Prudential Mutual Fund Official Points of Acceptance of Transaction

<p>• <b>Ahmedabad:</b> 307, 3rd Floor, Zodiac Plaza, Beside Nabard Vihar, Near St. Xavier's College Corner, H.L. Collage Road, Off C. G. Road, Ahmedabad 380009, Gujarat • <b>Allahabad:</b> Shop No. FF-1, FF-2,Vashishtha Vinayak. Tower, 38/1, Tashk.ant Marg, Civil Lines, Allahabad 211001 • <b>Amritsar:</b> Eminent Mall, 2nd Floor, Kennedy Avenue, 10 The Mall, Amritsar - 143001, Punjab • <b>Anand:</b> 109-110, Maruti Sharnam Complex, Opp. Nandbhumi Party Plot, Anand Vallabh Vidyannagar Road, Anand - 388001, Gujarat • <b>Asansol:</b> Shop A &amp; B, Block - A, Apurba Complex, Senrleigh Road, Upcar Garden, Ground Floor Near AXIS Bank, Asansol 713304, West Bengal • <b>Aurangabad:</b> Unit B-5, 1st Floor, Aurangabad Business Centre, Adalat Road, Aurangabad - 431001, Maharashtra • <b>Bangalore (M G Road):</b> Phoenix Pinnacle, First Floor, Unit 101 -104, No 46, Ulsoor Road, Bangalore 560042, Karnataka • <b>Bangalore (Jayanagar):</b> No. 311/7, Ground Floor 9th Main, 5th Block, Jayanagar, Bangalore - 560 041 • <b>Bangalore (Malleswaram):</b> Sri Kamakshi Sadan No. 44/1, 1st Floor, 4th Cross, Malleswaram, Bangalore - 560 003 • <b>Baroda:</b> 2nd Floor, Offc No 202, Goldcroft, Jetapur Road, Alkapuri, Vadodara 390007, Gujarat • <b>Bharuch:</b> 129/130, First Floor, Aditya complex, B/H railway station, Near Kasak Fountain, Bharuch 392002, Gujarat • <b>Bhavnagar:</b> 1st Floor, Unit No F1, Gangotri Plaza, Opp. Daxinamurti School, Waghawadi Road, Bhavnagar 364002, Gujarat • <b>Bhopal:</b> MF-26/27 Block-C, Mezzanine Floor, Mansarovar Complex, Hoshangabad Road, Bhopal-462016, Madhya Pradesh • <b>Bhubhaneshwar:</b> Plot No - 381, Khata - 84, MZ Kharvel Nagar, (Near Ram Mandir), Dist - Khurda, Bhubaneswar-751001, Odisha • <b>Bhuj:</b> Office No. 23-24 , Pooja-B, Near ICICI Bank, Station Road, Bhuj-Kutch 370001, Gujarat • <b>Chandigarh:</b> SCO 137-138, F.F, Sec-9C, Chandigarh 160017, Chandigarh • <b>Chattisgarh:</b> Shop No. 10, 11 &amp; 12, Ground Floor, Raheja Towers, Jail Road, Raipur 492001, Chattisgarh • <b>Chennai-Anna Nagar:</b> N R Dave Complex, 1st Floor, No: 201/C34, 2nd Avenue, Anna Nagar West, Chennai - 600 040 • <b>Chennai-Lloyds Road:</b> Abithil Square,189, Lloyds Road,Royapettah, Chennai 600014, Tamil Nadu • <b>Chennai-Tambaram Sanatorium:</b> Door No 24, Ground Floor, GST Road, Tambaram Sanatorium, Chennai 600 047 • <b>Cochin:</b> #956/3 &amp; 956/4 2nd Floor, Teepeyam Towers, Kurushupally Road, Off MG Road, Ravipuram , Kochi 682015, Kerala • <b>Coimbatore:</b> Ground Floor, No.1, Father Rhondy Street, Azad Road, R.S. Puram, Coimbatore 641002, Tamil Nadu • <b>Dehradun:</b> 1st Floor, Opp. St. Joseph school back gate, 33, Subhash road, Dehradun 248001, Uttaranchal • <b>Durgapur:</b> Mezzanine Floor, Lokenath Mansion, Sahid</p>	<p>Khudiram Sarani, CityCentre, Durgapur 713216, West Bengal • <b>Faridabad:</b> Shop No. S.C.O No. 8, Sector 16, Basement, HUDA Shopping Centre, (Below Axis Bank). Faridabad 121002, Haryana • <b>Ghaziabad:</b> Shop No. 2, Plot No. C-74, Ground Floor, Raj Nagar, District Centre, Ghaziabad 201002, Uttar Pradesh • <b>Gurgaon:</b> M.G. Road, Vipul Agora Bulding, Unit no 109, 1st Floor, Opp. JMD Regedst Sq, Gurgaon - 122001 • <b>Guwahati:</b> Jadavbora Complex, M.Devanpath, Ullubari, Guwahati 781007, Assam • <b>Hyderabad-Begumpet:</b> Gowra Plaza, 1st Floor, No: 1-8-304-307/381/444,S.P Road, Begumpet, Secunderabad, Hyderabad 500003, Andhra Pradesh • <b>Indore:</b> 310-311 Starlit Tower,29/1 Y N Road, Indore 452001, Madhya Pradesh • <b>Jaipur:</b> Building No. 1, Opp Amrapura Sthaan, M.I. Road, Jaipur 302001, Rajasthan • <b>Jaipur (Tonk Road):</b> Shop No. NFS/3&amp;4, Nehru Place, Tonk Road, Jaipur 302018, Rajasthan • <b>Jalandhar:</b> 102, 1st Floor, Arora Prime Tower, G T Road, Jalandhar - 144001, Punjab • <b>Jamnagar:</b> 1'1 Floor, Madhav Plaza No. 138-139, Opp. SBI Lal Bunglow Road, Jamnagar 361001, Gujarat • <b>Jamshedpur:</b> Padmalaya, 18 Ram Mandir Area, Ground Floor, Bistupur, Jamshedpur - 831001, Jharkhand • <b>Janak Puri:</b> 108, Mahatta Tower, B Block, Janak Puri, New Delhi 110058 • <b>Jodhpur:</b> 1" Floor,Plot No 3, Sindhi Colony,Shastri Nagar Jodhpur - 342003, Rajasthan • <b>Kalyani:</b> B- 9/14 (C.A), 1st Floor, Central Park, Dist- Nadia, Kalyani 741235, West Bengal • <b>Kanpur:</b> Unit No. G-5, Sai square 16/116, (45), Bhargava Estate Civil Lines, Kanpur 208001, Uttar Pradesh • <b>Kanpur:</b> Unit no. 317, Kan Chamber, 14/113, Civil Lines, Kanpur 208001 • <b>Kolhapur:</b> 1089, E Ward, Anand Plaza, Rajaram Road, Kolhapur 416001, Maharashtra • <b>Kolkata - Dalhousie:</b> Room No. 409, 4th Floor, Oswal Chambers, 2, Church Lane Kolkata - 700001, West Bengal • <b>Kolkata - Lords:</b> 227, A/C Bose Road, Anandalok, 1st Floor, Room No. 103/103 A, Block - B, Kolkata 700020, West Bengal • <b>Lucknow:</b> 1st Floor Modern Business Center,19 Vidhan Sabha Marg, Lucknow 226001, Uttar Pradesh • <b>Ludhiana:</b> SCO 121, Ground Floor, Feroze Gandhi Market, Ludhiana 141001, Punjab • <b>Mumbai-Borivli:</b> ICICI Prudential Mutual Fund, Ground Floor, Suchitra Enclave Maharashtra Lane, Borivali (West), Mumbai 400092, Maharashtra • <b>Mumbai - Fort:</b> ICICI Prudential Asset Management Co Ltd, 2nd Floor, Brady House,12/14 Veer Nariman Road Fort, Mumbai 400001, Maharashtra • <b>Mumbai - Ghatkopar:</b> Ground Floor, Unit No 4 &amp; 5, Platinum Mall, Opposite Ghatkopar Railway Station, Jawahar Road, Ghatkopar East, Mumbai 400077 • <b>Mumbai - Goregaon:</b> 2nd Floor, Block B-2, Nirfon Knowledge Park, Western Express Highway, Goregaon, Mumbai 400063, Maharashtra •</p>	<p><b>Mumbai-Khar:</b> ICICI Prudential Mutual Fund, 101, 1st Floor, Abbas Manzil, Opposite Khar Police Station, S. V. Road, Khar (W), Mumbai 400052, Maharashtra • <b>Mumbai-Thane:</b> ICICI Prudential Mutual Fund, Ground Floor, Mahavir Arcade,Ghantali Road, Naupada, Thane West, Thane 400602, Maharashtra • <b>Mumbai-Vashi:</b> ICICI Prudential AMC Ltd, Devavrata Co-op Premises, Plot No 83, Office No 26, Gr Floor, Sector 17, Vashi, Navi Mumbai 400703, Maharashtra • <b>Nagpur:</b> 1st Floor, Mona Enclave, WHC Road, Near Coffee House Square, Above Titan Eye Showroom, Dharampeth, Nagpur 440010, Maharashtra • <b>Nashik:</b> 1<sup>st</sup> Floor, Plot no. 57, Karamkala, New Pandit Colony, Opp. Old Municipal Corporation, (NMC) Off Sharanpur Road, Nashik - 422 002, Maharashtra • <b>Navsari:</b> 4/411, Landmark The Mall, Near Sayaji Library, Sayaji Road Navsari 396445, Gujarat • <b>New Delhi:</b> 12th Floor Narain Manzil,23 Barakhamba Road, New Delhi 110001, New Delhi • <b>Noida:</b> K-20, First Floor, Sector-18, Noida 201301, Uttar Pradesh • <b>Panjim:</b> Sandeep Apts, Shop No. 5 &amp; 6, Grond Floor, Next to Hotel Samrat, Dr. Dada Vaidya Road, Panaji 403001, Goa • <b>Patna:</b> 1st Floor, Kashi Place, Dak Bungalow Road, Patna 800001, Bihar • <b>Pune:</b> 1205 /4/6 Shivaji Nagar, Chimbalkar House, Opp Sambhaji Park, J M Road, Pune 411004, Maharashtra • <b>Pune (Camp):</b> Ground Floor, Office No. 6, Chetna CHS Ltd., General Thimayya Marg, Camp - Pune 411 001 • <b>Raipur:</b> Shop No. 10, 11 &amp; 12, Ground Floor, Raheja Towers, Jail Road, Raipur 492001, Chattisgarh • <b>Rajkot:</b> Office no 201, 2nd Floor, Akshar X, Jagannath-3, Dr. Yagnik Road, Rajkot 360001, Gujarat • <b>Siliguri:</b> Ganapati Plaza, 2nd Floor, Sevoke Road, Siliguri 734001, West Bengal • <b>Surat:</b> HG 30, B Block, International Trade Centre, Majura Gate, Surat 395002, Gujarat • <b>Udaipur:</b> Shukrana, 6 Durga Nursery Road, Near Sukhadia Memorial, Udaipur 313001, Rajasthan • <b>Valsad:</b> 105, Amar Chamber, Opp. Lal School, Near HDFC Bank, Station Road, Valsad 396001, Gujarat • <b>Vapi:</b> Office no 32, Ground Floor, Sahara Market, Imran Nagar, Vapi Silvassa RoadState, Vapi 396191, Gujarat • <b>Varanasi:</b> D-58/2, Unit No.52 &amp; 53,1st Floor, Kuber Complex, Rath Yatra Crossing, Varanasi 221010, Uttar Pradesh.</p> <p><b>Email Ids:</b> • <b>Ahmedabad:</b> TrxnAhmedabad@icicipruamc.com • <b>Bangalore:</b> TrxnBangalore@icicipruamc.com • <b>Chennai:</b> TrxnChennai@icicipruamc.com • <b>Delhi:</b> TrxnDelhi@icicipruamc.com • <b>Hyderabad:</b> TrxnHyderabad@icicipruamc.com • <b>Kolkatta:</b> TrxnKolkatta@icicipruamc.com • <b>Mumbai - Fort:</b> TrxnMumbai@icicipruamc.com • <b>Mumbai - Goregaon:</b> Trxn@icicipruamc.com • <b>Pune:</b> TrxnPune@icicipruamc.com.</p>
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## Other Cities: Additional official transaction acceptance points (CAMS Transaction Points)

