

# Key Information Memorandum

## and Application Form - Regular Plan

### Canara Robeco Capital Protection Oriented Fund– Series 5

(Close Ended Capital Protection Oriented Scheme)

RATED AS - '[CARE] AAAMfs (SO)!' by CARE\*

Offer for Unit of Rs. 10 Per Unit for cash During the New Fund Offer Period

**NFO Opens on : March 09, 2015**

**Closes on: March 20, 2015**

#### — Canara Robeco Mutual Fund

**Investment Manager :** Canara Robeco Asset Management Company Ltd.


Construction House, 4th Floor, 5, Walchand Hirachand Marg,

Ballard Estate, Mumbai - 400 001.

Tel. No. (022) 66585000 - 5010, Fax : 6658 5011/12/13


E-Mail : crmf@canararobeco.com


CIN : U65990MH1993PLC071003

Name of the Scheme	This product is suitable for investors who are seeking*
Canara Robeco Capital Protection Oriented Fund– Series 5	<ul style="list-style-type: none"> <li>Capital Protection at Maturity and Capital Appreciation over medium term</li> <li>Investment in Debt and Money Market Securities (70% - 100%) and Equity and Equity related instruments (0% - 30%)</li> <li>Low Risk  (Blue)</li> </ul>

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Note: Risk is represented as:

 (BLUE) investors understand that their principal will be at low risk

 (YELLOW) investors understand that their principal will be at medium risk

 (BROWN) investors understand that their principal will be at high risk

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website [www.canararobeco.com](http://www.canararobeco.com)

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

As required, a copy of this Scheme Information Document has been submitted to National Stock Exchange of India Limited (hereinafter referred to as NSE). NSE vide its letters NSE/LIST/8525 dated 26th December, 2014 for Canara Robeco Capital protection Series 5 as given permission to the Mutual Fund to use the Exchange's name in this Scheme Information Document as one of the Stock Exchanges on which the Mutual Fund's units are proposed to be listed subject to, the Mutual Fund fulfilling the various criteria for listing. The Exchange has scrutinized this Scheme Information Document for its limited internal purpose of deciding on the matter of granting the aforesaid permission to the Mutual Fund. It is to be distinctly understood that the aforesaid permission given by NSE should not in any way be deemed or construed that the Scheme Information Document has been cleared or approved by NSE; nor does it in any manner warrant, certify or endorse the correctness or completeness of any of the contents of this Scheme Information Document nor does it warrant that the Mutual Fund's units will be listed or will continue to be listed on the Exchange; nor does it take any responsibility for the financial or other soundness of the Mutual Fund, its sponsors, its management or any scheme of the Mutual Fund.

\* CARE vide its letter CARE/HO/RL/2014-2015/1634 dated 29th December, 2014 has conditionally rated the Canara Robeco Capital protection Series 5 as '[CARE]AAAMfs(SO)!' (pronounced as CARE triple A m f s Structured Obligation). The rating indicates highest degree of safety regarding timely receipt of payments from the investments that the Scheme has made. The conditional rating is subject to the Scheme receiving the regulatory approvals and fulfillment of all conditions specified in the structural provisions agreed between CARE & Canara Robeco AMC. The ratings should, however, not be construed as an indication of expected returns, prospective performance of the Mutual Fund Scheme, NAV or of volatility in its returns. CARE reserves the right to suspend, withdraw or revise the above rating at any time on the basis of new information or unavailability of information or such other circumstances, which CARE believes, may have an impact on the rating assigned to the Scheme. The rating, as aforesaid, however, should not be treated as a recommendation to buy, sell or hold the units issued by the Scheme. The rating is restricted to Canara Robeco Capital Protection Oriented Fund - Series 5 only. CARE does not assume any responsibility on its part, for any liability, that may arise consequent to the AMC/ Mutual Fund not complying with any guidelines or directives issued by SEBI or any other mutual fund regulatory body.

This Key Information Memorandum is dated 23rd February, 2015

## Name of the Scheme

Canara Robeco Capital Protection Oriented Fund– Series 5

## Type of Scheme

A Close-ended Capital Protection Oriented Scheme

## Investment Objective

To seek capital protection by investing in high quality fixed income securities maturing on or before the maturity of the scheme and seeking capital appreciation by investing in equity and equity related instruments. However, there is no assurance that the objective of the Fund will be realised and the Fund does not assure or guarantee any returns.

## Tenure

The scheme will have the tenure of 40 months including the date of allotment.

## Rating

The proposed portfolio structure has been rated 'CARE AAAMfs (SO)' by CARE, a SEBI registered credit rating agency, from the view point of assessing the degree of certainty for achieving the objective of capital protection. The rating would be reviewed on a quarterly basis.

## Asset Allocation Pattern of the scheme

Instruments	Allocation		Risk Profile
	Minimum	Maximum	
Indian Debt Instruments and Money Market Instruments	70%	100%	Low to Medium
Equity and equity related instruments	0%	30%	Medium to High

Gross notional exposure by the Scheme in fixed income derivative instruments for the purpose of hedging and portfolio rebalancing shall not exceed 30% of the net assets of the Scheme at the time of investment. Exposure by the scheme in derivative shall be in accordance with Circular No. Cir/IMD/DF/ 11/2010 dated August 18, 2010 as may be amended from time to time.

- The cumulative gross exposure through equity/equity related instruments, debt and derivative position shall not exceed 100% of the net assets of the scheme and the total exposure to option premium paid shall not exceed 20% of the net assets of the Scheme.
- The scheme does not intend to invest in securitised debt instruments and Repo/ reverse repo in corporate debt securities.
- The scheme does not intend to invest in Foreign Securities/ securities lending and short selling.
- The scheme will invest in debt instruments which mature on or before the date of the maturity of the scheme.
- Pending deployment of the corpus of the Scheme in terms of investment objective, the Fund may invest the corpus of the Scheme in short term deposits in accordance with the guidelines issued by SEBI. The investments in these deposits shall be in accordance with SEBI Circular Nos. SEBI/IMD/CIR No. 9/20306/03, SEBI/IMD/CIR No. 1/91171/07, SEBI/IMD/CIR No. 8/107311/07, SEBI/IMD/CIR No. 7/129592/08 dated 12 November 2003, 16 April 2007, 26 October 2007 and 23 June 2008 respective and any other applicable guidelines as amended or updated from time to time.

Subject to the SEBI Regulations, the asset allocation pattern indicated above may change from time to time, keeping in view market conditions, market opportunities, applicable regulations and political and economic factors. It must be clearly understood that the percentages stated above are only indicative and not absolute. These proportions can

vary depending upon the perception of the Fund Manager; the intention being at all times to seek to protect the interests of the unit holders. The portfolio would be reviewed periodically to address any deviations from the aforementioned allocations inter alia due to market changes. The AMC may from time to time, for a short term, alter the asset composition on defensive consideration and may also invest the funds available in repos, bank deposits in accordance with the provisions of SEBI (Mutual Funds) Regulations, 1996 and the circulars issued by SEBI from time to time. While making asset allocations, the Fund Manager would endeavor that capital remains protected on maturity and ensure that rating of the Scheme is not adversely affected.

## Portfolio Rebalancing:

The scheme shall rebalance the portfolio within 30 days. However, the scheme will endeavour to complete the rebalancing within 10 days. At all times the AMC shall endeavour to ensure that the portfolio would adhere to the overall investment objective of the schemes.

