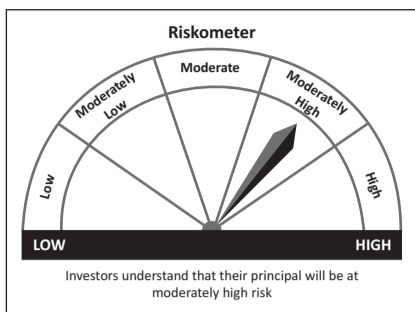


# KOTAK NV 20 ETF

(An Open Ended Exchange Traded Fund)

Kotak Mahindra Asset Management Co. Ltd.  
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<b>Name</b>	<b>This product is suitable for investors who are seeking*:</b>
<b>Kotak NV 20 ETF</b>	Long term capital growth Investment in stock comprising the underlying index and endeavours to track the benchmark index

**\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.**

**Units at Rs. 10 each during the New Fund Offer**

**NFO Opens on: November 12, 2015**

**NFO Closes on: November 26, 2015**

**Scheme Re-opens for continuous sale and repurchase on: December 2, 2015**

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website [assetmanagement.kotak.com](http://assetmanagement.kotak.com).

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

The date of Key Information Memorandum is October 30, 2015

<b>Investment Objective</b>	The investment objective of the scheme is to provide returns before expenses that closely correspond to the total returns of stocks as represented by the NV 20 Index, subject to tracking errors. <b>There can be no assurance or guarantee that the investment objective of the plan will be achieved.</b>
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<b>Type of Scheme</b>	An Open ended Exchange Traded Fund
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<b>Asset Allocation Pattern of the scheme</b>	The asset allocation under the Scheme, under normal circumstances, is as follows:		
	<b>Investments</b>	<b>Indicative Allocation (% to net assets)</b>	<b>Risk Profile</b>
	Stocks comprising NV 20 Index*	95% - 100%	Medium to High
	Cash and debt / money market instruments**	0% - 5%	Low

\*Exposure to equity derivatives of the index itself or its constituent stocks may be undertaken when equity shares are unavailable, insufficient or for rebalancing in case of corporate actions for a temporary period. The gross position to such derivatives will be restricted to 5% of net assets of the scheme.

\*\* Investment in Debt instruments (for liquidity purpose) will be of less than 1 year residual maturity

The Investment Manager would monitor the tracking error of the Scheme on an ongoing basis and would seek to minimize tracking error to the maximum extent possible. Under normal circumstances, such tracking errors are not expected to exceed 2% per annum. However this may vary when the markets are very volatile. However, there can be no assurance or guarantee that the Scheme will achieve any particular level of tracking error relative to performance of the Underlying Index.

The schemes shall also not undertake securities lending, short selling and shall not invest in securitised debt, ADR/GDR and foreign securities

**Portfolio Rebalancing**  
Rebalancing of the Scheme shall also be carried out whenever there is a change in the underlying index or any change due to corporate action with respect to the constituents of the underlying index within 7 days.

<b>Investment Strategy</b>	The Fund would invest in stocks comprising the underlying index and track the benchmark index. Kotak NV 20 ETF shall invest predominantly in stocks forming part of the underlying in the same ratio as per the index to the extent possible and to that extent follows a passive investment strategy, except to the extent of meeting liquidity and expense requirements. The Fund may also invest in cash and debt/ money market instruments, in compliance with Regulations to meet liquidity and expense requirements.
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therefore the level of stock concentration in the portfolio and its volatility would be the same as that of the index, subject to tracking error. Thus there is no additional element of volatility or stock concentration on account of fund manager decisions.

**Risk mitigation measures for managing liquidity**  
As per data from NSE more than half of market liquidity remains in the index. Therefore the scheme does not envisage liquidity issues. The scheme may take exposure to equity derivatives of the index itself or its constituent stocks may be required in certain cases like when equity shares are unavailable, insufficient, for rebalancing in case of corporate actions for a temporary period etc.

**Risk mitigation measures for portfolio volatility**  
ETF being a passive investment carries lesser risk as compared to active fund management. The portfolio follows the index and

Name of the Existing Scheme	Objective	Asset Allocation Pattern			Differentiation
		Investments	Indicative Allocation (% to net assets)	Risk Profile	
<b>Kotak Gold ETF</b>	The investment objective of the scheme is to generate returns that are in line with the returns on investment in physical gold, subject to tracking errors.	Physical Gold	90% - 100%	Low to Medium	Kotak Gold ETF is an open ended exchange traded Fund which aims to generate returns that are in line with the returns on investment in physical gold. Whereas Kotak NV 20 ETF is an open ended exchange traded Fund which aims to provide returns that closely correspond to the total returns of stocks as represented by NV 20 Index.
		Debt and money market instruments	0% to 10%	Low	
<b>Kotak SENSEX ETF</b>	The investment objective of the scheme is to provide returns before expenses that closely correspond to the total returns of the S&P BSE SENSEX subject to tracking errors.	Stocks comprising S&P BSE Sensex	90% - 100%	Medium to High	Kotak SENSEX ETF is an open ended exchange traded Fund which aims to provide returns that closely correspond to the total returns of stocks as represented by S&P SENSEX Index. Whereas Kotak NV 20 ETF is an open ended exchange traded Fund which aims to provide returns that closely correspond to the total returns of stocks as represented by NV 20 Index.
		Debt and money market instruments	0% to 10%	Low	
<b>Kotak Banking ETF</b>	The investment objective of the scheme is to provide returns before expenses that closely correspond to the total returns of stocks as represented by the CNX Bank Index subject to tracking errors.	Stocks comprising CNX Bank Index	95% - 100%	Medium to High	Kotak Banking ETF is an open ended exchange traded Fund which aims to provide returns that closely correspond to the total returns of stocks as represented by CNX Bank Index. Whereas Kotak NV 20 ETF is an open ended exchange traded Fund which aims to provide returns that closely correspond to the total returns of stocks as represented by NV 20 Index.
		Cash and Debt / money market instruments	0% to 5%	Low	

Name of the Existing Scheme	Objective	Asset Allocation Pattern			Differentiation
		Investments	Indicative Allocation (% to net assets)	Risk Profile	
<b>Kotak PSU Bank ETF</b>	The investment objective of the scheme is to provide returns that closely correspond to the total returns of CNX PSU Bank Index, subject to tracking errors.	Stocks comprising CNX PSU Bank Index	90% - 100%	Medium to High	Kotak PSU Bank ETF is an open ended exchange traded Fund which aims to provide returns that closely correspond to the total returns of stocks as represented by CNX PSU Bank Index. Whereas Kotak NV 20 ETF is an open ended exchange traded Fund which aims to provide returns that closely correspond to the total returns of stocks as represented by NV 20 Index.
		Debt and money market instruments	0% to 10%	Low	

Name of the Existing Scheme	Objective	Asset Allocation Pattern			Differentiation
		Investments	Indicative Allocation (% to net assets)	Risk Profile	
<b>Kotak Nifty ETF</b>	The investment objective of the scheme is to provide returns before expenses that closely correspond to the total returns of the CNX Nifty subject to tracking errors.	Stocks comprising of CNX Nifty	90% - 100%	Medium to High	Kotak Nifty ETF is an open ended exchange traded Fund which aims to provide returns that closely correspond to the total returns of stocks as represented by CNX Nifty Index. Whereas Kotak NV 20 ETF is an open ended exchange traded Fund which aims to provide returns that closely correspond to the total returns of stocks as represented by NV 20 Index.
		Debt and money market instruments	0% to 10%	Low	