<p>• <b>Agartala:</b> Advisor Chowmuhan (Ground Floor) Krishnanagar, Agartala 799001, Tripura • <b>Agra:</b> No. 8, II Floor Maruti Tower Sanjay Place, Agra 282002, Uttar Pradesh • <b>Ahmedabad:</b> 111-113, 1st Floor, Devpath Building, off : C G Road, Behind Lal Bungalow, Ellis Bridge, Ahmedabad, Ahmedabad 380006, Gujarat • <b>Ajmer:</b> Shop No.S-5, Second Floor Swami Complex, Ajmer 305001, Rajasthan • <b>Akola:</b> Opp. RLT Science College Civil Lines, Akola 444001, Maharashtra • <b>Aligarh:</b> City Enclave, Opp. Kumar Nursing Home Ramghat Road, Aligarh 202001, Uttar Pradesh • <b>Allahabad:</b> 30/2, A&amp;B, Civil Lines Station, Besides Vishal Mega Mart, Strachey Road, Allahabad 211001, Uttar Pradesh • <b>Alleppey:</b> Doctor's Tower Building, Door No. 14/2562, 1st floor, North of Iorn Bridge, Near Hotel Arcadia Regency, Alleppey 688011, Kerala • <b>Alwar:</b> 256A, Scheme No:1, Arya Nagar, Alwar 301001, Rajasthan • <b>Amaravati:</b> 81, Gulsham Tower, 2nd Floor Near Panchsheel Talkies, Amaravati 444601, Maharashtra • <b>Ambala:</b> Opposite PEER, Bal Bhawan Road, Ambala 134003, Haryana • <b>Amreli:</b> BB, 1st Floor, Mira Arcade, Library Road Amreli 365601. Tel.: (02792) 220792 • <b>Amritsar:</b> SCO - 18J, 'C' Block, Ranjit Avenue, Amritsar 140001, Punjab • <b>Anand:</b> 101, A.P. Tower, B/H, Sardhar Gunj Next to Nathwani Chambers , Anand 388001, Gujarat • <b>Anantapur:</b> 15-570-33, I Floor Pallavi Towers, Anantapur 515001, Andhra Pradesh • <b>Andheri (parent: Mumbai ISC):</b> CTS No 411, Citipoint, Gundivali, Tel Gali, Above C.T. Chatwani Hall, Andheri 400069, Maharashtra • <b>Ankleshwar:</b> Shop # F -56,1st Floor, Omkar Complex,Opp Old Colony, Near Valia Char Rasta, G.I.D.C., Ankleshwar 393002, Gujarat • <b>Arambagh:</b> Ward No 5, Basantapur More, PO Arambag, Hoogly, Arambagh-712601 , West Bengal. Tel.: (03211) 211003 •</p>	<p><b>Asansol:</b> Block – G 1st Floor P C Chatterjee Market Complex Rambandhu Talab P O Ushagram, Asansol 713303, West Bengal • <b>Aurangabad:</b> Office No. 1, 1st Floor Amodi Complex Juna Bazar, Aurangabad 431001, Maharashtra • <b>Balasure:</b> B C Sen Road, Balasure 756001, Orissa • <b>Bangalore:</b> Trade Centre, 1st Floor 45, Dikensen Road (Next to Manipal Centre), Bangalore 560042, Karnataka • <b>Bankura:</b> CAMS Service Center, Cinema Road, Nutunganj, Beside Mondal Bakery, P. O. &amp; Dist. Bankura 722101 • <b>Barasat:</b> RBC Road, Ground Floor, Near Barasat Kalikrishna Girls High School, Barasat 700124, Kolkata, West Bengal • <b>Bardoli:</b> F-10,First Wings, Desai Market, Gandhi Road Bardoli - 394601. Contact No: 8000791814 • <b>Bareilly:</b> F-62-63, Butler Plaza Civil Lines, Bareilly 243001, Uttar Pradesh • <b>Belgaum:</b> Tanish Tower CTS No. 192/A, Guruwar Peth Tilakwadi, Belgaum 590006, Karnataka • <b>Bellary:</b> CAMS Service centre,# 60/5, Mullangi Compound, Gandhinagar Main Road, (Old Gopalswamy Road), Bellary 583103, Karnataka • <b>Berhampur:</b> First Floor, Upstairs of Aaroon Printers Gandhi Nagar Main Road, Berhampur 760001, Orissa • <b>Bhagalpur:</b> Dr R P Road Khalifabag Chowk, Bhagalpur 812002, Bihar • <b>Bharuch (parent: Ankleshwar TP):</b> F-108, Rangoli Complex Station Road , Bharuch 392001, Gujarat • <b>Bhatinda:</b> 2907 GH,GT Road Near Zila Parishad, Bhatinda 151001, Punjab • <b>Bhavnagar:</b> 305-306, Sterling Point Waghawadi Road Opp. HDFC Bank, Bhavnagar 364002, Gujarat • <b>Bhilai:</b> Shop No. 117,Ground Floor, Khicharia Complex, Opposite IDBI Bank, Nehru Nagar Square, Bhilai 490020, Chattisgarh • <b>Bhilwara:</b> Indraparstha tower Shop Nos 209-213, Second floor, Shyam ki Sabji Mandi, Near Mukharji garden, Bhilwara 311001, Rajasthan • <b>Bhopal:</b> Plot no 1o. 2nd Floor,Alankar</p>	<p>Complex, Near ICICI Bank, MP Nagar, Zone II, Bhopal, Madhya Pradesh - 462 011. Tel.: 9203900546 • <b>Bhubaneswar:</b> 101/ 7, Janpath, Unit-III, Bhubaneswar 751001, Orissa • <b>Bhuj:</b> Data Solution, Office No:17 I st Floor Municipal Building Opp Hotel Prince Station Road, Bhuj - Kutch 370001, Gujarat • <b>Bhusawal (Parent: Jalgaon TP):</b> 3, Adelaide Apartment Christain Mohala, Behind Gulshan-E-Iran Hotel Amardeep Talkies Road Bhusawal, Bhusawal 425201, Maharashtra • <b>Bihar Sharif (Nalanda):</b> R - C Palace, Amber Station Road, Opp. Mamta Complex, Bihar Sharif (Nalanda) Pin - 803101, Bihar. • <b>Bikaner:</b> Behind Rajasthan Patrika, In front of Vijaya Bank, 1404, Amar Singh Pura, Bikaner 334001, Rajasthan • <b>Bilaspur:</b> Shop No.B-104, First Floor, Narayan Plaza, Link Road, Bilaspur (C.G) 495001. Tel: 9203900626 • <b>Bohorompur:</b> Prantik Para, Near Hotel Samrat, P.O Chilita, P S Bohorompur, Bohorompur, West Bengal 742165 • <b>Bokaro:</b> Mazzanine Floor, F-4, City Centre Sector 4, Bokaro Steel City 827004, Bokaro 827004, Jharkhand • <b>Borivali (West, Mumbai):</b> Hirji Heritage, 4th Floor, Office No. 402, Above Tribhovandas Bhimji Zaveri (TBZ), L.T. Road, Borivali (West), Mumbai - 400 092. • <b>Burdwan:</b> 1st Floor Above Exide Showroom, 399 GT Road, Burdwan 713101. Tel.: (0342) 3241808, West Bengal • <b>Calicut:</b> 29/97G 2nd Floor Gulf Air Building Mavoor Road Arayidathupalam, Calicut 673016, Kerala • <b>Chandigarh:</b> Deepak Towers, SCO 154-155, 1st Floor, Sector17-C, Chandigarh 160017, Punjab • <b>Chandrapur:</b> Opp Mustafa Decor, Near Bangalore Bakery, Kasturba Road, Chandrapur, Maharashtra - 442 402, Tel no.: 01772 - 253108 • <b>Chennai:</b> Ground Floor No.178/10, Kodambakkam High Road Opp. Hotel Palmgrove Nungambakkam, Chennai 600034, Tamil Nadu •</p>
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<p><b>Chennai:</b> 7th floor, Rayala Tower - III,158, Annasalai,Chennai, Chennai 600002, Tamil Nadu • <b>Chennai:</b> Ground floor, Rayala Tower- I,158, Annasalai, Chennai 600002, Tamil Nadu • <b>Cochin:</b> 1st Floor, K C Centre, Door No. 42/227-B, Chittoor Road, Opp. North Town Police Station, Kacheripady, Cochin - 682 018. Tel.: (0484) 6060188/6400210 • <b>Coimbatore:</b> Old # 66 New # 86, Lokamanya Street (West) Ground Floor R.S. Puram, Coimbatore 641002, Tamil Nadu • <b>Coochbehar:</b> N. N. Road, Power House, Choupathi, Coochbehar-736101, West Bengal, Tel.: 9378451365 • <b>Cuttack:</b> Near Indian Overseas Bank Cantonment Road Mata Math, Cuttack 753001, Orissa • <b>Davenegere:</b> 13, 1st Floor, Akkamahadevi Samaj Complex, Church Road, P.J. Extension, Devengere 577002, Karnataka • <b>Dehradun:</b> 204/121 Nari Shilp Mandir Marg Old Connaught Place, Dehradun 248001, Uttaranchal • <b>Delhi:</b> CAMS Collection Centre, Flat no.512, Narain Manzil, 23, Barakhamba Road, Connaught Place, New Delhi 110001, New Delhi • <b>Deoghar:</b> S S M Jalan Road Ground floor Opp. Hotel Ashoke Caster Town, Deoghar 814112, Jharkhand • <b>Dhanbad:</b> Urmila Towers Room No: 111(1st Floor) Bank More, Dhanbad 826001, Jharkhand • <b>Durgapur:</b> City Plaza Building, 3rd floor, City Centre, Durgapur 713216, West Bengal • <b>Erode:</b> 197, Seshaiyer Complex Aghraharam Street, Erode 638001, Tamil Nadu • <b>Faridhabad:</b> B-49, 1st Floor Nehru Ground Behind Anupam Sweet House NIT, Faridhabad 121001, Haryana • <b>Firozabad:</b> 53,1st Floor, Shastri Market, Sadar Bazar, Firozabad - 283 203. Contact no.: 0561-2240495 • <b>Gaya:</b> CAMS Service Center, C/o Mangalam Press, Near R/O Dr. Binay Kumar Sinha, Bangla Asthan, Ramdhanpur, Gaya 82300, Bihar • <b>Ghaziabad:</b> 113/6 I Floor Navyug Market, Gazhiabad 201001, Uttar Pradesh • <b>Goa:</b> Lawanda Sarmalkar Bhavan, 1st Floor, Office No. 2 Next to Mahalaxmi Temple, Panaji, Goa 403001. Tel.: 0832-6460439 • <b>Gondal:</b> Parent CSC - Rajkot,A/177, Kailash Complex, Khedut Decor, Gondal 360311, Gujarat • <b>Gorakhpur:</b> Shop No. 3, Second Floor, The Mall Cross Road, A.D. Chowk Bank Road, Gorakhpur 273001, Uttar Pradesh • <b>Guntur:</b> Door No 5-38-44 5/1 BRODIPET Near Ravi Sankar Hotel, Guntur 522002, Andhra Pradesh • <b>Gurgaon:</b> SCO - 17, 3rd Floor, Sector-14, Gurgaon 122001, Haryana • <b>Guwahati:</b> A.K. Azad Road, Rehabari, Guwahati 781008, Assam • <b>Gwalior:</b> G-6, Global Apartment Phase-II,Opposite Income Tax Office, Kailash Vihar City Centre, Gwalior 474001, Madhya Pradesh • <b>Hazaribag:</b> Municipal Market Annanda Chowk, Hazaribagh 825301, Jharkhand • <b>Hisar:</b> 12, Opp. Bank of Baroda Red Square Market, Hisar 125001, Haryana • <b>Hubli:</b> No.204 - 205, 1st Floor, ' B ' Block, Kundagol Complex, Opp. Court, Club Road, Hubli 580029, Karnataka • <b>Hyderabad:</b> 208, II Floor, Jade Arcade Paradise Circle, Secunderabad 500003, Andhra Pradesh • <b>Hyderabad:</b> No. 15-31-2M-1/4, 1st Floor, 14-A, MIG, KPHB Colony, Kukatpally Hyderabad - 500072 • <b>Indore:</b> 101, Shalimar Corporate Centre 8-B, South Tukogunj, Opp.Greenpark, Indore 452001, Madhya Pradesh • <b>Jabalpur:</b> 975, Chouksey Chambers, Near Gitanjali School, 4th Bridge, Napier Town, Jabalpur 482001, Madhya Pradesh • <b>Jaipur:</b> R-7, Yudhisthir