## OTHER DISCLOSURES FOR CLOSE ENDED DEBT ORIENTED SCHEMES:

- Credit Evaluation Policy:** From the credit evaluation perspective, each company is internally appraised by focusing on various parameters including business fundamentals, financial analysis, industry analysis, and management track record. A detailed analysis is carried out before deciding to invest. All research is carried out internally and approved as per our authorisation matrix. There is a set of Prudent Investment Norms in place, which is directed by the Board of Trustees and the Risk Management Committee of the AMC, and are complied with for all investments under debt schemes.
- Sectors in which the Scheme(s) shall not invest:** The scheme shall not invest in instruments issued by companies in the Real Estate, Construction and Gems & Jewelleries sectors. Depending upon the changes in the investment environment, the AMC may consider other Sectors/companies for applying such restrictions from time to time.
- Type of instruments which the schemes propose to invest in:** Please refer Section "Where will the scheme invest" as given in the Scheme Information Document.
- Floors and Ceiling within a range of 5% of the intended allocation (in %) against each sub asset class / credit rating:** (The scheme shall invest in various securities/ instruments as mentioned below with the ratings mentioned against the type of instrument. As per SEBI circular Cir/IMD/DF/12/2011 dated August 01, 2011, the scheme is allowed to invest within a range of 5% of the intended allocation (floor and cap) against each sub asset class/credit rating.

## Intended Portfolio Allocation:

Credit Rating/ Instruments	AAA	A1+	Not Applicable
CDs		0-5%	
CPs		0-5%	
NCDs	95-100%		
Others(CBLO, Repo & T-Bills)			

## Note:

- All investments shall be made based on rating prevalent at the time of investment.
- In case of non-availability of and taking into account the risk-reward analysis of CDs and NCDs; the scheme may invest in Bank CDs having highest ratings (i.e. A1+ or equivalent) or Government Securities / T-Bills / CBLO/. Such deviation may continue till maturity of the scheme, if suitable NCDs of desired credit quality is not available.
- At the time of building the portfolio post NFO and towards the maturity under the scheme, there may be a higher allocation to cash and cash equivalents.

4. Further, the above allocation may vary during the duration of the Scheme. Some of these instances are: (i) coupon inflow; (ii) the instrument is called or bought back by the issuer (iii) in anticipation of any adverse credit event etc. In case of such deviations, the Scheme may invest in Bank CDs having highest ratings (i.e. A1+ or equivalent) / CBLOs / Government Securities / TBills. Deviation, if any, due to such instances, may continue till maturity, if suitable NCDs of desired credit quality are not available.
5. Change in Asset Allocation: Further, in the event of any deviations below the minimum limits or beyond the maximum limits as specified in the above table and subject to the notes mentioned herein, the Fund Manager shall rebalance the portfolio within 30 days from the date of said deviation (provided such deviation is not too close to maturity of the scheme). Accordingly, investors should note that there will not be any variation between the intended portfolio allocation and the final portfolio allocation apart from the exceptions as mentioned under clauses (2), (3), (4) above.
6. The Scheme shall not invest in unrated debt instruments. For this purpose, unrated debt securities shall exclude instruments such as Government Securities, T bills, CBLO, short term deposit and such similar instruments to which rating is not applicable.

**Reporting:** After the closure of NFO, the AMC will report in the next meeting of AMC/ Trustees, the publicized percentage allocation and the final portfolio.

#### Investment Strategy:

##### Investment Focus and strategy:

The Fund manager would endeavor to construct the portfolio within the guidelines set forth in the Scheme Information Document (prospectus) and by the Canara Robeco Risk Management Committee. The portfolio construction process will adhere to stringent credit quality and sector limitations. The Risk Management & Control Structure is at the core of the entire portfolio construction process with the Security & Liquidity Limits guiding the security selection.

**Equity Investment Policy:** The equity allocation will be managed like a diversified portfolio with high conviction stock ideas. Bottom-up stock picking methodology will be followed at the time of portfolio construction which forms the core of Business Management Valuation (BMV) investment process followed across our current equity funds.

**Fixed Investment Policy:** Most of the portfolio will be invested in zero coupon bonds which expire in line with the maturity of the schemes and are highly rated by the credit rating agencies and/or other highly rated debt and money market securities maturing on or before the maturity of the scheme. It will primarily follow a passive investment strategy, where the portfolio will be intended to largely buy and hold till maturity.

Investment in Debt Securities required for capital protection will only be made in such securities carrying the highest investment grade rating accorded by an approved credit rating agency. In times of uncertainty, the scheme has the flexibility to go up to 100% in fixed income (debt instruments) in order to limit downside.

**Derivatives Investment Policy:** The fund may take exposure to equity market via futures or options as it allows efficient participation in equity market movements. At the start date of the scheme the futures or options may be bought and may be held until their expiry date. The expiry date would fall within the maturity date of the scheme.

The Trustees shall continuously monitor the portfolio structure of the Scheme and report the same in the Half Yearly Trustee Reports to SEBI. The AMC shall also report the same in their bimonthly reports to SEBI.

## Risk Profile of the scheme

### Scheme Specific Risk

#### I. Risks associated with investments in Fixed Income Securities

**Interest - Rate Risk:** Fixed income securities such as government bonds, corporate bonds, and money market instruments and derivatives run price - risk or interest - rate risk. Generally, when interest rates rise, prices of existing fixed income securities fall and when interest rates drop, such prices increase. The extent of fall or rise in the prices depends upon the coupon and maturity of the security. It also depends upon the yield level at which the security is being traded.

**Re - investment Risk:** Investments in fixed income securities carry re - investment risk as interest rates prevailing on the coupon payment or maturity dates may differ from the original coupon of the bond.

**Basis Risk:** The underlying benchmark of a floating rate security or a swap might become less active or may cease to exist and thus may not be able to capture the exact interest rate movements, leading to loss of value of the portfolio.

**Spread Risk:** In a floating rate security the coupon is expressed in terms of a spread or mark up over the benchmark rate. In the life of the security this spread may move adversely leading to loss in value of the portfolio. The yield of the underlying benchmark might not change, but the spread of the security over the underlying benchmark might increase leading to loss in value of the security.

**Liquidity Risk:** The liquidity of a bond may change, depending on market conditions leading to changes in the liquidity premium attached to the price of the bond. At the time of selling the security, the security can become illiquid, leading to loss in value of the portfolio.

**Credit Risk:** This is the risk associated with the issuer of a debenture / bond or a money market instrument defaulting on coupon payments or in paying back the principal amount on maturity. Even when there is no default, the price of a security may change with expected changes in the credit rating of the issuer. It is to be noted here that a Government Security is a sovereign security and is the safest. Corporate bonds carry a higher amount of credit risk than Government securities. Within corporate bonds also there are different levels of safety and a bond rated higher by a particular rating agency is safer than a bond rated lower by the same rating agency.

**Liquidity Risk on account of unlisted securities:** The liquidity and valuation of the Scheme investments due to their holdings of unlisted securities may be affected if they have to be sold prior to their target date of divestment. The unlisted security can go down in value before the divestment date and selling of these securities before the divestment date can lead to losses in the portfolio.

**Settlement Risk:** Fixed income securities run the risk of settlement which can adversely affect the ability of the fund house to swiftly execute trading strategies which can lead to adverse movements in NAV.

#### II. Risks associated with investments in equities and equity related instruments

- Equity and equity related securities are volatile and prone to price fluctuations on a daily basis. The liquidity of investments made in the Scheme may be restricted by trading volumes and settlement periods. Settlement periods may be extended significantly by unforeseen circumstances. The inability of the Scheme to make intended securities purchases, due to settlement problems, could cause the Scheme to miss certain investment opportunities. Similarly, the inability to sell securities held in the Scheme portfolio would result at times, in potential losses to the Scheme, should there be a subsequent decline in the value of securities held in the Scheme portfolio. Also, the value of the Scheme investments

may be affected by interest rates, currency exchange rates, changes in law / policies of the government, taxation laws and political, economic or other developments which may have an adverse bearing on individual Securities, a specific sector or all sectors.

