Indiabulls

KEY INFORMATION MEMORANDUM

INDIABULLS MONTHLY INCOME PLAN

(An Open Ended Debt Scheme)

(Monthly Income is not assured and is subject to availability of distributable surplus)

	Name of Asset Management Company
Indiabulls Mutual Fund	Indiabulls Asset Management Co. Ltd.

This product is suitable for investors who are seeking*

- Capital appreciation and provide regular income over a long period of time
- Investment primarily in debt securities to generate regular returns and investment of a portion of the Scheme's assets in equity securities to generate long-term capital appreciation
- Medium Risk

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Note: Risk is represented as:





Name of Mutual Fund	Indiabulls Mutual Fund
Name of Asset Management Company	Indiabulls Asset Management Company Limited CIN: U65991DL2008PLC176627 Registered Office: M - 62 & 63, 1st Floor, Connaught Place, New Delhi - 110 001. Tel: (011) 3025 2900; Fax: (011) 3025 2901
Name of Trustee Company	Indiabulls Trustee Company Limited CIN: U65991DL2008PLC176626 Registered Office: M - 62 & 63, 1st Floor, Connaught Place, New Delhi - 110 001. Tel: (011) 3025 2900; Fax: (011) 3025 2901
Corporate Office	11 th Floor, Indiabulls Finance Centre Tower-1, Elphinston Mills Compound, Senapati Bapat Marg, Elphinston Road (West), Mumbai – 400 013. Tel: (022) 6189 1300; Fax: (022) 6189 1320
Custodian & Fund Accountant:	HDFC Bank Limited HDFC Bank House, Senapati Bapat Marg, Lower Parel, Mumbai 400 001

Offer for Units of Rs.10/- per unit for cash during the New Fund Offer period and at NAV based prices upon re-opening

This Key Information Memorandum (KIM) sets forth the information which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, due diligence certificate by the AMC, Key personnel, Investors' rights & services, risk factors, penalties & pending litigations etc, investors should, before investment, refer to the Scheme Information Document (SID) and Statement of Additional Information (SAI) available free of cost at any of the Investor Service Centre's or distributors or from the www.indiabullsamc.com

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the adequacy of this KIM.

This KIM is dated October 26, 2015



Investment Objective	The primary objective of the Scheme is t debt securities. The secondary objective investing a portion of the Scheme's assets availability of distributable surplus. However, there can be no assurance that	e of the Schem s in equity securi	e is to generat ties. Monthly In	e long-term capital appreciation by come is not assured and is subject to						
Asset	Instrument		allocation	(% of Net Assets)						
Allocation		Minimum	Maximum							
	Money market and Debt instruments	80%	90%	Money market and Debt instruments* (including Securitized Debt)						
	Equity and equity related securities	10%	20%	Equity & Equity Related Securities						
	 The Scheme may use derivatives for s the purpose of hedging and portfolio guidelines issued by SEBI from tin instruments subject to the guidelines permitted from time to time. Investme No. Cir/ IMD/ DF/ 11/2010 dated Augu 	o balancing, ba ne to time. The as maybe issued ents in Derivative ist 18, 2010.	sed on the op Scheme may by SEBI and F es shall strictly	portunities available and subject to also use fixed income derivative RBI and for such purposes as maybe be in compliance with SEBI Circula						
	 Total of investments in Equity, debt se in derivatives shall not exceed 100% o The Scheme may invest in derivative 	f the net assets on the set of the net assets of the set of the se	of the Scheme. f the net asset	s of the Scheme for the purpose c						
	 hedging and portfolio balancing purpo from time to time. The Scheme may also engage in sec 									
	requirements and the Trustee shall Investment Manager will apply the follo	carry out perio owing limits, sho	odic review as uld it desire to e	required by SEBI guidelines. Th engage in Securities lending:						
	Not more than 20% of the net assets of the Scheme can generally be deployed in securities I Not more than 5% of the net assets of the Scheme can generally be deployed in securities single counter party.									
	 The Scheme proposes to engage in short selling against the borrowed securities as per Securitient Securities & Borrowing (SLB) scheme as defined under the framework of 'Securities Lending Sch 1997' of SEBI specified vide Circular No. SMD/POLICY/SL/CIR-09/97 dated May 7, 1997. The Sch does not propose to engage in naked short selling. 									
	 The Scheme does not propose to end debt, credit default swaps or make inv 									
	 The AMC shall comply with all reporti required by SEBI guidelines. Securitie fixed period of time, at a negotiated co expiry of the stipulated period. 	es lending means	the lending of	stock to another person or entity for						
	Details pertaining to the same are mention ASSETS?" in the SID.	oned under the h	eading "HOW \	WILL THE SCHEME ALLOCATE IT:						
	In terms of SEBI Circular no. SEBI/IMD/ shall make investment out of the NFO pro	oceeds only on o	r after the closu	re of the NFO period.						
Investment Strategy	The investment strategy of the scheme primarily in debt and money market Instr generate consistent monthly accrual by equity component. Rigorous in-depth cre financial health and long term solvency o	uments and to a debt componen	limited extent i t at lower risk l id analysis aime	n equity. The key strategy shall be t evel and capital appreciation by the ed at ascertaining both the short terr						
	Debt Derivatives Strategy: The Scheme will retain the flexibility to instruments. Investment in Debt securitie allocation table of the Scheme, subject percentage of investment in various fixe political conditions, the economic environ corporate sector and general liquidity and management team is allowed full dis established.	es and Money Ma to permissible li d income securi nment (including d other consider	arket Instrumen mits laid under ties will be dec interest rates a ations in the ec	Its will be as per the limits in the asse SEBI (MF) Regulations. The actua ided after considering the prevailin and inflation), the performance of th onomy and markets. The investmer						
	Equity Derivative Strategy: A derivative is a financial instrument who stock or commodity, any kind of econo depends on the behavior of some bench	omical index. A	derivative is e	essentially a contract whose payo						



	Manager at all times. Transactions in derivatives include a wide range of instruments, including, but not limited to futures, options, swaps, and interest rate swaps, forward rate agreements and any other instrument as may be permitted by SEBI/RBI from time to time.
	Derivatives may be used for hedging and portfolio balancing purposes or such other purpose as may be permitted by SEBI/RBI from time to time, to seek to optimise performance in the Scheme and will be subject to applicable Regulations of SEBI/RBI from time to time. Trading is permitted only in exchange-traded derivatives. Derivative markets in India are now fairly liquid with daily average volumes to the tune of Rs. 150000 – 180000 crore as compared to cash market volumes of Rs. 15000-20000 crore.
Risk Profile of the Scheme	Mutual Fund Units involve Investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment. Scheme specific Risk Factors are summarized below: The scheme carries risks associated with investing in debt and money market securities, derivatives, securitized debt and securities lending. Investment in mutual fund units involves investment risks such as trading volumes, settlement risk, liquidity risk and default risk. Trading volume may restrict liquidity. AMC may choose to invest in unlisted securities which may increase the risk on the portfolio. Investments in debt and money market instruments are subject to interest rate risk, basis risk, credit risk, spread risk, pre-payment risk etc. Please refer to SID for further details.
Risk Mitigation	The asset allocation of the Scheme will be steadily monitored and it shall be ensured that investments are made in accordance with the scheme objective and within the regulatory and internal investment restrictions prescribed from time to time.
	A detailed monitoring process has been designed to identify measure, monitor and manage portfolio risk. The aim is to have a structured mechanism towards risk management thereby maximizing potential opportunities and minimizing the adverse effects of risk. Effective risk management is crucial for achieving optimum results. Adequate safeguards would be incorporated in the portfolio management process. The main instrument for reducing risk is through diversification across sectors/companies/ securities. The Fund Manager's job is to identify securities which offer higher returns with a lower level of risk. While identifying such securities, rigorous credit evaluation would be carried out by the investment team. The front office system of the AMC has the capability of pre- investment monitoring of investment restrictions as per SEBI guidelines and various internal limits prescribed from time to time to facilitate pre-emptive monitoring. The AMC will be guided by the ratings of Credit Rating Agencies authorized to carry on such activity. Further various risk management tools will be used for measuring and monitoring portfolio risks.
Sub-Plans/ Options	Plans: Direct & Regular, Options: The Scheme has the following Options across a common portfolio:
	Growth option
	Dividend option The Dividend Continue has the following for illities:
	 The Dividend Option has the following facilities: Dividend Reinvestment Facility Dividend Pay-out Facility
	If Dividend payable under Dividend Payout option is equal to or less than Rs. 250/- then the Dividend would be compulsorily reinvested in the option of the Scheme.
	Default option: Growth Option; Default between Dividend Payout & Reinvestment Option: Reinvestment Option
Applicable NAV (after the scheme	For Subscriptions/Purchases including Switch-ins:
opens for repurchase and sale)	 In respect of valid applications of investment amount less than Rs. 2 Lakh received up to 3.00 pm on a Business Day, the closing NAV of the day of receipt of application will be applicable;
	 In respect of valid applications of investment amount equal to or more than Rs. 2 Lakh received up to 3.00 pm on a Business Day, and the funds are available for utilization before the cut-off time without availing any credit facility, whether intra-day or otherwise, the closing NAV of the day on which funds are available for utilization will be applicable;
	 In respect of valid applications of investment amount less than Rs. 2 Lakh received after 3.00 pm on a Business Day, the closing NAV of the next Business Day will be applicable.
	• In respect of valid applications of investment amount equal to or more than Rs. 2 Lakh received after 3.00 pm on a Business Day, and the funds are available for utilization on the same day without availing any credit facility, whether intra-day or otherwise, the closing NAV of the next Business Day will be applicable, and
	• Irrespective of the time of receipt of application of investment amount equal to or more than Rs. 2 Lakh, where the funds are not available for utilization before the cut-off time without availing any credit facility, whether intra-day or otherwise, the closing NAV of the day on which the funds are available for utilization will be applicable.
	For allotment of units, it shall be ensured that:
	 For allotment of units, it shall be ensured that: a) For all valid applications of investment amount less than Rs. 2 Lakh the application is received before the applicable cut-off time;



Minimum Application Amount/ Number of Units	 i. the application is received before the ii. Funds for the entire amount of subcredited to the bank account of the Soliii. The funds are available for utilisation intra-day or otherwise, by the Schem Redemptions including Switch - outs in respect of Repurchase of Units: Where the application received upto An application received after 3.00 pm Purchase (First purchase during NFO & continuous offer) Rs. 500 and in multiple of Re. 1 thereafter 	scription / purchase as per the cheme before the cut-off time, on before the cut-off time without e. s: The following cut-off timings sha 3.00 pm – closing NAV of the day of	availing any credit facility whether all be observed by the Mutual Fund of receipt of application; and					
Facilities offered during the NFO	During the NFO the investors can su ASBA facility, the amount towards sub applicants as mandated till the allotmen ASBA facility, please refer SAI.	oscription of the Units shall be bl	ocked in the bank accounts of the					
Option to hold units in Demat Form	In terms of SEBI Circular CIR/IMD/DF allotment of Mutual Fund units in their demat form shall be fully transferable. Demat Facility for SIP Transactions: Demat option shall be available for SIF NAV as per SID and will be credited to	demat account while subscribing P transactions. However, the units investors demat account on wee	to this scheme. Such units held in s will be allotted on the applicable kly basis on realization of funds.					
Systematic Investment Plan (SIP) (NFO and ongoing basis)	Minimum SIP installment amount: Rs. 500/- and in multiples of Re.1/- thereafter; Minimum No. of SIP installments : monthly - 12 installments, quarterly – 4 installments [including the first SIP cheque] SIP Dates : 1st/5th / 10th / 15th / 20th / 25th of the month/ of any month in the quarter; Registration period: There must be at least 30 days between the first SIP cheque and subsequent due date of ECS [debit clearing]							
Systematic Transfer Plan (STP) (Ongoing basis)	Minimum No. of STP installments : Mo At the time of availing / registering for t scheme should be Rs. 17,000. STP Dates: 2nd/ 8th/15th/ 23rd of eve business day, the transaction will be effe The default STP date will be 8th of every Minimum STP installment size is Rs.500 A minimum period of 8 days shall be req	the STP facility, the minimum inve ry month as the STP date (in cas acted on the next business day of th month. D and in multiples of Re. 1 thereafte	se any of these days fall on a non- he Scheme).					
Systematic Withdrawal Plan (SWP) (Ongoing basis)	Minimum No. of SWP installments : Me At the time of availing / registering for th should be Rs. 17,000. SWP Dates2nd/ 8th/15th/ 23rd of ever business day, the transaction will be effe will be 8th of every month. Minimum SWP installment size is Rs.50 A minimum period of 8 days shall be req	he SWP facility, the minimum inve ry month as the SWP date (in cas ected on the next business day of t 00 and in multiples of Re. 1 thereaft	se any of these days fall on a non- he Scheme). The default SWP date					
Despatch of Redemption Request	Within 10 working days of the receipt of Mutual Fund.	of the redemption request at the a	authorized centre of the Indiabulls					
Benchmark Index	CRISIL MIP Blended Index							
Dividend Policy	The Trustee will endeavour to declare t in accordance with the Regulations. Th on availability of distributable surplus decisions of the Trustee shall be final in to the rate of Dividend nor that will the Di	e actual declaration of Dividend a s calculated in accordance with this regard. There is no assurance	and frequency will inter-alia depend SEBI (MF) Regulations and the					
Name of the Fund Managers	Mr. Malay Shah &Mr. Sumit Bhatnagar							
Name of the Trustee Company	Indiabulls Trustee Company Limited							