Marg, C-Scheme Behind Ashok Nagar Police Station, Jaipur 302001, Rajasthan • <b>Jalandhar:</b> 367/8, Central Town Opp. Gurudwara Diwan Asthan, Jalandhar 144001, Punjab • <b>Jalgaon:</b> Rustomji Infotech Services 70, Navipeth Opp. Old Bus Stand, Jalgaon 425001, Maharashtra • <b>Jalna C.C. (Parent: Aurangabad):</b> Shop No 6, Ground Floor, Anand Plaza Complex, Bharat Nagar, Shivaji Putla Road, Jalna 431203, Maharashtra • <b>Jalpaiguri:</b> Babu Para, Beside Meenaar Apartment, Ward No VIII, Kotwali Police Station, PO &amp; Dist Jalpaiguri 735101, West Bengal. Tel: (03561) 222299 • <b>Jammu:</b> JRDS Heights, Lane Opp. S&amp;S Computers,Near RBI Building, Sector 14, Nanak Nagar, Jammu 180004, Jammu &amp; Kashmir • <b>Jamnagar:</b> 207, Manek Centre, P N Marg, Jamnagar 361001, Gujarat. Tel: (0288) 6540116 • <b>Jamshedpur:</b> Millennium Tower, "R" Road Room No:15 First Floor, Bistupur, Jamshedpur 831001, Jharkhand • <b>Jaunpur:</b> Gopal katra, 1st Floor, Fort Road, Jaunpur 222001, Tel.: (05452) 321630 • <b>Jhansi:</b> 372/18 D, 1st Floor, Above IDBI Bank, Beside V-mart, Near "RASKHAN" Gwalior Road, Jhansi 284001, Tel: 9235402124/7850883325, Uttar Pradesh • <b>Jodhpur:</b> 1/5, Nirmal Tower Ist Chopasani Road, Jodhpur 342003, Rajasthan • <b>Junagadh:</b> Circle Chowk, Near Choksi Bazar Kaman, Gujarat, Junagadh 362001, Gujarat • <b>Kadapa:</b> Bandi Subbaramaiah</p>	<p>Complex, D.No:3/1718, Shop No: 8, Raja Reddy Street, Besides Bharathi Junior College, Kadapa 516001, Andhra Pradesh • <b>Kakinada:</b> D No-25-4-29, 1st floor, Kommireddy vari Street, Beside Warf Road, Opp. Swathi Medicals, Kakinada-533001, Tel: (0884) 6560102 • <b>Kalyani:</b> A - 1/50, Block - A, Dist Nadia, Kalyani 741235, West Bengal • <b>Kangra:</b> CAMS Service Centre, C/O DOGRA NARESH &amp; ASSOCIATES, College Road, Kangra 176001, Himachal Pradesh, Contact No.1892-260089 • <b>Kannur:</b> Room No.14/435 Casa Marina Shopping Centre Talap, Kannur 670004, Kerala • <b>Kanpur:</b> I Floor 106 to 108 CITY CENTRE Phase II 63/ 2, The Mall, Kanpur 208001, Uttar Pradesh • <b>Karimnagar:</b> HNo.7-1-257, Upstairs S B H Mangammathota, Karimnagar 505001, Andhra Pradesh • <b>Karnal:</b> 29 Avtar Colony, Behind Vishal Mega Mart, Kamal - 132001, Haryana • <b>Karur:</b> # 904, 1st Floor Jawahar Bazaar, Karur 639001, Tamil Nadu • <b>Kharagpur:</b> 623/1 Malancha Main Road, PO Nimpura, Ward No - 19, Kharagpur 721304, West Bengal • <b>Kolhapur:</b> 2 B, 3rd Floor, Ayodhya Towers,Station Road, Kolhapur 416001, Maharashtra • <b>Kolkata Central:</b> 2A, Ganesh Chandra Avenue, Room No. 3A, "Commerce House" (4th Floor), Kolkata 700013 • <b>Kolkata:</b> Saket Building, 44 Park Street, 2nd Floor, Kolkata 700071, West Bengal • <b>Kollam:</b> Kochupilamoodu Junction Near VLC, Beach Road, Kollam 691001, Kerala • <b>Korba:</b> Shop No 6, Shriram Commercial Complex, Infront of Hotel Blue Diamond, Ground Floor, T. P. Nagar, Korba-495677 • <b>Kota:</b> B-33 'Kalyan Bhawan Triangle Part, Vallabh Nagar, Kota 324007, Rajasthan • <b>Kottayam:</b> Jacob Complex, Building No - Old No-1319F, New No - 25120, Behind Makkil Centre Good Sheperd Road, Kottayam 686001, Kerala. Tel.: (0481) 6060018 • <b>Kumbakonam:</b> Jailani Complex 47, Mutt Street, Kumbakonam 612001, Tamil Nadu • <b>Kurnool:</b> Shop No. 26 and 27, Door No. 39/265A &amp; 39/2658, Second Floor, Skanda Shopping Mall, Old Chad Talkies, Vaddageri,39'h Ward, Kurnool, Andhra Pradesh - 518001. Contact No.: 08518-650391 • <b>Lucknow:</b> Off # 4,1st Floor,Centre Court Building, 3/C, 5 - Park Road, Hazratganj, Lucknow 226001, Uttar Pradesh • <b>Ludhiana:</b> U/ GF, Prince Market, Green Field Near Traffic Lights, Sarabha Nagar Pulli Pakhowal Road, Ludhiana 141002, Punjab • <b>Madurai:</b> Cams Service Centre, # 1st Floor,278, North Perumal, Maistry Street (Nadar Lane), Madurai 625001, Tamil Nadu • <b>Mahabubnagar:</b> H_ No. 1-3-110, Rajendra Nager, Mahabubnagar - 509001, Telangana State, Contact No: 9440033182 • <b>Malappuram:</b> Kadakkadan Complex, Opp Central School, Malappuram 670504, Kerala. Contact no.: (0483) 2737101 • <b>Mangalore:</b> No. G 4 &amp; G 5, Inland Monarch Opp. Karnataka Bank Kadri Main Road, Kadri, Mangalore 575003, Karnataka • <b>Mapusa:</b> Office no.CF-8, 1st Floor, Business Point, Above Bicholim Urban Co-Op Bank Ltd, Angod, Mapusa 403507, Goa • <b>Margao:</b> F4 - Classic Heritage, Near Axis Bank, Opp. BPS Club Pajifond, Margao, Goa - 403 601. Tel: (0832) 6480250 • <b>Meerut:</b> 108 1st Floor Shivam Plaza Opposite Eves Cinema, Hapur Road, Meerut 250002, Uttar Pradesh • <b>Mehsana:</b> 1st Floor, Subhadra Complex Urban Bank Road, Mehvana 384002, Gujarat • <b>Mirzapur:</b> First Floor, Canara Bank Building, Dhundhi Katra Mirzapur Uttar Pradesh 231001, Tel.: (05442) 220282 • <b>Moradabad:</b> H 21-22, 1st Floor, Ram Ganga Vihar Shopping Complex, Opposite Sales Tax Office, Moradabad - 244 001. Tel. No: (0591) 6450125 • <b>Mumbai:</b> Rajabahdur Compound, Ground Floor Opp Allahabad Bank, Behind ICICI Bank 30, Mumbai Samachar Marg, Fort, Mumbai 400023, Maharashtra • <b>Muzzafarpur:</b> Brahman toli, Durgasthan Gola Road, Muzaffarpur 842001, Bihar • <b>Mysore:</b> No.1, 1st Floor CH.26 7th Main, 5th Cross (Above Trishakthi Medicals) Saraswati Puram, Mysore 570009, Karnataka • <b>Nadiad:</b> F-134, First Floor, Gantakaran Complex, Gunj Bazar, Nadiad 387001, Gujarat • <b>Nagercoil:</b> IV Floor, Kalluveettil Shyras Center 47, Court Road, Nagercoil - 629 001, Tel.: (04652) 229549 • <b>Nagpur:</b> 145 Lendra Park, Behind Indus Ind Bank New Ramdaspeth, Nagpur 440010, Maharashtra • <b>Nanded:</b> Shop No_ 303, 1st Floor, Rajmohd complex, Mani Road Sree nagar, Nanded - 431 605, Tel. no.: 9579444034 • <b>Nasik:</b> Ruturang Bungalow, 2 Godavari Colony Behind Big Bazar, Near Boys Town School Off College Road, Nasik 422005, Maharashtra • <b>Navsari:</b> CAMS Service Center,16, 1st Floor, Shivani Park, Opp. Shankheswar Complex, Kaliawadi, Navsari, Navasari 396445, Gujarat • <b>Nellore:</b> 97/56, I Floor Immadisetty Towers Ranganayakulapet Road, Santhapet, Nellore 524001, Andhra Pradesh • <b>New</b></p>	<p><b>Delhi</b> : 304-305 III Floor Kanchenjunga Building 18, Barakhamba Road Cannaugt Place, New Delhi 110001, New Delhi • <b>Noida:</b> CAMS Service centre C-81, 1st floor, Sector - 2, Noida, Noida 201301, Uttar Pradesh • <b>Palakkad:</b> 10 / 688, Sreedeevi Residency Mettupalayam Street, Palakkad 678001, Kerala • <b>Panipat:</b> 83, Devi Lal Shopping Complex Opp ABN Amro Bank, G.T. Road, Panipat 132103, Haryana • <b>Patiala:</b> 35, New Lal Bagh Colony, Patiala 147001, Punjab • <b>Patna:</b> G-3, Ground Floor, Om Complex, Near Saket Tower, SP Verma Road, Patna 800001, Bihar • <b>Pathankot:</b> 13-A, 1st Floor, Gurjeet Market, Dhangu Road, Pathankot 145001, Punjab • <b>Phagwara:</b> 152-C, Model Town, Phagwara 144401, Punjab, Contact No: (01824) 260336 • <b>Pondicherry:</b> S-8, 100, Jawaharlal Nehru Street (New Complex, Opp. Indian Coffee House), Pondicherry 605001, Pondichery • <b>Pune:</b> Nirmiti Eminence, Off No. 6, I Floor Opp Abhishek Hotel Mehandale Garage Road Erandawane, Pune 411004, Maharashtra • <b>Raipur:</b> HIG-C-23, Sector - 1, Devendra Nagar, Raipur 492004, Chattisgarh • <b>Rajahmundry:</b> Cabin 101 D.no 7-27-4 1st Floor Krishna Complex Baruvvari Street T Nagar, Rajahmundry 533101, Andhra Pradesh • <b>Rajkot:</b> Office 207 - 210, Everest Building Harihar Chowk, Opp Shastri Maidan, Limda Chowk, Rajkot 360001, Gujarat • <b>Ranchi:</b> 4, HB Road, No: 206, 2nd Floor Shri Lok Complex, Ranchi 834001, Jharkhand • <b>Rohtak:</b> 205, 2ND Floor, Blg. No. 2, Munjal Complex, Delhi Road, Rohtak 124001, Haryana • <b>Rourkela:</b> 1st Floor Mangal Bhawan Phase II Power House Road, Rourkela 769001, Orissa • <b>Saharanpur:</b> I Floor, Krishna Complex Opp. Hathhi Gate Court Road, Saharanpur 247001, Uttar Pradesh • <b>Salem:</b> No.2, I Floor Vivekananda Street, New Fairlands, Salem 636016, Tamil Nadu • <b>Sambalpur:</b> C/o Raj Tibrewal &amp; Associates Opp.Town High School, Sansarkar, Sambalpur 768001, Orissa • <b>Sangli:</b> Jiveshwar Krupa Bldg, Shop. No. 2, Ground Floor, Tilak Chowk, Harbhat Road, Sangli 416416, Contact No.: 0233-6600510 • <b>Satara:</b> 117 / A / 3 / 22, Shukrawar Peth Sargam Apartment, Satara 415002, Maharashtra • <b>Satna:</b> 1st Floor,Shri Ram Market, Beside Hotel Pankaj, Birla Road, Satna - 485001, Madhya Pradesh, Contact No: 07672-406996 • <b>Shillong:</b> 3rd Floor, RPG Complex, Keating Road, Shillong 793001, Meghalaya, Tel: (0364) 2502511 • <b>Shimla:</b> I Floor, Opp. Panchayat Bhawan Main gate Bus stand, Shimla 171001, Himachal Pradesh • <b>Shimoga:</b> Nethravathi Near Gutti Nursing Home Kuvempu Road, Shimoga 577201, Karnataka • <b>Siliguri:</b> 78, 1st Floor, Haren Mukherjee Road, Beside SBI Hakimpara, Siliguri 734001, West Bengal. Tel: 9735316555 • <b>Silchar:</b> Shyamaprasad