- Investments in equity and equity related securities involve a degree of risk and investors should not invest in the equity Schemes unless they can afford to take the risk of losing their investment.
- Securities which are not quoted on the stock exchanges are inherently illiquid in nature and carry a larger liquidity risk in comparison with securities that are listed on the exchanges or offer other exit options to the investors, including put options. The AMC may choose to invest in unlisted securities that offer attractive yields within the regulatory limit. This may however increase the risk of the portfolio. Additionally, the liquidity and valuation of the Scheme investments due to its holdings of unlisted securities may be affected if they have to be sold prior to the target date of disinvestment.

### III. Risks associated with investments in derivatives

As and when the Scheme trades in the derivatives market, there are risk factors that investors should understand. Derivative products are specialized instruments that require investment techniques and risk analysis different from those associated with stocks and bonds. The use of a derivative requires an understanding not only of the underlying instrument but also of the derivative itself. Derivatives require the maintenance of adequate controls to monitor the transactions entered into, the ability to assess the risk that a derivative adds to the portfolio and the ability to forecast price or interest rate movements correctly. There is a possibility that loss may be sustained by the portfolio as a result of the failure of another party (usually referred as the “counter party”) to comply with the terms of the derivatives contract. Other risk in using derivatives include the risk of mispricing or improper valuation of derivatives and the inability of derivatives to correlate perfectly with underlying assets, rates and indices.

Thus, derivatives are highly leveraged instruments. Even a small price movement in the underlying security could have a large impact on their value. Derivatives can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the Fund Manager to identify such opportunities. Identification and execution of the strategies to be pursued by the Fund Manager involve uncertainty and decision of Fund Manager may not always be profitable. No assurance can be given that the Fund Manager will be able to identify or execute such strategies.

The risk associated with the use of derivatives is different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments.

Trading in derivatives has the following risks:

- a. An exposure to derivatives in excess of the hedging requirements can lead to losses.
- b. An exposure to derivatives can also limit the profits from a genuine investment transaction.
- c. Efficiency of a derivative market depends on the development of a liquid and efficient market for underlying securities.

### IV. Risks associated with Capital Protection and Ratings

The scheme offered is “oriented towards protection of capital” and “not guaranteed with returns”. Further, the orientation towards protection of the capital originates from the portfolio structure of the scheme and not from any bank guarantee, insurance cover etc. The ability of the portfolio to meet capital protection on maturity to the investors can be impacted by interest rate movements in the market, credit defaults by bonds, expenses and reinvestment risk.

CARE’s rating is not a recommendation to buy, sell or hold a fund or scheme. These ratings do not comment on the volatility of net asset value (NAV) of the scheme or the level of NAV compared to the face value during the tenure of the scheme any time before maturity. The ratings are based on current information furnished to CARE by the issuer or obtained by CARE from sources it considers reliable. CARE does not, however guarantee the accuracy, adequacy or completeness of any information and is not responsible for any errors or omissions or for the results obtained from the use of such information. CARE does not perform an audit in connection with any rating and may on occasion rely on unaudited information. The ratings may be changed, suspended, or withdrawn as a result of changes in or unavailability of such information, or based on other circumstances. Fund rated by CARE have paid a rating fee.

**The portfolio of the Scheme would be reviewed on a quarterly basis by rating agency, CARE, in the light of the objective of the Scheme.**

### V. Risks associated with Market Trading

- Although Units of the Scheme Information Document are to be listed on the Exchange(s), there can be no assurance that an active secondary market will develop or be maintained.
- Trading in Units of the scheme on the Exchange(s) may be halted because of market conditions or for reasons that in view of Exchange Authorities or SEBI, trading in Units of the scheme is not advisable. In addition, trading in Units of the Scheme is subject to trading halts caused by extraordinary market volatility and pursuant to Exchange and SEBI ‘circuit filter’ rules. There can be no assurance that the requirements of Exchange necessary to maintain the listing of Units of the scheme will continue to be met or will remain unchanged.
- Any changes in trading regulations by the Exchange(s) or SEBI may inter-alia result in wider premium/ discount to NAV.
- The Units of the scheme may trade above or below their NAV. The NAV of the scheme will fluctuate with changes in the market value of scheme holdings.
- The trading prices of Units of the scheme will fluctuate in accordance with changes in their NAV as well as market supply and demand for the Units of the scheme.
- The Units will be issued in demat form through depositories. The records of the depository are final with respect to the number of Units available to the credit of Unit holder. Settlement of trades, repurchase of Units by the Mutual Fund on the maturity date / final redemption date will depend upon the confirmations to be received from depository(ies) on which the Mutual Fund has no control.
- As the Units allotted under the Scheme will be listed on the Exchange(s), the Mutual Fund shall not provide for redemption / repurchase of Units prior to maturity / final redemption date of the scheme

### VI. Risk factors associated with processing of transaction through Stock Exchange Mechanism

The trading mechanism introduced by the stock exchange(s) is configured to accept and process transactions for mutual fund units in both Physical and Demat Form. The allotment and/or redemption of Units through NSE and/or BSE or any other recognized stock exchange(s), on any Business Day will depend upon the modalities of processing viz. collection of application form, order processing / settlement, etc. upon which the Fund has no control. Moreover, transactions conducted through the stock exchange mechanism shall be governed by the operating guidelines and directives issued by respective recognized stock exchange(s).

## Plans and Options

Each series offers two plans Regular and Direct . Under each plan there are two options

- Regular plan: Growth, Dividend (Payout)
- Direct plan: Growth, Dividend (Payout)

In case of valid applications received without indicating any choice of option the default option will be Growth Option and processed accordingly.

## Listing

The scheme being offered through this Scheme Information Document is a close ended Scheme and the units offered under the Scheme will be listed on one or more recognized stock exchanges as may be decided by AMC within 5 business days from the date of allotment. As the units will be listed on stock exchanges, investors/ unitholders can buy / sell units on a continuous basis on the stock exchanges during the trading hours like any other publicly traded stock at market prices. The minimum number of Units that can be bought or sold on the Exchange is 1 (one) unit. Unitholders who wish to trade in units would be required to have a demat account. All investors may buy/sell Units on the Stock Exchange on all the trading days as per the settlement cycle of the Stock Exchange.

As per SEBI Circular no. CIR/MD/DF/10/2010 dated 28<sup>th</sup> August, 2010, in order to facilitate transferability of units held in one demat account to another demat account; units of the scheme held in demat form shall be freely transferable.

Although Units are to be listed on Stock Exchange, there can be no assurance that an active secondary market will develop or be maintained. The AMC and the Trustees will not be liable for delay in trading of Units on Stock Exchange due to the occurrence of any event beyond their control.

As per SEBI circular SEBI/IMD/CIR No. 12/147132/08 dated December 11, 2008 Trustees have obtained in-principle approval for listing of schemes from National Stock Exchange of India Ltd.

**For further details please refer to the SID of the Scheme.**

## Applicable NAV for Repurchase of Units Including Switch Outs

No redemption/repurchase of units shall be allowed prior to the maturity of this close ended scheme. Investors wishing to exit may do so, only in demat mode, by selling through National Stock Exchange of India Ltd. or any of the stock exchange(s) where the scheme will be listed as may be decided by the Trustees.

## Minimum Application Amount

Minimum amount: Rs. 5,000.00 and multiples of Rs.10.00 thereafter during New Fund Offer.

## Despatch of Repurchase (Redemption) Requests

The redemption or repurchase proceeds shall be dispatched to the unit holders within 10 business days from maturity date.

## Benchmark Index

CRISIL MIP Blended Fund Index

## Dividend Policy

The Scheme may distribute, surplus if any, by way of dividend/, as may be decided by the Trustees from time to time. **If there is no distributable surplus or surplus amount is too small for distribution, in the opinion of the Trustees, the Dividend declaration may not take place. The Scheme is not assuring or guaranteeing any dividend or returns.**

Income Distribution, if declared, warrants will be issued within 5 working days from the date of declaration of Income Distribution. The Income Distribution will be paid out of the Net surplus of the Scheme, to those unit holders whose names appear in the register of unit holders on the date to be notified for the purpose. Pursuant to payment

of dividend, the NAV of the dividend option of the Scheme would fall to the extent of payout and statutory levy (if applicable)

If AMC fails to pay the amount within 30 days, interest as specified by SEBI (currently 15% p.a.) will be paid to the investors from the expiry of 30 days till the date of dispatch of the dividend.