Performance of the							
Scheme	This Scheme is a new scheme and does not have	e any performance track record.					
Expenses of the Scheme i. Load Structure	Entry Load: Not Applicable Exit Load:1% if redeemed/switched out within 1 y Nil - if redeemed/switched out after 1 year from th						
	SEBI vide its circular no. SEBI/IMD/CIR No. 4/ 168 no entry Load for all Mutual Fund Schemes.	3230/09 dated June 30, 2009 has decided that there shall be					
	The upfront commission on investment made by	the investor, if any, shall be paid to the ARN Holder (AMFI ed on the investor's assessment of various factors including					
Transaction Charges		F/13/2011 dated August 22, 2011, the distributor (who has investor a sum of Rs. 100 per subscription of Rs 10,000 and time investor.					
		. 10,000. The transaction charge (Rs 100/Rs 150) if any, will ount and paid to the distributor; and the balance amount will ed against the net investment.					
	There would be no transaction charge on						
	 (a) transactions other than purchases/ subscriptio (b) direct transactions with the Mutual Fund. 	ns relating to new inflows, and					
	The transaction charges are in addition to the existi	ing commission permissible to the distributors.					
	Rs.10,000/- and above. In such cases the tran	blicable only if the total commitment through SIPs amounts to saction charge shall be recovered in 3 instalments. The et investment as gross subscription less transaction charge et investment.					
Expenses of the Scheme ii. Recurring Expenses (% of the Average Weekly Net Assets)	On the first Rs. 100 crores of the daily net assets On the next Rs. 300 crores of the daily net assets On the next Rs. 300 crores of the daily net assets On the balance of the assets – 1.50%	s – 2.20%					
Tax Treatment for the Investors (Unitholders)	Investors are advised to refer to the paragraph on Taxation in the 'Statement of Additional Information' and to consult their own tax advisors with respect to their tax liability and other implications arising out of their participation in the scheme.						
Daily Net Asset Value (NAV) Publication	The NAV will be calculated on all calendar days. It will be declared for all business days and will be published in 2 newspapers, NAV can also be viewed on www.indiabullsamc.com and www.amfiindia.com. You may also call 1-800-200-7777 (toll free no.)						
For Investor Grievances please contact	Name and Address of Registrar Karvy Computershare Private Limited, Karvy Registry House, Road No. 4, Street no 1. Banjara Hills, Hyderabad-500034. Tel: 040-23312454, Fax: 040-23311968. Website: https://www.karvymfs.com	Mr. Ashwin Nayak Investor Relations Officer 11th Floor, Tower-1, Indiabulls Finance Centre, SenapatiBapatMarg, Elphinstone Road (West), Mumbai – 400 013. Tel: 022-6189 1300 Fax: 022-6189 1320 Email: customercare@indiabullsamc.com Toll free no. 1-800-200-7777 Investor care number 022- 6189 1377					
Unitholder's Information		SWP) during ongoing sales and repurchase: pplication (other than SIP/STP/SWP) has been accepted, f units allotted by physical/ e-mail to those unitholders who					
	For SIP/STP / SWP transactions:						
	• The first Account Statement under SIP/STP/ investment/transfer.	SWP shall be issued within 10 working days of the initial					
	Consolidated Account Statement (CAS):						
	No. Cir/ IMD/ DF/ 16 / 2011 dated September 08, 20	BI (Mutual Funds) Regulations, 1996 read with SEBI Circular 011; SEBI Circular No. CIR/MRD/DP/31/2014 November 12, to dispatch of Consolidated Account Statement (CAS):					
	 The CAS shall be generated on a monthly ba delivered by the depositiories within ten days from 	sis. The Consolidated CAS across various AMCs shall be om the month end.					
	Depositories, CAS shall be sent through email.	atched byemail either by the Mutual Funds or by the . However, where an investor does not wish to receive CAS vestor to receive the CAS in physical form at the address					
	 A CAS shall not be issued to the investor who I their respective folio. 	has not updated their Permanent Account Number (PAN) in					
	 Investor may opt out of CAS facility if they do not 	wish to avail the same.					



Note:

- If an applicant so desires, the AMC will issue an Account Statement to the applicant within 5 working days from the receipt of such request without any charges.
- The unitholder may request for a physical Account Statement by writing/calling the AMC/ ISC/ Registrar & Transfer Agent.
- For normal transactions and SIP/ STP/ SWP transactions as stated above, in the event the account has more than one registered holder, the first-named Unit holder shall receive the Account Statement.
- Where units are held by investor in demat form, the demat statement issued by the Depository Participant will be deemed adequate compliance with the requirements in respect of dispatch of statements of account.

Monthly Portfolio Disclosures:

The Mutual Fund/AMC shall disclose portfolio of the scheme as on the last day of the month on its website www.indiabullsamc.com on or before the 10th day of the succeeding month.

Monthly Average Asset Under Management (AAUM):

The Mutual Fund/AMC shall disclose AAUM of the scheme as on the last day of the month on its website www.indiabullsamc.com on or before the 7th working day of the succeeding month.

Half-Yearly Portfolio Disclosures:

The Mutual Fund and AMC shall publish the Scheme Portfolio within one month from the close of each half year (i.e. 31st March and 30th September), by way of an advertisement at least, in one National English daily and one regional newspaper in the language of the region where the head office of the Mutual Fund is located.

It will also be displayed on the website of the AMC (www.indiabullsamc.com) and AMFI (www.amfiindia.com).

Half-Yearly Unaudited Scheme Financial Results:

The Mutual Fund and AMC shall within one month from the close of each half year i.e. 31st March and on 30th September, publish an advertisement disclosing the hosting of Unaudited Scheme Financial Results on their website, in atleast one national English daily newspaper and in a regional newspaper published in the language of the region where the Head Office of the Mutual Fund is situated.

It will also be displayed on the website of the AMC (www.indiabullsamc.com) and AMFI (www.amfiindia.com).

Annual Report:

The Scheme wise Annual Report or an abridged summary thereof shall be mailed (emailed, where e-mail id is provided unless otherwise required) to all Unit holders not later than four months (or such other period as may be specified by SEBI from time to time) from the date of closure of the relevant accounting year (i.e. 31st March each year) and full annual report shall be available for inspection at the Head Office of the Mutual Fund and a copy shall be made available to the Unit holders on request on payment of nominal fees, if any. It will also be displayed on the website of the AMC (www.indiabullsamc.com)

	INDIABULL Fill the form in BLO						Indiabulls
1 Distributor ARN Code S	ub-Distributor ARN Co	ode Internal S	ub-Broker / En	nployee Code	EUIN		Application No.
						Μ	
Up front commission shall be paid directly by the	-			-	rendered by the distribute	r.	
I/We, hereby confirm that the EUIN box without any interaction or advice by the empl	oyee/relationship manager/sales p	erson of the above distrib	utor or notwithstanding	Sign Her	e	Sign Here	Sign Here
the advice of in-appropriateness, if any, prov distributor has not charged any advisory fees		manager/sales person of	the distributor and the	First / Sole Applicant /0		Second Applicant	Third Applicant
Transaction charges for appl	•						
I confirm that I am a first time inves				ed as Transaction Cha ed as Transaction Cha	-		,
2 EXISTING UNIT HOLDER IN		sting Investors p	lease fill in yo	ur folio number	-		,
lame Mr Ms. M/s	FIRST	MIDDLE	L	AST	Folio No		
3 APPLICANT(S) INFORMATIO							
1st Applicant Name Mr Ms.M/s) [IRST	MIDE		LAST	DOB	
AN/PEKRN							
Gross Annual Income (Rs.) [Plea		ow 1 Lac 1-5		oof Enclosed <i>plea</i> 10 Lacs 10∹		KYC Proof Enc 5 Lacs-1Crore	>1 Crore
OR							
Net-worth (Mandatory for Non-In				s on D D M	MYYYY	(Not older than	
Politically Exposed Person (PEP			ries/Promotors/Ka		· 📖		m Related to PEP N/A
Guardian (In case of Minor) / F		Mr Ms.M/s		FIRST	MIDE		LAST
Relationship			_	PAN Proof E	nclosed please	✓ KYC Pr	roof Enclosed please ✓
Mode of holding <i>please</i> ✓	Single	Joint	Anyone or Su	urvivor(s)	(Default Option - Jo	pint)
Occupation <i>please</i> ✓	Business	Professional	Service	Retired	Student	House wife	Others SPECIFY
FATCA INFORMATION (1st Applica	· · · · · · · · · · · · · · · · · · ·			_			
Country of Birth/Registration		Intry of citizenship /	Nationality:	India USA	Other (please s	.,	
Country of Tax Residency:	a USA Other (pl	ease specify)			Tax Reference		
Status <i>please</i> ✓	Resi Individual	Flls	Society	AOP/BOI	Banks	Fls Trust	Company/Corporate Body
	Partnership Firm	HUF	Minor	NRI Repatriable	NRI Non-I	Repatriable P	O Others SPECIFY
Mailing Address - 1st Applicant ADDRESS LINE 1				ning / Gambling / L			one of the above
ADDRESS LINE 2	CITY		TATE		JNTRY		CODE
Overseas Address - Mandatory	for NRI / FII/ PIO Appli	cant, Please prov	/ide your comp	olete address. PO	Box alone is no	t adequate	
ADDRESS LINE 1							
ADDRESS LINE 2	CITY	ST	TATE	COL	JNTRY	PIN	CODE
Contact Details of SOLE / FIRS	F Applicant						
STD Code	Residence		Office	•		Mobile No +	91
Email Id			Conta	ct Person <i>(in cas</i>	e of corporate)		
Mode of Correspondence: Whe receive correspondence throug	h physical mode inste	ad of email are r	equested to tic		munication will	help save paper a	& planet.
2nd Applicant (Second Applic	ant not allowed in cas	e of minor as Firs	st / Sole applic	ant)			,
Name Mr Ms M/s	FIRST		MIDDLE	LAST		DOB	DDMMYYYY
	1.1.01			oof Enclosed plea	ase 🖌 🗌	KYC Proof Enc	losed please ✓
Gross Annual Income (Rs.) [Plea	se tick (🖌)] 👘 Belo	ow 1 Lac 1-				25 Lacs-1Crore	>1 Crore
Occupation Details [Please tick (Private Sector	Public Sec		nent Service		Professional
					nent Service		
Housewife Business		culture Pro	prietorship	Others		(please spec	іту)
ATCA INFORMATION (2nd Applica Country of Birth/Registration		Itry of citizenship / N	lationality: 🗌 Ir	ndia 🗍 USA	Other (please spe	acifu)	
Country of Tax Residency: India					Tax Reference		
Politically Exposed Person (PEP	V						m Related to PEP N/A
· · ·					·	·	
Acknowledgement							
Received from Mr / Ms / M/s			an application f	for allotment of unit	s under Indiabull	s Monthly Income	Plan as per the details below.
Sub Plan Direct	Regular				APPL	ICATION NO.	
Options Growth							
Dividend (Day	out Reinvestment)						
			7			TIME STAMP & DAT	E OF RECEIVING OFFICE

3rd	d Applicant (Third Applicant not allowed in case of	f minor as First / Sole applicant)				
Nar	me Mr Ms.M/s FIRST	MIDDLE	LAST		DOB	DMMYYYY
PA	N/PEKRN	PAN Proo	f Enclosed ple	ease 🖌 📃 KYC Pro	of Enclose	ed please 🖌
Gro	oss Annual Income (Rs.) [Please tick (✔)] 📃 Below	w 1 Lac 1-5 Lacs 5-10	Lacs 10	-25 lacs >25 Lacs-10	rore	>1 Crore
Oco	cupation Details [Please tick (✔)] Service	Private Sector Public Sector	r Govern	ment Service Studen	t Prof	essional
	Housewife Business Retired Agric	culture Proprietorship	Others	(pleas	e specify)	
Pol	itically Exposed Person (PEP) Status (Also applicable fo	r authorised signatories/Promotors/Karta	/Trustee/Whole tir	ne Directors)	l am F	Related to PEP N/A
Are	you a Citizen/Tax Resident of any country other than Inc	dia ? Yes	No (Default)	If yes ,please specify count	ry/(ies)	
	ou are a Citizen/Tax Resident of the USA, please also	· · · · · · · · · · · · · · · · · · ·		I Investors have to MAND	TORILY fil	I UBO Declaration Form
4	DEMAT ACCOUNT DETAILS OF FIRST APPLICANT	(Refer Instruction No IV) (Option	nal)			
	NSDL please 🗸 Depository Participant (DP) ID		Benefici	ary Account Number		
	CDSL please 🗸 Depository Participant (DP) ID					
5	BANK ACCOUNT DETAILS (Refer Instruction No V)	MANDATORY for Redemption / I	Dividend / Refu	ınds, if any		
A/c	Type please (✓) SB Current	NRO NRE A/c	Number			
Bar	nk Name		Branch		Cit	у
PIN	Code 11 Digit IFSC Cod	de e		9 Digit MICR Code		
If M	IICR and IFSC code for Redemption/Dividend/ Payout is	available all payouts will be autom	atically process	ed as Electronic Payout - R	GS/NEFT/L	Direct Credit/NECS.
6	INVESTMENT & PAYMENT DETAILS (Separate Appli (Refer Instruction No VI) PAYMENT BY CASH IS NOT				omo Plan	
	LUMPSUM SIP (Please also fill attached SIP Re	egistration Cum Auto Debit Form)			
	eme Name: Indiabulls Monthly Income Plan					
SUB	PLAN: Direct Regular OPTIC	DNS: Growth Dividen	d (📋 Payout	Reinvestment)		
	Payment Mode: Please (🗸) 🗌 Cheque] DD 🗌 RTGS 🗌 NEFT [Fund Transf	er		
5	Cheque / DD / RTGS / NEFT/FT No.		C	heque / DD / RTGS / NEFT D	ate D D	MMYYYYY
Ins	Amount in ₹ (Figures)	Amount in ₹ (words)			_	
LUMPSUM	Source Bank Name	Acco		rce Branch	NRE	NRO D FCNR
2	Cheque Issuer Name	In case the cheque is iss				
	Document attached in the case of third party paymen			Third Party	Declarations	5
-						
_	NOMINATION Mandatory for single mode of holding	(Please ✓ , Refer Instruction No	VIII)			
	/ We wish to nominate I / We do r / We do hereby nominate the person(s) more particular	not wish to nominate	col the nomina	tion made by mo / us		
		Name &		Proportion(%) by which t	he unite	Signature of Nominee /
	Name & Address of the Nominee(s)	Address of Guardian	Date of Birth (Minor)	will be shared by each N	ominee	Guardian of Nominee
		Incase Nominee is a Minor		(Should aggregate to 100	1%)	(Optional)
]		
	Sole / 1st Applicant / Guardian / Authorised Signatory/POA	2nd Applicant / Authorised Si	gnatory/POA	3rd Applicant	/ Authorised Sig	natory/POA
8	DECLARATION					
	would like to invest in Indiabulls Monthly Income Plan subject to ter	ms of the Statement of Additional Information	n (SAI), Scheme II	nformation Document (SID) Key Info	ormation Memo	prandum (KIM) and subsequent
ame	ndments thereto. I/We have read, understood (before filling application for	rm) and is/are bound by the details of the SAI,	SID & KIM including	details relating to various services. I	We have not re	eceived nor been induced by any
	te or gifts, directly or indirectly, in making this investment. I /We declare th ulations / Rules / Notifications / Directions or any other Applicable Laws ena					