Road, Shillongpatty, 2nc1 Floor, Opp: Hindi School, Silchar-788 001, Tel.: (03842) 230407 • <b>Solapur:</b> 4, Lokhandwala Tower, 144, Sidheshwar Peth, Near Z.P. Opp. Pangal High School, Solapur 413001, Maharashtra • <b>Sriganaganagar:</b> 18 L Block, Sri Ganganagar 335001, Rajasthan • <b>Sreerampur:</b> 47/5/1, Raja Rammohan Roy Sarani, PO. Mallickpara, Dist. Hoogly, Sreerampur - 712203 • <b>Srinagar:</b> Near New Era Public School, Rajbagh, Srinagar - 190008, Tel: (0194) 2311428 • <b>Surat:</b> Office No 2 Ahura -Mazda Complex First Floor, Sadak Street Timalyawad, Nanpura, Surat 395001, Gujarat • <b>Thane:</b> 3rd Floor, Nalanda Chambers, "B" Wing, Gokhale Road, Near Hanuman Temple, Naupada, Thane (West) - 400602, Tel: (022) 25395461 • <b>Thiruppur:</b> 1(1), Binny Compound, II Street, Kumaran Road, Thiruppur 641601, Tamil Nadu • <b>Thiruvalla:</b> Central Tower,Above Indian Bank Cross Junction, Tiruvalla 689101, Kerala • <b>Tirunelveli:</b> III Floor, Nellai Plaza 64-D, Madurai Road, Tirunelveli 627001, Tamil Nadu • <b>Tirupathi:</b> Shop No: 6, Door No: 19-10-8 (Opp to Passport Office), AIR Bypass Road Tirupati - 517501, Andhra Pradesh, Tel: (0877) 6561003 • <b>Trichur:</b> Room No. 26 &amp; 27,DEE PEE PLAZA,Kokkalai, Trichur 680001, Kerala • <b>Trichy:</b> No 8, I Floor, 8th Cross West Extn Thillainagar, Trichy 620018, Tamil Nadu • <b>Trivandrum:</b> R S Complex Opposite of LIC Building Pattom PO, Trivandrum 695004, Kerala • <b>Udaipur:</b> Shree Kalyanam 50, Tagore Nager Sector - 4, Hiranmagri, Udaipur - 313001, Rajasthan, Contact No. 9214245812 • <b>Unjha (Parent: Mehvana):</b> 10/11, Maruti Complex, Opp. B R Marbles, Highway Road, Unjha 384170, Gujarat • <b>Vadodara:</b> 103 Aries Complex, BPC Road, Off R.C. Dutt Road, Alkapuri, Vadodara 390007, Gujarat • <b>Valsad:</b> Ground Floor Yash Kamal -"B" Near Dreamland Theater Tithal Road, Valsad 396001, Gujarat • <b>Vapi:</b> 208, 2nd Floor, Heena Arcade, Opp. Tirupati</p>
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<p>Tower, Near G.I.D.C., Char Rasata, Vapi 396195, Gujarat • <b>Varanasi:</b> Office no 1, Second floor, Bhawani Market, Building No. D-58/2-A1, Rathyatra, Beside Kuber Complex Varanasi - 221010, Uttar Pradesh • <b>Vellore:</b> No.1, Officers Line, 2nd Floor, MNR Arcade, Opp. ICICI Bank, Krishna Nagar, Vellore 632001, Tamil Nadu • <b>Vijayawada:</b> 40-1-68, Rao &amp; Ratnam Complex Near Chennupati Petrol Pump M.G Road, Labbipet, Vijayawada 520010, Andhra Pradesh • <b>Visakhapatnam:</b> Door No 48-3-2, Flat No 2, 1st Floor, Sidhi Plaza, Near Visakha Library, Srinagar, Visakhapatnam 530 016, Tel: (0891) 6502010, Andhra Pradesh • <b>Warangal:</b> A.B.K Mall, Near Old Bus Depot Road, F-7, 1st Floor, Ramnagar, Hanamkonda, Warangal 506001, Andhra Pradesh • <b>Yamuna Nagar:</b> 124-B/R Model Town Yamunanagar, Yamuna Nagar 135001, Haryana.</p> <p><b>TP Lite Centres</b></p> <p>• <b>Ahmednagar:</b> B, 1+3, Krishna Encloave Complex, Near Hotel Natraj, Nagar-Aurangabad Road, Ahmednagar 414001, Maharashtra • <b>Basti:</b> Office # 3, 1st Floor, Jamia Shopping Complex, Opp Pandey School, Station Road, Basti 272002, Uttar Pradesh • <b>Chhindwara:</b> Office No - 1, Parasia Road, Near Mehta Colony, Chhindwara 480001, Madhya Pradesh • <b>Chittorgarh:</b> CAMS Service centre, 3 Ashok Nagar, Near Heera Vatika, Chittorgarh, Chittorgarh 312001, Rajasthan • <b>Darbhanga:</b> Shahi Complex, 1st Floor Near RB Memorial hospital, V.I.P. Road, Benta Laheriasarai, Darbhanga 846001, Bihar • <b>Dharmapuri:</b> # 16A/63A, Pidamaneri Road, Near Indoor Stadium, Dharmapuri, Dharmapuri 636701, Tamil Nadu • <b>Dhule:</b> House No. 3140, Opp Liberty Furniture, Jamnalal Bajaj Road, Near Tower Garden, Dhule - 424001. Contact No. - 02562-640272. • <b>Faizabad:</b> Amar Deep Building, 3/20/14, 2nd floor, Niyawan, Faizabad - 224001, Uttar Pradesh. Contact no.: 9235406436 • <b>Gandhidham:</b> S-7, Ratnakala Arcade, Plot No. 231, Ward – 12/B, Gandhidham 370201, Gujarat • <b>Gulbarga:</b> Pal Complex, 1st Floor Opp. City Bus Stop, SuperMarket, Gulbarga 585101, Karnataka • <b>Haldia:</b> 2nd Floor, New Market Complex, Durgachak Post Office, Purba Medinipur District, Haldia 721602, West Bengal • <b>Haldwani:</b> Durga City Centre, Nainital Road Haldwani,</p>	<p>Haldwani 263139, Uttaranchal • <b>Haridwar:</b> F-3, Hotel Shaurya, New Model Colony, Haridwar, Uttarkhand 249408 • <b>Himmatnagar:</b> D-78 First Floor, New Durga Bazar, Near Railway Crossing, Himmatnagar 383001, Gujarat • <b>Hoshiarpur:</b> Near Archies Gallery Shimla Pahari Chowk, Hoshiarpur 146001, Punjab • <b>Hosur:</b> No.303, SIPCOT Staff Housing Colony, Hosur 635126, Tamil Nadu • <b>Jaunpur:</b> 248, Fort Road, Near Amber Hotel, Jaunpur 222001, Uttar Pradesh • <b>Katni:</b> 1st Floor, Gurunanak Dharmakanta, Jabalpur Road, Bargawana, Katni 483501, Madhya Pradesh • <b>Khammam:</b> Shop No: 11 - 2 - 31/3, 1st floor, Philips Complex, Balajinagar, Wyra Road, Near Baburao Petrol Bunk, Khammam 507001, Andhra Pradesh • <b>Malda:</b> Daxhinapan Abasan, Opp Lane of Hotel Kalinga, SM Pally, Malda 732101, West Bengal • <b>Manipal:</b> CAMS Service Centre, Basement floor, Academy Tower, Opposite Corporation Bank, Manipal 576104, Karnataka • <b>Mathura:</b> 159/160 Vikas Bazar, Mathura 281001, Uttar Pradesh • <b>Moga:</b> Gandhi Road, Opp Union Bank of India, Moga 142001, Punjab • <b>Namakkal:</b> 156A / 1, First Floor, Lakshmi Vilas Building Opp. To District Registrar Office, Trichy Road, Namakkal 637001, Tamil Nadu • <b>Palanpur:</b> Tirupati Plaza, 3rd Floor, T - 11, Opp. Government Quarter, College Road, Palanpur 385001, Gujarat • <b>Rae Bareli:</b> No.17 Anand Nagar Complex, Rae Bareli 229001, Uttar Pradesh • <b>Rajapalayam:</b> D. No. 59 A/1, Railway Feeder Road Near Railway Station, Rajapalayam 626117, Tamil Nadu • <b>Ratlam:</b> Dafria &amp; Co 81, Bajaj Khanna, Ratlam 457001, Madhya Pradesh • <b>Ratnagiri:</b> Kohinoor Complex Near Natya Theatre Nachane Road, Ratnagiri 415639, Maharashtra • <b>Roorkee:</b> Cams Service Center, 22 Civil Lines Ground, Floor, Hotel Krish Residency, (Haridwar), Roorkee 247667, Uttaranchal • <b>Sagar:</b> Opp. Somani Automobiles Bhagwanganj, Sagar 470002, Madhya Pradesh • <b>Shahjahanpur:</b> Bijlipura, Near Old Distt Hospital, Jail Road, Shahjahanpur 242001, Uttar Pradesh • <b>Sirsa:</b> Bansal Cinema Market, Beside Overbridge, Next to Nissan car showroom, Hissar Road, Sirsa 125055, Haryana • <b>Sitapur:</b> Arya Nagar Near Arya Kanya School, Sitapur 262001, Uttar Pradesh • <b>Solan:</b> 1st Floor, Above Sharma General Store Near Sanki Rest house The Mall,</p>	<p>Solan 173212, Himachal Pradesh • <b>Srikakulam:</b> Door No 4-4-96, First Floor. Vijaya Ganapathi Temple Back Side, Nanubala Street, Srikakulam 532001, Andhra Pradesh • <b>Sultanpur:</b> 967, Civil Lines Near Pant Stadium, Sultanpur 228001, Uttar Pradesh • <b>Surendranagar:</b> 2 M I Park, Near Commerce College Wadhwan City, Surendranagar 363035, Gujarat • <b>Tinsukia:</b> Dhawal Complex, Ground Floor, Durgabari Rangagora Road, Near Dena Bank, PO Tinsukia, Tinsukia 786125, Assam • <b>Tuticorin:</b> 4B / A-16 Mangal Mall Complex, Ground Floor, Mani Nagar, Tuticorin 628003, Tamil Nadu • <b>Ujjain:</b> 123, 1st Floor, Siddhi Vinayaka Trade Centre, Saheed Park, Ujjain 456010, Madhya Pradesh • <b>Vasco:</b> No DU 8, Upper Ground Floor, Behind Techoclean Clinic, Suvidha Complex, Near ICICI Bank, Vasco da gama 403802, Goa • <b>Yavatmal:</b> Pushpam, Tilakwadi, Opp. Dr. Shrotri Hospital, Yavatmal 445001, Maharashtra.</p> <p><i>In addition to the existing Official Point of Acceptance of transactions, Computer Age Management Services Pvt. Ltd. (CAMS), the Registrar and Transfer Agent of ICICI Prudential Mutual Fund, having its office at New No 10. Old No. 178, Opp. to Hotel Palm Grove, MGR Salai (K.H.Road), Chennai - 600 034 shall be an official point of acceptance for electronic transactions received from the Channel Partners with whom ICICI Prudential Asset Management Company Limited has entered or may enter into specific arrangements for all financial transactions relating to the units of mutual fund schemes. Additionally, the secure Internet sites operated by CAMS will also be official point of acceptance only for the limited purpose of all channel partners transactions based on agreements entered into between IPMF and such authorized entities. In addition to the existing Official Point of Acceptance of transactions, authorized Points of Service (POS) of MF Utilities India Private Limited (MFUI) shall be an official point of acceptance for all financial and non-financial transactions. The updated list of POS of MFUI is available on <a href="http://www.mfuindia.com">www.mfuindia.com</a>. The online transaction portal of MFU is <a href="http://www.mfuonline.com">www.mfuonline.com</a>.</i></p>
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5