## Dividend Distribution Procedure

In accordance with SEBI Circular no. SEBI/ IMD/ Cir No. 1/ 64057/06 dated April 4, 2006, the procedure for Dividend Distribution would be as under:

1. Quantum of dividend and the record date will be fixed by the Trustee. Dividend so decided shall be paid, subject to availability of distributable surplus.
2. Within one calendar day of decision by the Trustee, the AMC shall issue notice to the public communicating the decision about the dividend including the record date, in one English daily newspaper having nationwide circulation as well as in a newspaper published in the language of the region where the head office of the Mutual Fund is situated.
3. Record date shall be the date, which will be considered for the purpose of determining the eligibility of investors whose names appear on the register of unit holders for receiving dividends. The Record Date will be 5 calendar days from the issue of notice.
4. The notice will, in font size 10, bold, categorically state that pursuant to payment of dividend, the NAV of the Scheme would fall to the extent of payout and statutory levy (if applicable).
5. The NAV will be adjusted to the extent of dividend distribution and statutory levy, if any, at the close of business hours on record date.
6. Before the issue of such notice, no communication indicating the probable date of dividend declaration in any manner whatsoever will be issued by Mutual Fund.

## Allotment

Subject to the receipt of the specified minimum subscription amount, full allotment of Units applied for will be made within 5 business days from the date of closure of the NFO Period for all valid applications received during the NFO Period. Allotments made will be subject to realization of payment instrument and subject to the AMC having been reasonably satisfied of having received clear funds. Also, note that the allotment of units will not be made in decimals/fractions. The units will be converted to the nearest whole number at the time of allotment of units for NFO applicants to be in adherence to the tradable lot of one unit on the Stock Exchange. The balance amount represented by fractional units will be refunded to the investor.

## Allotment Confirmation / Consolidated Account Statement (CAS):

AMC shall send allotment confirmation specifying the number of units allotted to the investor by way of email and/or SMS's to the investors' registered email address and/or mobile number not later than 5 (five) business days from the date of closure of the New Fund Offer Period. A Consolidated Account Statement (CAS) shall also be sent to the unitholder in whose folio transactions have taken place during that month, on or before 10th of the succeeding month by e-mail/mail. In case of specific request received from investors, Mutual Fund will provide an account statement to the investors within 5 (five) Business Days from the receipt of such request.

No Account Statements will be issued to investors opted to hold units in electronic (demat) mode, since the statement of account furnished by depository participant will contain the details of transactions.

## Unit Certificates:

Normally no unit certificates will be issued under the Scheme. However, if the unitholder so desires, the AMC shall issue a unit certificate to the unitholder within 30 days of the receipt of request for the certificate. The cost of stamp duty paid for issuing the unit certificate will form part of the annual ongoing expenses and/or may be recovered from the Unitholder. However, such unit certificates are not tradable with the Stock Exchange.

## Dematerialization / Rematerialization of Units:

The Applicants intending to hold the Units in dematerialized mode will be required to have a beneficiary account with a Depository Participant of the NSDL/CDSL and will be required to mention in the application form DP's Name, DP ID No. and Beneficiary Account No. with the DP at the time of purchasing Units during the NFO of the scheme. The Units allotted will be credited to the DP account of the Unit holder as per the details provided in the application form. The statement of holding of the beneficiary account holder for units held in demat will be sent by the respective DPs periodically. It may be noted that trading and settlement in the Units of the scheme over the stock exchange(s) (where the Units are listed) will be permitted only in electronic form.

If the Unit holder desires to hold the Units in a Dematerialized / Rematerialized form at a later date, the request for conversion of units held in Account Statement (non demat) form into Demat (electronic) form or vice versa should be submitted along with a Demat/Remat Request Form to their Depository Participants.

However, the Trustee / AMC reserves the right to change the dematerialization / rematerialization process in accordance with the procedural requirements laid down by the Depositories, viz. NSDL/ CDSL and/or in accordance with the provisions laid under the Depositories Act, 1996.

### Name of the Fund Manager

Mr. Krishna Sanghavi & Ms Suman Prasad

### Name of the Trust

Canara Robeco Mutual Fund

### Performance of the Scheme

This is new Scheme being launched and hence, there is no performance track record.

Investors are informed that the Mutual Fund/AMC and its empanelled brokers have not given and shall not give any indicative portfolio and indicative yield in any communication, in any manner whatsoever. Investors are advised not to rely on any communication regarding indicative yield/ portfolio with regard to the Scheme.

### Expenses of the Scheme

#### (i) Load Structure

##### Entry Load

Nil. SEBI vide its Circular No. SEBI / IMD / CIR No. 4 / 168230 / 09 dated June 30, 2009 has stipulated that there shall be no Entry Load for all mutual fund schemes. The upfront commission on investment made by the investor, if any, shall be paid to the distributor (AMFI registered distributor / ARN Holder) directly by the investor, based on the investor's assessment of various factors including service rendered by the distributor.

##### Exit Load/ Switch-over load

No Exit Load is applicable during the term of the Scheme since no redemption is permitted with the AMC / Mutual Fund before maturity of the Scheme, being a close ended Scheme. However, the Units of the Scheme are listed on the National Stock Exchange of India Ltd. (NSE). Investors wishing to exit may do so through the Stock Exchange route as per rules specified by the Stock Exchange(s).

The above mentioned load structure shall be equally applicable to the special products such as SIP, switches, STP, SWP, etc. offered by the AMC. Further, for switches between the Growth and Dividend Option or vice versa, no load will be charged by the scheme. For switches between the Plans i.e. between Regular and Direct Plan or vice versa, no load will be charged by the scheme.

#### (ii) Annual Scheme Recurring Expenses

These are the fees and expenses for operating the scheme. These expenses include Investment Management and Advisory Fee charged by the AMC, Registrar and Transfer Agents' fee, marketing and selling costs etc. as given in the table below:

The AMC has estimated that the following percentage of the daily net assets of the

scheme will be charged to the scheme as expenses. For the actual current expenses being charged, the investor should refer to the website of the mutual fund [www.canararobeco.com](http://www.canararobeco.com). Any expenses beyond the limits shall be borne by the AMC.

Expense Head	% of daily Net Assets
Investment Management and Advisory Fees	Upto 2.25%
Trustee fee	
Audit fees	
Custodian fees	
RTA Fees	
Marketing & Selling expense incl. agent commission	
Cost related to investor communications	
Cost of fund transfer from location to location	
Cost of providing account statements and dividend redemption cheques and warrants	
Costs of statutory Advertisements	
Cost towards investor education & awareness (at least 2 bps)	
Brokerage & transaction cost over and above 12 bps for cash market trades and 5bps for derivatives transactions	
Service tax on expenses other than investment and advisory fees	
Service tax on brokerage and transaction cost	
Other Expenses	
Maximum total expense ratio (TER) permissible under Regulation 52 (6) (c) (i) and (6) (a)	Upto 2.25%
Additional expenses for gross new inflows from specified cities	Upto 0.30%

Direct Plan shall have a lower expense ratio excluding distribution expenses, commission, etc and no commission for distribution of Units will be paid / charged under Direct Plan.

At least 5% of the TER is charged towards distribution expenses / commission in the Regular Plan. The TER of the Direct Plan will be lower to the extent of the abovementioned distribution expenses / commission (at least 5%) which is charged in the Regular Plan.

Fungibility of expenses: The expenses towards Investment Management and Advisory Fees under Regulation 52 (2) and the various sub-heads of recurring expenses mentioned under Regulation 52 (4) of SEBI (MF) Regulations are fungible in nature. Thus, there shall be no internal sub-limits within the expense ratio for expense heads mentioned under Regulation 52 (2) and (4) respectively.