<b>Where will the scheme invest?</b>	The Fund would invest in stocks comprising the underlying index and track the benchmark index. The Fund may also invest in debt and money market instruments, in compliance with Regulations to meet liquidity and expense requirements.
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<b>Risk Profile of the Scheme</b>	<p>The Scheme is subject to the principal risks described below. Some or all of these risks may adversely affect Scheme's NAV trading price, yield, total return and/or its ability to meet its objectives.</p> <ol style="list-style-type: none"> <li>The NAV of the units is closely related to the value of stocks that form a part of the benchmark index. The value of this will react to stock market movements and may result in changes in the NAV of units under the scheme. There could also be movements in the scheme's NAV due to changes in interest rates, macro economic and political developments and over longer periods during market downturns</li> <li><b>Liquidity Risk:</b> Trading in Kotak NV 20 ETF may be halted due to market conditions or for reasons that in the view of the Exchange Authorities or SEBI, trading in Kotak NV 20 ETF is not advisable. There could also be trading halts caused by extraordinary market volatility and pursuant to NSE and SEBI circuit filter rules. There can be no assurance that the requirements of the exchange necessary to maintain the listing of the Kotak NV 20 ETF will continue to be met or will remain unchanged</li> <li><b>Regulatory Risk:</b> Any changes in trading regulations by the stock exchange (s) or SEBI may affect the ability of Authorised Participant to arbitrage resulting into wider premium/discount to NAV.</li> <li><b>Portfolio Concentration Risk:</b> When a Mutual Fund Scheme, by mandate, restricts its investments only to a particular sector; there arises a risk called concentration risk. If the sector, for any reason, fails to perform, the portfolio value will plummet and the Investment Manager will not be able to diversify the investment in any other sector. Investments under this scheme will be in a portfolio of diversified equity or equity related stocks spanning across a few selected sectors. Hence the concentration risks could be high.</li> <li>As the scheme proposes to invest not less than 95% of the net assets in the securities of the benchmark Index, the Scheme is a passively managed scheme and provides exposure to the benchmark and tracking its performance and yield as closely as possible. The Schemes performance may be affected by a general price decline in the stock markets. The Scheme invests in the stocks comprising the index regardless of their investment merit. The Mutual Fund does not attempt to take defensive positions in declining markets.</li> <li>As the scheme proposes to invest not less than 95% of the net assets in securities comprising of NV 20 Index, any deletion of stocks from or addition to in NV 20 Index may require sudden and immediate liquidation or acquisition of such stocks at the prevailing market prices irrespective of whether valuation of stocks is attractive enough. This may not always be in the interest of unitholders.</li> </ol> <ol style="list-style-type: none"> <li>The performance of the NV 20 Index will have a direct bearing on the performance of the scheme. Hence any composition change by virtue of weightage or stocks selection will have an impact on the scheme.</li> <li>Though Kotak NV 20 ETF is listed on the stock exchange, there is no assurance that an active secondary market will develop or be maintained.</li> <li>Tracking error may have an impact on the performance of the scheme. However KMAMC will endeavour to keep the tracking error as low as possible.</li> <li>Investors may note that even though this is an open-ended scheme, they will have to buy or sell units of the scheme on the stock exchanges where these units are listed for liquidity at the market price, subject to the rules and regulations of the exchange. Buying and selling units on stock exchange requires the investor to engage the services of a broker and are subject to payment of margins as required by the stock exchange/broker, payment of brokerage, securities transactions tax and such other costs.</li> <li>The market price of ETF units, like any other listed security, is largely dependent on two factors, viz., (1) the intrinsic value of the unit (or NAV), and (2) demand and supply of units in the market. Sizeable demand or supply of the units in Exchange may lead to market price of the units to quote at premium or discount to NAV. However since the eligible investors can transact with the AMC for units beyond the creation unit size there should not be a significant variance from the NAV. Hence the price of ETF is less likely to hold significant variance (large premium or discount) from the latest declared NAV all the time.</li> <li><b>Capital Gains Impact:</b> Investors who trade in Kotak NV 20 ETF may be subject to Long Term Capital Gains or Short Term Capital Gains. Investors are requested to consult their tax/legal consultants before investing in the scheme.</li> <li>The units will be issued only in demat form through depositories. The records of the depository are final with respect to the number of units available to the credit of unit holder. Settlement of trades, repurchase of units by the mutual fund depends up on the confirmations to be received from depository (ies) on which the mutual fund has no control.</li> </ol>
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<b>Option</b>	Dividend Payout
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<b>Applicable NAV (after the scheme opens for repurchase and sale)</b>	<p>The Cut-off time for receipt of valid application for Subscriptions and Redemptions is 3.00 p.m. However for Authorised Participants / Large Investors, as the Scheme is an Exchange Traded Fund, the Subscriptions and Redemptions of Units would be based on the Portfolio Deposit and Cash Component as defined by the Fund for that respective Business Day. The Fund may also allow Cash# subscription/redemption of the Scheme in creation unit size by large investors.</p> <p>Investors / Unit holders to note that the above mentioned Cut-off time is not applicable to transactions undertaken on a recognised stock exchange and is only applicable to transactions undertaken at Investor Service Centers (ISCs).</p> <p>#RTGS, NEFT or transfer cheque</p>
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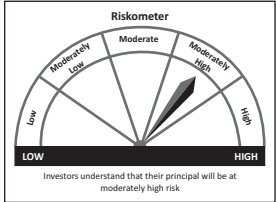
<b>Minimum Application Amount/ Number of Units</b>	<p><b>During NFO:</b> The minimum investment amount during the New Fund Offer is Rs. 5,000/- and in multiples of Rs. 1000. In case of investors opting to switch into the Scheme from existing Schemes/Plans/Options of the Fund during the NFO period, the minimum amount is Rs. 5,000/- and in multiples of Re. 0.01 thereof.</p> <p><b>During Continuous offer:</b> i. Ongoing purchases directly from the Mutual Fund would be restricted to Authorized Participants and Large Investors provided the value of units to be purchased is in creation unit size. Authorised Participants and Large Investors may buy</p>	<p>the units on any business day of the scheme directly from the Mutual Fund at applicable NAV, and transaction charges by depositing basket of securities comprising NV 20 Index.</p> <p>ii. The units are listed on NSE to provide liquidity through secondary market. All categories of Investors may purchase the units through secondary market on any trading day.</p> <p>iii. The AMC will appoint Authorised Participant(s) (whose name will be available on the website of the Fund assetmanagement.kotak.com) to provide liquidity in secondary market on an ongoing basis. The Authorised Participant(s) would offer daily two-way quote in the market.</p> <p>iv. The AMC reserves the right to list the units of the scheme on any other exchange, in future.</p>																																			
<b>Redemption</b>	<p><b>Minimum Redemption Amount:</b> All investors including Authorised Participants, Large Investors and other investors may sell their units in the stock exchange(s) on which these units are listed on all trading days of the stock exchange</p> <p>Redemption proceeds in the form of basket of securities included in the NV 20 Index in the same proportion will be credited to the designated DP account of the AP/LI. Any fractions in the number of securities transferable to AP/LI will be rounded off to the lower integer and the value of the fractions will be added to the cash component payable. The cash component of the proceeds at the applicable NAV will be paid by way of cheque or direct credit.</p> <p>Payment of proceeds in cash: The Fund at its discretion may accept the request of AP/LI for payment of redemption proceeds in cash. Such investors shall make redemption request to the Fund whereupon the Fund will arrange to sell underlying portfolio securities on behalf of the investor. Accordingly, the sale proceeds of portfolio securities, after adjusting necessary</p>	<p>charges/costs, will be remitted to the investor. The number of Units so redeemed will be subtracted from the unitholder's account balance (DP) and a statement to this effect will be issued to the unitholder by depository.</p> <p>Redemption cheques will generally be sent to the Unitholder's address, (or, if there is more than one joint holder, the address of the first-named holder) when the unit balance is confirmed with the records of the depository, not later than 10 (Ten) Working Days from the date of redemption.</p> <p>Redemption proceeds may also be paid to the Unitholder in any other manner like through ECS, direct credit, RTGS, demand draft, etc as the AMC may decide, from time to time, for the smooth and the efficient functioning of the Scheme.</p> <p>Note: The mutual fund will rely on the address and the bank account details recorded in the depository system. Any changes to the address and bank account details can be made only through the depository system.</p>																																			
<b>Transaction Charges</b>	<p>Pursuant to SEBI Circular No. Cir/ IMD/ DF/13/ 2011 dated August 22, 2011, transaction charge per subscription of Rs. 10,000/- and above be allowed to be paid to the distributors of the Kotak Mahindra Mutual Fund products. The transaction charge shall be subject to the following:</p> <p>(a) For existing investors (across mutual funds), the distributor shall be paid Rs. 100/- as transaction charge per subscription of Rs. 10,000/- &amp; above.</p> <p>(b) For first time investors, (across Mutual Funds), the distributor may be paid Rs. 150/- as transaction charge for subscription of Rs. 10,000/- &amp; above.</p> <p>(c) The transaction charge shall be deducted by Kotak AMC from the subscription amount &amp; paid to the distributor (will be subject to statutory levies, as applicable) &amp; the balance amount shall be invested.</p> <p>(d) In case of Systematic Investment Plan(s), the transaction charge shall be applicable only if the total commitment through SIPs amounts to Rs. 10,000/- &amp; above. In such cases the transaction charge shall be recovered in first 3/4 successful installments.</p> <p>Identification of investors as "first time" or "existing" will be based on Permanent Account Number (PAN) at the First/ Sole Applicant/ Guardian level. Hence, Unit holders are urged to ensure that their PAN/ KYC is updated with the Fund. Unit holders may approach any of the Official Points of Acceptances of the Fund i.e. Investor Service Centres (ISCs) of the Fund/offices of</p>	<p>our Registrar and Transfer Agent, M/s. Computer Age Management Services Pvt. Ltd in this regard.</p> <p>The statement of accounts shall clearly state that the net investment as gross subscription less transaction charge and give the number of units allotted against the net investment.</p> <p><b>Transaction charges shall not be deducted/applicable for:</b> (1) Transaction other than purchases/subscriptions such as Switch/Systematic Transfer Plan (STP)/ Dividend Transfer Plan (DTP), etc.; (2) Purchases / Subscriptions made directly with the Fund without any ARN code. (3) Transactions carried out through the stock exchange platforms.</p> <p>In accordance with the SEBI circular no. SEBI/IMD/CIR No. 4/ 168230/09, dated June 30, 2009, upfront commission to distributors shall be paid by the investor directly to the distributor by a separate cheque based on his assessment of various factors including the service rendered by the distributor. Further as per circular dated September 13, 2012, distributors shall now have the option to either opt in or opt out of charging transaction charge based on the type of product.</p>																																			
<b>Applications Supported by Blocked Amount (ASBA)</b>	<p>Investors may apply through the ASBA facility during the NFO period of the Scheme by filling in the ASBA form and submitting the same to selected Self Certified Syndicate Banks (SCSBs) which are registered with SEBI for offering the ASBA facility, which in turn will block the amount in the account as per the authority contained in the ASBA form, and undertake other tasks as per the procedure specified therein.</p> <p>Investors are also requested to check with their respective Banks for details regarding application through ASBA mode. The list of SCSBs are available on SEBI website www.sebi.gov.in. and also on the website of the stock exchanges.</p>																																				
<b>Despatch of Repurchase (Redemption) Request</b>	<p>The redemption proceeds shall be dispatched within 10 working days from the date of maturity of the Scheme.</p>																																				
<b>Benchmark Index</b>	<p>NV 20 Index</p>																																				
<b>Dividend Policy</b>	<p><b>Dividend Option</b> Under the Dividend option, the Trustee may at any time decide to distribute by way of dividend, the surplus by way of realised profit and interest, net of losses, expenses and taxes, if any, to Unitholders if, in the opinion of the Trustee, such surplus is available and adequate for distribution. The Trustee's decision with regard to such availability and adequacy of surplus, rate, timing and frequency of distribution shall be final. The Trustee may or may not distribute surplus, even if available, by way of dividend. The dividend will be paid to only those Unitholders whose names appear on the register of Unitholders of the Scheme / Option at the close of the business hours on the record date, which will be announced in advance. The Fund is required to dispatch</p>	<p>dividend warrants within 30 days of the date of declaration of the dividend. In case the AMC fails to dispatch the warrants within the stipulated time of 30 days, it shall be liable to pay interest to the unit holders at 15% p.a. or such other rate as may be prescribed by SEBI from time to time. The scheme will offer Dividend Payout Option. <b>Dividend Payout Option:</b> Unitholders will have the option to receive payout of their dividend by way of dividend warrant or any other means which can be encashed or by way of direct credit into their account. However, the Trustees reserve the right to introduce new options and / or alter the dividend payout intervals, frequency, including the day of payout.</p>																																			
<b>Name of the Fund Manager</b>	<p>Mr. Deepak Gupta</p>																																				
<b>Name of the Trustee Company</b>	<p>Kotak Mahindra Trustee Company Limited</p>																																				
<b>Performance of the Scheme</b>	<p>This is a new scheme and does not have any performance track Record.</p>																																				
<b>Expenses of the scheme</b>	<p style="text-align: center;"><b>New Fund Offer Period</b></p> <p><b>(i) Load Structure</b> <b>Entry load:</b> In terms of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, no entry load will be charged on purchase / additional purchase / switch-in. The upfront commission, if any, on investment made by the investor shall be paid by the investor directly to the Distributor, based on his assessment of various factors including the service rendered by the Distributor. <b>Exit load:</b> Nil</p>	<p style="text-align: center;"><b>Continuous Offer</b></p> <p>Not Applicable</p>																																			
	<p><b>(ii) Recurring Expenses</b> <b>A. New Fund Offer (NFO) Expenses</b> These expenses are incurred for the purpose of various activities related to the NFO like marketing and advertising, Brokerage, registrar expenses, printing and stationary, bank charges etc. The New Fund Offer expenses of the scheme will be borne by the AMC. <b>B. Total Expense Ratio (TER)</b> Total Expense Ratio is the total of ongoing fees and operating expenses charged to the scheme, expressed as a percentage of the scheme's daily net assets. These fees and expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer Agents' fee, brokerage/commission, marketing and selling costs etc. The maximum TER shall not exceed 1.50% of daily Net Assets. <b>Total Expense Ratio for the schemes</b> The AMC has estimated the above given recurring expenses, for each scheme. Total expense ratio of each Scheme (including investment and advisory fees) will be subject to the maximum limits (as a percentage of Daily Net Assets of the Scheme) as per Regulation 52(6) &amp; (6A), as amended from time to time, with no sub-limit on investment and advisory fees.</p> <table border="1" data-bbox="336 1513 1176 2077"> <thead> <tr> <th>Expenses Structure</th> <th>% of daily Net Assets</th> </tr> </thead> <tbody> <tr><td>Investment Management and Advisory Fees</td><td></td></tr> <tr><td>Trustee fee</td><td></td></tr> <tr><td>Audit fees</td><td></td></tr> <tr><td>Custodian fees</td><td></td></tr> <tr><td>RTA Fees</td><td></td></tr> <tr><td>Marketing &amp; Selling expense incl. agent commission</td><td></td></tr> <tr><td>Cost related to investor communications</td><td></td></tr> <tr><td>Cost of fund transfer from location to location</td><td></td></tr> <tr><td>Cost of providing account statements and dividend redemption cheques and warrants</td><td>Upto 1.50%</td></tr> <tr><td>Costs of statutory Advertisements</td><td></td></tr> <tr><td>Cost towards investor education &amp; awareness (at least 2 bps)</td><td></td></tr> <tr><td>Brokerage &amp; transaction cost over and above 12 bps and 5 bps for cash and derivative market trades resp.</td><td></td></tr> <tr><td>Service tax on expenses other than investment and advisory fees</td><td></td></tr> <tr><td>Service tax on brokerage and transaction cost</td><td></td></tr> <tr><td>Other Expenses (including listing expenses)*</td><td></td></tr> <tr><td><b>Maximum total expense ratio (TER) permissible under Regulation 52 (6)(c) (i) and (6) (a)</b></td><td><b>Upto 1.50%</b></td></tr> <tr><td>Additional expenses for gross new inflows from specified cities</td><td>Upto 0.30%</td></tr> </tbody> </table>	Expenses Structure	% of daily Net Assets	Investment Management and Advisory Fees		Trustee fee		Audit fees		Custodian fees		RTA Fees		Marketing & Selling expense incl. agent commission		Cost related to investor communications		Cost of fund transfer from location to location		Cost of providing account statements and dividend redemption cheques and warrants	Upto 1.50%	Costs of statutory Advertisements		Cost towards investor education & awareness (at least 2 bps)		Brokerage & transaction cost over and above 12 bps and 5 bps for cash and derivative market trades resp.		Service tax on expenses other than investment and advisory fees		Service tax on brokerage and transaction cost		Other Expenses (including listing expenses)*		<b>Maximum total expense ratio (TER) permissible under Regulation 52 (6)(c) (i) and (6) (a)</b>	<b>Upto 1.50%</b>	Additional expenses for gross new inflows from specified cities	Upto 0.30%
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<b>Waiver of Load for Direct Applications</b>	<p>Not Applicable. Pursuant to SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009 no entry load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.</p>																																				
<b>Tax treatment for the Investors (Unitholders)</b>	<p>Investor will be advised to refer to the details in the Statement of Additional Information and also independently refer to his tax advisor.</p>																																				
<b>Daily Net Asset Value (NAV) Publication</b>	<p>The Kotak NV 20 ETF units will be listed on NSE and all purchase and sale of units by investors other than Authorised Participants and Large Investors will be done on the stock exchange. The NAV has a reference value for investors and will be useful for Authorised Participants for offering quotes on the Stock Exchange. The first NAV of the scheme will be calculated and declared within 5 business days from the date of allotment. Subsequently, the NAVs will be calculated on daily basis. The NAVs shall also be updated on the website of the Mutual Fund assetmanagement.kotak.com on AMFI's website www.amfiindia.com by 9.00 p.m. The NAVs will also be published in two daily newspapers having nationwide circulation. Delay in uploading of NAV beyond 9.00 p.m. on every business day shall be explained in writing to AMFI. In case the NAVs are</p>	<p>not available before the commencement of business hours on the following business day due to any reason, a press release for revised NAV shall be issued. The monthly portfolio of the Schemes shall be available in a user-friendly and downloadable format on the website viz. assetmanagement.kotak.com on or before the tenth day of succeeding month. The NAV shall also be communicated to the recognized Stock Exchange where, the units are listed. The AMC may also calculate intra-day indicative NAV (computed based on snapshot prices received from NSE) and will be updated during the market hours on its website assetmanagement.kotak.com. Intra-day indicative NAV will not have any bearing on the creation or redemption of units directly with the Fund by the AP/LI.</p>																																			
<b>For Investor Grievances please contact</b>	<p><b>Mr. R. Chandrasekaran</b> <b>Kotak Mahindra Asset Management Company Limited</b> (Investment Manager) 6th Floor Kotak Towers, Building No 21, Infinity Park, Off Western Express Highway, Goregaon - Mulund Link Road, Malad (East), Mumbai 400067 ☎ 022-6605 6765 📠 022-6638 4455 🌐 assetmanagement.kotak.com 📧 mutual@kotak.com <b>Registered Office:</b> 27 BKC, C-27, G Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051</p>	<p><b>Computer Age Management Services Pvt. Ltd.</b> (Registrar) No. 178/10 M G R Salai, Nungambakkam, Chennai - 600 034 ☎ 044-3040 7170 📧 enq_k@camsonline.com</p>																																			
<b>Unitholders' Information</b>	<p><b>Accounts Statements:</b> The depository participant with whom the unitholder has a depository account will send a statement of transactions in accordance with the byelaws of the depository which will contain the details of transaction of units. Allotment of units and dispatch of Allotment Advice to FILs will be subject to RBI approval, if required. Units allotted under this scheme are transferable subject to the provisions of the Depositories Act, SEBI (Depository and Depository Participant) Regulations, 1996 and other applicable provisions. Note: The fund house may not furnish separate accounts statement to the unitholders since the statement of accounts furnished by depository participant will contain the details of transactions in these units. <b>Annual Report or Abridged Summary:</b> Pursuant to SEBI Circular No. Cir/IMD/DF/16/2011 dated September 8, 2011, Annual report or Abridged Summary will be available on assetmanagement.kotak.com and shall be sent by way of email to the investor's registered email address or Physical copies (if investor's email address is not registered), not later than four</p>	<p>months after the close of each financial year (March 31). The unit holders may request for a physical copy of scheme annual reports or abridged summary by writing to the Kotak Mahindra Asset Management Company Ltd./Investor Service Centre / Registrar &amp; Transfer Agents. The unit holder can get physical copies of the above mentioned reports at the registered offices at all time. The annual report shall be displayed on www.assetmanagement.kotak.com. <b>Half yearly Financial Result and Portfolio disclosure:</b> The soft copy of unaudited financial results shall within one month from the close of each half year i.e. 31st of March and the 30th of September, be hosted on the website assetmanagement.kotak.com and will be sent to AMFI for posting on its website www.amfiindia.com. Also an advertisement of hosting of the unaudited results shall be published in one English daily newspaper circulating in the whole of India and in a newspaper published in the language of the region where the Head Office of the Mutual Fund is situated</p>																																			
<b>Listing</b>	<p>The units of the Scheme will initially be listed on NSE on allotment under intimation to SEBI. It may also list on any other exchanges subsequently. AMC has proposed to engage AP for creating liquidity for ETFs in the stock exchange so that investors are able to buy or redeem units on the stock exchange using the services of a stockbroker.</p>																																				
<b>Dematerialization</b>	<p>Unit holders are given an option to hold the units in demat form in addition to account statement as per current practice. The Unitholders intending to hold/trade the units in demat form are required to have a beneficiary account with the Depository Participant (DP) (registered with NSDL / CDSL) and will be required to indicate in the application the DP's name, DP ID Number and the beneficiary account number of the applicant with the DP.</p>	<p>In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such unitholders will not be able to trade on the stock exchange. The Unitholders are requested to fill in their demat account details in the space provided for the same in Key Information Memorandum (KIM) and application forms.</p>																																			
<b>Special Considerations</b>	<p>The Mutual Fund/ AMC and its Empanelled Brokers have not given and shall not give any indicative portfolio and indicative yield in any communication, in any manner whatsoever. Investors are advised not to rely on any communication regarding indicative yield / portfolio with regard to the Scheme.</p>																																				