MODE OF HOLDING

☐ Single
☐ Joint
☐ Anyone or Survivor (Default)

6

TAX STATUS [Please tick (✓)]

☐ Resident Individual
☐ NRI
☐ Partnership FIRM
☐ Government Body
☐ Foreign Portfolio Investor
☐ QFI
☐ On behalf of Minor
☐ Foreign National
☐ Company
☐ AOP/BOI
☐ Defence Establishment
☐ NON Profit Organization/Charities
☐ HUF
☐ Body Corporate
☐ Private Limited Company
☐ FII
☐ Public limited company
☐ Bank
☐ Financial Institution
☐ Trust/Society/NGO
☐ Limited Partnership (LLP)
☐ Sole Proprietorship
☐ Others (Please specify) \_\_\_\_\_

7

DEMAT ACCOUNT DETAILS (Mandatory - Please refer Instruction No. VIII)

NSDL: Depository Participant (DP) ID (NSDL only)
Beneficiary Account Number (NSDL only)
CDSL: Depository Participant (DP) ID (CDSL only)

8

CORRESPONDENCE DETAILS OF SOLE/FIRST APPLICANT:

Correspondence Address (Please provide full address)\*

HOUSE / FLAT NO.

STREET ADDRESS

CITY / TOWN

STATE

COUNTRY

PIN CODE

Overseas Address (Mandatory for NRI / FII Applicants)

HOUSE / FLAT NO.

STREET ADDRESS

CITY / TOWN

STATE

COUNTRY

PIN CODE

Tel.

Office

Residence

Mobile

Email <sup>£</sup>

\* Mandatory information – If left blank the application is liable to be rejected.  
\*\* Mandatory in case the Sole/First applicant is minor.  
<sup>£</sup> For KYC requirements, please refer to the instruction Nos. II b(5) & VII

\* Name of Guardian/Contact Person is Mandatory in case of Minor/Non-Individual Investor.  
For documents to be submitted on behalf of minor folio refer instruction II-b(3)  
<sup>£</sup> For email communication please refer to instruction no. VI

9

FATCA AND CRS DETAILS FOR INDIVIDUALS (Including Sole Proprietor) (Mandatory)

Non-Individual investors should mandatorily fill separate FATCA Form (Annexure II)  
The below information is required for all applicants/guardian

	Place/City of Birth	Country of Birth	Country of Citizenship / Nationality
First Applicant / Guardian			<input type="radio"/> Indian <input type="radio"/> U.S. <input type="radio"/> Others (Please specify) _____
Second Applicant			<input type="radio"/> Indian <input type="radio"/> U.S. <input type="radio"/> Others (Please specify) _____
Third Applicant			<input type="radio"/> Indian <input type="radio"/> U.S. <input type="radio"/> Others (Please specify) _____

Are you a tax resident (i.e., are you assessed for Tax) in any other country outside India? ☐ Yes ☐ No [Please tick (✓)]  
If 'YES' please fill for ALL countries (other than India) in which you are a Resident for tax purpose i.e. where you are a Citizen/Resident / Green Card Holder / Tax Resident in the respective countries.

	Country of Tax Residency	Tax Identification Number or Functional Equivalent	Identification Type (TIN or other please specify)	If TIN is not available please tick (✓) the reason A, B or C (as defined below)
First Applicant / Guardian				Reason: A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
Second Applicant				Reason: A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>
Third Applicant				Reason: A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/>

☐ Reason A ⇒ The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents.  
☐ Reason B ⇒ No TIN required (Select this reason Only if the authorities of the respective country of tax residence do not require the TIN to be collected)  
☐ Reason C ⇒ Others, please state the reason thereof: \_\_\_\_\_

Address Type of Sole/1st Holder:

Address Type of 2nd Holder:

Address Type of 3rd Holder:

☐ Residential ☐ Registered Office ☐ Business
☐ Residential ☐ Registered Office ☐ Business
☐ Residential ☐ Registered Office ☐ Business

Annexure I and Annexure II are available on the website of AMC i.e. www.icicipruamc.com or at the Investor Service Centres (ISCs) of ICICI Prudential Mutual Fund.

10

KYC DETAILS (Mandatory)

Occupation [Please tick (✓)]

Sole/First Applicant	<input type="radio"/> Private Sector Service <input type="radio"/> Housewife	<input type="radio"/> Public Sector Service <input type="radio"/> Student	<input type="radio"/> Government Service <input type="radio"/> Forex Dealer	<input type="radio"/> Business <input type="radio"/> Others (Please specify) _____	<input type="radio"/> Professional	<input type="radio"/> Agriculturist	<input type="radio"/> Retired
Second Applicant	<input type="radio"/> Private Sector Service <input type="radio"/> Housewife	<input type="radio"/> Public Sector Service <input type="radio"/> Student	<input type="radio"/> Government Service <input type="radio"/> Forex Dealer	<input type="radio"/> Business <input type="radio"/> Others (Please specify) _____	<input type="radio"/> Professional	<input type="radio"/> Agriculturist	<input type="radio"/> Retired
Third Applicant	<input type="radio"/> Private Sector Service <input type="radio"/> Housewife	<input type="radio"/> Public Sector Service <input type="radio"/> Student	<input type="radio"/> Government Service <input type="radio"/> Forex Dealer	<input type="radio"/> Business <input type="radio"/> Others (Please specify) _____	<input type="radio"/> Professional	<input type="radio"/> Agriculturist	<input type="radio"/> Retired

Gross Annual Income [Please tick (✓)]

Sole/First Applicant	<input type="radio"/> Below 1 Lac <input type="radio"/> 1-5 Lacs <input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs <input type="radio"/> >25 Lacs-1 crore <input type="radio"/> >1 crore Net worth (Mandatory for Non-Individuals) ₹ _____ as on <div>DDMMYY</div> (not older than 1 year)
Second Applicant	<input type="radio"/> Below 1 Lac <input type="radio"/> 1-5 Lacs <input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs <input type="radio"/> >25 Lacs-1 crore <input type="radio"/> >1 crore OR Net worth ₹ _____
Third Applicant	<input type="radio"/> Below 1 Lac <input type="radio"/> 1-5 Lacs <input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs <input type="radio"/> >25 Lacs-1 crore <input type="radio"/> >1 crore OR Net worth ₹ _____

- 12 -

<b>Others</b> [Please tick (✓)]	
<b>Sole/First Applicant</b>	<b>For Individuals</b> [Please tick (✓)]: <input type="radio"/> I am Politically Exposed Person (PEP) <input type="radio"/> I am Related to Politically Exposed Person (RPEP) <input type="radio"/> Not applicable
	<b>For Non-Individuals</b> [Please tick (✓)] (Please attach mandatory Ultimate Beneficial Ownership (UBO) declaration form - Refer instruction no. XVII): (i) Foreign Exchange / Money Changer Services – <input type="radio"/> YES <input type="radio"/> NO; (ii) Gaming /Gambling /Lottery/Casino Services – <input type="radio"/> YES <input type="radio"/> NO; (iii) Money Lending / Pawning – <input type="radio"/> YES <input type="radio"/> NO
<b>Second Applicant</b>	<input type="radio"/> Politically Exposed Person (PEP) <input type="radio"/> Related to Politically Exposed Person (RPEP) <input type="radio"/> Not applicable
<b>Third Applicant</b>	<input type="radio"/> Politically Exposed Person (PEP) <input type="radio"/> Related to Politically Exposed Person (RPEP) <input type="radio"/> Not applicable


### INVESTOR(S) DECLARATION & SIGNATURE(S)

The Trustee, **ICICI Prudential Mutual Fund**, I/We have read, understood and hereby agree to abide by the Scheme Information Document/Key Information Memorandum of the Scheme, Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) under FATCA & CRS provision of the Central Board of Direct Taxes notified Rules 114 F to 114H, as part of the Income-tax Rules, 1962. I/We apply for the units of the Fund and agree to abide by the terms, conditions, rules and regulations of the scheme and other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objectives, investment pattern, and risk factors applicable to Plans/Options under the Scheme(s). I/we have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the plan, then ICICI Prudential Asset Management Co. Ltd. (the 'AMC'), has full right to refund the excess to me/us to bring my/our investment below 25%. I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. **If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).**

Information/documents given in/with this application form is true and complete in all respects and I/we agree to provide any additional information that may be required by the AMC/the Fund/ Registrar and Transfer Agent (RTA). I/We agree to notify the AMC/the Fund immediately upon change in any information furnished by me.