The purpose of the above table is to assist the Investor in understanding the various costs and expenses that an Investor in the Scheme will bear directly or indirectly. The figures in the table above are estimates. The actual expenses that can be charged to the Scheme will be subject to limits prescribed from time to time under the SEBI (MF) Regulations.

As per the Regulation 52, the investment management fee and total annual scheme recurring expenses chargeable to the Scheme are as under:

- On the first Rs.100 Crore of the daily net assets - 2.25%
  - On the next Rs.300 Crore of the daily net assets - 2.00%
  - On the next Rs.300 Crore of the daily net assets – 1.75%
  - On the balance of the assets – 1.50%
- (a) Additional Expenses under Regulation 52 (6A): brokerage and transaction costs which are incurred for the purpose of execution of trade and is included in the cost of investment, not exceeding 0.12 per cent in case of cash market transactions and 0.05 per cent in case of derivatives transactions;

In accordance with SEBI circular no. CIR/IMD/DF/24/2012 dated November 19, 2012, any payment towards brokerage and transaction cost, over and above the said 0.12% and 0.05% for cash market transactions and derivatives transactions respectively, may be charged to the Scheme within the maximum limit of Total Expense Ratio (TER) as prescribed under Regulation 52 (6) of the SEBI (MF) Regulations, 1996.

- (b) expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from such cities as specified by SEBI from time to time are at least –
- (i) 30 per cent of gross new inflows in the scheme, or;
  - (ii) 15 per cent of the average assets under management (year to date) of the scheme, whichever is higher:

Provided that if inflows from such cities is less than the higher of sub-clause (i) or sub-clause (ii), such expenses on daily net assets of the scheme shall be charged on proportionate basis;

The said additional expenses on account of inflows from beyond top 15 cities so charged shall be clawed back in the respective schemes, in case the said inflow is redeemed within a period of 1 year from the date of investment. The expenses charged under this clause shall be utilized for distribution expenses incurred for bringing inflows from such cities.

#### Service Tax

As per SEBI Circular No. CIR/IMD/DF/21/ 2012 dated September 13, 2012, Service tax shall be charged as follows:

- Service tax on investment and advisory fees shall be charged to the Scheme in addition to the maximum limit on TER as prescribed in Regulation 52 (6) of the SEBI (MF) Regulations.
- Service tax on other than investment and advisory fees, if any, shall be borne by the Scheme within the maximum limit on TER as prescribed in Regulation 52 (6) of the SEBI (MF) Regulations.
- Service tax on exit load, if any, shall be paid out of the exit load proceeds and exit load net of service tax, if any, shall be credited to the Scheme.
- Service tax on brokerage and transaction cost paid for execution of trade, if any, shall be within the limit prescribed under Regulation 52 of the SEBI (MF) Regulations

#### Waiver of Load for Direct Applications

Not Applicable In terms of SEBI circular no. SEBI/IMD/CIR No.4/ 168230/09 dated June 30, 2009.

#### Tax treatment for the Investors (Unit holders)

Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to their tax advisor.

#### Daily Net Asset Value (NAV) Publication

The NAV will be declared on all business days except Saturdays/Sundays/Public Holidays/book closure period days; and will be published published in at least two daily newspapers having circulation all over India. NAV can also be viewed on [www.canararobeco.com](http://www.canararobeco.com) and [www.amfiindia.com](http://www.amfiindia.com)

#### For Investor Grievances please contact

##### M/s Karvy Computershare Pvt. Ltd.

21, Road No.4, Street No. 1,  
Banjara Hills, Hyderabad 500034  
Tel No. (044) 23390404, 23394436, 2337901  
Fax. (040) 23311968  
Email id:- [crmf@karvy.com](mailto:crmf@karvy.com)

#### Unit holders' Information

##### Account Statement:

- On acceptance of the application for subscription, an allotment confirmation specifying the number of units allotted by way of e-mail and/or SMS within 5 business days from the date of receipt of transaction request will be sent to the Unitholders registered email address and/or mobile number.

- Thereafter, a Consolidated Account Statement (CAS) shall be sent to the unitholder in whose folio transactions have taken place during that month, on or before 10th of the succeeding month by e-mail/mail. CAS shall contain details relating to all the transactions\*\* carried out by the investor, including details of transaction charges paid to the distributor, if any, across all schemes of all mutual funds, during the month and holding at the end of the month.

\*\*The word 'transaction' shall include purchase, redemption, switch, dividend payout, dividend reinvestment, and Systematic Investment Plan, Systematic Withdrawal Plan, Systematic Transfer Plan and bonus transactions.

- The unitholder may request for a physical account statement by writing/calling the AMC/ISC/RET. In case of specific request is received from investors, account statement shall be issued to the investors within 5 (five) business days from the receipt of such request without any charges.
- In the event the account has more than one registered holder, the first named Unitholder shall receive the CAS/account statement.
- For the purpose of sending CAS, common investors across mutual funds shall be identified by their Permanent Account Number (PAN).
- The transactions viz. purchase, redemption, switch, dividend payout, etc., carried out by the Unitholders shall be reflected in the CAS on the basis of Permanent Account Number (PAN).
- The CAS shall not be received by the Unitholders for the folio(s) not updated with PAN details. The Unitholders are therefore requested to ensure that the folio(s) are updated with their PAN.

No Account Statements will be issued to investors opted to hold units in electronic (demat) mode, since the statement of account furnished periodically by respective Depository Participants (DPs) will contain the details of transactions.

##### Annual Account Statement:

- The Mutual Funds shall provide the Account Statement to the Unitholders who have not transacted during the last six months prior to the date of generation of account statements. The Account Statement shall reflect the latest closing balance and value of the Units prior to the date of generation of the account statement.
- The account statements in such cases may be generated and issued along with the Portfolio Statement or Annual Report of the Scheme. Alternately, soft copy of the account statements shall be mailed to the investors' e-mail address, instead of physical statement, if so mandated.

##### Half Yearly Consolidated Account Statement:

- A consolidated account statement detailing holding across all schemes at the end of every six months (i.e. September/ March), on or before 10th day of succeeding month, to all such Unit holders in whose folios no transaction has taken place during that period shall be sent by mail/email.
- The half yearly consolidated account statement will be sent by e-mail to the Unit holders whose e-mail address is registered with the Fund, unless a specific request is made to receive in physical.
- Unit holders who receive account statements by e-mail may download the documents after receiving e-mail from the Fund. Should the Unit holder experience any difficulty in accessing the electronically delivered documents, the Unit holder shall promptly advise the Fund to enable the Fund to make the delivery through alternate means. It is deemed that the Unit holder is aware of all security risks including possible third party interception of the documents and contents of the documents becoming known to third parties

##### Monthly Portfolio Disclosure

Pursuant to SEBI Circular CIR/IMD/DF/21/2012 dated September 13, 2012, on or before the tenth day of the succeeding month, the portfolio of all the schemes of CRMF shall be available in a user-friendly and downloadable format on the website of AMC ([www](http://www)).

canararobeco.com).

#### Half Yearly Disclosures: Portfolio / Financial Results:

Canara Robeco Mutual Fund shall within one month from the close of each half year that is on 31st March and 30th September, host a soft copy of its unaudited financial results on website of Asset Management Company(AMC) and shall publish an advertisement disclosing the hosting of such financial results on their website, in atleast one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the mutual fund is situated.

#### Annual Report:

The Scheme wise annual report or an abridged summary thereof shall be sent:

- (i) by e-mail to the Unit holders whose e-mail address is available with the Fund,
- (ii) in physical form to the Unit holders whose email address is not registered with the Fund and/or those Unit holders who have opted / requested for the same.