# KOTAK NV 20 ETF

(An Open Ended Exchange Traded Fund)

6th Floor, Kotak Infinity, Building No. 21,  
 Infinity Park, Off. Western Express Highway,  
 Gen.A.K. Vaidya Marg, Malad (E)  
 Mumbai - 400 097.  
 ☎ 022-6638 4400  
 ✉ mutual@kotak.com  
 🌐 assetmanagement.kotak.com



<b>Name</b>	<b>This product is suitable for investors who are seeking*:</b>
<b>Kotak NV 20 ETF</b>	Long term capital growth Investment in stock comprising the underlying index and endeavours to track the benchmark index

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Units at Rs. 10 each during the New Fund Offer

NFO Opens on: November 12, 2015

NFO Closes on: November 26, 2015

Scheme Re-opens for continuous sale and repurchase on: December 2, 2015

Investment Advisor's Name & ARN	Sub-Broker's Name & ARN Sub-Broker \ LG Code	EUIN (Mandatory)	<b>Appl. NV 20 ETF</b> Date : DD / MM / YYYY
<input type="checkbox"/> "I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."			
SIGNATURE(S)			
Sole / First Applicant		Second Applicant	Third Applicant
(To be signed by All Applicants)			

Upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investor's assessment of various factors including the service rendered by the distributor.

Have you ever invested in any, Mutual Fund before  Yes  No

Existing Unitholder Information (Section I)	If you have, at any time, invested in any Scheme of Kotak Mahindra Mutual Fund and wish to hold your present investment in the same Account, please furnish your Name, Folio Number and PAN details below and proceed to Section Investment Details.					
	Name of Sole / First Applicant: _____		PAN No.: _____		Folio No.: _____	
New Applicant's Personal Information (Section II)	Sole/ First Applicant		Second Applicant		Third Applicant	
	Name of Applicant		Name of Applicant		Name of Applicant	
	PAN		PAN		PAN	
	Date of Birth		Date of Birth		Date of Birth	
	Country of Birth		Country of Birth		Country of Birth	
	Nationality		Nationality		Nationality	
	Tax Reference Number (for NRI)		Tax Reference Number (for NRI)		Tax Reference Number (for NRI)	
Guardian/ Contact Person if Non-Individual Applicant (Section III)	Name		PAN	Country of Birth	Nationality	Tax Reference Number (for NRI)
	<b>Gross Annual Income Details (please ✓) Income range per annum:</b> <input type="checkbox"/> < 1 lac <input type="checkbox"/> 1 - 5 lac <input type="checkbox"/> 5 - 10 lac <input type="checkbox"/> 10 - 25 lac <input type="checkbox"/> 25 lac - 1 cr <input type="checkbox"/> 1 cr - 5 cr <input type="checkbox"/> 5 cr - 10 cr <input type="checkbox"/> > 10 cr or Net-worth as on (date) DD / MM / YYYY Rs. _____ (should not be older than 1 year) Please tick, if applicable, <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP)* *I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Kotak Mahindra Mutual Fund/ Kotak Mahindra Asset Management Co. Ltd. immediately in case there is any change in the above information.					
Power of Attorney Holder (PoA) Holder (Section IV)	Name		PAN	Country of Birth	Nationality	Tax Reference Number (for NRI)
	<b>Gross Annual Income Details (please ✓) Income range per annum:</b> <input type="checkbox"/> < 1 lac <input type="checkbox"/> 1 - 5 lac <input type="checkbox"/> 5 - 10 lac <input type="checkbox"/> 10 - 25 lac <input type="checkbox"/> 25 lac - 1 cr <input type="checkbox"/> 1 cr - 5 cr <input type="checkbox"/> 5 cr - 10 cr <input type="checkbox"/> > 10 cr or Net-worth as on (date) DD / MM / YYYY Rs. _____ (should not be older than 1 year) Please tick, if applicable, <input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to a Politically Exposed Person (PEP)* *I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Kotak Mahindra Mutual Fund/ Kotak Mahindra Asset Management Co. Ltd. immediately in case there is any change in the above information.					
Status of Sole/ First Applicant (Section V)	<input type="checkbox"/> Resident Individual <input type="checkbox"/> Proprietorship <input type="checkbox"/> Mutual Fund <input type="checkbox"/> PF/ Gratuity/ Pension/ <input type="checkbox"/> On behalf of Minor <input type="checkbox"/> NRI on Repatriation Basis <input type="checkbox"/> Partnership Firm <input type="checkbox"/> Mutual Fund FOF Scheme <input type="checkbox"/> Superannuation Fund <input type="checkbox"/> Other <input type="checkbox"/> NRI on Non-Repatriation Basis <input type="checkbox"/> Private Limited Company <input type="checkbox"/> Body Corporate <input type="checkbox"/> Trust AOP/ BOI <input type="checkbox"/> HUF <input type="checkbox"/> Public Limited Company <input type="checkbox"/> Registered Society <input type="checkbox"/> Foreign Institutional Investor (Please specify)					
	<input type="checkbox"/> Private Sector <input type="checkbox"/> Professional <input type="checkbox"/> Student <input type="checkbox"/> Public Sector <input type="checkbox"/> Agriculturist <input type="checkbox"/> Other <input type="checkbox"/> Government Service <input type="checkbox"/> Retired <input type="checkbox"/> Business <input type="checkbox"/> Housewife (Please specify)		<b>Mode of Operation (Section VII)</b> Where there is more than one applicant [Please ✓] <input type="checkbox"/> First Applicant only <input type="checkbox"/> Anyone or Survivor <input type="checkbox"/> Joint			
Correspondence Details of Sole/ First Applicant (Section VIII)	Address for Communication (Full Address Mandatory)			Overseas Address (Mandatory for NRI/ FII Applicants)		
	House/ Flat No			House/ Flat No		
	Street Address			Street Address		
	City/ Town		State	City/ Town		State
	Country		Pin Code	Country		Pin Code
	Mobile		Tel (Res./ Off.)	Mobile		Tel (Res./ Off.)
Email**						

\*\*By providing Email ID I/ We agree to receive all communications including Account Statement & Transaction confirmation to aforesaid E-mail ID.

Received from Mr/ Ms/ M/s \_\_\_\_\_  
 along with cheque/ DD No.\* \_\_\_\_\_ dated \_\_\_\_\_  
 Drawn on (Bank) \_\_\_\_\_ for Rs. (in figures)/ (Amount) \_\_\_\_\_  
 \*Cheques and drafts are subject to realisation.

Stamp of Kotak AMC Office/ Authorised Collection Centre

**MANDATORY** (Please note that units of the scheme will be issued, traded and settled compulsorily in dematerialized form)

Demat Account Details (Section IX)	<b>NSDL</b>	<b>CDSL</b>
	DP Name _____ DP ID _____ Beneficiary Account No. _____	DP Name _____ Beneficiary Account No. _____

Please ensure that your demat account details mentioned above are along with supporting documents evidencing the accuracy of the demat account. Bank details of DP will overwrite the existing details.