<b>SIGNATURE OF SOLE / FIRST APPLICANT</b>	<b>SIGNATURE OF SECOND APPLICANT</b>	<b>SIGNATURE OF THIRD APPLICANT</b>



<b>ACKNOWLEDGEMENT</b>		<b>ACKNOWLEDGEMENT SLIP</b> (Please Retain this Slip) To be filled in by the Investor. Subject to realization of cheque and furnishing of Mandatory Information.	Application No. <div style="border: 1px solid black; padding: 2px; display: inline-block;">EXISTING FOLIO NO.</div> / <div style="border: 1px solid black; width: 40px; height: 20px; display: inline-block;"></div>								
	<table border="1" style="width: 100%;"> <tr> <td style="width: 33%;"><b>SCHEME</b></td> <td style="width: 33%;"><b>Payment Details</b></td> <td style="width: 33%;"><b>Receiver's Signature &amp; Stamp</b></td> </tr> <tr> <td rowspan="3"><b>ICICI Prudential Nifty Low Vol 30 iWIN ETF</b></td> <td>Amt. _____ Cheque/DD No. _____</td> <td rowspan="3"></td> </tr> <tr> <td>_____ dtd: _____</td> </tr> <tr> <td>Bank &amp; Branch _____</td> </tr> </table>			<b>SCHEME</b>	<b>Payment Details</b>	<b>Receiver's Signature &amp; Stamp</b>	<b>ICICI Prudential Nifty Low Vol 30 iWIN ETF</b>	Amt. _____ Cheque/DD No. _____		_____ dtd: _____	Bank & Branch _____
	<b>SCHEME</b>	<b>Payment Details</b>	<b>Receiver's Signature &amp; Stamp</b>								
<b>ICICI Prudential Nifty Low Vol 30 iWIN ETF</b>	Amt. _____ Cheque/DD No. _____										
	_____ dtd: _____										
	Bank & Branch _____										

**FOR ANY ASSISTANCE OR FURTHER INFORMATION PLEASE CONTACT US**

**ICICI Prudential Asset Management Company Limited**

Central Service Office, 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai - 400 063. India

**TOLL FREE NUMBER** 1800 222 999 (MTNL/BSNL) 1800 200 6666 (OTHERS) **EMAIL** enquiry@icicipruamc.com **WEBSITE** www.icicipruamc.com

Note: All future communications in connection with this application should be addressed to the nearest ICICI Prudential Mutual Fund Customer Service Centre, quoting full name of the first applicant, the application serial number, the name of the scheme, the amount invested, date and the place of the Customer Service Centre where application was lodged.

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## INSTRUCTIONS TO INVESTORS

### I. GENERAL INSTRUCTIONS

- a) The application form is for Resident Investors/NRIs/FIIs and should be completed in English in **BLOCK** Letters.
- b) The application form number, the scheme name and the name of the applicant should be mentioned on the reverse of the instrument that accompanies the application.
- c) The Application completed in all respects along with the cheque / demand draft must be submitted to the nearest Customer Service Centre. Applications incomplete in any respect or not accompanied by a cheque or demand draft for the amount payable are liable to be rejected and the money paid, if any, will be refunded without interest.
- d) No receipt will be issued for the application money. The Customer Service Centers will stamp and return the acknowledgement slip in the application form, to acknowledge receipt of the application.
- e) In case of corrections / overwriting on key fields (as may be determined at the sole discretion of the AMC) of the application forms/transaction slips, the AMC reserves the right to reject the application forms/transaction slips, in case the investor(s) has/have not countersigned in every place where such corrections/overwriting has/have been made.
- f) Investors are advised to retain the acknowledgement slip signed/stamped by the collection centre where they submit the application.
- g) As required under applicable regulations, additional details like status, occupation details, gross annual income, net worth and other details as mentioned in the relevant sections of the application form are mandatory for all applicants as applicable, including joint holders. Details of net worth are mandatory for Non Individual applicants and optional for Individual applicants in lieu of gross annual income. While providing details of net worth, the same should be of a date which is within one year of the application.
- h) Applications are liable to be rejected without any intimation to the applicants, if requirement under "KYC details" are not complied with/filled by all the applicants. KYC acknowledgement is not enclosed or any of the additional details are not mentioned for any of the applicant.

### II. UNITHOLDERS INFORMATION

- a) **Existing Unit-holders:** If you have an existing folio with KYC validation, please mention the Folio Number in Step 1 and proceed to Step 3 in the application form. Please note that the applicable details and mode of holding will be as per the existing folio. Partial Demat of units is not allowed

#### b) New Applicant

1. Name and address must be given in full (P.O. Box Address is not sufficient). In the case of NRI/PIO/FII investors, an overseas address must also be provided.
2. Name of the guardian alongwith relationship must be mentioned, if the investments are being made on behalf of a minor. Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. Joint holding is not allowed, if the first applicant is minor.

In case of investment in the name of a minor, the registered guardian in the bank account of the minor should be the same guardian as mentioned in the folio/application (Parent/ Court Appointed). This will ensure seamless payment of redemption/dividend amount to the minor's account.

In case of a minor, it is mandatory to submit photocopy of any one of the following towards proof of date of birth at the time of initial investment :

- a) Birth certificate of minor, or
- b) School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc, containing the minor's date of birth, or
- c) Passport of minor
- d) Any other suitable proof evidencing the date of birth of the minor.

In case of natural guardian, a document evidencing the relationship has to be submitted, if the same is not available as part of the documents submitted as proof of date of birth of the minor applicant.

In case of court appointed legal guardian- a notarised photo copy of the court order should be submitted alongwith the application.

3. In case of an application under Power of Attorney (PoA) or by a Limited Company, Body Corporate, Registered Society, Trust or Partnership etc., the relevant Power of Attorney or the relevant resolution or authority to make the application as the case may be, or duly certified copy thereof, along with the Memorandum and Articles of Association / bye-laws must be lodged along with the application form.

**Power of Attorney (POA):** In case an investor has issued Power of Attorney (POA) for making investments, switches, redemptions etc., under his/her folio, both the signature of the investor and the POA holder have to be clearly captured in the POA document, to be accepted as a valid document. At the time of making redemption / switches the fund would not be in a position to process the transaction unless, PoA holder's signature is available in the PoA or proof of identity along with signature is produced along with the PoA.

4. **PAN is mandatory:** As per SEBI Circular MRD/Dop/Cir/-05/2007 dated April 27, 2007 Permanent Account Number (PAN) has been made the sole identification number for all participants transacting in the securities market, irrespective of the amount of transaction, w.e.f. July 02, 2007. PAN is mandatory for all mutual fund investments w.e.f. 1st January, 2008. However, PAN is not required for investors who are exempted from PAN requirement, please refer to KYC Form for exemption of PAN requirement.
5. Applicants should indicate their status by ticking the appropriate check-box. Applications without a tick in the 'Status' box will be considered as investment by "Others". Those who select the status as "Others", they should specify their status in the space provided.
6. Applicants should specify the mode of holding. In case it is not mentioned, the default will be "anyone or survivor". In the case of joint holders, the first named holder shall receive all the Account Statements, dividends / redemptions / refund warrants and any other correspondence sent from time to time.
7. Name of a contact person should be mentioned in case of the investment by a Company/Body Corporate/Partnership Firm/Trust/Foreign Institutional Investors (FIIs)/Society/AOP/BOI.

### III. BANK DETAILS

The first Unitholder should provide the name of the bank branch, complete address of the branch, account type and account number, which is mandatory as per Securities and Exchange Board of India circular IIMRP/MF/CIR/07/826/98 dated April 15, 1998. Applications without this information will be deemed to be incomplete. Please quote 9 Digit MICR Code No. and 11 Digit IFSC Code of your Bank and Branch corresponding to Bank Account details. In case of At Par accounts, kindly provide the correct MICR number of the bank branch. (MICR code appears on every leaf of your cheque book after your

cheque number). Please attach a blank "cancelled" Cheque or a clear photocopy of a cheque issued by your bank verifying of the Code No. Maturity payment or dividend payment would be made as per the bank account details available in BENPOS file.

**Change of Bank Mandate:** Since units of the scheme will be issued in demat form only, Investors are requested to approach their respective Depository Participants for any request for change of bank details.

### IV. NOMINATION

- i) Since units of the scheme will be issued in demat form only, nomination details registered with Depositories will be applicable to the units of the Scheme.
- ii) Nomination facility shall be governed by the rules and bye-laws of Depositories.
- iii) Investors are requested to approach their respective Depository Participants for details.

**V. DIRECT CREDIT OF REDEMPTION:** ICICI Prudential AMC had entered into an arrangement with certain banks; such as Citibank N.A., HDFC Bank, AXIS Bank, HSBC and ICICI Bank, for direct credit of redemption and dividend proceeds if the investors have a bank mandate in any of the specified banks. However, the Fund reserves the right to issue a payment instrument in place of this electronic payment facility, and will not be responsible for any delay on the part of the bank for executing the direct credit. The AMC may alter the list of the banks participating in direct credit arrangement from time to time/ withdraw direct credit facility from the banks, based on its experience of dealing with any of these banks or add / withdraw the name of the bank with which the direct credit facility arrangements can be introduced/ discontinued, as the case may be.

**VI. E-MAIL COMMUNICATION:** Delivering service through the internet & web-based services such as e-mail is a more efficient delivery channel. When an investor has provided an email address, the same will be registered in our records for eDocs and will be treated as your consent to receive, Allotment confirmations, consolidated account statement/account statement, annual report/abridged summary and any statutory / other information as permitted via electronic mode/email. These documents shall be sent physically in case the Unit holder opts/requests for the same. It is deemed that the Unit holder is aware of all the security risks associated with online communication, including the possibility of third party interception of the documents sent via email. The Mutual Fund / Registrars are not responsible for email not reaching the investor and for all consequences thereof. The Investor shall from time to time intimate the Mutual Fund / its transfer agents about any changes in the email address. In case of a large document, a suitable link would be provided and investor can download, save and print these documents. However, the investor always has a right to demand a physical copy of any or all the service deliverables, and the Fund would arrange to send the same to the investor.

The AMC / Trustee reserve the right to send any communication in physical mode.

**VII. KNOW YOUR CUSTOMER (KYC) NORMS:** With effect from 1st January, 2011, KYC (Know Your Customer) norms are mandatory for ALL investors for making investments in Mutual Funds, irrespective of the amount of investment. Further, to bring uniformity in KYC process, SEBI has introduced a common KYC application form for all the SEBI registered intermediaries. With effect from 1st January 2012, all the new investors are therefore requested to use the Common KYC application form to apply for KYC and mandatorily undergo In Person Verification (IPV) requirements with SEBI registered intermediaries. For Common KYC Application Form please visit our website [www.icicipruamc.com](http://www.icicipruamc.com). Individual client who has registered under Central KYC Records Registry (CKYCR) has to fill the 14 digit KYC Identification Number (KIN) in application form as per AMFI circular 135/BP/68/2016-17. For Common KYC Application Form please visit our website [www.icicipruamc.com](http://www.icicipruamc.com).

### VIII. MODE OF HOLDING:

#### Dematerialization:

As the units of the Scheme will be issued, traded and settled in dematerialized (electronic) form, the statement of holding of the beneficiary account holder will be sent by the respective Depository Participant periodically. Applicants must ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant. If the details mentioned in the application are incomplete/incorrect or not matched with the Depository data, the application shall be treated as invalid and shall be liable to be rejected. Allotment letters would be sent to investors who are allotted units in demat mode. The application form should mandatorily accompany the latest Client investor master/ Demat account statement.

1. Units of the Scheme will be available only in the Dematerialized form.
2. The applicant under the Scheme will be required to have a beneficiary account with a Depository Participant of NSDL/CDSL and will be required to indicate in the application the DP's name, DP ID Number and its beneficiary account number with DP.
3. The units of the Scheme are to be issued/ repurchased and traded compulsorily in dematerialized form, no request for rematerialisation of units of the Scheme will be accepted.
4. Applications without relevant details of their depository account are liable to be rejected.

### IX. INVESTMENT DETAILS

#### Plans and Options:

Not applicable. The Trustees reserve the right to introduce/alter/extinguish any of the option at a later date.

#### Minimum Application Amount:

**During NFO:** Rs. 5,000 and in multiples of Re.1.

#### Continuous Offer:

**On Exchange:** Investors can buy/sell units of the Scheme on a continuous basis in the normal market segment of National Stock Exchange of India Limited (NSE) / BSE Limited, or any other stock exchange where the Scheme will be listed, during the trading hours like any other publicly traded stock at prices which are quoted on the stock exchanges in round lot of 1 unit and in multiples thereof.

**Directly with the Mutual Fund:** Authorised Participant(s)/ Investor(s) can buy/sell units of the Scheme in Creation Unit Size viz. 30,000 and in multiples thereof.