The scheme wise annual report or an abridged summary shall be sent by mail/e-mail not later than four months from the date of closure of the relevant accounting year (i.e. 31st March each year).

The physical copy of the scheme wise annual report or abridged summary thereof shall be made available to the investors at the registered office of the AMC.

A link of the scheme annual report or abridged summary thereof shall be displayed prominently on the website of the Fund and shall also be displayed on the website of Association of Mutual Funds in India (AMFI).

#### Applications Supported by Blocked Amount (ASBA)

Investors may apply through the ASBA process during the NFO period of the Scheme by filling in the ASBA form and submitting the same to their respective banks, which in turn will block the amount in the account as per the authority contained in ASBA form, and undertake other tasks as per the procedure specified therein.

For complete details on ASBA process refer Statement of Additional Information (SAI) made available on our website [www.canararobeco.com](http://www.canararobeco.com)

#### Refund

If application is rejected, full amount will be refunded within 5 business days from the closure of NFO. If refunded later than 5 business days from the closure of NFO, interest @ 15% p.a. for delay period will be paid and charged to the AMC.

In the event of Applications not being complete in all respects and the consequent non allotment, the Scheme will refund the Application Money to the applicant(s), by Post and by way of Cheque or Demand Draft marked 'A/c Payee'.

#### Who can invest

1. Adult Individual(s) and also minor(s) through their parent/guardian. (Application of minors jointly with adults not allowed).
2. Adult Individual(s) jointly not exceeding three, on first holder or survivor/s basis.
3. Hindu Undivided Family (HUF)
4. Partnership Firms
5. A Company as defined in the Companies Act, 1956, Public Sector Undertakings.
6. A Body Corporate established by or under any law in force in India
7. A Co-operative Society registered under any law relating to Co-operative Societies in India
8. A Religious or Charitable Trust / Wakfs or a Society established under the relevant laws and authorised to invest in Mutual Fund Schemes
9. Foreign Institutional Investors (FIIs) registered with SEBI

10. Foreign Portfolio Investor (Foreign Portfolio Investor (FPI) as defined under Regulation 2(1)(h) of Security Exchange Board of India( Foreign Portfolio Investors) Regulations, 2014 .
11. Banks and Financial Institutions
12. Pension Funds/Pension Fund Managers
13. Non Resident Indians (NRIs) and Persons of Indian Origin (PIOs) on repatriation / non-repatriation basis
14. Army, Air Force, Navy and other para-military units and bodies created by such institutions. Scientific and Industrial Research Organisations
15. Multilateral Funding Agencies / Body Corporates incorporated outside India with the permission of Government of India / Reserve Bank of India
16. Qualified Foreign Investor (QFI) as per SEBI circular CIR / IMD / DF / 14 / 2011 dated August 9, 2011 as and when applicable
17. Other Schemes of the Fund subject to the conditions and limits prescribed under SEBI Regulations
18. Any other category of investors that may be permitted by the Trustees as per the Indian Laws in future.
19. NRIs and PIOs

#### Notes :

1. Non Resident Indians (NRIs) and Persons of Indian Origin (PIOs) residing abroad /Overseas Citizens of India (OCI) / Foreign Institutional Investors (FIIs)/ Foreign Portfolio Investors (FPIs) have been granted a general permission by Reserve Bank of India under Schedule 5 of the Foreign Exchange Management (Transfer or Issue of Security by a Person Resident Outside India) Regulations, 2000 for investing in / redeeming units of the mutual funds subject to conditions set out in the aforesaid regulations.
2. In case of application(s) made by Individual Investors under a Power of Attorney, the original Power of Attorney or a certified true copy duly notarised should be submitted. In case of applications made by Non-Individual Investors, the authorized signatories / officials of Non-Individual investors should sign the application under their official designation and as per the authority granted to them under their Constitutive Documents/Board resolutions, etc. A list of specimen signatures of the authorized officials, duly certified / attested should also be attached to the Application Form. The Fund/AMC/Trustees shall deem that the investments made by the Investors are not prohibited by any law/Constitutive documents governing them and they possess the necessary authority to invest/ transact.
3. Investors desiring to invest / transact in mutual fund schemes are required to comply with the KYC norms applicable from time to time. Under the KYC norms, Investors are required to provide prescribed documents for establishing their identity and address such as copy of the Memorandum and Articles of Association / bye-laws/trust deed/partnership deed/ Certificate of Registration along with the proof of authorization to invest, as applicable, to the KYC Registration Agency (KRA) registered with SEBI.

The Fund / AMC / Trustees / other intermediaries will rely on the declarations/ affirmations provided by the Investor(s) in the Application/Transaction Form(s) and the documents furnished to the KRA that the Investor(s) is permitted/ authorised by the Constitution document/ their Board of Directors etc. to make the investment / transact. Further, the Investor shall be liable to indemnify the Fund / AMC / Trustee / other intermediaries in case of any dispute regarding the eligibility, validity and authorization of the transactions and / or the applicant who has applied on behalf of the Investors. The Fund / AMC / Trustee reserves the right to call for such other information and documents as may be required by it in connection with the investments made by the investor



4. Returned cheques are liable not to be presented again for collection, and the accompanying application forms are liable to be rejected. In case the returned cheques are presented again, the necessary charges are liable to be debited to the investor.
5. The Trustee reserves the right to recover from an investor any loss caused to the Scheme on account of dishonour of cheques issued by the investor for purchase of Units of this Scheme.
6. No request for withdrawal of application will be allowed after the closure of New Fund Offer Period.
7. Subject to the SEBI (MF) Regulations, any application for Units may be accepted or rejected in the sole and absolute discretion of the Trustee. The Trustee may inter-alia reject any application for the purchase of Units if the application is invalid or incomplete or if the Trustee for any other reason does not believe that it would be in the best interest of the Scheme or its Unitholders to accept such an application.

#### Who cannot invest

The following persons are not eligible to invest in the Scheme:

1. Pursuant to RBI A.P. (DIR Series) Circular No. 14 dated September 16, 2003, Overseas Corporate Bodies (OCBs) cannot invest in Mutual Funds.
2. NRIs and foreign nationals residing in NonCompliant Countries and Territories (NCCTs) as determined by the Financial Action Task Force (FATF), from time to time.
3. NRIs and PIOs who are residents of the United States of America/defined as United States Persons under applicable laws/ statutes and the residents of Canada and USA
4. Such other persons as may be specified by AMC from time to time.

#### Option to Hold Units in demat mode

The Unit holder intending to hold the units in Demat form are required to have a beneficiary account with a Depository Participant (DP) (registered with NSDL / CDSL) and will be required to indicate in the application the DP's name, DP ID Number and the beneficiary account number of the applicant held with the DP at the time of subscribing to the units during the NFO.

In case the unit holders do not provide their Demat Account details or provide incomplete details or the details do not match with the records as per Depository(ies), units shall be allotted in physical (non-demat) form. Such investors will not be able to trade in the stock exchange till their holdings are converted into demat form. Unitholders who have opted to hold and thereby allotted units in electronic (demat) form will receive payment of redemption / dividend proceeds into bank account linked to their Demat account. In case, the Unitholder desires to hold the Units in a Dematerialized /Rematerialized form at a later date, the request for conversion of units held in physical (non-demat)

mode into electronic (demat) form or vice-versa should be submitted alongwith a Demat / Remat Request Form to their Depository Participant(s). Investors should ensure that the combination of names in the account statement is the same as that in the demat account.

The allotment of units in demat form shall be subject in terms of the guidelines / procedural requirements as laid by the Depositories (NSDL/CDSL) from time to time. Further, the units held in electronic (demat) form will be transferable in accordance with provisions of Depositories Act, 1996 and the Securities and Exchange Board of India (Depositories and Participants) Regulations, 1996 as may be amended from time to time.