Regulations / Rules / Notifications / Directions or any other Applicable Laws enacted by the Government of India or any Statutory Authority. I accept and agree to be bound by the said Terms and Conditions including those excluding/ limiting the IBMF Limited liability. I understand that the IBMF may, at its absolute discretion, discontinue any of the services completely or partially without any prior notice to me. In event "Know Your Customer" process is not completed by me /us to the satisfaction of the mutual fund. (I/We hereby authorize the mutual fund, to redeem the funds invested in the scheme, in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the law). I/We hereby declare that I/we do not have any existing Micro SIP's which together with the current application will result in a total investments exceeding Rs 50000/- in a financial year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I hereby declare that the above information is given by the undersigned and particulars given by me/us are correct and complete. I/We hereby confirm that I/We have not been offered / communicated any indicative portfolio and / or any indicative yield by the Fund/AMC/Its Distributor Investment

APPLICABLE FOR NRI INVESTORS ONLY: I confirm that I am resident of India. I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/ FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our NRE/FCNR Account. I am /We are not prohibited from accessing capital markets under any order/ruling/judgment_etc., of any regulation , including SEBI. I/We confirm that my application is in compliance with applicable Indian and foreign laws

Sole/1st Applicant/Guardian/Authorised Signatory/POA	2nd Applicant/Authorised Signatory/POA	3rd Applicant/Authorised Signatory/POA
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Please address all future communications in connection with this application to the Registrar and Transfer Agent of the scheme:

Karvy Computershare Pvt. Ltd.

Karvy Computershare Private Limited, KARVY CENTRE, 8-2-609/K, Avenue 4, Street No.1, Hyderabad 500034. Email ID: indiabullsunit@karvy.com Indiabulls Asset Management Company Ltd. Indiabulls House, Indiabulls Finance Centre, 11th Floor, Senapati Bapat Marg, Elphinstone (West), Mumbai - 400 013. Email ID: customercare@indiabullsamc.com

SIP REGISTRATION CUM AUTO DEE Fill the form in BLOCK letters only Leave		RM Indiabulls
Distributor ARN Code Sub-Distributor ARN Code Internal Sub-Bro	oker / Employee Code EUIN	Application No.
		M
Up front commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of	of various factors including the service rendered by the distributor.	
I/We, hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transwithout any interaction or advice by the employee/relationship manager/sales person of the above distribut notwithstanding the advice of in-parportiateness, if any, provided by the employee/relationship manager/sales person distributor and the distributor has not charged any advisory fees on this transaction. Transaction charges for applications through distributors only	tor or	Sign Here Sign Here Second Applicant Third Applicant
	educted as Transaction Charges for Transaction of	
I confirm that I am a existing time investor across Mutual Funds. (₹ 100/- will be d	leducted as Transaction Charges for Transaction of	₹ 10,000/- and more)
REGISTRATION CUM MANDATE FORM FOR AUTO DEBIT / ECS (Debit Clear	ing) Please Tick	
New SIP Registration - by existing investor (Refer Instructions)		tion of SIP
New SIP Registration - by new investor (Also attach the new application form	duly filled & Signed) Change	of Bank Account (Please provide cancelled cheque)
APPLICANT DETAILS		
Name Mr Ms M/s FIRST MIDDLE	LAST Folio No	
PAN P.	AN Proof Enclosed please 🗸	
INITIAL INVESTMENT DETAILS (Refer Instruction No.12)		
Scheme Name: Indiabulls Monthly Income Plan		
SUB PLAN: Direct Regular OPTIONS: Growth	Dividend (Payout Reinvestmen	nt)
Cheque / DD No Cheque / DD Date D M M	Y Y DD Charge Rs. Chee	que / DD Net Amount Rs.
Bank Name	Branch	City
SIP DETAILS SIP through Post Dated Cheques SIP through Auto	o Debit	
Frequency Please ✓ Monthly Quarterly SIP Date Please ✓ 1	5 10 15 20 25 Ch	eque Nos. From To
SIP AMOUNT ₹ (In figures) (In word	s) Bank Name	
Enrolment Period From M M Y Y Y To M M Y Y Y I/We hereby authorise Indiabulls Mutual Fund/ Indiabulls Asset Management Comp by ECS (Debit Clearing) / Direct Debit / Standing Instruction for collection of SIP page		viders, to debit my/our following bank account
BANK ACCOUNT DETAILS as in Bank Records		
1st/ Sole Account holder Name Mr Ms. M/s FIRST	MIDDLE	LAST
2nd Account holder Name Mr Ms. M/s FIRST	MIDDLE	LAST
3rd Account holder Name	MIDDLE	LAST
A/c Type please ✓ SB Current NRO NRE FC	CNR A/c Number	
Bank Name	Branch	City
PIN CODE 11 Digit IFSC Code	9 Digit MICF	Code
Mandatory: Please enter the 9 digit cheque number that appears after your cheqe Mandatory Enclosure: Blank Cancelled Cheque Or Copy of Cheuge	ue number. MICR code starting and / or end	ing with 000 are not valid for ECS.
DECLARATION		
I/We wish to inform you that I/we have registered with Indiabulls Mutual Fund through their authoris my/our above mentioned bank account. For this purpose I/We hereby approve to raise a debit to received through to debit my/our account with the amount requested, for due remittance of the proc of standing instruction. I hereby declare that the particulars given above are correct and complete. hold the Mutual Fund or the responsible. If the date of debit to my/our account happens to be a non day and allotment of units will happen as per the Terms and Conditions listed in the Document of th delay in completion of this service, where such failure or delay is caused, in whole or in part, b earthquake, change of Government policies, Unavailability of Bank's computer system, force maji which has the effect of preventing the performance this service by the above mentioned Bank. I/We have any claim against the Bank in respect of the amount so debited pursuant to the mandate subm claims, actions, suits, for any loss, damage, costs, charges and expenses incurred by the signatories/beneficiaries. This request for debit mandate is valid and may be revoked only through a dat your counters and giving reasonable notice to effect such withdrawal. The ARN holder has discid different competing Schemes of various Mutual Funds from amongst which the Scheme is being recorded the set of the store of set of the store and the store of the store of store and the store of the set of the adventer store and the store of the set of the debit mandate is valid and may be revoked only through a at your counters and giving reasonable notice to affect such withdrawal. The ARN holder has discid	my/our above mentioned account with your branch needs to the beneficiary. I/We undertake to keep suffic If the transaction is delayed or not effected at all for re- business day as per the Mutual Fund or a Bank holic ne Mutual Fund. The above mentioned Bank shall no y any acts of God, civil war, civil commotion, riot, s eure events, or any other cause of peril which is bey shall not dispute or challenge any debit, raised unde nitted by me/us. I/We shall keep the Bank and, jointly e Bank and, by reason of their acting upon the a written letter withdrawing the mandate signed by the osed to me/us all the commissions (in the form of trai	I/We hereby authorize you to honour all such requests ient funds in the funding account on the date of execution asons of incomplete or incorrect information, I would not ay, execution of the transaction will happen next working be liable for, nor be in default by reason of, any failure or trike, mutiny, revolution, fire, flood, fog, war, lightening, ond the above mentioned Banks reasonable control and r this mandate, on any ground whatsoever. I/We shall not and or severally indemnified from time to time, against all instructions issues by the above named authorized a authorized signatories/beneficiaries and acknowledged
SIGNATURE/S AS PER INDIABULLS RECORDS MANDATORY	SIGNATURE/S AS PER BAN	K RECORDS MANDATORY
Sole / 1st Applicant / Guardian Authorised Signatory	Sole / 1st Applicant / Guardiar Authorised Signatory	
2nd Applicant / Guardian	2nd Applicant / Guardian	
Authorised Signatory 3rd Applicant / Guardian	Authorised Signatory 3rd Applicant / Guardian	
Authorised Signatory	Authorised Signatory	
FOR OFFICE USE ONLY Not to be filled by the Investor		
Recorded on	Scheme Code	
Recorded by	Credit A/c No.	
Bank use Mandate Ref. No.	Customer Ref. No	

INSTRUCTIONS cum TERMS AND CONDITIONS FOR AUTO DEBIT

SIP payment through Auto Debit via Direct Debit and Electronic Clearing Service (ECS Clearing) of the Reserve Bank of India (RBI) LIST OF CITIES FOR SIP FACILITY VIA ECS (DEBIT CLEARING) (87 CENTERS)

Delhi, Ludhiana, Amritsar, Jalandhar, Chandigarh, Shimla, Jammu, Kanpur, Allahabad, Varanasi, Lucknow, Dehradun, Gorakhpur, Agra, Jaipur, Bhilwara, UdaiPur, Jodhpur, Rajkot, Jamnagar, Ahmedabad, Baroda, Surat, Mumbai, Goa, Pune, Sholapur, Kolhapur, Nasik, Aurangabad, Nagpur, Indore, Bhopal, Gwalior, Jabalpur, Raipur, Hyderabad, Tirupati, Vijaywada, Nellore, Vizag, Kakinada ,Bangalore, Mysore, Mangalore, Udipi, Hubli , Gadag, Bijapur, Belgaum, Davangere, Shimoga, Tumkur, Mandya, Hasan, Gulbarga, Raichur, Chennai, PondichErry, Trichy, Madurai, Salem, Erode, Tiruppur, Tirunelveli, Coimbatore, Calicut, Trichur, Cochin, Trivandrum, Kolkata, Bardhaman, Durgapur, Siliguri, Bhubaneshwar,Guwahati, Patna, Dhanbad,Jamshedpur, Ranchi, Haldia, Asansol, Cuttack ,Bikaner, Gangtok, Anand, Bhavnagar, Kota, Imphal, Shillong

I. GENERAL INSTRUCTIONS

- Please refer the Scheme Information Document, Statement of Additional Information and Key Information Memorandum, Addenda issued from time to time and our website www.indiabullamc.com for instructions, terms and conditions, Applicable NAV, Risk Factors, load and other information, various requirements of the respective Scheme / Plan before investing. It is deemed that the investor has agreed to all the terms and conditions as prevailing on the date of the application and investment.
- 2. This facility is only offered to those investors having bank accounts in select cities as mentioned above. The list of cities, may be modified/updated/changed/removed at any time in future at the discretion of AMC without assigning any reasons or prior notice. If the SIP auto debit facility is discontinued in a city for any reason, SIP instructions for investors in such city via ECS (Debit) route/Direct debit/SI may be discontinued without prior notice.
- 3. PAN is mandatory for all investors. However, investments through Micro SIPs, i.e. where aggregate of installments per year per investor does not exceed Rs. 50,000, will be exempt from the requirement of PAN. Such investors must submit a valid and self attested standard photo identification and address proof documents in lieu of PAN. List of acceptable documents are available with the ISCs of the AMC/Registrar. If the identification document is not in order, or registration of SIP results in aggregate of the SIP investments exceeding Rs. 50,000 per investor per year, the SIP will be rejected.
- 4. If SIP application form and cheque is submitted with bank details of a city where the Mutual Fund does not provide auto debit facility, first SIP cheque may get processed. However, future debits may not happen and SIP instruction may get rejected.
- In case of rejection of SIP form for any reason whatsoever, the Mutual Fund will not entertain any request for refund of proceeds of first cheque which is already processed. Investors will have to redeem the units allotted through first cheque at applicable NAV.
- To avail of SIP in separate schemes/plans via debit facility, an investor will have to fill a separate form for each scheme/plan. A single form cannot be used for different schemes simultaneously and may be rejected.
- 7. Complete application form and SIP Autodebit form along with the first cheque (drawn on the same bank account which is to be registered for ECS Debit/Direct Debit / Standing Instruction) should be submitted to the Mutual Fund or the Registrar. AMC reserves the right to reject any application without assigning any reason thereof. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 20 days via normal post.
- The bank account provided for ECS should participate in local MICR clearing. Incase MICR code is not provided or incorrect code is mentioned on the application form, the application for SIP will be liable to be rejected.
- 9. The first SIP cheque /draft could be of any Business day but subsequent cheques or ECS /Auto Debit Transactions should be dated 1st or 5th or 10th or 15th or 20th or 25th and there should be minimum gap of at least 30 Days between the 1st SIP and the 2nd SIP or as specified by IBMF from time to time
- If the date on the cheque/draft/ECS/Auto Debit Transactions is a non Business Day for the scheme ,then the units shall be alloted on the next Business Day.
- 11. In case the first cheque is issued from a different bank account or is a demand draft or in case of renewal of SIP debit, then investors should attach a cancelled cheque or a copy of the cheque pertaining to bank account which is to be registered for ECS Debit/direct debit / standing instruction. Alternatively, investors can also get the bank account mentioned on the form along with Bankers certificate form.
- The SIP period should cover minimum SIP instalment amount: Monthly: Rs. 500/and in multiples of Re.1/- thereafter and Quarterly: Rs. 1500/- and in multiples of Re.1/- thereafter.