**Third Party Payment Declaration (Section X)**

Parent/Grand-Parent/Guardian of Minor/ Related Person Other than the Register Guardian/ Employer on behalf of Employee (SIP only)/Custodian on behalf of FI.

**Name:** \_\_\_\_\_ **Relationship with Applicant:** \_\_\_\_\_

**PAN:** \_\_\_\_\_ **KYC Compliant Status:**  Yes  No

Declaration: I hereby declare and confirm that the Applicant stated above is the beneficial owner of the investment details mentioned above. I am providing the funds for these investments on account of my natural love and affection or incentive to employee or for & on behalf of fil or as gift from my bank account only.

Declaration (Guardian of minor, as registered in the folio): I confirm that I am the legal guardian of the Minor, registered in folio and have no objection to receiving these funds on behalf of the minor. (Note: Aforeside signature should match with the investment cheque signature)

Signature \_\_\_\_\_

**Investment Details (Section XI)**

Scheme: **Kotak NV 20 ETF** Option:  Dividend Payout

Minimum investment amount: Rs 5,000/-

Mode of Payment:  Cheque  Demand Draft  Fund Transfer Instrument No. \_\_\_\_\_ Dated \_\_\_\_\_

Investment Amount: \_\_\_\_\_ Drawn on: \_\_\_\_\_

**Cheque/ DD to be drawn in favour of "Kotak NV 20 ETF"**

If you are an NRI Investor, please indicate source of funds for your investment (Please ✓)

Account Type:  NRE  NRO  FCNR  Others \_\_\_\_\_

**(Mandatory, this account details will be considered as default account for payout)**

**Bank Account Details (Section XII)**

Name of Bank: \_\_\_\_\_  
Branch: \_\_\_\_\_ City: \_\_\_\_\_  
Account No. \_\_\_\_\_  
RTGS IFSC Code: \_\_\_\_\_ NEFT IFSC Code: \_\_\_\_\_  
MICR Code: \_\_\_\_\_ Account Type:  Current  Savings  NRO  NRE  FCNR  Others

This is the 9 digit No. next to your Cheque No.

The Bank Mandate provided in the application will be used for refunding reject cases.

**Nomination Details (Section XIII)**  
(to be filled in by individual(s) applying Singly or Jointly)

I/We \_\_\_\_\_ and \_\_\_\_\_ do hereby nominate the undermentioned Nominee to receive the Units to my/our credit in Folio No./Application No. \_\_\_\_\_ in the event of my/our death. I/we also understand that all payments and settlements made to such Nominee and signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC/ Mutual Fund/ Trustee.

**DETAILS OF NOMINEE**

Name of Nominee	Address	Date Of Birth	% Share	Signature Of Nominee

**DETAILS OF GUARDIAN (to be furnished in case Nominee is a minor)**

Name of Guardian	Address	Tel. No	Signature Of Guardian

I/We \_\_\_\_\_ do hereby confirm that I/We do not intend to avail the nomination facility for this investment application.

For units to be held in Demat Mode, the Nomination details updated in the depository system shall prevail over the details mentioned hereunder.

**Declaration and Signatures (Section XIV)**

I/We have read and understood the contents of the SID of Kotak NV 20 ETF. I/We hereby apply for allotment/ purchase of Unit in the Scheme indicated in Section "Investment Details" above and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We are authorised to make this investment this in the above mentioned scheme and that the amount invested in the scheme is through legitimate sources only and does not involve and is designed for the purpose of any contravention or evasion of provision of any Act, Rules and Regulations, Notifications or Directions of the provision of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by Government India from time to time. I/We hereby authorise Kotak Mahindra Mutual Fund, its Investment Manager and its agent to disclose details of my investment to my/ our Investment Advisor and/ or my bank(s)/ Kotak Mahindra Fund's bank(s). I/We confirm that the distributor has disclosed all commission (in the form of trail commission or any other mode) payable to the distributor for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I/We have neither received nor been induced by any rebate or gifts, directly or indirectly, in making this investment

SIGNATURE(S)

_____	_____	_____
Sole / First Applicant	Second Applicant	Third Applicant

(To be signed by **All Applicants**)

Please tick if the investment is operated as POA / Guardian  POA  Guardian

**Note :** If the application is incomplete and any other requirements is not fulfilled, the application is liable to be rejected.

1. NEW APPLICANT'S PERSONAL INFORMATION										
<b>SOLE/FIRST APPLICANT</b>		<b>Category Please (Tick ✓)</b> Retail Individual Investor(s) <input type="checkbox"/> Non-Individual Investor(s) <input type="checkbox"/>								
[ ] <small>GUARDIAN (in case Sole / First Applicant is a minor)</small>										
[ ] <small>CONTACT PERSON (in case of Non-individual applicants)</small>										
<b>SECOND APPLICANT (Joint Holder 1)</b>		[ ] Designation								
<b>THIRD APPLICANT (Joint Holder 2)</b>		[ ]								
<b>MODE OF OPERATION (where there is more than one applicant)</b> <input type="radio"/> First Holder only <input type="radio"/> Anyone or Survivor <input type="radio"/> Joint										
<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:33%;">PAN No. (Irrespective of Size of the investment) (Application without this information are liable to be rejected)</th> <th style="width:33%;">SOLE / FIRST APPLICANT</th> <th style="width:33%;">SECOND APPLICANT</th> <th style="width:33%;">THIRD APPLICANT</th> </tr> </thead> <tbody> <tr> <td>[ ]</td> <td>[ ]</td> <td>[ ]</td> <td>[ ]</td> </tr> </tbody> </table>			PAN No. (Irrespective of Size of the investment) (Application without this information are liable to be rejected)	SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT	[ ]	[ ]	[ ]	[ ]
PAN No. (Irrespective of Size of the investment) (Application without this information are liable to be rejected)	SOLE / FIRST APPLICANT	SECOND APPLICANT	THIRD APPLICANT							
[ ]	[ ]	[ ]	[ ]							

2. ISIN DETAILS	
ISIN Number: [ ]	Scheme Name : <b>Kotak NV 20 ETF</b>

3. SCSB DETAILS	
Bank Account Number: [ ]	Bank and Branch where account is held _____
Total Amount to be blocked (Rs. in figures) _____	(Rs. in words) _____
<small>(Amount to be blocked shall be the No. of Unit for x Unit Price).</small>	

4. DEMAT ACCOUNT DETAILS (Please ensure that unit holders given an option to hold the units in demat form in addition to account statement as per current practice and the sequence of names as mentioned in the application form matches with the Depository Participant.)	
<b>NSDL</b>	<b>CDSL</b>
DP Name _____	_____
DP ID* [ ]	_____
Beneficiary Account No. [ ]	[ ]


\*In case Unit holders do not provide their Demat Account details, an Account Statement shall be sent to them. Such investors will not be able to trade on the stock exchange.

**UNDERTAKING BY ASBA INVESTOR/ ACCOUNT HOLDER**


1) I/We hereby undertake that I/We am/are an ASBA investor(s) as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009. 2) In accordance with ASBA process provided in the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2009, I/We authorize (a) the SCSB to do all acts as are necessary to make an application for purchase of units in the NFO of the Company, blocking the amount to the extent mentioned above in the "SCSB details" or unblocking of funds in the bank account maintained with the SCSB specified in the ASBA form, transfer of funds to the Issuer's account designated for this purpose on receipt of instruction from the Registrar to the Issue after finalisation of the basis of allotment entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar to the KMAMC to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the ASBA Form, upon finalisation of the basis of allotment and to transfer the requisite money to the Issuer's account designated for this purpose. 3) In case the amount available in the bank account specified in the ASBA Form is insufficient for blocking the amount equivalent to the application money, the SCSB shall reject the application. 4) If the DP ID, Client ID or PAN furnished by me/us in the ASBA Form is incorrect or incomplete, the ASBA Application shall be rejected and the AMC, R&TA and SCSB shall not be liable for losses, if any. 5) I/We hereby authorise the SCSB to make relevant revisions as may be required to be done during the NFO, in the event of price revision.

SIGNATURE OF THE APPLICANT(S)				<b>Attn. : NRI Investors :</b> Payment should be made through their NRE/FCNR accounts.
SIGNATURE OF THE BANK ACCOUNT HOLDER(S)				

TEAR HERE

<b>ACKNOWLEDGEMENT SLIP FOR SCSB</b>	<b>TO BE RETAINED BY THE BANKER (To be filled by the Sole/First Applicant)</b>	 <b>KOTAK MAHINDRA MUTUAL FUND</b> 6th Floor, Kotak Infinity, Building No. 21, Infinity Park, Gen. A. K. Vaidya Marg, Malad (East), Mumbai – 400097. Tel No.: 022-6638 4400 E- mail: mutual@kotak.com Web: assetmanagement.kotak.com	Date : _____ 2014	
	Received from Mr./Ms. _____		<b>SCSB Account Details</b>	No. of Units (In Figures)
	Address _____		Bank Name: _____	
	Tel/Fax _____ Mobile _____		Bank Account Number: _____	
	E-mail _____		Branch Address: _____	
SIGNATURE(S)	Total Amount to be blocked (Rs.) _____			

TEAR HERE

<b>ACKNOWLEDGEMENT SLIP FOR INVESTOR</b>	<b>TO BE GIVEN BY THE SCSB (To be filled by the Sole/First Applicant)</b>	 <b>KOTAK MAHINDRA MUTUAL FUND</b> 6th Floor, Kotak Infinity, Building No. 21, Infinity Park, Gen. A. K. Vaidya Marg, Malad (East), Mumbai – 400097. Tel No.: 022-6638 4400 E- mail: mutual@kotak.com Web: assetmanagement.kotak.com	Date : _____ 2014	
	<b>INVESTMENT DETAILS</b>			
	Scheme Name: <b>Kotak NV 20 ETF</b>		Plan:	
	Option:		Frequency:	
	Amount in figures:		Amount in words:	
	No of units:		Unit price:	
	Received From			
	Mr./Ms. _____			
	Address _____		Telephone _____	Pin Code [ ]
	Bank Account Number : _____		SCSB Stamp Signature, Date & Time of Bid Form Submission	
Bank Name & Branch Address : _____	(Cheques/Drafts are subject to realisation)			
Total Amount to be blocked (Rs.) _____				

Note : Only purchases registered on the electronic system will be considered for allocation. Therefore, kindly ensure that you get a computerised TRS for every investment from the SCSB. Please note that validity of the purchases or any allocation thereon, is subject to realisation of the correct amount. Please retain photocopy of this form for future reference.

### IMPORTANT INFORMATION INSTRUCTIONS FOR ASBA MUTUAL FUND INVESTORS

**Background:** In its continuing endeavour to make the existing public issue process more efficient SEBI introduced a supplementary process of applying in public issues, viz: the "Applications Supported by Blocked Amount (ASBA)" process. Accordingly, Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009, as amended have been amended for ASBA process. The salient features of circular no. SEBI/CFD/DIL/ASBA/1/2009/30/12 dated December 30, 2009 available on SEBI website for "Additional mode of payment through Applications Supported by Blocked Amount (hereinafter referred to as "ASBA") are mentioned below for understanding the ASBA process:

**1. Meaning of ASBA:** ASBA is an application for subscribing to a New Fund Offer (NFO), containing an authorisation to block the application money in a bank account.

**2. Self Certified Syndicate Bank (SCSB):** SCSB is a banker to an issue registered with the SEBI which offers the facility of applying through the ASBA process. The list of SCSBs will be displayed by SEBI on its website at [www.sebi.gov.in](http://www.sebi.gov.in) from time to time. ASBAs can be accepted only by SCSBs, whose names appear in the list of SCSBs displayed on SEBI's website. As on April 15, 2010, 27 Banks have been recognised as SCSBs. Investors maintaining their accounts in any of these Banks may approach one of the designated branches of these SCSBs for availing this facility. Further it may be noted that from time to time new banks register themselves as SCSBs who become eligible to provide these services and also the existing SCSBs designate additional branches that also provide this facility. An updated list of all the registered SCSBs, their controlling branches, contact details and details of their contact persons, a list of their designated branches which are providing such services is available on the website of SEBI at the address <http://www.sebi.gov.in>. Further these details are also available on the websites of the Stock Exchanges at <http://www.bseindia.com> and <http://www.nseindia.com>. Alternatively, investors may also contact the AMC, R&TA for information about the SCSBs or the ASBA process. These SCSBs are deemed to have entered into an agreement with the Issuer and shall be required to offer the ASBA facility to all its account holders for all issues to which ASBA process is applicable. A SCSB shall identify its Designated Branches (DBs) at which an ASBA bidder shall submit ASBA and shall also identify the Controlling Branch (CB), which shall act as a coordinating branch for the Registrar to the Issue, Stock Exchanges and Merchant Bankers. The SCSB, its DBs and CB shall continue to act as such, for all issues to which ASBA process is applicable. The SCSB may identify new DBs for the purpose of ASBA process and intimate details of the same to SEBI, after which SEBI will add the DB to the list of SCSBs maintained by it. The SCSB shall communicate the following details to Stock Exchanges for making it available on their respective websites. These details shall also be made available by the SCSB on its website: (i) Name and address of the SCSB (ii) Addresses of DBs and CB and other details such as telephone number, fax number and email ids. (iii) Name and contact details of a nodal officer at a senior level from the CB.