An investor can buy/sell units on a continuous basis in the normal market segment of National Stock Exchange of India Limited (NSE)/ BSE Limited or any other stock exchange where the Scheme will be listed, during the trading hours like any other publicly traded stock at prices which are quoted on the stock exchanges. These prices may be close to the actual NAV of the Scheme. There is no minimum investment, although units are to be purchased in lots of 1 (one) unit.

Investors, other than Authorised Participants, can sell units in less than Creation Unit Size of the Scheme directly to the Mutual Fund in the following cases:

- if the traded price of the ETF units is at a discount of more than 3% to the NAV for continuous 30 days;
- if discount of bid price to applicable NAV is more than 3% over a period of 7 consecutive trading days;
- if no quotes are available on exchange for 3 consecutive trading days;

- when the total bid size on the exchange(s) is less than half creation unit size daily, averaged over a period of 7 consecutive trading days.

Under these circumstances, investors, as specified above, can redeem units of the Scheme directly with the fund house without any payment of exit load.

#### X. MODE OF PAYMENT

- The cheque should be drawn in favour of "ICICI Prudential Nifty Low Vol 30 iWIN ETF" and crossed "Account Payee Only". The cheque/demand draft should be payable at the centre where the application is lodged. The cheque/demand draft should be drawn on any bank which is situated at and is a member/sub-member of the Bankers' Clearing House. Cheques/demand drafts drawn on a bank not participating in the Clearing House will not be accepted.
- Separate Cheque/Draft is required for each plan/option invested.
- MICR cheques will be accepted till the end of business hours up to June 27, 2017. Transfer cheques and Real Time Gross Settlement (RTGS) requests will be accepted till the end of business hours up to June 27, 2017. Switch-in requests from equity schemes and other schemes will be accepted up to June 27, 2017 till the cut-off time applicable for switches. Switch-in request from ICICI Prudential US Bluechip Equity Fund and ICICI Prudential Global Stable Equity Fund will not be accepted.**
- Payments by Stockinvest, Money Order, Cash, Postal Order, Outstation Cheques, Post-dated Cheques and Demand Drafts will not be accepted.

The Trustee shall have absolute discretion to accept/reject any application for purchase of Units, if in the opinion of the Trustee, increasing the size of Scheme's Unit capital is not in the general interest of the Unitholders, or the Trustee for any other reason believes it would be in the best interest of the Schemes or its Unitholders to accept/reject such an application.

- An investor can also subscribe to the New Fund Offer (NFO) through ASBA facility.

#### f) NRI/FII/PIO Investors

- Repatriation basis:** Payments by NRIs/FIIs/Persons of Indian Origin residing abroad, may be made either by way of Indian Rupee drafts or cheques by means of (i) inward remittance through normal banking channels; or (ii) out of funds held in NRE/FCNR account payable at par and payable at the cities where the Customer Service Centres are located.

In case of Indian Rupee drafts purchased through NRE/FCNR Account, an account debit certificate from the bank issuing the draft confirming the debit should also be enclosed.

In case the debit certificate is not provided, the AMC reserves the right to reject the application of the NRI investors.

- Non-Repatriation basis:** NRIs or Persons of Indian origin residing abroad investing on a non-repatriable basis may do so by issuing cheques/demand drafts drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Customer Service Centres are located.

- FIRC certificate:** In case of investments by Non Resident Indians (NRIs), if FIRC certificate was not submitted, CAMS/AMC will not provide FIRC outward letters to banks.

#### XI. THIRD PARTY PAYMENTS : Investment/subscription made through Third Party Cheque(s) will not be accepted. Third party cheque(s) for this purpose are defined as:

- Investment made through instruments issued from an account other than that of the beneficiary investor,
- in case the investment is made from a joint bank account, the first holder of the mutual fund folio is not one of the joint holders of the bank account from which payment is made.

Third party cheque(s) for investment/subscription shall be accepted, only in exceptional circumstances, as detailed below:

- Payment by Parents/Grand-Parents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs.50,000/- (each regular purchase or per SIP installment). However this restriction will not be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in that folio.
- Payment by Employer on behalf of employee under Systematic Investment Plans or lump sum/ one-time subscription through Payroll deductions.
- Custodian on behalf of a Foreign Institutional Investor (FII) or a client.

The above mentioned exception cases will be processed after carrying out necessary checks and verification of documents attached along with the purchase transaction slip/application form, as stated below:

- Determining the identity of the Investor and the person making payment i.e. mandatory Know Your Client (KYC) for Investor and the person making the payment.
- Obtaining necessary declaration from the Investor/unitholder and the person making the payment. Declaration by the person making the payment should give details of the bank account from which the payment is made and the relationship with the beneficiary.
- Verifying the source of funds to ensure that funds have come from the drawer's account only.

In case of investment/subscriptions made via Pay Order, Demand Draft, Banker's cheque, RTGS, NEFT, ECS, bank transfer, net banking etc. Following additional checks shall be carried out:

- If the investment/subscription is settled with pre-funded instruments such as Pay Order, Demand Draft, Banker's cheque, etc., a Certificate from the Issuing banker must accompany the purchase application, stating the Account holder's name and the Account number which has been debited for issue of the instrument. The funds should be debited from a pre-registered pay in account available in the records of the Mutual fund, or from the account of the first named unit holder. Additionally, if a pre-funded instrument issued by the Bank against cash, it shall not be accepted for investments of Rs.50,000/- or more. Such prefunded instrument issued against cash payment of less than Rs.50,000/- should be accompanied by a certificate from the banker giving name, address and PAN (if available) of the person who has requested for the demand draft.
- If payment is made by RTGS, NEFT, ECS, bank transfer, etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application. The account number mentioned on the transfer instruction should be from pay in account available in the records, or from the account of the first named unit holder.

Investors are requested to note that AMC reserves right to have additional checks of verification for any mode of payment received. AMC reserves the right to reject the transaction in case the payment is received in an account not belonging to the first unit holder of the mutual fund.

In case of investors with multiple accounts, in order to ensure smooth processing of investor transactions, it is advisable to register all such accounts, as the investments/subscriptions received from the said multiple accounts shall be treated as 1st party payments.

Refer Third Party Payment Declaration form available in [www.icicipruamc.com](http://www.icicipruamc.com) or ICICI Prudential Mutual Fund branch offices.

#### XII. TRANSACTION CHARGES

Pursuant to SEBI Circular No. Cir/IMD/DF/13/2011 dated August 22, 2011 the transaction charge per subscription of Rs.10,000/- and above may be charged in the following manner:

- The existing investors may be charged Rs. 100/- as transaction charge per subscription of Rs.10,000/- and above;
- A first time investor may be charged Rs.150/- as transaction charge per subscription of Rs.10,000/- and above.

There shall be no transaction charge on subscription below Rs. 10,000/- and on transactions other than purchases/ subscriptions relating to new inflows.

However, the option to charge "transaction charges" is at the discretion of the distributors. Investors may note that distributors can opt to receive transaction charges based on 'type of the Scheme'. Accordingly, the transaction charges would be deducted from the subscription amounts, as applicable.

The aforesaid transaction charge shall be deducted by the Asset Management Company from the subscription amount and paid to the distributor, as the case may be and the balance amount shall be invested subject to deduction of service tax.

However, upfront commission to distributors will be paid by the investor directly to the distributor, based on his assessment of various factors including the service rendered by such distributor.

Transaction Charges shall not be deducted if:

- Purchase/Subscription made directly with the fund through any mode (i.e. not through any distributor/agent).
- Purchase/ subscription made through stock Exchange, irrespective of investment amount.

CAS/ Statement of account shall state the net investment (i.e. gross subscription less transaction charge) and the number of units allotted against the net investment.

**XIII. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIIN) :** Investors procuring advisory services from non individual distributors are requested to note that EUIIN would assist in tackling the problem of mis-selling even if the employee/relationship manager/sales person leave the employment of the distributor.

Distributors are advised to ensure that the sub-broker affixes his/her ARN code in the column separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EUIIN of the Sales Person (if any) in the EUIIN space.

Investors are requested to note that EUIIN is applicable for transactions such as Purchases, Switches, Registrations of SIP / STP / Trigger / Dividend Transfer Plan and EUIIN is not applicable for transactions such as Installments under SIP/ STP / SWP / EBT Triggers, Dividend Reinvestments, Bonus Units, Redemption, SWP Registration, Zero Balance Folio creation and installments under Dividend Transfer Plans.

Investors are requested to note that EUIIN is largely applicable to sales persons of non individual ARN holders (whether acting in the capacity of the main distributor or sub broker). Further, EUIIN will not be applicable for overseas distributors who comply with the requirements as per AMFI circular CIR/ ARN-14/12-13 dated July 13, 2012.

**XIV. SIGNATURES :** The signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the constitution of India. Applications on behalf of minors should be signed by their Guardian. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. Applications by minors should be signed by their guardians. In case of a Hindu Undivided Family (HUF), the Karta should sign on behalf of the HUF.

If the application form is signed by a Power of Attorney (PoA) holder, the form should be accompanied by a notarised photocopy of the PoA. Alternatively, the original PoA may be submitted, which will be returned after verification. If the PoA is not submitted with the application, the Application Form will be rejected. The POA should contain the signature of the investor (POA Donor) and the POA holder.

In case of corporates or any non-individual investors, a list of authorised signatories should be submitted along with Application form or in case of any change in the authorised signatory list, the AMC / Registrar must be notified within 7 days.

In case of application under POA or by a Non-Individual (i.e. Company, trust, society, partnership firm etc.) the relevant POA or the resolution should specifically provide for/authorize the POA holder/authorized signatory to make application/ invest moneys on behalf of the investor.

**Signature mismatch cases:** While processing the redemption / switch out request in case the AMC/ Registrar come across a signature mismatch, then the AMC/ Registrar reserves the right to process the redemption only on the basis of supporting documents (like copy of passport, driving license etc.), confirming the identity of the investors.

**XV. Ultimate Beneficial Owner(s) [UBO(s)]:** Pursuant to SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 on Anti Money Laundering Standards and Guidelines on identification of Beneficial Ownership issued by SEBI vide its Circular No. CIR/MIRSD/2/2013 dated January 24, 2013, investors (other than Individuals) are required to provide details of UBO(s). In case the investor or owner of the controlling interest is a company listed on a stock exchange or is a majority owned subsidiary of such a company, the details of shareholders or beneficial owners are not required to be provided.

Non-individual applicants/investors are mandated to provide the details on UBO(s) by filling up the declaration form for 'Ultimate Beneficial Ownership'. Please contact the nearest Investor Service Centre (ISC) of ICICI Prudential Mutual Fund or log on to our website [www.icicipruamc.com](http://www.icicipruamc.com) for the Declaration Form.

**XVI. FATCA and CRS Details:** Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with the relevant tax authority. If you have any questions about your tax residency, please contact your tax advisor. Further if you are a Citizen or resident or green card holder or tax resident other than India, please include all such countries in the tax resident country information field along with your Tax Identification Number or any other relevant reference ID/ Number. If there is any change in the information provided, promptly intimate the same to us within 30 days.



Please read the instructions carefully before investing.

## ICICI Prudential Mutual Fund

2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai 400 063.  
Tel: (91) (22) 26852000, Fax: (91)(22) 2686 8313.

### ICICI Prudential Nifty Low Vol 30 iWIN ETF

#### APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA) FORM for Demat Account Holders

New Fund Offer Opens on : June 23, 2017

New Fund Offer Closes on : June 27, 2017

Date: 

D	D	M	M	Y	Y	Y	Y

ASBA Application No. \_\_\_\_\_

BROKER/AGENT INFORMATION				FOR OFFICE USE ONLY			
Broker Name & AMFI Regn. No./ RIA Code#	Sub-Broker Name & ARN Code	Sub-broker (As allotted by ARN holder)	EUIN (Employee UIN)	SCSB (Name & Code)	SCSB IFSC Code (11 digit code)	Syndicate Member Code (Name & Code)	S. No.