Minimum No. of SIP installments : monthly - 12 installments, quarterly - 4 installments [including the first SIP cheque]. The Mutual Fund and Registrar reserve the right to modify the SIP period depending on the 30 days period for registration and to ensure minimum instalments.

- 13. If no start date is specified, SIP will be registered to start from a period after 30 days on the SIP date as available / mentioned. In case of the auto debit facility, the default options (where auto debit period, frequency and SIP date are not indicated) will be as follows:
 - SIP auto debit period: The SIP auto debit will continue till 5 years.
 - SIP date: 15th of the month (commencing 30 days after the first SIP installment date); and
 - SIP frequency: Monthly.
- 14. The investor agrees to abide by the terms and conditions of ECS facility of the Reserve Bank of India (RBI) as applicable at the time of investment and as may be modified from time to time at a later stage.
- 15. The investor undertakes to keep sufficient funds in the funding account till the date of execution of standing instruction / Direct debit / Auto Debit. The investor hereby declares that the particulars given above are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information or due to any reason by the investor's banker, the investor would not hold the Mutual Fund or the Bank responsible. If the date of debit to the investor's account happens to be a non Business Day as per the Mutual Fund, execution of the ECS Debit / Direct Debit / SI may happen on non business and allotment of Units will happen as per the terms and conditions listed in the SID, SAI, KIM and all relevant Addenda of the scheme concerned.
- 16. The Mutual Fund, its Registrars, Direct Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay.

II. DOCUMENTS REQUIRED

New Investors are required to submit the following documents:

- a. SIP Enrolment along with Registration cum Auto Debit/ECS Mandate Form.
- b. The Intial investment amount cheque should be issued from the same bank account which is to be debited under ECS/Auto Debit for SIP instalments
- c. A photo copy/cancelled cheque from ECS Debit Account (as mentioned on the application form should be submitted along with other requirements.
- d. In case of payment made through an instrument issued from a bank account other than that of the first named applicant / investor mentioned in the application form, Investors are required to additionally fill up & submit the 'Third Party Payment Declaration Form' (available at any of our ISC's or on our website www.indiabullamc.com) along with the SIP Registration cum Auto Debit/ECS Mandate Form.

Existing Investors are required to submit SIP Registration cum Auto Debit/ECS Mandate Form.

III. CHANGE OF BANK

In order to change the existing bank account for ECS/SIP Auto Debit investors need to submit an Autodebit form selecting a 'change of bank option' in the form for the existing SIP, 30 days before the next SIP debit.

IV. DISCONTINUE / CANCELLATION OF SIP

The investor has the right to discontinue SIP at any time he/she so desires by sending a written request 30 days in advance of the immediate next due date to any of the offices of Indiabulls Mutual Fund or its Authorized Collection Centres. On receipt of such request SIP will be terminated. Further, Indiabulls Mutual Fund shall have the right to discontinue the SIP in case of 3 consecutive failures.

V. PAN and KYC

PAN & KYC is mandatory irrespective of amount of investment, except in case of MICRO SIP (Refer Instruction No II, 6 & 7 for details)

INDIABULLS ASSET MANAGEMENT COMPANY LIMITED	(CIN: U65991DL2008PLC176627)
Corporate Office:	

11th Floor, Tower - 1, Indiabulls Finance Centre, Elphinstone Mills Compound, Senapati Bapat Marg, Elphinstone (W), Mumbai - 400 013. Phone number: 022 - 6189 1300 Fax number: 022 - 6189 1320 **E-Mail:** customercare@indiabullamc.com **Website:** www.indiabullamc.com

Indiabulls

THIRD PARTY PAYMENT DECLARATION (Should be enclosed with each payment)

PAYMENTS BY: Parent/Grand-Parents/Related Persons Other than the Registered Guardian

Payments to: To a Minor Folio only; In consideration of: Natural love and affection or as a gift only Maximum Value: Not Exceeding Rs 50,000/- (each regular purchase) Application and Payment Details (All details below are Mandatory, including relationship, PAN & KYC):

Folio No.				Арр	lication F	orm No.								
Beneficial Minor's Name														
Investment Amount in ₹														
Investment Cheque No.						Dated	D	D	M	Μ	Y	Y	Y	Y
Drawn on Bank														
Drawn on A/c No.														

Declaration and Signatures:

	Parent/Grand-Parents/Related Persons Other than the Registered Guardian	Guardian of Minor, as registered in the Folio									
Name											
Relationship with Minor											
Income Tax PAN											
Mandatory attachment for any amount	KYC Proof	PAN Proof									
Declaration	I hereby declare and confirm that the minor stated above is the beneficial owner of the investment details mentioned above and I am providing the funds for these investments on account of my natural love or affection or as a gift from my bank account only.	l confirm that I am the legal guardian of the Minor, registered in folio and have no objection to receiving these funds on behalf of the Minor.									
Signature											

BANKER'S CERTIFICATE in case of Demand Draft / Pay Order / Any Other pre-funded instrument:

To whomsoever it may concern, we hereby confirm the following details regarding the instrument issued by us:

Instrument Details:

Instrument Type	De] Demand Draft							Pay Order / Banker's Cheque										
Instrument Number									Dated	D	D	Μ	Μ	Y	Y	Y	Y		
Investment Amount in ₹																			
In Favour of / Favouring																			
Payable At																			

Details of Bank Account Debited for issuing the instrument:

Bank Account No.		Account Type
Account Holder Details	Name of account holder	Income Tax PAN
First holder		
Second holder		
Third holder		

If the issuing Bank branch is outside India:

We further declare that we are registered as a Bank/branch as mentioned below:

andards of Anti-Money

Country

___ Contact Number:

Important Note: It is claried that the bankers certicate suggested above is recommendatory in nature, as there may be existing Bank Letters / Certicates / Declaration, which will conrm to the spirit of the requirements, if all required details are mentioned in the certicate.

CUSTODIAN ON BEHALF OF AN FII OR CLIENT (Should be enclosed with each Third party payment)

Application and Payment Deta	ils (All de	tails b	elow ar	e Mai			nsoev	er it n	nay cor	cern											
Folio No.									Арр	lication l	orm No										
Beneficial Applicant/ Investor Name																					
Investment Amount in ₹																					
Payment Mode	Che	eque			Fund	Transf	er			RTGS		N	EFT								
Investment No. / UTR No.												T	Dated	D	D	M	Μ	Y	Y	Y	Y
Payment from Bank																					
Payment from A/c No.																					
We further declare that we are registe We confirm the beneficial owner as sta funds provided to us by the Applicant	ited above										odian to	the Ap	plicant/Inv	vestor.	The s	ource	e of thi	s payı	nent is	from	n
Name of Declarant(s):									_ Inc	ome Tax	PAN:										
Address of Declarant(s)																					
City:		_ State	e:						_ Posta	l code: -				Cou	ntry:						
Signature of Decla	ON BEH												np sum / ayment)		time	sub	script	ion,	throu	gh	_
					То	whom	nsoev	er it I	may co	ncern											
We hereby declare that the Applicatio	n Form No/	/s								for su	ubscripti	on of u	nits in Sch	ieme _							/
Plan	, Opt	tion																i	s accor	npani	ied by
Cheque No.												D	ated D	D	Μ	1	M	Y	Y	Y	Y
																	(Mare	o of t	he Ban	le / Dwe	an ch)
Drawn on																	(Num		le bull		uncn).
We confirm that the beneficial owner	(s) of the in	ivestme	nt in the	ese un	its is	/are _															
													(N	lame o	f the E	mplo	oyee/s, v	vith e	mploye	e nur	mber/
who is / are my / our employee/s and	am providir	ng the f	unds foi	r these	e inve	estmen	ts thro	ugh t	he payı	oll dedu	ction.										
Name of Declarant(s):									_ Inc	ome Tax	PAN:										
Address of Declarant(s)																					
City:		Stat	e:						_ Posta	l code: .				Cou	ntry:						
Signature of D	eclarant(s):													Signat	ure of	Ben	eficiary	,			
																	,				
						IN	STR	UC.	TION	S											
Investment/Subscription m 1. Payment by Parents/Grand-f purchase or per SIP installme 2. Payment by Employer on beh 3. Custodian on behalf of a Fore The above mentioned except stated below: i. Determining the identity of the ii. Obtaining necessary declara which the Payment is made al iii. Verifying the source of funds t In case of investment/subscriptior a. If the investment/subscriptior application, stating the Accoor available in the records of the investments of Rs.50,000/- c address and PAN (if available b. If payment is made by RTGS number mentioned on the tra	Parents/related int). alf of employing in Institution ion cases will Investor and the relation of the relation of the relation of settled with int holder's m Mutual fund r more. Such of the perso , NEFT, ECS	ed perso ree unde hal Inves Il be prod d the perso Investo Investo Investo inship wit t funds h via Pay (th pre-fu hame an d, or from h prefun on who ha S, bank t	ns for inv r SIP throi tor (FII) oi cessed af son makir r/unithold th the ben ave come Drder, Dei nded inst d the Acco d the Acco ded instru as reques ransfer, e	vestmei ugh Pa r a clier fter carr ng payr der and heficiary from the mand E rrument count n count of ument sted for etc., a c	nt ma yroll c nt. rying i ne dra Draft, ts suc umbe the fin issue the do opy c	de on be deductio out nece .e. mane berson n awer's ac Banker's th as Pa er which rst name d agains emand c of the ins	ehalf of ons. essary c datory k naking t ccount c s chequ y Order, has be ed unit h st cash draft. structior	a mind thecks (now Y he pay nly. e, RTC Dema en del nolder. payme	or in con s and ver ⁄our Clier yment. E GS, NEF and Draf bited for . Additior ent for le e bank s	ideration fication of t (KYC) fc eclaratior r, ECS, ba , Banker's issue of ti ally, if a p ss than R ating the	of natura document or Investor hot the p ank transfe s cheque, he instrur re-funded s.50,000, account r	I love ar nts attac r and the erson m er, net ba etc., a C nent. Th I instrum /- should	d affection hed along v person ma aking the p anking etc. F ertificate fr e funds sho tent issued I be accom debited mus	vith the king the aymen Followin com the bould be by the panied st acco	purcha paym t shoul Issuing debite Bank a by a c	ent. Id give g bank d fror gains ertific	ansactic e details l checks (er musi m a pre- tt cash, i ate fron purchase	on slip of the shall I taccoo regist t shall n the t	applica bank a be carrie mpany t ered pa not be panker o cation.	tion fo accour dout: he pur y in accep giving The ac	orm, as nt from rchase ccount oted for name, ccount

accounts, as the investments/subscriptions received from the said multiple accounts shall be treated as first party payments. Third party cheque(s) for this purpose are defined as: (i) Investment made through instruments issued from an account other than that of the beneficiary investor, ii) in case the investment is made from a joint bank account, the first holder of the mutual fund folio is not one of the joint holders of the bank account from which payment is made. Please Refer Third Party Payment Declaration form.

APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA)

Date _

Application No.

To be Submitted to Self Certified Syndicate Bank (SCSB)



Broker Stamp & ARN Code	Sub Broker Code	EUIN No.	SCB	SCB IFSC Co	de Syn	dicate Men	ber Stam	p & Co	de	SCВ В	ranch	Sr No.
APPLICANT'S DETAILS as available	in DEMAT ACCOUN	T										
1st Applicant												
Name Mr Ms. M/s FIRST	MID	DLE	LAST			PAN						
2nd Applicant												
Name Mr Ms. M/s FIRST	MIC	DLE	LAST			PAN						
3rd Applicant												
Name Mr Ms. M/s FIRST	MIC	DLE	LAST			PAN						
Contact Details of SOLE / FIRST App												
					05							
STD Code	Residence				Office							
Mobile No				Email Id								
DEPOSITORY ACCOUNT DETAILS	Mandatory Refer Inst	truction No										
Depository Name Please ✓	National Securit	ies Depository	y Ltd		Central Sec	curities Depo	sitory (Inc	dia) Ltd				
DP-ID	IN											
Beneficiary Account Details					16 digit be	eneficiary a	ccount n	umber t	o be m	entior	ed abo	ove
INVESTMENT DETAILS		· · · ·										
Scheme: Indiabulls Monthly Income	Plan											
(Please ✓) Sub Plan: Direct Red	gular Option:	Growth		idend (□ Payou		(otmont)						
	, L					sunent)						
BANK ACCOUNT DETAILS Attn NRI	Investors, Payments	s should be n	nade throug	h NRE Accounts	only			1 1	1 1	_		
Name of the Bank		_		A/c Num	ber							
A/c Type please(✓) Savings	Current	NRO	NRE	Branch Name								
								_				
Address					City			State				
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Please submit ASBA forms with and authorized branch of designated SCSBs ONLY

APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA)

ASBA provides an alternative mode of payment whereby the application money remains in the investor's account till allotment of units. ASBA process facilitates investors to apply through Self Certified Syndicate Banks (SCSBs), in which the investors have their bank accounts. SCSBs are those banks which satisfy the conditions laid by SEBI. SCSBs would accept the applications, verify the application, block the fund to the extent of investment amount, unblock once the allotment is finalized and debit the investor's account.