#### Transaction Charges

The AMC shall deduct the Transaction Charges on purchase / subscription of Rs. 10,000/- and above received from first time mutual fund investors and investor other than first time mutual fund investors through the distributor/agent (who have opted to receive the transaction charges for this Scheme type) as under:

##### First Time Mutual Fund Investor:

Transaction charge of Rs 150/- for subscription of Rs. 10,000 and above will be deducted from the subscription amount and paid to the distributor/agent of the first time investor. The balance of the subscription amount shall be invested.

Investor other than First Time Mutual Fund Investor:

Transaction charge of Rs.100/- per subscription of Rs 10,000 and above will be deducted from the subscription amount and paid to the distributor/agent of the investor. The balance of the subscription amount shall be invested.

Transaction charges shall not be deducted for :

- Purchases /subscriptions for an amount less than Rs. 10,000/-;
- Transaction other than purchases/ subscriptions relating to new inflows such as Switch/ STP/, etc.
- No transaction charges will be deducted for any purchase/subscription made directly with the Fund (i.e. not through any distributor/agent).
- Transactions carried out through the stock exchange mode.

For further details on transaction charges refer to the section 'Transaction Charges' on Page 54 in the 'Scheme Information Document'.

Date: February 23, 2015

***Notwithstanding anything contained in KIM, the provisions of SEBI (MF) Regulations, 1996 and guidelines there under shall be applicable. Further, investor may ascertain about any further changes from the Mutual Fund investor service centers/distributors or brokers.***

# Instructions for filling an application form

1. Application must be in the Name of (a) Resident/Non Resident Individual or Individuals not exceeding 3 in number; (b) Minor through his/her parent/guardian ; (c) A Hindu Undivided Family ( by the Karta acting on behalf of the HUF); (d) An Association of persons or a Body of Individuals (e) Indian Companies or Body Corporates, Trusts and Societies; (f) Partnership Firm.
2. In case of HUF, the karta shall sign on behalf of the HUF. All communications will be addressed to the karta at the address given by him.
3. For minimum amount of application, please refer Minimum application amount column of this Key Information Memorandum.
4. Signatures should be in English or in any of the Indian Languages, within the box provided. Thumb impression must be attested by an Authorised Official of the Bank or by a Magistrate/Notary Public under his/her Official Seal.
5. In case of Application under Power of Attorney, the relevant Power of Attorney or certified copy thereof must be lodged along with the application.
6. Payment should be made by Cheque / Bank Draft drawn on any bank which is situated at and is a member or sub-member of the Bankers' Clearing House located at the place where the Application Form is submitted.

In case applications submitted along with demand draft, the Fund may bear the draft charges on actual basis. The investors have to attach the copy of the acknowledgment issued by the Bank for purchase of DD which indicates the DD charges. The investor will be allotted with units for the gross amount of investment.

7. Cash / Money Orders will not be accepted.
8. All Cheques/Bank Drafts must be drawn in favour of "CANARA ROBECO MUTUAL FUND COLLECTIONS" and the name of the First/Sole applicant and serial No. of the application form shall be indicated on the reverse of the Cheque/Bank Draft as the case may be.
9. Application Forms duly completed in all respects must be submitted at the Sales Offices of the AMC / Identified center for sale of units of the Scheme.
10. The acknowledgement slip will be made in favour of First/Sole Applicant.
11. Agents are not permitted to accept cash with application. The Fund shall not be held responsible for any kind of wrong tenders.
12. **KNOW YOUR CUSTOMER (KYC) COMPLIANCE**

Investors should note that it is mandatory for all purchases/ switches/ registrations for Systematic Investment Plan (SIP)/ Systematic Transfer Plan (STP) to quote the KYC Compliance Status of each applicant (guardian in case of minor) in the application and attach proof of KYC Compliance viz. KYC Acknowledgement Letter. Applicants intending to apply for units through a Power of Attorney (PoA) must ensure that the issuer of the PoA and the holder of the PoA must mention their KYC Compliance Status and attach proof of KYC Compliance at the time of investment. With effect from January 1, 2012, SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries. New investors are therefore requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are also available on our website [www.canararobeco.com](http://www.canararobeco.com). Existing KYC compliant investors can continue the practice of providing copy of KYC Acknowledgement Letter of. Once the investor has done KYC with any SEBI registered intermediary, the investor need not undergo the same process again. However, the Mutual Fund reserves the right to carry out fresh KYC of the investor.

With effect from December 03, 2012, if these investors with "MF-VERIFIED BY CVLMF" status wish to invest in the scheme(s) of Canara Robeco Mutual Fund for the first time (or not opened a folio earlier), they will be required to submit 'missing/not available' KYC information and complete the 'In Person Verification' (IPV) requirements.

In case of Individual investors, the following details need to be submitted:

1. Father's/Spouse's Name,
2. Marital Status,
3. Nationality,
4. Gross Annual Income or Net worth (not older than 1 year)
5. In-Person Verification (IPV)

Individual investors are requested to fill in 'KYC Details Change Form' issued by CVL-KRA which is also available on the AMC website [www.canararobeco.com](http://www.canararobeco.com). This duly filled form along with IPV completed status can be submitted along with purchase application, to any of the Investor Service Centers (ISC) of CRMF as mentioned in the Scheme Information Document and the above website of AMC. Alternatively, investors may also approach their existing mutual funds at any investor service centre for completion of this KYC requirement.

In case of Non Individuals who wish to invest first time in the scheme(s) of CRMF from the effective date, KYC is required to be done again with any SEBI registered KRA due to significant changes in KYC requirements. Non Individual investors are requested to fill in 'KYC Form' issued by CVL-KRA which is also available on the AMC website [www.canararobeco.com](http://www.canararobeco.com).

For units held in demat form, the KYC performed by the Depository Participant of the applicants will be considered as KYC verification done by the Trustee / AMC. In the event of non compliance of KYC requirements, the Trustee/AMC reserves the right to freeze the folio of the investor(s) and effect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any.

## Prevention of Money Laundering

SEBI vide its circular reference number ISD/CIR/RR/AML/1/06 dated January 18, 2006 mandated that all intermediaries including Mutual Funds should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know Your Customer (KYC) policy.

The Investor(s) should ensure that the amount invested in the Scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act (PMLA), Prevention of Corruption Act and / or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.

To ensure appropriate identification of the Investor(s) and with a view to monitor transactions for the prevention of money laundering, Canara Robeco Asset Management Company Limited (CRAMC) / Canara Robeco Mutual Fund (CRMF) reserves the right to seek information, record investor's telephonic calls and or obtain and retain documentation for establishing the identity of the Investor(s), proof of residence, source of funds, etc. It may re-verify identity and obtain any incomplete or additional information for this purpose.

CRAMC, CRMF, the Trustees and their Directors, employees and agents shall not be liable in any manner for any claims arising whatsoever on account of freezing the folios/rejection of any application / allotment of Units or mandatory redemption of Units due to non compliance with the provisions of the PMLA, SEBI/AMFI circular(s) and KYC policy and / or where the AMC believes that transaction is suspicious in nature within the purview of the PMLA and SEBI/AMFI circular(s) and reporting the same to FIU-IND.

For further details, please refer Section 'Prevention of Money Laundering' under the Statement of Additional Information available on our website [www.Canararobeco.com](http://www.Canararobeco.com)

## 13. NOMINATION DETAILS

As per the recent AMFI Circulars, a Unit Holder in the scheme may be allowed to nominate upto a maximum of three nominees. The nomination will be on a proportionate basis and investor may specify the percentage for each nominee in the event of his / her demise. If the percentage is not specified, it will be equal percentage for the nominees by default. Provision for mentioning the details of the nominees are made in the KIM/ application form and or separate nomination request forms is made available to the investors. The details of the nominee(s) will be captured by the Registrar and will be available in the data base maintained. Upon receipt of intimation from the nominee(s) regarding demise of the investor duly accompanied with necessary documents e.g. providing proof of the death of the Unit Holder, signature of the nominee, furnishing proof of guardianship if the nominee is a minor, and the execution of an indemnity bond or such other documents as may be required from the nominee in favor of and to the satisfaction of the AMC / Registrar, the units will be transmitted to the nominee(s) as per the percentage advised by the investor and a confirmation/fresh Statement

of account will be sent to the new holder(s).