**3. Eligibility of Investors:** An Investor shall be eligible to apply through ASBA process, if he/she: (i) is a "Resident Retail Individual Investor, Non-Individual Investor, QIBs, Eligible NRIs applying on non-repatriation basis, Eligible NRIs applying on repatriation basis i.e. any investor, (ii) is applying through blocking of funds in a bank account with the SCSB; Such investors are hereinafter referred as "ASBA Investors".

**4. ASBA Facility in Brief:** Investor shall submit his Bid through an ASBA cum Application Form, either in physical or electronic mode, to the SCSB with whom the bank account of the ASBA Investor or bank account utilised by the ASBA Investor ("ASBA Account") is maintained. The SCSB shall block an amount equal to the NFO application Amount in the bank account specified in the ASBA cum Application Form, physical or electronic, on the basis of an authorisation to this effect given by the account holder at the time of submitting the Application. The Bid Amount shall remain blocked in the aforesaid ASBA Account until the Allotment in the New fund Offer and consequent transfer of the Application Amount against the allocated Units to the Issuer's account designated for this purpose, or until withdrawal/failure of the Offer or until withdrawal/rejection of the ASBA Application, as the case may be. The ASBA data shall thereafter be uploaded by the SCSB in the electronic IPO system of the Stock Exchanges. Once the Allotment is finalised, the R&TA to the NFO shall send an appropriate request to the Controlling Branch of the SCSB for unblocking the relevant bank accounts and for transferring the amount allocable to the successful ASBA Bidders to the AMC account designated for this purpose. In case of withdrawal/Rejection of the Offer, the R&TA to the Offer shall notify the SCSBs to unblock the blocked amount of the ASBA Bidders within one day from the day of receipt of such notification.

**5. Obligations of the AMC:** AMC shall ensure that adequate arrangements are made by the R&TA for the NFO to obtain information about all ASBAs and to treat these applications similar to non-ASBA applications while allotment of Units, as per the procedure specified in the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009.

Investors are requested to check with their respective banks about the availability of the ASBA facility.

#### Other Information for ASBA Investors:

1. SCSB shall not accept any ASBA after the closing time of acceptance on the last day of the NFO period.
2. SCSB shall give ASBA investors an acknowledgment for the receipt of ASBAs.
3. SCSB shall not upload any ASBA in the electronic system of the Stock Exchange(s) unless (i) it has received the ASBA in a physical or electronic form; and (ii) it has blocked the application money in the bank account specified in the ASBA or has systems to ensure that Electronic ASBAs are accepted in the system only after blocking of application money in the relevant bank account opened with it.
4. SCSB shall ensure that complaints of ASBA investors arising out of errors or delay in capturing of data, blocking or unblocking of bank accounts, etc. are satisfactorily redressed.
5. SCSB shall be liable for all its omissions and commissions in discharging responsibilities in the ASBA process.
6. R&TA to the NFO shall act as a nodal agency for redressing complaints of ASBA and non-ASBA investors, including providing guidance to ASBA investors regarding approaching the SCSB concerned.

#### Grounds for rejection of ASBA applications

ASBA application forms can be rejected by the AMC/Registrar/SCSBs, on the following technical grounds:

1. Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane persons etc.
2. Mode of ASBA i.e. either Physical ASBA or Electronic ASBA, not selected or ticked.
3. ASBA Application Form without the stamp of the SCSB.
4. Application by any person outside India if not in compliance with applicable foreign and Indian laws.
5. Bank account details not given/incorrect details given.
6. Duly certified Power of Attorney, if applicable, not submitted along with the ASBA application form.
7. No corresponding records available with the Depositories matching the parameters namely (a) Names of the ASBA applicants (including the order of names of joint holders) (b) DP ID (c) Beneficiary account number or any other relevant details pertaining to the Depository Account.
8. Insufficient funds in the investor's account
9. Application accepted by SCSB and not uploaded on/with the Exchange / Registrar



## GUIDELINES FOR FILLING UP THE APPLICATION FORM

### 1. GENERAL INFORMATION

- a) Please fill up the Application Form legibly in English in **CAPITAL LETTERS**.
- b) Please read this Key Information Memorandum and the Scheme Information Document carefully before investing. Your application for allotment of units in the Scheme is construed to have been made with a full understanding of the terms and conditions applicable to it and the same is binding on you in respect of your investment in the Scheme.
- c) Application Form incomplete in any respect or not accompanied by a Cheque/ Demand Draft are liable to be rejected. In case your investment application gets rejected on account of the same being incomplete in any respect, your investment amount would be refunded without interest within 30 days.
- d) If you wish to switch your existing investment from any Kotak Mutual Scheme to Kotak NV 20 ETF, please fill in a Transaction Slip (available at all Official Acceptance Points). You can submit your switch request form along with Kotak NV 20 ETF application form (DP Name and DP ID is mandatory) to your nearest Official Acceptance Points.
- e) The Mutual Fund/ AMC and its Empanelled Brokers have not given and shall not give any indicative portfolio and indicative yield in any communication, in any manner whatsoever. Investors are advised not to rely on any communication regarding indicative yield / portfolio with regard to the Scheme

### 2. APPLICANT'S PERSONAL INFORMATION

- a) If you are already a Unitholder in any scheme of the Fund and wish to make your investment in Kotak NV 20 ETF. Please fill in the Name of Sole/First Applicant & Account No. In section 1, PAN details in section 2 of the Application Form, DP Name & DP ID in section 9, THIRD PARTY PAYMENT in section 10 and then proceed to section 11.
- b) If you are applying for units in Kotak Mahindra Mutual Fund for the first time, please furnish your complete postal address with the Pin Code (**P.O. Box No. Not enough**) and your Contact Nos. This would help us reach you faster.
- c) **Permanent Account Number (PAN) (Mandatory)**  
Permanent Account Number (PAN) Information (Mandatory) With effect from January 1, 2009, it is mandatory for all existing and new investors (including joint holders, guardians of minors and NRIs) to enclose a copy of PAN card to the application for investing in mutual fund Schemes.
- d) **Know Your Client (KYC)**  
With reference to SEBI Circular MIRSD/Cir-26/2011 dated December 23, 2011, investors may kindly note w.e.f. January 1, 2012, it is mandatory for all individual/non individual investors to be KYC Compliant. Investors can approach any SEBI registered KRA for doing KYC.  
In the event of KYC Form being subsequently rejected for lack of information/ deficiency/insufficiency of mandatory documentation, the investment transaction will be cancelled and the amount may be redeemed at applicable NAV, subject to payment of exit load, wherever applicable.
- e) If you have not indicated your Tax Status in the Application Form, the same would be assumed to be Others

### 3. THIRD PARTY PAYMENT

Reference to AMFI Best Practice Guidelines Circular No. 16/2010-11 on Risk Mitigation process against Third Party Cheques in Mutual Fund Subscriptions will not be accepted by the Scheme.

#### Definition of Third Party Cheques

- Where payment is made through instruments issued from an account other than that of the beneficiary investor, the same is referred to as Third-Party payment.
- In case of a payment from a joint bank account, the first holder of the mutual fund folio has to be one of the joint holders of the bank account from which payment is made. If this criterion is not fulfilled, then this is also construed to be a third party payment.

However, afore-mentioned clause of investment with Third-Party Payment shall not be applicable for the below mentioned exceptional cases.

- a. Payment by Parents/Grand-Parents/related persons on behalf of a minor in consideration of natural love and affection or as gift for a value not exceeding Rs.50,000/- (each regular purchase or per SIP installment)
- b. Payment by Employer on behalf of employee under Systematic Investment Plans or lump sum / one-time subscription, through Payroll deductions. Asset management companies should exercise extra due diligence in terms of ensuring the authenticity of such arrangements from a fraud prevention and KYC perspectives.
- c. Custodian on behalf of an FI or a client.

For pre funded instruments such as DD/Pay order it is the onus of the investor to provided adequate supporting documents to prove that such instruments are issued by debiting the first holders account.

Kotak Mahindra Asset Management Co. Ltd. / Trustee retains the sole and absolute discretion to reject / not process application and refund subscription money if the subscription does not comply with the specified provisions of Payment Instruments

### 4. BANK ACCOUNT DETAILS

- a) Bank account details provided in the application will be used for refunding reject applications where DP ID is not matching
  - b) Bank Mandate for redemptions will be as per your DP ID incase of demat holding.
- ### 5. PAYMENT DETAILS
- a) Cheques should be crossed "**A/c Payee only**" and drawn in favour of "**Kotak NV 20 ETF**"
  - b) During the New Fund Offer, Application Form along with the Cheque/ Demand Draft may be lodged with Official Collection Centres (Listed in back cover)
  - c) If you are residing / located in a city / town where we do not have an Official Collection Centre, please draw a demand Draft payable at your nearest city where we have such office.
  - d) Payment by Cash, Stockinvests, Outstation Cheques and Non-MICR Cheques will not be accepted Post dated cheques will not be accepted.

### 6. Nomination

For unit holders holding units in demat form: The units will be issued in demat form through depository system. The unitholder will be entitled to the nomination facility offered by the depository with whom the unitholder has an account.

Unit holders do not provide their Demat Account details:

- a. The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. Nomination cannot be updated in a folio held on behalf of minor.
- b. Minor(s) can be nominated and in that event, the name, address and signature of the guardian of the minor nominee(s) shall be provided by the unitholder.
- c. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- d. The Nominee shall not be a trust other than religious and charitable trust, society, body corporate, partnership firm, karta of Hindu Undivided Family, a power of attorney holder. A non-resident Indian can be nominee subject to the exchange control regulations in force, from time to time.
- e. Transfer of unit in favour of Noninee(s) shall be valid discharge by the Asset Management Company against the legal heir.
- f. The cancellation of nomination can be made only by those individuals who hold unit on their behalf singly or jointly.
- g. On cancellation of nomination, the nomination shall stand rescinded and the Asset Management Company shall not be under any obligation to transfer the units in favour of the Nominee(s).
- h. If there is multiple nomination and the percentage is less than 100% than the balance will be re-balanced to the first unitholder. If percentage is greater than 100% then nomination would be rejected.
- i. Nomination in respect of the units stands rescinded upon the transfer of units.
- j. PAN/KYC of POA/Guardian is mandatory, applications without this information will be deemed to be incomplete & would be rejected.

### 7. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIIN)

SEBI has made it compulsory for every employee/relationship manager/ sales person of the distributor of mutual fund products to quote the EUIIN obtained by him/her from AMFI in the Application Form. EUIIN would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Hence, if your investments are routed through a distributor please ensure that the EUIIN is correctly filled up in the Application Form.

However, if your distributor has not given you any advice pertaining to the investment, the EUIIN box may be left blank. In this case you are required to provide the declaration to this effect as given in the form.

### 8. Foreign Account Tax Compliance Act (FATCA) and Ultimate Beneficial Ownership (UBO)

Investor(s) are requested to please refer our website [assetmanagement.kotak.com](http://assetmanagement.kotak.com) for information regarding the same.