ASBA Facility in brief: Investor shall submit his Bid through an ASBA cum Application Form, either in physical or electronic mode, to the SCSB with whom the bank account of the ASBA Investor or bank account utilized by the ASBA Investor ("ASBA Account") is maintained. The SCSB shall block an amount equal to the NFO application Amount in the bank account specified in the ASBA cum Application Form, physical or electronic, on the basis of an authorization to this effect given by the account holder at the time of submitting the Application. The Bid Amount shall remain blocked in the aforesaid ASBA Account until the Allotment in the New fund Offer and consequent transfer of the Application Amount against the allocated Units to the Issuer's account designated for this purpose, or until withdrawal/failure of the Offer or until withdrawal/rejection of the ASBA Application, as the case may be. The ASBA data shall thereafter be uploaded by the SCSB in the electronic IPO system of the Stock Exchanges. Once the Allotment is finalized, the RTA to the NFO shall send an appropriate request to the Controlling Branch of the SCSB for unblocking the relevant bank accounts and for transferring the amount allocable to the successful ASBA Bidders to the AMC account designated for this purpose. In case of withdrawal/Rejection of the Offer, the RTA to the Offer shall notify the SCSBs to unblock the blocked amount of the ASBA Bidders within one day from the day of receipt of such notification.

INSTRUCTION FOR FILLING ASBA APPLICATION FORM

GENERAL INSTRUCTIONS

- An Investor shall be eligible to apply through ASBA process, if he /she

 is a Resident Retail Individual Investor, Non Institutional Investor, QIBs, Eligible NRIs applying on non-repatriation basis, Eligible NRIs applying on repatriation basis i.e. any investor, (ii) is applying through blocking of funds in a bank account with the SCSB; Such investors are hereinafter referred as "ASBA Investors".
- ASBA Facility is currently available only to those investors who wish to hold the units in dematerialized form. Investors are requested to check with their respective banks about the availability of the ASBA facility.
- The Bank Account Number in the form should necessarily be of the first applicant only. In case where the bank account is jointly held, the first applicant should be one of the joint holders.
- 4. Investor shall ensure that the bank account details mentioned in the ASBA application form is correct and the funds are available in the account for the SCSB to block the amount.
- Upon submission of an ASBA form with the SCSB, investor shall be deemed to have agreed to block the entire subscription amount specified and authorized the designated branch to block such amount in the Bank account.
- 6. During processing of the application by RTA, if the applications are found to be incomplete or incorrect, the SCSB will be informed on the same who will than unblock the investor account with appropriate remarks in the investor's account.
- The investors should check their demat account for allotment of units within 5 working days of the NFO closure. No physical account statement will be sent to the investors by RTA.
- 8. All grievances relating to ASBA facility may be addressed to the SCSB, giving full details such as name, address of the applicants, subscription amount blocked on application, bank account number and the designated branch or the collection centre of the SCSB where the ASBA form was submitted by the investor.
- The AMC Trustee or its Registrar, KARVY shall not be liable for any negligence or mistake committed by the SCSBs.

OTHERS INFORMATION FOR ASBA INVESTORS

- SCSB shall not accept any ASBA after the closing time of acceptance on the last day of the NFO period.
- SCSB shall give ASBA investors an acknowledgment for the receipt of ASBAs.
- 3. SCSB shall not upload any ASBA in the electronic system of the Stock

Exchange(s) unless (i) it has received the ASBA in a physical or electronic form; and (ii) it has blocked the application money in the bank account specified in the ASBA or has systems to ensure that Electronic ASBAs are accepted in the system only after blocking of application money in the relevant bank account opened with it.

- SCSB shall ensure that complaints of ASBA investors arising out of errors or delay in capturing of data, blocking or unblocking of bank accounts, etc. are satisfactorily redressed.
- SCSB shall be liable for all its omissions and commissions in discharging responsibilities in the ASBA process.

GROUNDS FOR REJECTION OF ASBA APPLICATIONS

ASBA application forms can be rejected by the AMC/Registrar/ SCSBs, on the following technical grounds:

- Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.
- Mode of ASBA i.e. either Physical ASBA or Electronic ASBA not selected or ticked.
- 3. ASBAApplication Form without the stamp of the SCSB.
- Application by any person outside India if not in compliance with applicable foreign and Indian laws.
- 5. Bank account details not given/incorrect details given.
- Duly certified Power of Attorney, if applicable, not submitted along with the ASBA application form.
- 7. No corresponding records available with the Depositories matching the parameters namely (a) Names of the ASBA applicants (including the order of names of joint holders) (b) DP ID (c) Beneficiary account number or any other relevant details pertaining to the Depository Account.
- 8. Insufficient funds in the investor's account.
- Application accepted by SCSB and not uploaded on/with the Exchange / RTA.

OBLIGATIONS OF THE AMC

The AMC shall ensure that adequate arrangements are made by the RTA for the NFO to obtain information about all ASBAs and to treat these applications similar to non-ASBA applications while allotment of Units, as per the procedure specified in the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009.

INSTRUCTIONS TO HELP YOU COMPLETE THE MAIN APPLICATION FORM

I. GENERAL INSTRUCTION:

- Please read the Key Information Memorandum (KIM), Statement of Additional Information(SAI) and Scheme Information Document(SID) carefully before investing. All applicants are deemed to have read, understood and accepted the terms subject to which this offer is being made and bind themselves to terms upon signing the Application Form and tendering payment.
- 2. The application form must be filled in English in BLOCK letters using Black or Dark Blue colored ink. Incomplete applications are liable to be rejected. Please ensure that the requisite details and documents have been provided. This will help in avoiding processing delays and / or rejection of your Application Form. All subscription application forms should be submitted only at the designated Investor Service Center of Indiabulls Mutual Fund (IBMF)
- 3. The Applicant's name and address must be given in full (P.O. Box No. alone is not sufficient). In case of multiple applicants, all communication and payments towards redemption will be made in the name of / favoring first applicant only. If the first applicant is a minor, the name of the Guardian who will sign on behalf of minor should be filled in the space provided. Please fill in your date of birth as this may be required for validating your identity for certain transactions/ communication. Also, please provide Telephone No. /E-mail Id. of the first applicant, so as to facilitate faster and efficient communication.
- 4. All applicants must sign the form, (quoting existing Folio no, if any). Thumb impressions must be attested by a Judicial Magistrate/Notary Public under his/her official seal. In case of HUF, the Karta should sign on behalf of the HUF. Authorised signatories, signing on behalf of a Co./Body Corp./Society/Trust etc should sign under their official seal, designation. A list of Authorised Signatories with their names & designations duty certified / attested by the bankers / authorised person as per BR / Company Secretary should be attached with the application form.
- For NRI investors, Name and address must be given in full (P.O. Box Address is not sufficient). In the case of NRI/PIO/FII investors, an overseas address must also be provided.
- Please note that if no Plan is ticked / indicated in the Application form, the units will, by default, be allotted under the Growth Plan of the Scheme. Similarly, Dividend Reinvestment Option of Dividend Plan shall be the default sub-options.
- In case of Mode of Holding is not mentioned for Joint Holder's the default mode of holding would be Joint.
- 8. Overwriting on application forms/transaction slips: In case of corrections / overwriting on key fields (as may be determined at the sole discretion of the AMC) of the application forms/transaction slips, the AMC reserves the right to reject the application forms/transaction slips, in case the investor(s) has/have not countersigned in every place where such corrections/overwriting has/have been made.

II. APPLICANT'S INFORMATION:

- In case the application is made under a Power of Attorney (PoA), a duly certified copy thereof, duly notarised should be submitted with the application. The POA document should contain the signatures of both the Applicant & the constituted Attorney.
- Application made by a limited company or by a body corporate or a registered society or a trust, should be accompanied by a copy of the relevant resolution or authority to make the application, as the case may be, along with a certified copy of the Memorandum and Articles of Association or Trust Deed / Bye Laws / Partnership Deed, whichever is applicable.
- In case of non-individual applicants, i.e. HUF / Companies / AOP / BOI / Trusts / Societies / FIIs etc. the name, email-ID and telephone number of the contact person to should be provided.
- 4. Date of Birth of the minor is mandatory while opening the account /folio.
- 5. In case the investment is done on behalf of minor the relationship/status of the guardian as father, mother or legal guardian and Date of birth of minor shall be specified in the application form and following documents shall be submitted along with the application form as evidence:
- a. Birth certificate of the minor, or
- b. School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or
- c. Passport of the minor, or
- d. Any other suitable proof evidencing the date of birth of the minor.
- e. In case of court appointed legal guardian, supporting documentary evidence shall be provided.
- f. In case of natural guardian a document evidencing the relationship if the same is not available as part of the documents submitted as per point. i iv above.
- 6. Permanent Account Number (PAN)
 - SEBI has made it mandatory for all applicants (in the case of application in joint names,each of the applicants) to mention his/her permanent account number (PAN) irrespective of the amount of purchase. *Where the applicant is a minor, and does not possess his / her own PAN, he / she shall quote the PAN of his/ her father or mother or the guardian, signing on behalf of the minor, as the case may be. In order to verify that the PAN of the applicants (in case of application in joint names, each of the applicants) has been duly and correctly guoted therein, the applicants shall attach along with the purchase application, a photocopy of the PAN card duly self-certified along with the original PAN Card. The original PAN Card will be returned immediately across the counter after verification *includes fresh/ additional purchase, Systematic Investment. Micro investment (including lumpsum & Micro SIP) & Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission however they are required to mandatorily submit PAN Exempt KYC Reference No (PEKRN) to Indiabulls Mutual Fund, Applications not complying with the above requirement may not be accepted/ processed. Additionally, in the event of any Application Form being subsequently rejected for mismatch / non-verification of applicant's PAN details with the details on the website of the Income Tax Department, the investment transaction will be cancelled and the amount may be redeemed at the applicable NAV, subject to payment of exit load, if any. Please contact any of the Investor Service Centres/ Karvy/ Distributors or visit our website www.indiabullamc.com for further details.

7. Know Your Client (KYC)

In accordance with the requirement under the Prevention of Money Laundering Act 2002, (PMLA) the Rules issued there under and the guidelines and circulars on Anti Money Laundering issued by SEBI, (collectively "AML Regulations"), mutual funds are required to formulate and implement Client Indentification Programme to verify and maintain the record of identity and address (es) of investors. This is commonly referred to as 'Know Your Client' guidelines (KYC).

SEBI has vide its various circulars, viz.MIRSD/SE/Cir-21/2011 dated October 5, 2011, MIRSD/Cir-26/2011 dated December 23, 2011 and the SEBI (KYC Registration Agency) Regulations 2011, introduced a common KYC across market intermediaries. With effect from January 01,2012("Effective Date"), SEBI has introduces a common KYC Application Form for all the SEBI registered intermediaries viz. Mutual Funds, Portfolio Managers, Depository Participants, Stock Brokers, Venture Capital Funds, Collective Investment Schemes, etc.

KYC requirements for new / Prospective Investors:

New/ Prospective Investors are requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are available on the websites www.indiabullsamc.com/www.amfiindia.com. The Mutual Fund shall perform the initial KYC of its new investors and may also undertake enhanced KYC measures commensurate with the risk profile of its investors in line with the aforesaid circulars / Prevention of Money Laundering Act, 2002, and circulars thereto.

It is mandatory for intermediaries including mutual funds to carry out In-Person Verification (IPV) of its new investors from the Effective Date. The IPV carried out by any SEBI registered intermediarycan be relied upon by the Mutual Fund. The AMC and National Institute of Securities Markets (NISM)/Association of Mutual Funds in India (AMFI) certified distributors who are Know Your Distributor (KYD) compliant are authorised to undertake the IPV for Mutual Fund investors.

For further details, please refer to the Section on "Prevention of Money Laundering and Know Your Client ("KYC") requirements" in the Statement of Additional Information (SAI).

- Transaction Charges (applicable for both existing and new investors) In accordance with SEBI Circular No. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011 the following are the terms and conditions relating to Transaction Charges:
- a. The Distributor (who has opted in based on the type of product) would be allowed to charge the Mutual Fund Investor a Transaction Charge where the amount of investment is Rs. 10,000/-and above per subscription.
- For existing investors in a Mutual Fund, the Transaction Charge allowed will be Rs. 100/- per subscription of Rs. 10,000/- and above.
 For a first-time investor in a Mutual Fund, the Transaction Charge allowed will be Rs. 150/- per subscription of Rs. 10,000/- and above.
- c. In case of Systematic Investment Plans (SIPs), the Transaction Charge will be applicable only if the total commitment through SIPs at the time of starting the SIP amounts to Rs.10,000/- and above. In such cases, the Transaction Charge will be recovered by the AMC in 3 instalments during the tenure of the SIP and paid to the distributor. This is applicable only in case of open ended schemes which offer the facility of SIP.
- d. The Transaction Charge, where applicable based on the above criteria, will be deducted by the AMC from the subscription amount remitted by the Investor and paid to the distributor; and the balance (net) amount will be invested in the Scheme. Thus units will be allotted against the net investment.
- e. No Transaction charges shall be levied:
 - I. Where the investor purchases the Units directly from the Mutual Fund.
 - ii. Where the amount of investment is below Rs. 10,000/- per subscription.
 - iii. On transactions other than purchases/ subscriptions relating to new inflows, Switch-in / Transfer / Transmission of units/Dividend reinvestment Units will not be considered as subscription for the purpose of levying the transaction charge.
- f. The statement of account shall clearly state that the net investment as gross subscription less transaction charge and give the number of units allotted against the net investment. The transaction charges are in addition to the existing system of commission permissible to the Distributors. The transaction charges will be compliant with SEBI Circular, dated Aug 22, 2011.

III. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIN):

SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor.

Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIN is correctly filled up in the Application Form. However, if

your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect, as given in the Form

IV. DEMAT/NON-DEMAT MODE:

- 1. If you wish to invest in the scheme through Demat you need to have a beneficiary account with a Depositary Participant (DP) of the NSDL/CDSL and specify the same in this Application Form. You must ensure that the sequence of names with other details like address, PAN, etc mentioned under Demat details should match with DP records. Only those applications where the details are matched with the depository data will be treated as valid application. If the details mentioned in the application are incomplete /incorrect, or does not match with the depository data, the applicant shall be treated as invalid and shall be liable to be rejected and would be allotted in Physical form. Demat option will not be applicable for the applications doing with SIP option. Please attach Client Master List along with application form.
- The options viz. Daily Dividend, Weekly Dividend and Fortnightly Dividend and the facilities viz. Switch in and out, Systematic Withdrawal Plan (SWP)/ Systematic Transfer Plan (STP), if applicable under the Scheme, are currently NOT available in the dematerialised mode.
- In case of those unit holders, who hold units in demat form, the bank mandate available with the respective DP will be treated as the valid bank mandate for the purpose of pay-in at the time of subscription or purchase/ pay-out at the time of maturity or at the time of any corporate action.
- 4. The investor who holds units in the demat mode is required to place an order for directly with the DP.
- It may also be noted that units in the demat mode shall only be credited in the DP account on the basis of realization of funds.
- 6. If the Unit holder desires to convert the Units in a dematerialised form at a later date, the unit holder need to contact the DP for detailed procedure.
- For the detailed procedure and other provisions on holding units in the demat mode, investor may refer to the SID/SAI/KIM and addendums thereto.

V. BANK DETAILS:

It is mandatory for investors to mention bank account details on the form as per directives issued by SEBI. Applications without this information are liable to be rejected. The Mutual Fund / AMC reserve the right to hold redemption proceeds in case requisite bank details are not submitted.

Option to register multiple bank accounts

The AMC / Mutual Fund has also provided a facility to investors to register multiple bank accounts. By registering multiple bank accounts, investors can use any of their registered bank accounts to receive redemption / dividend proceeds. Any request for a change in bank mandate requires 10 days for validation and verification. Further, these account details will be used by the AMC / Mutual Fund / R&T for verification of instruments (like cheques/DDs/POs) received at the time of subscription / purchase applications to ensure that subscription payments are received only from one of the registered bank accounts. Payments from nonregistered bank accounts (called third party payments) will not be accepted (except where permitted as per SEBI regulations). Investors are requested to avail of this facility by filling in the application form for registration of multiple bank accounts available at any of our $\mathsf{ISCs}\,/\,\mathsf{OPAs}\,\mathsf{or}\,\mathsf{on}\,\mathsf{our}$ website www.indiabullsamc.com. Cheques submitted at the time of purchase should be from the beneficiary investors account or from an account mentioned in your Multiple Bank Accounts Registration form. Demand drafts submitted at the time of subscription should be accompanied by a banker's certificate clearly stating the investor's name and PAN as well as mentioning that the demand draft has been issued by debiting the investor's own bank account. Prefunded instruments issued by the bank against cash shall not be accepted for investments of ₹ 50,000 or more. This pre-funded instrument should also be accompanied by a certificate from the banker giving the investor's name, address and PAN

VI. INVESTMENT & PAYMENT DETAILS:

Payment should be made by crossed cheques / Demand Draft / payorder, favouring the scheme name and marked "Account Payee" payable locally in the city where the application is submitted. Post dated or outstation cheques/draft are not permitted. Application received with outstation cheque/demand draft shall be rejected. With a view to avoid fraudulent practices, it is recommended that investors may also make the payment instrument (cheque, demand draft, pay order, etc.) favoring either of the following: (a) "XYZ Scheme A/c Permanent Account Number" (b) "XYZ Scheme A/c First Investor Name" (Investors are urged to follow the order of preference in making the payment instrument). If the Scheme name on the application form and on the cheque is different, then IBMF will endeavor to reject the application however in case the application gets accepted then the same will be processed as per the scheme name mentioned on the payment.

Investment /subscription made through Third party Cheque(s) will not be accepted. Third party cheque (s) for this purpose are defined as: (i) Investment made through instruments issued from an account other than that of the beneficiary investor, ii) in case the investment is made from a joint bank account, the first holder of the mutual fund folio is not one of the joint holders of the bank account from which payment is made. Please Refer Third Party Payment Declaration form for more details. In accordance with RBI Circular No.DPSS.CO.CHD.NO.399/04.07.05/2012-13 dated September 3,2012,with effect from 01.01.2013 banks will not be accepting Non CTS (Cheque Truncation System) 2010 Standard cheques. However the extension has being given upto

31" July 2013 Hence, in order to comply with the same, Investments with Non – CTS 2010 Standard cheques will not be accepted from 1st August 2013 onwards as the same will not be accepted by the clearing house/ payee banker w.e.f 1st August 2013 unless any extension is granted by RBI in the meantime.We, therefore, request you to kindly visit your Bank and collect new CTS-2010 Standard cheques for a smooth banking activity.

VII. DIRECT PLAN:

Investors subscribing under Direct Plan of a Scheme will have to indicate "Direct Plan" against the Scheme name in the application form for e.g. "Indiabulls Liquid Fund – Direct Plan". Investors should also indicate "Direct" in the ARN column of the application form. However, in case Distributor code is mentioned in the application form, but "Direct Plan" is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan. Further, where application is received for Existing Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.

VIII. NOMINATION FOR UNITS HELD IN PHYSICAL MODE:

- Nomination facility is available to individuals applying on their own behalf i.e. singly or jointly. Nomination is mandatory for folios opened by individuals with single mode of holding.
- Multiple nominee (Resident, NRI, Including Minor) can be nominated. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- Nomination of an NRI is subject to requirements, if any, prescribed by RBI and SEBI from time to time.
- Nomination can be changed at any time during the currency of the investment by the same persons who have made the nominations.
 Unitholder being either parent or lawful guardian on behalf of a minor and an eligible
- Unitholder being either parent or lawful guardian on behalf of a minor and an eligible institution, societies, bodies corporate, HUF, AoPs, Bols and partnership firms shall have no right to make any nomination.
- The nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, karta of HUF or power of attorney holder.
- 7. On registration of nomination a suitable endorsement shall be made on the statement of account or in the form of a separate letter.
- The facility of nomination is available to a unitholder under SEBI (MFs) Regulations and guidelines issued by SEBI from time to time.
- Nomination in respect of units stands rescinded, upon the transfer of units.
 On cancellation of nominations, the nomination shall stand rescinded and IBMF shall
- not be under any obligation to transfer the units in favour of the nominee
 Where a nomination in respect of any unit has been made, the units shall, on the death of the unitholder(s), vest in the nominee and on compliance of necessary formalities the nominee shall be issued a SOA (Statement of Account) in respect of the units so vested subject to any charge or encumbrance over the said units. Nominee would be able to hold the units provided he is otherwise eligible to become a unitholder of the scheme.
- 12. Where there are two or more unitholders one of whom has expired the title to units shall vest in the surviving unitholder(s) who may retain the nomination or change or cancel the same. However, non expression of desire to change or substitute the nominee by surviving unitholder shall be deemed to be the consent of surviving unitholder for the existing nomination.
- 13. Transmission made by the AMC as aforesaid, shall be a full discharge to the AMC from all liabilities in respect of the said units. For further details please refer SAI.
- 14. Where a folio has joint holders, joint holders should sign the request for Nomination/ cancellation of nomination, as per the mode of holding in the folio.
- 15. Power of Attorney (POA) holder cannot sign the Nomination form.
- 16. Nomination is not allowed in a folio held on behalf of a minor.
- 17. Nomination is maintained at the folio level and not at the scheme level. If the investor fills in a fresh application form with new Nominee Name than the same shall supercede the existing nominee details in the folio.

IX. E-MAIL COMMUNICATION:

Communication for the investors. If the investor(s) has/have provided his/their email address in the application form or any subsequent communication in any of the folio belonging to the investor(s), IBMF / Asset Management Company reserves the right to use Electronic Mail (email) as a default mode to send various communication which include account statements for transactions done by the investor(s).

The investor(s) may request for a physical account statement by writing or calling IBMF's Investor Service Center/ Registrar & Transfer Agent. In case of specific request received from the investor(s), IBMF shall endeavor to provide the account statement to the investor(s) within 5 working days from the receipt of such request.

X. SEBI CIRCULAR OF JUNE 30, 2009 ON REMOVAL OF ENTRY LOAD

In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009, no entry load will be charged by the Scheme to the investor effective August 1, 2009. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor

XI. BENEFITS

- 1. Mobile No.: Get alerts on the move for Purchase, Dividend or Redemption, SIP Debit alert after it reflects in your account or two days prior to SIP debit
- 2. E-Mail ID: The Account Statement will be e-mailed instantly to your registered email address as and when you transact with Indiabulls Mutual Fund
- IFSC/Micro Code: With Indiabulls E-dividend you can have your dividend credited in your account through the Electronic Clearing Service (ECS) / National Electronic Fund Transfer (NEFT).

XII. DECLARATION AND SIGNATURES(S)

- Signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the Constitution of India.
- Thumb impressions (left hand for males and right hand for female) and signatures in languages not specified in the Eighth Schedule of the Constitution of India should be attested by a Magistrate or a Notary public or a Special Executive Magistrate under his/her official seal.
- Applications by minors should be signed by their guardians.
 In case of an HUF, the Karta should sign on behalf of the HUF.
- If the application form is signed by a Power of Attorney (POA) holder, the form should be accompanied by a notarised photocopy of the POA. Alternatively, the original POA may be submitted with the application, which will be returned after verification. If the POA is not submitted with the application, the application form will be rejected.
- In case of non-individual investors, a list of authorised signatories should be submitted along with application form or in case of any change in the authorised signatories list; the AMC/ Registrar must be notified within 7 days.

XII. GUIDELINE FOR SYSTEMATIC INVESTMENT PLAN (SIP)

XIII) MICRO SIP

Micro SIP are defined as applications for SIPs upto Rs. 50,000 per year per investor, by individuals (including NRIs but not PIOs), Minors and Sole proprietary firms and excluding HUFs and other categories.

- 1. All other applications except Micro SIP are defined as Non Micro SIPs.
- 2. In accordance with AMFI circular dated June 30, 2009, in case of micro schemes such as SIPs upto ₹ 50,000 per year per investor shall be exempted from the requirement of PAN. The Mutual Fund shall accept any of other standard specified identification instruments like Voter ID card, Government/Defense ID card, Card of Reputed employer, Driving License, Passport in lieu of PAN with effect from August 1, 2009 along with KYC form for Micro SIP investors.
- This exemption will be applicable ONLY to investments by individuals (including NRIs but not PIOs), Minors and Sole proprietary firms. HUFs and other categories will not be eligible for Micro SIPs.
- 4. The exemption is applicable to joint holders also.
- Any one of the following PHOTO IDENTIFICATION documents can be submitted along with Micro SIP applications as proof of identification in lieu of PAN.
 a. Voter Identity Card
- b. Driving License
- c. Government / Defense identification card
- d. Passport
- e. Photo Ration Card
- f. Photo Debit Card (Credit card not included because it may not be backed up by a bank account).
- g. Employee ID cards issued by companies registered with Registrar of Companies
- Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament. (Designated Authorities)
 Description of Cheduled Commercial / State / District Commercial
- i. ID card issued to employees of Scheduled Commercial / State / District Cooperative Banks. (Specified Banks)
- j. Senior Citizen / Freedom Fighter ID card issued by Government.
- k. Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI. (Educational Institutions)
- I. Permanent Retirement Account No (PRAN) card isssued to New Pension System (NPS) subscribers by CRA (NSDL).

m. Any other photo ID card issued by Central Government / State Governments /Municipal authorities / Government organizations like ESIC / EPFO. (Certain Government Authorities) Investors may please note that pursuant to the AMFI circular letter No. 35/MEM-COR dated August 16, 2010, with effect from January 01, 2011 KYC will be mandatory, irrespective of the amount of investment, for all Individual investors.

XIV) FOREIGN ACCOUNT TAX COMPLIANCE PROVISIONS (FATCA)

As per the requirements of the Securities and Exchanges Commission ('SEC') of USA, person falling within the definition of the term 'US Person' under the Securities Act of 1933 of U.S.A ('Act') and corporations or other entities organized under the law of the U.S. are not permitted to make investments in securities not registered under the Act [The term 'US Person' means any person who is a U.S. person within the meaning of Regulation S under the Act or as defined by the U.S. Commodity Futures Trading Commission or as per such further amended definitions, interpretations, legislation, rules etc as may be in force from time to time.

Further, as per the Canadian Securities Administrator (CSA), prior registration of a fund with CSA is mandatory before its marketing or selling to residents of Canada. The Schemes of Indiabulls Mutual Fund (IBMF) are presently not registered under the relevant laws, as applicable in the territorial jurisdiction of U.S. or in any provincial or territorial jurisdiction of Canada.