#By mentioning RIA code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund.

Declaration for "execution-only" transaction (only where EUIN box is left blank): I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

SIGNATURE OF SOLE / FIRST APPLICANT	SIGNATURE OF SECOND APPLICANT	SIGNATURE OF THIRD APPLICANT
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Name of First Applicant (As in Demat account)	Mr. / Ms. / M/s.																		
Existing Folio No. (Please fill in your folio no., if any)																			

DEMAT ACCOUNT DETAILS OF FIRST APPLICANT (Mandatory - Refer Terms & Conditions No.10 on the reverse side of this form)

Depository Name (Please tick any)	<input type="checkbox"/> National Securities Depository Ltd. (NSDL)	<input type="checkbox"/> Central Depository Services [India] Ltd. (CDSL)
Depository Participant Name		
Depository Participant (DP) ID		Beneficiary Account No.

PERMANENT ACCOUNT NUMBER (PAN) (Please attach proof) (Mandatory)

1st Applicant																			
Guardian (in case 1st applicant is minor)																			

INVESTMENT DETAILS (For scheme details, please read the Key Information Memorandum/Scheme Information Document of the Scheme)

SCB/ASBA BANK ACCOUNT DETAILS OF FIRST APPLICANT FOR BLOCKING OF FUND (Refer Terms & Conditions No.7 on the reverse side of this form)

Name of the Bank																			
Branch Address																			
Account Number																			
Account Type	<input type="checkbox"/> Current	<input type="checkbox"/> Savings	<input type="checkbox"/> NRO	<input type="checkbox"/> NRE	If "Mandatory Details" are not provided, your application is liable to be rejected.														
Total Amount to be blocked [Rs. in figures]																			
Rs.																			
Total Amount to be blocked [Rs. in words]																			

#### UNDERTAKING BY ASBA INVESTOR

1) I/We hereby undertake that I/We am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements), Regulations 2009 ('SEBI Regulations') as amended from time to time. 2) In accordance with ASBA process provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB / ASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/ICICI Prudential Mutual Fund on receipt of instructions from the Registrar and Transfer Agent after the allotment of the Units entitling me/us to receive Units on such transfer of funds, etc. 3) Registrar and Transfer Agent to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account / Bank account of ICICI Prudential Mutual Fund. 4) In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application. 5) If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records, the application shall be rejected and the ICICI Prudential Mutual Fund or ICICI Prudential Asset Management Company Limited or ICICI Prudential Trustee Company Limited or SCSBs shall not be liable for losses, if any. All future communication in connection with NFO should be addressed to the SCSB/RTA/AMC quoting the full name of the Sole/First Applicant, NFO Application Number, ASBA Application Number, Depository Account details [if it has been provided], Amount applied for and the account number from where NFO amount was blocked. 6) The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. Applicable to NRIs only: I/We confirm that I am/we are non-resident of Indian Nationality/origin and I/we hereby confirm that the funds for subscription, have been remitted from abroad through normal banking channels or from funds in my/our non-resident external/ordinary account/FCNR account. I/We am/are not prohibited from accessing capital markets under any order/ruling/judgement etc. of any regulation, including SEBI. I/We am/are confirm that my application is in compliance with applicable Indian and Foreign laws.

First/Sole Applicant	Second Applicant	Third Applicant
SIGNATURE OF BANK ACCOUNT HOLDERS		



#### ACKNOWLEDGEMENT SLIP (To be filled in and attached by the applicant with the NFO Application Form)

ICICI Prudential Mutual Fund, 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai 400 063. Tel: (91) (22) 26852000, Fax: (91)(22) 2686 8313.

Date: 

D	D	M	M	Y	Y	Y	Y

ASBA Application No.: \_\_\_\_\_

Received from Mr. / Ms. / M/s.			
Address			
<b>ICICI Prudential Nifty Low Vol 30 iWIN ETF</b>			
SCSB A/c. No.	Bank Name	Branch Name	
Rs. (in figures)	Rupees (in words)		

SCSB Stamp, Signature,  
Date & Time of Receipt



## Terms and Conditions

- 1) An ASBA investor, intending to subscribe to a public, shall submit a completed ASBA form to a Self Certified Syndicate Bank (SCSB), with whom the bank account to be blocked, is maintained, through one of the following modes ?
  - (i) Submit the form physically with the Designated Branches (DBs) of the SCSB ("Physical ASBA");
  - (ii) Submit the form electronically through the internet banking facility offered by the SCSB ("Electronic ASBA").
- 2) ASBA Facility is provided only for Demat Account Holders
- 3) From one bank account more than five applications will not be allowed
- 4) Investors shall note that the NFO units will be credited in the demat account specified in the ASBA cum application form and hence these details are mandatory.
- 5) To ensure that NFO units are allotted, Investors to ensure that their demat accounts are active i.e. not frozen or suspended.
- 6) Investors to note that if the DP-ID, beneficiary account and the PAN provided in the form are incomplete, incorrect or do not match with the depository records of NSDL or CDSL, the application shall be rejected.
- 7) Bank account details provided in the application will be the bank account number from where the NFO application amount is authorized to be blocked, Bid amount, bank and branch name shall be given in this block.
- 8) Investors will ensure that sufficient balance is maintained in the bank account mentioned in the form, else the application shall be liable to be rejected.
- 9) In case an ASBA investor wants to withdraw his/her ASBA during the bidding / offer period, he/ she shall submit his/ her withdrawal request to the SCSB, which shall do the necessary, including deletion of details of the withdrawn ASBA from the electronic bidding system of the Stock Exchange(s) and unblocking of funds in the relevant bank account.
- 10) **Demat/Non-Demat Mode:**
  1. Investor can hold units in demat/non-demat mode. In case investor did not provide demat account details or details of DP ID/BO ID, provided by the investor, is incorrect or demat account is not activated or not in active status, the units would be allotted in non demat mode.
  2. The investor can dematerialize his/her holdings in non demat mode. Similarly, investor can rematerialize holdings in demat mode. All expenses in connection with conversion from demat to remat mode or remat to demat mode will have to be incurred by the investors.
  3. Allotment letters would be sent to investors who are allotted units in demat mode and Statement of Accounts would be sent to investors who are allotted units in non demat mode.
- 11) SCSB means Self Certified Syndicate Bank registered with the SEBI, which offers the facility of ASBA. The current list of SCSBs as available on SEBI website is as follows:  
**1. Axis Bank Ltd 2. State Bank of Hyderabad 3. Corporation Bank 4. State Bank of Travencore 5. IDBI Bank Ltd. 6. State Bank of Bikaner and Jaipur 7. YES Bank Ltd. 8. Punjab National Bank 9. Deutsche Bank 10. Union Bank of India 11. HDFC Bank Ltd. 12. Bank of Baroda 13. ICICI Bank Ltd 14. Vijaya Bank 15. Bank of Maharashtra 16. State Bank of India 17. Andhra Bank 18. HSBC Ltd. 19. Kotak Mahindra Bank Ltd. 20. Bank of India 21. CITI Bank 22. IndusInd Bank 23. Allahabad Bank 24. Karur Vysya Bank Ltd. 25. The Federal Bank 26. Indian Bank 27. Central Bank of India 28. Oriental Bank of Commerce 29. Standard Chartered Bank 30. J P Morgan Chase Bank, N.A. 31. Nutan Nagarik Sahakari Bank Ltd. 32. UCO Bank 33. Canara Bank 34. United Bank of India.**
- 12) The investor is required to submit a copy of the acknowledgement receipt of the ASBA Form (as submitted with SCSB) along with the NFO application form to be furnished to ICICI Prudential Mutual Fund.

## Declaration of Ultimate Beneficial Ownership [UBO]

(Mandatory for Non-individual Applicant/Investor)

To be filled in BLOCK LETTERS (Please strike off section(s) that is/are not applicable)

### Part I: Applicant/Investor details:

Investor Name:


PAN:

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### Part II: Listed Company / its subsidiary company

(i) I/We hereby declare that:

- ☐ Our company is a Listed Company, listed on recognized stock exchange in India
- ☐ Our company is a subsidiary of the Listed Company
- ☐ Our company is controlled by a Listed Company
- ☐ None of the above

(ii) Details of Listed Company ^

Stock Exchange on which listed \_\_\_\_\_ Security ISIN \_\_\_\_\_

(Note: ^ Details of holding/parent company should be provided where applicant/investor is a subsidiary of listed company)

If 'None of the above' option is selected, the following information [Part III] shall be provided mandatorily as applicable

### Part III: Individuals other than Listed Company / its subsidiary company

(i) Category [tick (✓) applicable category]:

- ☐ Unlisted Company                      ☐ Partnership Firm / Limited Liability Partnership Company
- ☐ Unincorporated association / body of individuals                      ☐ Public Charitable Trust                      ☐ Religious Trust
- ☐ Private Trust                      ☐ Trust created by a Will                      ☐ Others \_\_\_\_\_ [please specify]

### Details of Ultimate Beneficiary Owners\*:

S No	Name of UBO [Mandatory]	PAN or any other valid ID proof for those where PAN is not applicable # [Mandatory]	Position / Designation [to be provided wherever applicable]	Applicable Period	UBO Code [Mandatory] [Refer instructions E]	KYC (Yes/No) [Please attached KYC acknowledgement copy]

\* If the given rows are not sufficient, applicant/investor can submit multiple declarations covering all Ultimate Beneficial Owners

# Attached documents should be self-certified by the UBO and certified by the applicant/investor/authorized signatory (ies).

#### **Part IV : Declaration**

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting and/or the declaration is not provided, then the AMC/Trustee/Mutual Fund shall reserve the right to reject the application and/or reverse the allotment of units and the AMC/Trustee/Mutual Fund shall not be liable for the same. I/We hereby authorize sharing of the information furnished in this form with all SEBI Registered Intermediaries and they can rely on the same. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end.

**Authorized Signatories [with Company/Trust/Firm/Body Corporate seal]**

Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Place: \_\_\_\_\_

## **UBO - GENERAL INFORMATION AND INSTRUCTIONS**

As per SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 regarding Client Due Diligence policy, related circulars on anti-money laundering and SEBI circular No.CIR/MIRSD/2/2013 dated January 24, 2013, non-individuals and trusts are required to provide details of ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted, and includes a person who exercises ultimate effective control over a legal person or arrangement.

### **1. Ultimate Beneficiary Owner [UBO]:**

#### **A. For Investors other than individuals or trusts:**

- (i) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:
  - more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
  - more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership;
  - more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
- (ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
- (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

#### **B. For Investors which is a trust:**

The identity of the settlor of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

#### **C. Exemption in case of listed companies / foreign investors**

The client or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner of such companies. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012, for the purpose of identification of beneficial ownership of the client.

#### **D. KYC requirements**

Beneficial Owner(s) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the listed Beneficial Owner(s).

#### **E. UBO Codes:**

UBO Code	Description
UBO-1	Controlling ownership interest of more than 25% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company
UBO-2	Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership
UBO-3	Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is an unincorporated association or body of individuals
UBO-4	Natural person exercising control over the juridical person through other means exercised through voting rights, agreement, arrangements or in any other manner [In cases where there exists doubt under UBO-1 to UBO-3 above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests]
UBO-5	Natural person who holds the position of senior managing official [In case no natural person cannot be identified as above]
UBO-6	The settlor(s) of the trust
UBO-7	Trustee(s) of the Trust
UBO-8	The Protector(s) of the Trust [if applicable].
UBO-9	The beneficiaries with 15% or more interest in the trust if they are natural person(s)
UBO-10	Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership.

For any queries/clarifications, please contact the nearest Customer/Investor Service Centres of the AMC. The list of our authorised centres is available in the section 'Contact Us' on our website [www.icicipruamc.com](http://www.icicipruamc.com).