### 9. DECLARATION AND SIGNATURES

- a) Signature can be in English or in any other Indian language. Thumb impressions must be attested by a Magistrate or Notary Public or a Special Executive Magistrate under his/her official seal.
- b) Applications by minors must be signed on their behalf by their guardians.
- c) If you are investing through your constituted attorney, please ensure that the POA document is signed by you and your constituted Attorney. The signature in the Application Form, then needs to clearly indicate that the signature is on your behalf by the Constituted Attorney. (**Application not complying with any of the above Instruction/ guidelines would be rejected.**)

## OFFICIAL COLLECTION CENTRES (For New Fund Offer)

### KMAMC AUTHORISED COLLECTION CENTRES

**Agra:** S-8, 2nd Floor, Maruti Plaza, Agra – 282002. **Ahmedabad:** 9,10,11- 2nd Floor, Siddhi Vinayak complex, Shivranjani Cross Roads, Satellite, Ahmedabad - 380015. **Ajmer:** 1st Floor, India Heights, Kutchary Road, India Motor Circle, Ajmer – 305001. **Aligarh:** 1st Floor, C1, Omeshwar Plaza, Plot No.3/243, Laxmi Bai Marg, Marris Road, Aligarh - 202001. **Allahabad:** Upper Ground Floor, Vashistha Vinayak Tower, 38/1 Tashkant Marg, Civil Lines, Allahabad - 211003. **Ambala:** 5397-5398, First Floor, Punjabi Mohalla, Nicholson Road, Above Haryana Beauty Parlour Ambala Cantt – 133001. **Amritsar:** 2nd Floor, SCO-32, Pal Plaza, Distt. Shopping Complex, Block-B, Ranjit Avenue, Amritsar – 143001. **Anand:** 302, Madhav Complex, Anand Grid Road, B/S Sanket Complex, Anand - 388001. **Aurangabad:** 3rd Floor, Kandi Towers, CTS No. 12995, Above Kotak Mahindra Bank, Jalna Road, Aurangabad - 431001. **Bangalore:** 2nd Floor, Umia Landmark, 10/7, Lavelle Road, Bangalore - 560001. **Bareilly:** 1st Floor, 167-A, Civil Lines, Station Road, Above Syndicate Bank, Bareilly - 243001. **Bathinda:** VD Complex 2928, E/45, Bibiwal Road, Bathinda - 151005. **Bhavnagar:** 303, 3rd Floor, "Krushna Darshan", Parimal Chok, Waghawadi Road, Bhavnagar - 364002. **Bhilai:** Shop No. 22, Commercial Complex, Nehru Nagar (E) Bhilai – 490006. **Bhopal:** 2nd Floor, Office No.SB-21, Mansarovar Complex, Hoshangabad Road, Bhopal - 462011. **Bhubaneswar:** 2nd Floor, Building No.24, SCR Janpath, Bapujinagar, Bhubaneswar - 751001. **Bhub:** Ramyakala Shop no 4, Ground Floor, Nr Dr.Mahadev Patel Hospital, Hospital Road, Bhuj Kutch - 370001. **Calicut:** PARCO Complex, 5th Floor, Near ICICI Bank Ltd, Kallai Road, Calicut - 673012. **Chandigarh:** Sco No 2475- 2476, 1st Floor, Sector 22 C, Chandigarh -160022. **Chennai:** No. 1-E, 1st Floor, Eldorado Building, 112, Nungambakkam High Road, Chennai - 600034. **Cochin:** Door No.65/877, 1st Floor, Chammany Complex, Kaloor-Kadavanthara Road, Cochin – 682017. **Coimbatore:** S. S. Complex, 554B/1, 2nd Floor, D.B. Road, R S Puram, Coimbatore - 641002. **Cuttack:** 3rd Floor, City Mart, Above Vishal, Mega Mart, Bajrakbati Road, Cuttack-753001. **Dehradun:** 9A & B, 1st Floor, India Trade Centre, 97 Rajpur Road, Dehradun - 248001. **Dhanbad:** Room No-418, Sriaram Plaza, Bank More, Dhanbad - 826001. **Durgapur:** 2nd Floor, Amantran, Urbashi Commercial Place, Bengal Ambuja, City Centre, Durgapur - 713216. **Goa:** 3rd Floor, Mathias Plaza, 18th June Road, Panjim, Goa - 403001. **Gorakhpur:** Office no 4, 2nd Floor, Cross Road, A. D. Chowk, Bank Road, Gorakhpur - 273001. **Guntur:** 2nd Floor, Platini Plaza, 8<sup>th</sup> Line Main Road, Arundalpet, Guntur - 522002. **Gurgaon:** 2nd Floor, SCO-14, Sector No 14, Gurgaon - 122001. **Guwahati:** 5th Floor, Amaze Shopping Mall (Above Vishal Mega Mart) A.T.Road, Guwahati - 781001. **Hubli:** 1st Floor, Kundgol Complex, Court Circle, Hubli - 580029. **Hyderabad:** No.304, 3rd Floor, Jade Arcade, Paradise Circle, M.G. Road, Hyderabad - 500003. **Indore:** 2nd Floor, Starlite Tower, 29/1, Y.N. Road, Indore – 452001. **Jaipur:** 202, Mall-21, Opp. Raj Mandir Cinema, Bhagwandas Road, Jaipur - 302001. **Jalandhar:** Office No.9, 3rd Floor, City Square Building, Eh-197, Civil Lines, Jalandhar – 144001. **Jalgaon:** Ground Floor Panna House Jai Nagar opp. Omkareshwar Jalgaon - 425002. **Jammu:** Shop No.21, Ground Floor, A-2 South Block, Bahu Plaza, Jammu - 180001. **Jammnagar:** 107, 1st Floor, Madhav Darshan, Opp. Cricket Bungalow, Jammnagar - 361001. **Jamshedpur:** 1st Floor, Sanghi Mansion, Main Road, Sakchi Boulevard Road, Ram Mandir Area, Bustupur, Jamshedpur - 831001. **Jodhpur:** 117, 1st Floor, Modi Arcade, Near Bombay Motor Circle, Chopasani Road, Jodhpur - 342001. **Kanpur:** Room No. 107, 1st Floor, Ratan Squire, 14/144 Chungi Ganj, Kanpur - 208001. **Kolhapur:** Office No 59, Upper Ground Floor, Raobahadur Dajirao Vichare Complex, Gemstone, 517 AV2, New Shahupuri, Near Central Bus Stand, Kolhapur - 416 002. **Kolkata:** 3rd Floor, The Millennium, 235/2A, A. J. C. Bose Road, Kolkata – 700020. **Kottayam:** 3rd Floor, Pulimootill Arcade, K K Road, Kanjikuzhy, Kottayam – 686004. **Lucknow:** Aryans Business Park, 90 MG Marg, Lucknow - 226 001. **Ludhiana:** 1st Floor, SCO 20, Feroze Gandhi Market, Ludhiana - 141001. **Mangalore:** 2nd Floor, Manasa Towers, Near PVS Circle, M.G. Road, Kodialbail, Mangalore - 575003. **Meerut:** Shop No. G-5, Ground Floor, Star Palace Bacchpark, Opp Rama Plaza Meerut - 250001. **Moradabad:** Above Krishna Investment Consultant, Near Raj Mahal Hotel, Near Civil Lines, Moradabad - 244001. **Mumbai:** 6th Floor, Kotak Infinity, Building No. 21, Infinity Park, Off Western Express Highway, Gen. A K Vaidya Marg, Malad (E), Mumbai - 400097. **Mumbai (Nariman Point):** 2nd Floor, Bakhtawar, 229 Nariman Point, Mumbai - 400021. **Mumbai (Thane):** Ground Floor, Shop No.2, Ram Rao Sahani Sadan, Kaka Sohni Path, Thane (W)- 400602. **Mysore:** Prashanth Plaza, 5th Cross, 4th Main Road, Saraswathipuram, Mysore - 570009. **Nagpur:** 302, 3rd Floor, Shalwak Manor, East High Court Road, Opposite Dr. Jay Deshmukh's Hospital, Ramdaspeeth, Nagpur - 440011. **Nashik:** Shop no 6, Ground Floor, Krishnaratna, Opp. Hotel Potoba, New Pandit Colony, Nashik - 422002. **New Delhi:** Kotak Mahindra Asset Management Co. Ltd., Unit No. 9A & 9C, 9th Floor, Vandana Building, Tolstoy Marg, Connaught Place, New Delhi – 110001. **Panipat:** Jawa Complex, Lower Ground Floor, Near Vijaya Bank, Opp: Bathak Chowk, G.T. Road, Panipat – 132103. **Patiala:** B-17/423, Opp. Polo Ground, Near Modi College, Lower Mall, Patiala - 147001. **Patna:** 204 Shyam Center, Besides Republic Hotel, Exhibition Road, Patna - 800001. **Pondicherry:** 1st Floor-116, Jayalakshmi Complex, Thiruvalluvar Salai, Pillaithottam, Pondicherry - 605013. **Pune:** Yeshwant, Office no 31, 3rd Floor, Plot No 37/10 B, Opp Lane no 9, Prabhat Road, Pune 411004. **Raipur:** GF-04, Millennium Plaza, Banstal Road, Near Indian Coffee House, Raipur - 492001. **Rajkot:** Star Plaza, 4th Floor, Office No – 429, Nr. Phulchhab Chowk, Rajkot – 360001. **Ranchi:** 3rd Floor, Satya Ganga, Lalji Hirji Road, Main Road, Ranchi – 834001. **Rourkela:** 2nd Floor, Plot No 304, Holding No 72, Opp Old Court, Main Road, Uditnagar, Above Yes Bank & Corporation Bank, Rourkela - 769012. **Salem:** 213, 2nd Floor, Kandaswara Shopping Mall, Saradha Collage Main Road, Salem - 636016. **Shimla:** Bhagra Nivas, Near Lift Road, The Mall Shimla - 171001. **Siliguri:** Lower Ground Floor, Nanak Complex, Sevoke Road, Siliguri - 734001. **Srinagar:** C/O Curemin Medicate, Zaindar Mohalla, Habba Kadal, Srinagar - 190001. **Surat:** M-7, Mezzanine floor, Jolly Plaza, Near Athwa Arcade, Athwa Gate, Surat - 395001. **Trichy:** 1st Floor, Vignesh Aradhana, No.16, Shop no.4, Shastri Road, Thennur, Trichy - 620017. **Trichur:** 2nd Floor, Trichur Trade Center, Kuruppam Road, Trichur - 680001. **Trivandrum:** S. 1. White Heaven, Vellayambalam, Trivandrum - 695010. **Udaipur:** C/o. Kotak Securities, 1st Floor, Moomal Tower, Above IDBI Bank, 222/16, Saheli Marg, Saheli Nagar, Udaipur - 313001. **Vadodara:** 1st Floor, 137, Siddharth Complex, R. C. Dutt Road, Alkapuri, Vadodara - 390007. **Vapi:** Office No.10, 1st Floor, Sahara Market, Vapi-Silvassa Road, Vapi - 396191. **Varanasi:** D-58/53-54, Shiva Complex, Shop No 9, Rathayatra Crossing, Varanasi - 221010. **Vijayawada:** 2nd Floor, Soma shankar Nilayam, 40-1-29, Above Kuttans Show Room, Near Fortune Murali Park, M G Road, Vijayawada - 520010. **Vishakapatnam:** 1st floor, Door No. 47-10-10, Rednam Regency, 2nd lane Dwaraka Nagar, Vishakapatnam - 530016.

## OFFICIAL COLLECTION POINTS (For Switch-ins)

### I. KOTAK MAHINDRA ASSET MANAGEMENT COMPANY LIMITED

The list of Kotak Mahindra Assets Management Company Limited offices are as mentioned above.