XV) ULTIMATE BENEFICIAL OWNERS(S) (UBO)

Pursuant to SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 on Anti Money Laundering Standards and Guidelines on identification of Beneficial Ownership issued by SEBI vide its Circular No. CIR/IMIRSD/2/2013 dated January 24, 2013, investors (ther than Individuals) are required to provide details of 'Ultimate Beneficial Owner(s) (UBO(s))'. In case the investor or owner of the controlling interest is a company listed on a stock exchange or is a majority owned subsidiary of such a company, the details of shareholders or beneficial owners are not required to be provided.Non-individual applicants/investors are mandated to provide the details on 'Ultimate Beneficial Owner(s) (UBO(s))' by filling up the declaration form for 'Ultimate Beneficial Ownership'.

Please contact the nearest Investor Service Centre (ISC) of Indiabulls Mutual Fund or visit our website www.indiabullsamc.com.

CHECKLIST - PLEASE ENSURE THAT:

- a) Your Application Form is complete in all respect, Name, Address & contact details are mentioned in full, signed by all applicants.
- b) Bank Account Details are entered completely and correctly. Permanent Account Number (PAN) of all the applicants are mandatory.
- c) For PAN and KYC please Refer instruction no. II 6 & 7
- d) Appropriate Scheme Name, Plan & Option is mentioned clearly.
- e) The Cheque / DD is drawn in favour of "Indiabulls Monthly Income Plan" dated and duly signed.
- f) Name of the Investor & Application Number is mentioned on the reverse of the Cheque / DD.
- g) Documents as listed alongside are submitted along with the Application (as applicable to your specific case).

CHECKLIST - DOCUMENTS TO SUBMITTED

	Checkels	- 5000		JODMITIC	0					
Submitter Document Please	s Documents	Minor	Individuals	Companies	Trusts	Societies	Partnership Firms	Flls	NRIs	Investment through POA
	Resolution / Authorization to invest			√	✓	1	1	1		
	List of Authorised Signatories with Specimen Signature(s)			1	1	1	1	✓		√
	Memorandum & Articles of Association			✓						
	Trust Deed				1					
	Bye-Laws					1				
	Partnership Deeds						√			
	Notarised Power of Attorney									✓
	A/c Debit Certificate (If payment is made by DD from NRE/FCNR / A/c)							1	 ✓ 	
	PAN Proof (not required for existing investors)	√*	1	√	 ✓ 	1	√	√	1	✓
	KYC acknowledgment letter (required if not already submitted)	√ *	1	√	 ✓ 	√	√	1	1	- ✓
	Copy of Cancelled Cheque	 ✓ 	✓	√	 ✓ 	1	1	1	 ✓ 	✓
	Any one of the documents, 1) Birth Certificate 2) School Leaving Certificate/ Marksheet of Higher Secondary Board of respective state, ICSE, CBSE etc 3) Passport of Minor	1								

*Incase of minor applicant, the PAN proof and KYC Acknowledgment of his Guardian shall be submitted.

Indiabulls

Details of ultimate beneficial owner including additional FATCA & CRS information

Name of the entity																												
Type of address given at k	(RA	V R	eside	ntial	or Bı	usines	s 🗸		R	Resid	denti	al				I	Busir	ness		1			Reg	iste	red	Offic	е	
	4	"Addres	s of ta	ax resi	idenc	e would	d be t	aken	as a	vailai	ble in	ı KR	A dat	abas	e. In	case	of an	y cha	nge, p	leas	e apj	proac	h KF	RA &	notify	y the	chan	ges"
Customer ID / Folio Numb	er																											
PAN														Date	e of	Inco	rpor	ation	D	D	/	Μ	Μ	/	Y	Y	Y	Y
City of Incorporation																												
Country of Incorporation																												
Equity Constitution Type Please tick as appropriate		Partne Trust	ership		idato	HU or	, L						ompa ship	ŕ.	Ar			imited licial			, [Oth	Soci ers (1	cify		OP/	BOI

Please tick the applicable tax resident declaration

1. Is "Entity" a tax resident of any country other than India	′es		(If yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID number below.)
---	-----	--	--

Country	Tax Identification Number%	Identification Type (TIN or Other, please specify)

^{*}In case Tax Identification Number is not available, kindly provide its functional equivalent^s.

In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here

FATCA & CRS Declaration

(Please consult your professional tax advisor for further guidance on FATCA & CRS classification

P/	Ą	R1	ΓΑ	(to be filled b	y Financial	Institutions of	r Direct I	Reporting	NFEs)
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1.	We are a,	GIIN	
	Financial institution ⁶ or Direct reporting NFE ⁷ (please tick as appropriate) GIIN not available (please tick as applicate) If the entity is a financial institution,	and indicate your spons Name of sponsoring en able) Applied for Not required to a	
PA	RT B (Please fill any one as appropriate	"to be filled by NFEs other	r than Direct Reporting NFEs")
1.	Is the Entity a <i>publicly traded compar</i> , whose shares are regularly traded on securities market)		Yes // (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of stock exchange

2.	Is the Entity a <i>related entity</i> ² of a publicly traded company (a company whose shares are regularly traded on an established securities market)	Yes (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly traded) Name of listed company Nature of relation: Subsidiary of the Listed Company or Controlled by a Listed Company Name of stock exchange
3.	Is the Entity an <i>active</i> ³ NFE	Yes (If yes, please fill UBO declaration in the next section.) Nature of Business
4.	Is the Entity a <i>passive</i> ^⁴ NFE	Yes // (If yes, please fill UBO declaration in the next section.) Nature of Business

1Refer 2a of Part D

²Refer 2b of Part D ³Refer 2c of Part D

D 4Refer 3(ii) of Part D

er 3(ii) of Part D ⁶Refer 1 of Part D

rt D 7Refer 3(vii) of Part D

¹⁰Refer 1A of Part D

	UBO Declaration						
Category (Please tick applicable category):	Unlisted Company / Partners	hip Firm Limited Liability Partnership Company					
Unincorporated association / body of individuals V Public Charitable Trust V Religious Trust V Private Trust							
Others (please specify)						
Please list below the details of controlling person(s), concontrolling person(s). Owner-documented FFI's ⁵ should provide FFI Owner H		sidency / citizenship and ALL Tax Identification Numbers for EACH					
Name - Beneficial owner / Controlling person Country - Tax Residency* Tax ID No Or functional equivalent for each country*	Tax ID Type- TIN or Other, please specifyBeneficial Interest- in percentageType Code ¹¹ - of Controlling person	Address - Include State, Country, PIN / ZIP Code & Contact Details Address Type					
1. Name	Beneficial Interest	Address					
Country	Type Code						
Tax ID No. [%]	Address Type Residence Business	ZIP State					
Тах ID Туре	Registered Office	Country					
2. Name	Beneficial Interest	Address					
Country	Type Code						
Tax ID No. [%]		ZIP State					
Тах ID Туре	Registered Office	Country					
3. Name		Address					
Country	Type Code						
Tax ID No. [%]	Registered Office	ZIP State					
Тах ID Туре		Country					
# If passive NFE, please provide below additional of	letails. (F	Please attach additional sheets if necessary)					
PAN / Any other Identification Number (PAN, Aadhar, Passport, Election ID, Govt. ID, Driving Licence NREGA Job Card, Others) City of Birth - Country of Birth	Occupation Type - Service, Business, Nationality Father's Name - Mandatory if PAN is r	Gender - Male, Female, Other					
1. PAN	Occupation Type	DOB D D / M M / Y Y Y					
City of Birth	Nationality	Gender: Male Female					
Country of Birth	Father's Name	Others					
2. PAN	Occupation Type						
City of Birth	Nationality	Gender: Male Female					
Country of Birth	Father's Name	Others					
3. PAN	Occupation Type	DOB D D / M M / Y Y Y					
City of Birth	Nationality	Gender: Male Female					
Country of Birth	Father's Name	Others					
# Additional details to be filled by controlling persons wi * To include US, where controlling person is a US citize *In case Tax Identification Number is not available, kind	n or green card holder	Green Card in any country other than India:					
^₄ Refer 3(iii)	of Part D ^₅ Refer 3(vi) of Part D ¹¹ Ref	er 3(iv) (A) of Part D					

FATCA - CRS Terms and Conditions

FAICA - CRS Terms and Conditions									
The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information one request for information if you have multiple relationships with (<i>insert FI's name</i>) or its group entities. Therefore, it is important that you respond to our request, even if you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.									
attach this to the form.	·····, ····	······································							
Certification I/We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me / us on this Form is true, correct, and complete. I/We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.									
Name									
Designation									
Signature	Signature	Signature	Place: Date: / /						

PART D - FATCA - Instructions & Definitions

- 1 Financial Institution (FI) The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined
- Depository institution: is an entity that accepts deposits in the ordinary course of banking or similar business.
- Custodial institution is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where it's income attributale to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of-
- (i) The three financial years preceding the year in which determination is made; or (ii) The period during which the entity has been in existence, whichever is less.
- Investment entity is any entity:
- That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
- Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; (i) transferable securities; or commodity futures trading; or
- (ii) Individual and collective portfolio management; or
- (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons; or
- The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of

- (i) The three-year period ending on 31 March of the year preceding the year in which the determination is made; or
- (ii) The period during which the entity has been in existence.
- The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 refer point 2c.)
- Specified Insurance Company: Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with . respect to, a Cash Value Insurance Contract or an Annuity Contract.

 Fl no 	t required to apply for GIIN:							
A. Reasons why FI not required to apply for GIIN:								
Code	Sub-category							
01	Governmental Entity, International Organization or Central Bank							
02	Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank							
03	Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund							
04	Entity is an Indian FI solely because it is an investment entity							
05	Qualified credit card issuer							
06	Investment Advisors, Investment Managers& Executing Brokers							
07	Exempt collective investment vehicle							
08	Trustee of an Indian Trust							
09	FI with a local client base							
10	Non-registering local banks							
11	FFI with only Low-Value Accounts							
12	Sponsored investment entity and controlled foreign corporation							
13	Sponsored, Closely Held Investment Vehicle							
14	Owner Documented FFI							

2. Non-financial entity (NFE) - Foreign entity that is not a financial institution

Types of NFEs that are regarded as excluded NFE are:

Publicly traded company (listed company)

A company is publicly traded if its stock are regularly traded on one or more established securities markets (Established securities market means an exchange that is oficially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)

- Related entity of a publicly traded company b.
- The NFE is a related entity of an entity of which is regularly traded on an established securities market;
- Active NFE : (is any one of the following): C.

Code Sub-category 01

Less than 50 percent of the NFE's gross income for the preceding financial yearis passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income; 02 The NFE is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing, 03 Substantially all of the activities of the NFEconsist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes. 04 The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE. 05 The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution; The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or 06 hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution: 07 Any NFE that fulfills all of the following requirements: It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare; It is exempt from income tax in India: It has no shareholders or members who have a proprietary or beneficial interest in its income or assets; The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the NFE has purchased; and The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof. Explanation.- For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:-(i) an Investor Protection Fund referred to in clause (23EA); (ii) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and (iii) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act

3. Other definitions

Types of NFEs that are regarded as excluded NFE are:

Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control. For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

Passive NFF (ii)

The term passive NFE means

- any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company; or an investment entity defined in clause (b) of these instructions
- (iii)
- a withholding foreign partnership or withholding foreign trust; (Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

(iii) Passive income

The term passive income includes income by way of :

- Dividends,
- (2)Interest
- (3) Income equivalent to interest,
- (4)Rents and royalties, other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the NFE
- (5) Annuities

(6)

- The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets, (7)
- The excess of foreign currency gains over foreign currency losses (8) Net income from swaps
- (10) Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

Controlling persons (iv)

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005. In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of alegalar rangement other thanatrust, controlling person means persons in equivalent or similar positions.

Pursuant to guidelines on identification of Beneficial Ownership issued vide SEBI circular no. CIR/MIRSD/2/2013 dated January 24, 2013, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to: i. More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;

More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or

More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals. iii

Where the client is a trust, the financial institution shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership. Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

(A) Controlling Person Type

	(7)								
С	Code	Sub-category	Code	Sub-category					
	01	01 CP of legal person-ownership		CP of legal person-other means					
	03 CP of legal person-senior managing official		04	CP of legal arrangement-trust-settlor					
	05 CP of legal arrangement—trust-trustee		06	CP of legal arrangement—trust-protector					
	07 CP of legal arrangement—trust-beneficiary		08	CP of legal arrangement—trust-other					
	09	CP of legal arrangement—Other-settlor equivalent	10	CP of legal arrangement—Other-trustee equivalent					
	11 CP of legal arrangement—Other-protector equivalent		12	CP of legal arrangement—Other-beneficiary equivalent					
	13 CP of legal arrangement—Other-other equivalent			Unknown					

Specified U.S. person - AU.S person other than the following: (v)

a corporation the stock of which is regularly traded on one or more established securities markets;

any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described (ii) in clause (I):

(iii) the United States or any wholly owned agency or instrumentality thereof; (iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;

- any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;

(vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;

- (viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64); any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (ix)
- any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (x) (xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State; (xii) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or (xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(vi) Owner documented FFI

- An FFI meets the following requirements:
- (a) The FFI is an FFI solely because it is an investment entity;
 (b) The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company;
 (c) The FFI does not maintain a financial account for any non participating FFI;

The FFI browides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 IGA, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other (d) than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE

(wii) Direct

	(vii) Direct reporting NFE A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS.						
(viii) Ex	(viii) Exemption code for U.S. persons						
Code	Sub-category						
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)						
В	The United States or any of its agencies or instrumentalities						
С	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities						
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(I)						
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(I)						
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state						
G	A real estate investment trust						
Н	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940						
I.	A common trust fund as defined in section 584(a)						
J	A bank as defined in section 581						
K	A broker						
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)						
М	A tax exempt trust under a section 403(b) plan or section 457(g) plan						

Indiabulls

FATCA & CRS Annexure for Individual Accounts

(Including Sole Proprietor) (Refer to instructions) (Please consult your professional tax advisor for further guidance on your tax residency, if required)

First / Sole Applicant / Guardian Name Gender PAN **Occupation Type Father's Name Customer ID / Folio Number** Address of tax residence would be taken as available in KRA database. In case of any change please approach KRA & notify the changes Type of address given at KRA Residential or Business Residential Business **Registered Office** Permissible documents are PAN Card Govt. ID Card Passport Election ID Card • UIDAI Card NREGA Job Card **Driving License** Others Date of Birth **Place of Birth Country of Birth** Nationality

Are you a tax resident of any country other than India?