### II. COMPUTER AGE MANAGEMENT SERVICES PRIVATE LIMITED (CAMS) - INVESTOR SERVICE CENTRES

**Ahmedabad:** 111-113, 1st Floor, Devpath Building, Off C G Road, Behind Lal Bungalow, Ellis Bridge, Ahmedabad - 380006. **Bangalore:** Trade Centre, 1st Floor, 45, Dikensen Road, ( Next to Manipal Centre ), Bangalore - 560042. **Bhubaneswar:** 3rd Floor, Plot No - 111, Varaha Complex Building, Station Square, Kharvel Nagar, Unit 3, Bhubaneswar - 751001. **Chandigarh:** Deepak Tower, SCO 154-155, 1st Floor, Sector 17-C, Chandigarh - 160017. **Chennai:** Ground Floor No.178/10, Kodambakkam High Road, Opp. Hotel Palmgrove, Nungambakkam, Chennai - 600034. **Cochin:** 1st Floor, K C Centre, Door No. 42/227-B, Chittoor Road, Opp. North Town Police Station, Kacheripuzha, Cochin - 682018. **Coimbatore:** Ground Floor, Old No. 66 New No. 86, Lokamanya Street (West), R.S.Puram, Coimbatore - 641002. **Durgapur:** 3rd Floor, City Plaza Building, City Centre, Durgapur - 713 216. **Goa:** No.108, 1st Floor, Gurudutta Bldg, Above Weekender, M G Road, Panaji, Goa - 403001. **Hyderabad:** 208, 2nd Floor, Jade Arcade, Paradise Circle, Secunderabad - 500003. **Indore:** 101, Shalimar Corporate Centre, 8-B, South tukogunj, Opp.Greenpark, Indore - 452001. **Jaipur:** R-7, Yudhishthir Marg ,C-Scheme, Behind Ashok Nagar Police Station, 63/ 2, The Mall, Jaipur - 302001. **Kanpur:** 1st Floor 106 to 108, CITY CENTRE Phase -II, Kanpur - 208001. **Kolkata:** Saket Building, 44 Park Street, 2nd Floor, Kolkata - 700016. **Lucknow:** Off No 4, 1st Floor, Centre Court Building, 3/c, 5 - Park Road, Hazratganj, Lucknow - 226001. **Ludhiana:** U/ GF, Prince Market, Green Field, Near Traffic Lights, Sarabha Nagar Pulli, Pakhowal Road, Ludhiana - 141002. **Madurai:** 86/71A, Tamilangam Road, Madurai - 625001. **Mangalore:** No. G 4 & G 5, Inland Monarch, Opp. Karnataka Bank, Kadri Main Road, Kadri, Mangalore - 575003. **Mumbai:** Rajabhadur Compound, Ground Floor, Opp Allahabad Bank, Behind ICICI Bank, 30, Mumbai Samachar Marg, Fort, Mumbai - 400023. **Nagpur:** 145 Lendra, New Ramdaspath, Nagpur - 440010. **New Delhi:** 7-E 4th Floor, Deen Daya Research Institute Building, Swami Ram Tirath Nagar, Near Vidyacon Tower, Jhandewalan Extension, New Delhi - 110055. **Patna:** G-3, Ground Floor, Om Vihar Complex, SP Verma Road, Patna - 800001. **Pune:** Nirmiti Eminence, Off No. 6, 1st Floor, Opp Abhishek Hotel Mehandale Garage Road, Erandawane, Pune - 411004. **Surat:** Plot No.629, 2nd Floor, Office No.2-C/2-D, Mansukhlal Tower, Beside Seventh Day Hospital, Opp.Dhiraj Sons, Athwalines, Surat - 395001. **Vadodara:** 103 Ariès Complex, BPC Road, Off R.C. Dutt Road, Alkapuri, Vadodara - 390007. **Vijayawada:** 40-1-68, Rao & Ratnam Complex, Near Chennupati Petrol Pump, M.G Road, Lobbipet, Vijayawada - 520010. **Visakhapatnam:** 47/9/17, 1st Floor, 3rd Lane, Dwaraka Nagar, Visakhapatnam - 530016.

### III. COMPUTER AGE MANAGEMENT SERVICES PRIVATE LIMITED (CAMS) - TRANSACTION POINT

**Agartala :** Advisor Chowmuhani, (Ground Floor), Krishnanagar, Agartala - 799001. **Agra :** No.8, 2nd Floor, Maruti Tower, Sanjay Place, Agra - 282002. **Ahmednagar :** B, 1+3, Krishna Enclave Complex, Near Hotel Natraj, Nagar-Aurangabad Road, Ahmednagar - 414001. **Ajmer :** AMC No. 423/30, New Church Brahmapur, Opp T B Hospital, Jaipur Road, Ajmer - 305001. **Akola :** Opp. RLT Science College, Civil Lines, Akola - 444001. **Aligarh :** City Enclave, Opp. Kumar Nursing Home, Ramghat Road, Aligarh - 202001. **Allahabad :** 30/2, A&B, Civil Lines Station, Besides Vishal Mega Mart, Strachey Road, Allahabad - 211001. **Alleppey :** Doctor's Tower Building, Door No. 14/2562, 1st floor, North of Iorn Bridge, Near Hotel Arcadia Regency, Allppey - 688 001. **Alwar :** 256A, Scheme No 1, Arya Nagar, Alwar - 301001. **Amaravati :** 81, Gulshan Tower, 2nd Floor, Near Panchsheel Talkies, Amaravati - 444601. **Amبالa :** Opposite PEER, Bal Bhavan Road, Ambala - 134003. **Amritsar :** SCO - 18J, 'C' BLOCK RANJIT AVENUE, Amritsar - 140001. **Anand :** 101, A P Tower, Behind Sardhar Gunj, Next to Nathwani Chambers, Anand - 388001. **Anantapur :** 15-570-33, I Floor Pallavi Towers, Subash Road, Opp:Canara Bank Anantapur - 515 001 Andhra Pradesh. **Ankleshwar :** G-34, Ravi Complex, Valia Char Rasta, G I D C, Bharuch, Ankleshwar - 393002. **Asansol :** Block - G, 1st Floor, P C Chatterjee Market Complex, Rambandhu Talab, P O Ushagram, Asansol - 713303. **Aurangabad :** Office No. 1, 1st Floor, Amodi Complex, Juna Bazar, Aurangabad - 431001. **Balasoore :** B C Sen Road, Balasore - 756001. **Bareilly :** F-62-63, Butler Plaza, Civil Lines, Bareilly - 243001. **Basti :** Office No. 3, 1st Floor, Jamia Shopping Complex, (Opposite Pandey School), Station Road, (Uttar Pradesh), Basti - 272002. **Belgaum :** 1st Floor, 221/2A/1B, Vaccine Depot Road, Near 2nd Railway gate, Tilakwadi, Belgaum - 590006. **Bellary :** No 60/5 Mullaing Compound, Gandhinagar Main Road (Old Gopalswamy Road), Bellary - 583101. **Berhampur :** 1st Floor, Upstairs of Aaroon Printers, Gandhi Nagar Main Road, Ganjam Dt Orissa, Berhampur - 760001. **Bhagalpur :** Krishna, 1st Floor, Near Mahadev Cinema, Dr R P Road, Bhagalpur - 812002. **Bharuch (Parent: Ankleshwar TP):** F-108, Rangoli Complex, Station Road Bharuch - 392001. **Bhatinda :** 2907 GH, GT Road, Near Zila Parishad, Bhatinda - 151001. **Bhavnagar:** 305-306, Sterling Point, Waghawadi Road, OPP. HDFC Bank, Bhavnagar - 364002. **Bhilai :** Shop No. 117, Ground Floor, Khicharia Complex, Opposite IDBI Bank, Nehru Nagar Square, Bhilai - 490020. **Bhilwara :** Indraprastha Tower, 2nd Floor, Shyam Ki Sabji Mandi Near Mukulji Garden, Bhilwara - 311001. **Bhopal :** Plot No. 13, Major Shopping Centre, Zone-I, M P Nagar, Bhopal - 462011. **Bhuj :** Data Solution, Office No. 17, 1st Floor, Municipal Building, Opp Hotel Prince, Station Road, Bhuj-Kutch - 370001. **Bhusawal (Parent: Jalgaon TP):** 3, Adelaide Apartment, Christian Mohala, Behind Gulshan-E-Iran Hotel, Amardeep Talkies Road, Bhusawal - 425201. **Bikaner :** F 4/5, Bothra Complex, Modern Market, Bikaner - 334001. **Bilaspur :** 2nd Floor, Gwalani Chambers, St Xavier School Road, In Front of CIT (Income Tax) Office, Vyapar Vihar, Bilaspur - 495001. **Bokaro :** Mazzanine Floor, F-4, City Centre, Sector-4, Bokaro Steel City Bokaro - 827004. **Burdwan :** 399, G T Road, Basement of Talk of the Town, Burdwan - 713101. **C.R.Avenue (Parent: Kolkata ISC):** 33, C R Avenue, 2nd Floor, Room No.13, Kolkata - 700012. **Calicut :** 29/97G, 2nd Floor, Gulf Air Building, Mavoor Road, Arayadithupalam, Calicut - 673016. **Chandrapur :** Opp Mustafa Decor, Near Bangalore Bakery, Kasturba Road, Chandrapur - 442 402 Maharashtra. **Chennai:** Ground Floor, 148 Old Mahabalipuram Road, Okkiyam, Thuraipakkam, Chennai - 600097. **Chhindwara :** Shop No. 01 Near Pujia Lawn, Prarasia Road, Chhindwara - 480001. **Chittoor :** 3 Ashok Nagar, Near Heera Vatika, Chittoor - 312001. **Cuttack :** Near Indian Overseas Bank, Cantonment Road, Mata Math, Cuttack - 753001. **Darbhanga :** Shahi Complex, 1st Floor, Near R B Memorial Hospital, V I P Road, Benta, Laheriasarai, Darbhanga 846001. **Davengere :** 13, 1st Floor, Akkamahadevi Samaj Complex, Church Road, P J Extension, Davengere - 577002. **Dehradun :** 204/121, Nari Shilp Mandir Marg, Old Connaught Place, Dehradun - 248001. **Deoghar :** S S M Jalan Road, Ground Floor, Opp Hotel Ashoke, Caster Town, Deoghar - 814112. **Dhanbad :** Urmila Towers, Room No. 111, 1st Floor, Bank More, Dhanbad - 826001. **Dharmapuri :** 16A/63A, Pidamaneri Road, Near Indoor Stadium, Dharmapuri - 636701. **Dhule :** H No. 1793 /A, J B Road, Near Tower Garden, Dhule - 424001. **Erode :** 197, Seshaiyer Complex, Agraharam Street, Erode - 638001. **Faizabad :** Amar Deep Building, 3/20/14, 2nd Floor, Niyaawan, Faizabad-224001 **Faridabad :** B-49, 1st Floor, Nehru Ground, Behind Anupam Sweet House, NIT, Faridabad - 121001. **Gandhidham :** 5-7, Ratnakala Arcade, Plot No. 231, Ward - 12/B, Gandhidham - 370201. **Ghaziabad :** 113/6, 1st Floor, Nawyug Market, Ghaziabad - 201001. **Gondal :** A/177 Kailash Complex Opp. Khedut Decor GONDAL - 360311. **Gorakhpur :** Shop No. 3, 2nd Floor, Cross Road, A.D. Chowk, Bank Road, Gorakhpur - 273001. **Gulbarga :** Pal Complex, 1st Floor, Opp City Bus Stop, Super Market, Gulbarga - 585101. **Guntur :** Door No 5-38-44, 5/1 BRDIPET, Near Ravi Sankar Hotel, Guntur - 522002. **Gurgaon :** SCO - 17, 3rd Floor, Sector-14, Gurgaon - 122001. **Guwahati :** A K Azad Road, Rehbari, Guwahati - 781008. **Gwalior :** G-6, Global Apartment Phase - II, Opposite Income Tax Office, Kailash Vihar City Centre, Gwalior - 474011. **Haldia :** 1st Floor, New Market Complex, Durgachak Post Office, Purba Medinipur District, Haldia - 721602. **Haldwani :** Durga City Centre, Nainital Road, Haldwani - 263139. **Hazaribagh :** Municipal Market, Annada Chowk, Hazaribagh - 825301. **Himmatnagar :** D-78, 1st Floor, New Durga Bazar, Near Railway Crossing, Himmatnagar - 383001. **Hisar :** 12, Opp Bank of Baroda, Red Square Market, Hisar - 125001. **Hoshiarpur :** Near Archies Galleries, Shimla Pahari Chowk, Hoshiarpur - 146001. **Hosur :** H.No.9/2, 1st Floor, Attibele Road, HCF Post, Behind RTO office, Mathgiri, Hosur - 635 110. **Hubli :** 206 & 207, 1st Floor, A-Block, Kundagol Complex, Opp Court, Club road, Hubli - 580029. **Jabalpur :** 8, Ground Floor, Datt Towers, Behind Commercial Automobiles, Napier Town, Jabalpur - 482001. **Jalandhar :** 367/8, Central Town, Opp. Gurudwara Diwan Asthan, Jalandhar - 144001. **Jalgaon :** Rustomji Infotech Services, 70, Navipeth, Opp old Bus Stand, Jalgaon - 425001. **Jalna :** (Parent ISC - Aurangabad) : Shop No. 11, 1st Floor, Ashoka Plaza, Opp Magistic Talkies, Subhash Road, Jalna - 431203. **Jamnagar :** 207, Manek Centre, P N Marg, Jamnagar - 361001. **Jamshedpur :** Millennium Tower, Room No. 15, 1st Floor, R - Road, Bistupur, Jamshedpur - 831001. **Jhansi :** Babu Lal Karkhana Compound, Opp SBI Credit Branch, Gwalior Road, Jhansi - 284001. **Jodhpur :** 1/5, Nirmal Tower, 1st Floor, Opp City Bus Stop, Super Market, Jodhpur - 342003. **Jammu :** JRD'S Heights, Lane Opp. S&S Computers, Near RBI Building, Sector 14, Nand Nagar Jammu - 180004. **Junagadh :** Circle Chowk, Near Choksi Bazar Kaman, Gujarat Junagadh - 362001. **Kadapa :** Door No.: 21/ 598, Palempapaiah Street, Near Ganjikutna Pandurangiah Dental Clinic, 7 Road Circle, Kadapa - 516001. **Kakinada :** No.33-1, 44 Sri Sathya Complex, Main Road, Kakinada - 533 001. **Kalyani :** A - 1/50, Block - A, Dist Nadia Kalyani - 741235. **Kannur :** Room No.14/435, Casa Marina Shopping Centre, Talap, Kannur - 670004. **Karimnagar :** H No. 7-1-257, Upstairs S B H, Mangamthota, Karimnagar - 505001. **Karnal (Parent: Panipat TP):** 7, IInd Floor, Opp Bata Showroom, Kunjapura Road, Karnal - 132001. **Karur :** 126 GVP Towers, Kovai Road, Basement of Axis Bank, Karur - 639002. **Katni :** NH 7, Near LIC, Jabalpur Road, Bargawan, Katni - 483501. **Kestopur :** S. D. Tower, Sreeparna Apartment, AA-101, Prafulla Kannan (West) Shop No - 1M, Block - C (Ground Floor), Kestopur, - 700101. **Khammam :** 1st Floor, Shop No 11 - 2 - 31/3, Phillips Complex, Balajinagar, Wyra Road, Near Baburao Petrol Bunk, Khammam - 507001. **Kharagpur :** Shivhare Niketan, H No 291/1, Ward No 15, Opposite UCO Bank, Kharagpur - 721301. **Kolhapur :** AMD Sofex Office No.7, 3rd Floor, Ayodhya Towers, Station Road, Kolhapur - 416001. **Kollam :** Kochupillamoodu Junction, Near VLC, Beach Road, Kollam - 691001. **Kota :** B-33, Kalyan Bhawan, Triangle Part, Vallabh Nagar, Kota - 324007. **Kottayam :** Building No: KMC IX / 1331 A, Opp.: Malayala Manorama, Railway Station Road, Thekkumkattil Building Kottayam - 686 001. **Kumbakonam :** Jalilani Complex, 47, Mutt Street, Kumbakonam - 612001. **Kurnool :** H.No.43/8, Upstairs, Uppini Arcade, N R Peta, Kurnool - 518004. **Malda :** Daxhinapan Abasan, Opp Lane of Hotel Kalinga, S M Pally, Malda - 732101. **Manipal:** Basement floor, Academy Tower, Opposite Corporation Bank, Manipal - 576104. **Mapusa (Parent ISC : Goa) :** Office No.CF-8, 1st Floor, Business Point, Above Bicholim Urban Co-op Bank, Angod, Mapusa - 403507. **Margao :** Virginkar Chambers, 1st Floor, Near Kamath Millan Hotel, New Market, Near Lily Garments, Old Station Road, Margao - 403601. **Mathura :** 159/160, Vikas Bazar, Mathura - 281001. **Meerut :** 108, 1st Floor, Shivam Plaza, Opp Eves Cinema, Hapur Road, Meerut - 250002. **Mehsana :** 1st Floor, Subhadra Complex, Urban Bank Road, Mehsana - 384002. **Moga :** Gandhi Road, Opp Union Bank of India, Moga - 142001. **Moradabad :** B-612, Sudhakar, Lajpat Nagar, Moradabad - 244001. **Mumbai (Andheri):** CIS No 411, Citipoint, Gundivali, Teli Gali, Above C.T. Chhatwani Hall, Andheri (East) Mumbai - 400 069. **Muzaffarpur :** Brahmam Toli, Durga Asthan Gola Road, Muzaffarpur - 842001. **Mysore :** No. 1, 1st Floor, CH.26 7th Main, 5th Cross, (Above Trishakti Medicals), Saraswati Puram, Mysore - 570009. **Nadiad :** F 142, First Floor, Gantakaran Complex, Gunj Bazar, Nadiad - 387001. **Nalgonda :** Adj. to Maisaiah Statue, Clock Tower Center, Bus Stand Road, Nalgonda - 508001. **Nashik :** Rutarang Bungalow, 2 Godavari Colony, Behind Big Bazar, Near Boys Town School, Off College Road, Nashik - 422005. **Navsari :** Dinesh Vasani & Associates, 103 - Harekrishna Complex, above IDBI Bank, Near Vasant Talkies, Chinnabai Road, Navasari - 396445. **Nellore :** 97/56, 1st Floor, Immadisetty Towers, Ranganayakulapet Road, Santhapet, Nellore - 524001. **Noida :** C-81, 1st Floor, Sector No 2, Noida - 201301. **Palakkad :** 10 / 688, Sreedevi Residency, Mettupalayam Street, Palakkad - 678001. **Palanpur :** Jyotindra Industries Compound, Near Vinayak Party Plot, Deesa Road, Palanpur - 385001. **Panipat :** 83, Devi Lal Shopping Complex, Opp ABN Amro Bank, G T Road, Panipat 132103. **Patiala :** 35, New Lal Bagh Colony, Patiala - 147001. **Pondicherry :** S-8, 100, Jawahar Lal Nehru Street, (New Complex, Opp. Indian Coffee House), Pondicherry - 605001. **Raibareilly :** 17, Anand Nagar Complex, Raibareilly - 229001. **Raipur :** HIG, C-23, Sector - 1, Devendra Nagar, Raipur - 492004. **Rajahmundry :** Cabin 101, D No. 7-27-4, 1st Floor, Krishna Complex, Baruvari Street, T Nagar, Rajahmundry - 533101. **Rajkot :** Office 207 - 210, Everest Building, Harihar Chowk, Opp Shastri Maidan Lohda Chowk Rajkot - 360001. **Ranchi :** 4, HB Road, No: 206, 2nd Floor Shri Lok Complex, Ranchi - 834 001. **Ratlam :** Daffria & Co., 18, Ram Bagh, Near Scholar's Schoo, Ratlam - 457001. **Ratnagiri :** Kohinor Complex, Near Natya Theatre, Nachane Road, Ratnagiri - 415639. **Rohtak :** 205, 2nd Floor, Bldg. No. 2, Munjal Complex, Delhi Road, Rohtak - 124001. **Roorkee :** 22 Civil Lines, Ground Floor, Hotel Krish Residence Roorkee - 247667. **Rourkela :** 1st Floor, Mangal Bhawan, Phase II, Power House Road, Rourkela - 769001. **Sagar :** Opp. Somani Automobiles, Bhagwanjani, Sagar - 470002. **Saharanpur :** 1st Floor, Krishna Complex, Opp. Hathi Gate, Court Road, Saharanpur - 247001. **Salem :** No. 2, 1st Floor, Vivekananda Street, Near Fairlands, Salem - 636016. **Sambaipur :** C/o Raj Tibrewal & Associates, Opp. Town High School, Sansarak, Sambaipur - 768001. **Sangli:** Jyeshwar Krupa Bldg, Shop No.2, Ground Floor, Tilak Chowk, Harbhat Road, Sangli - 416416. **Satara :** 117 / A / 3 / 22, Shukrawar Peth, Sargam Apartment, Satara - 415002. **Shahjahanpur :** Bijilipura, Near Old Dist Hospital, Shahjahanpur - 242001. **Shimla :** 1st Floor, Opp Panchayat Bhawan Main Gate, Bus Stand, Shimla - 171001. **Shimoga :** Nethravathi, Near Gutti Nursing Home, Kuvempura Road, Shimoga - 577201. **Siliguri :** 17B Swamiji Sarani, Siliguri- 734001. **Sirsa :** Gali No 1, Old Court Road, Near Railway Station Crossing, Sirsa - 125055. **Solan :** 1st Floor, Above Sharma General Store, Near Sanki Rest house, The Mall, Solan - 173212. **Solapur :** Flat No 109, 1st Floor, A Wing, Kalyani Tower, 126 Siddeshwar Peth, Near Pangal High School, Solapur - 413001. **Sriganganagar :** 18 L Block, Sri Ganganagar - 335001. **Srikakulam :** Door No 4-4-96, First Floor, Vijaya Ganapathi Temple Back Side, Nanubala Street, Srikakulam - 532001. **Sultanpur :** 967, Civil Lines, Near Pant Stadium, Sultanpur - 228001. **Surat :** Plot No.629, 2nd Floor, Office No.2-C/2-D, Mansukhlal Tower, Beside Seventh Day Hospital, Opp.Dhiraj Sons, Athwalines, Surat - 395001. **Surendranagar :** 2 M I Park, Near Commerce College, Wadhwan City, Surendranagar - 363035. **Thane :** 3rd Floor, Nalanda Chambers, "B" Wing, Gokhale Road, Near Hanuman Temple, Naupada, Thane (West) - 400 602. **Thiruppur :** (1), Binny Compound, 2nd Street, Kumaran Road, Thiruppur - 641601. **Thiruvalla :** 24/590-14, C.V.P Parliament Square Building, Cross Junction, Thiruvalla - 689101. **Tinsukia :** Sanairan Lohia Road, 1st Floor, Tinsukia - 786125. **Tirunelveli :** 1st Floor, Mano Prema Complex, 182 / 6, S N High Road, Tirunelveli - 627001. **Tirupathi :** Shop No : 6, Door No: 19-10-8, (Opp to Passport Office), AIR Bypass Road, Tirupathi - 515701. **Trichur :** Room No. 26 & 27, Dee Pee Plaza, Kokkalai, Trichur - 680001. **Trichy :** No 8, 1st Floor, 8th Cross West Extn, Thillainagar, Trichy - 620018. **Trivandrum :** R S Complex, Opposite of LIC Building, Pattom PO, Trivandrum - 695004. **Tuticorin :** 1 - A / 25, 1st Floor, Eagle Book Centre Complex, Chidambaram Nagar Main, Palayamkottai Road, Tuticorin - 628008. **Udaipur :** 32 Ahinsapuri, Fatehpura Circle, Udaipur - 313004. **Ujjain :** 123, 1st Floor, Siddhi Vinayaka Trade Centre, Saheed Park, (Madhya Pradesh), Ujjain - 456010. **Unjha (Parent: Mehsana):** 10/11, Maruti Complex, Opp. B R Marble, Highway Road, Mehsana, Unjha - 384170. **Valsad :** Gita Niwas, 3rd Floor, Opp. Head Post Office, Halar Cross Lane, Valsad - 396001. **Vapi :** 208, 2nd Floor HEENA ARCADE, Opp. Tirupati Tower, Near G.I.D.C. Char Rasta, Vapi - 396195. **Varanasi :** Office no 1, Second floor, Bhawani Market, Building No. D-58/2-A1, Rathaytra, Beside Kuber Complex, Varanasi - 221010. **Vellore :** No.1, Officer's Line, 2nd Floor, MNR Arcade, Opp. ICICI Bank, Krishna Nagar, Vellore - 632001. **Warangal :** A.B.K Mall, Near Old Bus Depot Road, F-7, 1st Floor, Rammagar, Hanamkonda, Warangal - 506001. **Yamuna Nagar :** 124-B/R Model Town, Yamunanagar - 135001. **Yavatmal :** Pushpam, Tilakwadi, Opp Dr Shrotri Hospital, Yavatmal - 445001.

**CAMS, Registrar and Transfer Agent to Kotak Mutual Fund will be the official point of acceptance for electronic transaction received through specified banks, Financial Institutions with whom Kotak Mahindra Mutual Fund has entered or may enter into specific arrangement for purchase/sale/switch of units and secured internet site operated by Kotak Mahindra Mutual Fund.**

All ASBA Participating Bank.