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below:

Country#	Tax Identification Number%	Identification Type (TIN or Other, please specify)				

No

Yes

[#]To also include USA, where the individual is a citizen / green card holder of The USA [%]In case Tax Identification Number is not available, kindly provide its functional equivalent \$

Second Applicant

Name																			
Gender M F O PAN									Oc	cupat	ion Typ	S	ervice)	Busi	ness	Ot	thers	
Father's Name																			
Customer ID / Folio Number																			
Address of tax residence would be ta	ken as availa	ble in KRA	database	e. In cas	se of a	ny chan	ge ple	ase ap	oroach	KRA &	notify the	chan	ges						
Type of address given at KRA	🗸 Reside	ential or E	Business	s	F	Resider	ntial		\checkmark	E	Business		1		Re	egister	ed O	ffice	
Permissible documents are	 Passport 	 Election 	n ID Card	• PA	N Caro	d G	ovt. ID	Card	Driv	ing Lic	ense 🔹	UIDAI	Card	• N	NREGA	Job C	ard	Other	rs
Date of Birth D D / M	M/Y	YYY	Y	F	Place	of Birt	h												
Country of Birth										Na	tionality	,							

Are you a tax resident of any country other than India?

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below:

Country#	Tax Identification Number%	Identification Type (TIN or Other, please specify)

No

Yes

[#]To also include USA, where the individual is a citizen / green card holder of The USA

[%]In case Tax Identification Number is not available, kindly provide its functional equivalent \$

Third Applicant

Name				
Gender M F O PAN			Occupation Type Servic	e Business Others
Father's Name				
Customer ID / Folio Number				
Address of tax residence would be tak	ken as available in KRA database.	In case of any change please appr	oach KRA & notify the changes	
Type of address given at KRA	Residential or Business	Residential	Business	Registered Office
Permissible documents are	Passport Election ID Card	PAN Card Govt. ID Card	Driving License UIDAI Card	NREGA Job Card Others
Date of Birth D D / M M	M / Y Y Y Y	Place of Birth		
Date of Birth D / M Country of Birth		Place of Birth	Nationality	

Are you a tax resident of any country other than India?

If yes, please indicate all countries in which you are resident for tax purposes and the associated Tax ID Numbers below:

Country#	Tax Identification Number%	Identification Type (TIN or Other, please specify)

No

Yes

*To also include USA, where the individual is a citizen / green card holder of The USA

[%]In case Tax Identification Number is not available, kindly provide its functional equivalent \$

	Certification							
I / We have understood the information requirements of this Form (read along with the FATCA & CRS Instructions) and hereby confirm that the information provided by me/us on this Form is true, correct, and complete. I / We also confirm that I / We have read and understood the FATCA & CRS Terms and Conditions below and hereby accept the same.								
Signatures								
First / Sole Applicant / Guardian	Second Applicant	Third Applicant						
Date D D / M M / Y Y Y Y	Place							
Date D D / M M / Y Y Y Y	Place							

FATCA & CRS Terms & Conditions

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

FATCA & CRS Instructions

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

\$It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
U.S. place of birth	1. Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes;
	 Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below);AND
	3. Any one of the following documents:
	Certified Copy of "Certificate of Loss of Nationality
	or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship;
	or Reason the customer did not obtain U.S. citizenship at birth
Residence/mailing address in a country other than India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and
	2. Documentary evidence (refer list below)
Telephone number in a country other than India	If no Indian telephone number is provided
	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and
	2. Documentary evidence (refer list below)
	If Indian telephone number is provided along with a foreign country telephone number
	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR
	2. Documentary evidence (refer list below)
Telephone number in a country other than India	1. Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and
	2. Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*

2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

* Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.

AMC contact address & call center details

Bangalore: Plot No. 87/6, Richmond Road, Richmond Town, Bangalore – 560 025
Chennai: Apex Chambers, 3rd Floor, No. 20, Sir Theyagaraya Road (Pondy Bazar), T Nagar, Chennai - 600 017
Kolkatta: 11, Ground Floor, Constantia Complex, Dr. U.N. Brahmachari Street, Kolkatta – 700 017
New Delhi: M Block, 61- 62, 1st Floor, Connaught Place, New Delhi – 110 001
Mumbai: Indiabulls Finance Centre Tower-1, 11th Floor, Senapati Bapat Marg, Elphinstone (West), Mumbai – 400 013
Pune: Off. No. 002, Ground Floor, Zenith Complex, K.M. Gandhi Path, Shivajinagar, Pune - 411 005
Hyderabad : 5th Floor, Venkat Plaza, Panjagutta, Hyderabad – 500082

Toll Free Number : 1800 2666 002 Email : customercare@indiabullsamc.com Website : www.indiabullsamc.com



You can now invest in Indiabulls Mutual Fund schemes at a click of a button.

With our simple and secure online process, you can start investing in our funds 24 hours a day, 7 days a week, 365 days a year at your convenience through our website www.indiabullsamc.com and more so we have made the process as paperless as possible.

FEATURES AVAILABLE:

- Purchase Switch & Redeem
- View Portfolio Summary
- View Transactions
- View Account details
- Track Your Portfolio
- Link/Delink Folio
- Request for Account Statement
- Change Pin/Password

COLLECTION BANKER (DURING NEW FUND OFFER PERIOD)

HDFC Bank Ltd, Maneekji Wadia Bldg., Ground Floor, Nanik Motwani Marg, Fort, Mumbai - 400 001.

LIST OF COLLECTION CENTRES (DURING NEW FUND OFFER PERIOD)

AMC Investor Service Centres

• Bangalore: Plot No.87/6, Richmond Road, Richmond Town, Bangalore - 560025.

• Kolkatta: 11, Ground Floor, Constantia Complex, Dr. U.N. Brahmachari Street, Kolkatta – 700017

• Mumbai: Indiabulls Finance Centre Tower - 1, 11th Floor, Elphinstone Mill Compound, Senapati Bapat Marg, Elphinstone (W), Mumbai – 400 013.

• New Delhi: M Block, 61- 62, 1st Floor, Connaught Place, New Delhi – 110001.

• Pune: Off. No. 002, Ground Floor, Zenith Complex, K.M. Gandhi Path, Shivajinagar, Pune - 411 005.

• Chennai: 20, Apex Chambers, 3rd Floor, Sir Thyagaraya Road, T. Nagar, Chennai - 600017.

Additional Contact Centre of AMC

• Ahmedabad: Radhika House, 1st Floor, Opp. Mayor Bungalow, Near law Garden, Cross Road, Netaji Marg, Mithakhali, Ellisbridge, Ahmedabad- 380006.

KARVY Investors Service Centres

• Ahmedabad: 201, Shail Building, Opp. Madhusudhan House, Near Navrangpura Telephone Exchange; Navrangpura, Ahmedabad - 380 006 • Alwar: 101, 1st Floor, Saurabh Towers, Opp. UTI, Road No # 2, Bhagat Singh Circle, Alwar-301001. • Amritsar: 72-A, Taylor'S Road, Aga Heritage Gandhi Ground, Amritsar - 143001 • Asansol: 114/71 G T Road, Near Sony Centre, Bhanga Pachil, Asansol - 713303 • Bangalore: #59, Skanda Building, Puttanna Road, Basavanagudi, Bangalore - 560004. • Baroda: SB-4&5, Mangaldeep Complex, Opposite Mesonic Hall, Productivity Road, Alkapuri, Vadodara - 390007. • Bhilai: Shop No 1, First Floor, Plot No -1, Commercial Complex, Nehru Nagar - East, Bhilai -490020. • Bhubaneswar: A/181, Back Side of Shivam Honda Show Room. Saheed Nagar, Bhubaneswar - 751007. • Bokaro: B-1, 1st Floor, City Centre, Sector- 4, Near Sona Chandi Jewelers, Bokaro - 827004. • Chandigarh: Sco-371-372; First Floor; Above Hdfc Bank; Sector 35B, Chandigarh - 160 022 • Chennai: Flat No F11, First Floor, Akshya Plaza, (Erstwhile Harris Road), Opp Chief City Metropolitan Court, # 108, Adhithanar Salai, Egmore, Chennai - 600002. • Coimbatore: 1057/1058, Jaya Enclave, Avanashi Road, Coimbatore- 641018. • Cuttack: PO - Buxi Bazar, Cuttack, Opp Dargha Bazar Police station, Dargha Bazar, Cuttack - 753001. • Dehradun: Kaulagarh Road, Near Sirmaur Marg, Above Reliance Webworld, Dehradun - 248 001 • Dhanbad: 208 New Market 2nd Floor, Bank More, Dhanbad - 826001. • Faridabad: A-2B, 1st Floor, Nehru Ground, Neelam Bata Road, Nit, Faridabad - 121 001 • Gaya: 1st Floor, Lal Bhavan, Tower Chowk, Near Kiran Cinema, Gaya - 823001. • Ghaziabad: 1st Floor, C-7, Lohia Nagar, Ghaziabad - 201 001 • Goa: City Business Centre, Coelho Pereira Building, Room Nos.18,19 & 20, Dada Vaidya Road, Panjim - 403001. • Gorakhpur: Above V.I.P. House, Ajdacent A.D. Girls Inter College, Bank Road, Gorakpur - 273 001 • Gurgaon: Shop No. 18, Ground Floor, Sector - 14, Opp. AKD Tower, Near Huda Office, Gurgaon - 122001 • Guwahati: 54 Sagarika Bhawan, R G Baruah Road, (AIDC Bus Stop), Guwahati 781024 • Hyderabad: 8-2-596 Karvy Plaza, Avenue 4, Street No.1, Banjara Hills, Hyderabad - 500 034 • Indore: 2nd floor, 203-205 Balaji Corporates, Above ICICI bank, 19/1 New Palasia, Indore - 452001 • Jaipur: S-16 A, 3rd Floor, Land Mark, Opposite Jaipur Club, Mahavir Marg, C- Scheme, Jaipur -302 001 • Jalandhar: Lower Ground Floor, Office No: 3, Arora Prime Tower, Plot No:28, G T Road, Jalandhar -144 004 • Jamshedpur: 2nd floor, R R Square, SB Shop Area, Near Reliance Foot Print & Hotel - Bs Park Plaza, Main Road, Bistupur, Jamshedpur-831001. • Kanpur: 15/46, Opp: Muir Mills, Civil Lines, Kanpur - 208001 • Kolkata: 166A, Rashbehari Avenue, 2nd Floor, Near Adi Dhakerhwari Bastralaya, OPP-Fortis Hospital, Kolkata-700029. • Lucknow: Usha Sadan, 24, Prem Nagar, Ashok Marg, Lucknow - 226001 • Ludhiana: Sco-136, First Floor, Above Airtel Show Room, Feroze Gandhi Market, Ludhiana - 141001 • Mumbai: Office number: 01/04, 24/B, Raja Bahadur Compound, Ambalal Doshi Marg, Behind Bombay Stock Exchange, Fort, Mumbai - 400001. • Muzafarpur: 1st Floor, Uma Market, Thana Gumtimoti Jheel, Muzaffarpur - 842001. • Mysore: L - 350, Silver Tower, Clock Tower, Ashoka Road, Mysore - 570 001 • Nagpur: Plot No.2/1, House No. 102/1, Mangaldeep Apartment, Mata Mandir Road, Opp Khandelwal Jewellers, Dharampeth, Nagpur - 440 010. • Nasik: S-12, Second Floor, Suyojit Sankul, Sharanpur Road, Nasik - 422 002 • New Delhi: 305, 3rd Floor, New Delhi House, Bara Khamba Road, Connaught Place, New Delhi-110 001 • Noida: 307 Jaipuria Plaza; D 68 A, 2nd Floor, Opp Delhi Public School, Sector 26, Noida - 201301 • Patna: 3A, 3rd floor, Anand tower, Beside chankya cinema hall, Exhibition road, Patna - 800001. • Pune: Shop No. 16, 17 & 18, Ground Floor, Sreenath Plaza, Dyaneshwar Paduka Chowk, FC Road, Pune - 411004. • Ranchi: Commerce Towers, 3rd Floor, Room No. 307, Beside Mahabir Towers, Main Road, Ranchi - 834001. • Raipur: Room No. TF 31, 3rd floor, Millennium Plaza, Behind Indian Coffee House, G E Road, Raipur -492 001 • Siliguri: Nanak Complex, Sevoke Road, Siliguri - 734001. • Surat: G-6 Empire State Building, Near Parag House, Udhna Darwaja, Ring Road, Surat-395002 • Trichi: Sri krishna Arcade, 1st Floor, 60 Thennur High Road, Trichy - 620017. • Varanasi: D-64/132, KA 1st Floor, Anant Complex, Sigra, Varanasi - 221 010 • Visakhapatnam: 47-14-5/1 Eswar Paradise, Dwaraka Nagar; Main Road, Visakhapatnam - 530 016

SCSBs: Please visit the website www.sebi.gov.in for the list of SCSBs. You may also check with your bank for the ASBA facility.



Mutual Fund Investments are subject to market risks, read all scheme related documents carefully.