Only the following categories of Indian residents can be nominated: (a) individuals; (b) minors through parent / legal guardian (whose name and address must be provided); (c) religious or charitable trusts; and (d) Central Government, State Government, a local authority or any person designated by virtue of his office. However non Individual's, including society, trust, body corporate, partnership

firm, Karta of HUF, persons applying on behalf of minor or on power of attorney cannot nominate.

A nomination in respect of Units will be treated as rescinded upon the Redemption of all Units. Cancellation of a nomination can be made only by the Unit Holders who made the original nomination and must be notified in writing. On receipt of a valid cancellation, the nomination shall be treated as rescinded and the AMC / Fund shall not be under any obligation to transfer the Units in favour of the nominee.

The transfer of Units / payment to the nominee of the Redemption proceeds shall be valid and effectual against any demand made upon the Fund / AMC / Trustee and shall discharge the Fund / AMC / Trustee of all liability towards the estate of the deceased Unit Holder and his / her legal personal representative or other successors. The Fund, the AMC and the Trustee are entitled to be indemnified from the deceased Unit Holder's estate against any liabilities whatsoever that any of them may suffer or incur in connection with a nomination.

Applicants who do not wish to nominate, must at the designate space confirming their intention on not to nominate, failing which the form may be rejected at the discretion of the AMC/Fund.

Nomination facility is not allowed in a folio held on behalf of a minor

14. Applications which are incomplete are liable to be rejected and the Trustees of Canara Robeco Mutual Fund shall not be responsible for any consequences thereof.

15. Where an Application is rejected in full or part, application money will accordingly be refunded to the applicant. No interest will be paid on the amount so refunded. Letters of regret together with refund cheques, if any, will be despatched by post at the applicant's sole risk.

16. First/Sole applicant, should fill in the particulars of their operative Bank Account which is mandatory and application without bank details is liable to be rejected. Further, AMC/Mutual Fund also provides a facility to investors to register multiple bank accounts in the same folio. By registering multiple bank account, investor can use any of the registered bank account to receive redemption proceeds

17. Documents to be submitted :

i) For Charitable and Religious Trusts/Association / Society :

(a) Trust Deed / Bye-Laws, (b) Certified copy of resolution, (c) List of authorised signatories with specimen signatures.

ii) For Companies :

(a) Certified Copy of the Board Resolution, (b) Memorandum & Articles of Association, (c) List of authorised signatories with specimen signatures.

iii) For Partnership Firms : Copy of the Partnership Deed

18. The right to accept or reject any application in whole or in part lies with the Trustees.

19. NRI - PAYMENT PROCEDURE

Payment by NRIs and person of Indian origin residing abroad may be made by way of Indian Rupee Draft purchased abroad or by way of cheque drawn on Non-Resident (External) (NRE) Non-Resident Ordinary (NRO) Accounts. Payments can be also be made by means of Rupee Draft purchased out of NRE / NRO / FCNR accounts.

20. Real Time Gross Settlement (RTGS) & National Electronic Funds Transfer (NEFT)

The AMC provides the facility of 'Real Time Gross Settlement (RTGS)' & 'National Electronic Funds Transfer (NEFT)' offered by Reserve Bank of India(RBI), which aims to provide credit of redemption and dividend payouts (if any) directly into the bank account of the Unit holder maintained with the banks (participating in the RTGS/NEFT System). Unit holders can check the list of banks participating in the RTGS/NEFT System from the RBI website i.e. [www.rbi.org.in](http://www.rbi.org.in) or contact any of our Investor Service Centres.

However, in the event of the name of Unit holder's bank not appearing in the 'List of Banks participating in RTGS/NEFT' updated on RBI website [www.rbi.org.in](http://www.rbi.org.in)

org.in, from time to time, the instructions of the Unit holder for remittance of redemption/ dividend (if any) proceeds via RTGS/NEFT System will be discontinued by Canara Robeco Mutual Fund / Canara Robeco Asset Management Company Limited without prior notice to the Unit holder and the payouts of redemption / dividend (if any) proceeds shall be effected by sending the Unit holder(s) a cheque / demand draft.

For more details on NEFT or for frequently asked questions (FAQs) on RTGS/NEFT, Unit holders are advised to visit the RBI website [www.rbi.org.in](http://www.rbi.org.in)

Electronic Clearing Service (ECS)\*

Investors who have opted for the ECS facility of RBI for dividend payment will receive a direct credit of the amount due to them in their mandated account whenever the payment is made through ECS. A separate advice regarding credit of amount(s) via ECS will be sent to the unit holder. It should be noted that while the Mutual Fund will make all efforts, there is no commitment that this facility will be made available to all desirous investors.

Applicants in cities not covered under ECS facility will receive dividend payments , if any by cheques or demand drafts and the same will be mailed to the Unitholders. Please note that the ECS Facility is available only in respect of dividend payments and not in the case of Redemption of Units. Therefore, the Investors will receive their redemption / dividend proceeds (if any) directly into their bank accounts in the following order:

(i) In case the bank account of an investor is covered under RTGS/ NEFT system offered by the RBI then the payment of redemption / dividend proceeds (if any) shall be effected via RTGS/NEFT mechanism only.

(ii) The facility for payment of dividend proceeds, if any via ECS\* shall be effected only in case the bank account of an investor is not covered under the RTGS/ NEFT system.

\* available only in respect of dividend payments.

Each of the above facilities aims to provide direct credit of the redemption proceeds and dividend payouts (if any) into the bank account of the Unit holder and eliminates the time lag between despatch of the cheque, its receipt by the Unit holders and the need to personally bank the instrument and await subsequent credit to the Unit holders account. Further, the potential risk of loss of instruments in transit through courier / post is also eliminated. Each of the said facility as a mode of payment, is faster, safer and reliable. In case the bank account as communicated by the Unit holder is with any of the banks participating in the RTGS/NEFT System offered by RBI, the AMC shall automatically extend this facility to the Unit holders provided unitholders have furnished all requisite information to the AMC to receive such credits.

Canara Robeco Asset Management Company Limited / Canara Robeco Mutual Fund shall not be held liable for any losses / claims, etc. arising on account of processing the credit via RTGS/NEFT/ECS of redemption / dividend proceeds on the basis of Bank Account details as provided by the unit holder in the Application Form.

Canara Robeco Asset Management Company Limited / Canara Robeco Mutual Fund shall not be liable for delayed receipt /non receipt of redemption /dividend amount for reason of incomplete /inaccurate information provided by the unit holders

However, if the Unit holders are not keen on availing of any of the said facilities and prefer receiving cheques / demand drafts, Unit holders may indicate their intention in the Application Form in the space provided specifically. The AMC would then ensure that the payouts are effected by sending the Unit holders a cheque / demand draft. In case of unforeseen circumstances, the AMC reserves the right to issue a cheque / demand draft.

21. Restriction on acceptance of Third Party Payments . : No application for subscription of units accompanied by Third Party Payments shall be accepted in the normal course . Please contact the nearest branch office for details & assistance

22. For Investments "On behalf of Minor": Where the investment is on behalf of minor by the guardian, please note the following important points.

- The minor shall be the sole and only first holder in the account. Nomination facility is not available for applications/folios on behalf of a minor. Joint holders' details and nomination details, even if mentioned and signed will not be considered.
- Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian.

- Details like minor's date of birth, Guardian's relation with Minor, Guardian name, PAN, KYC are mandatory, along with supporting documents.
- Photo copy of the document evidencing the date of birth of minor like " i. Birth certificate of the minor, or ii. School leaving certificate / Mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc., or iii. Passport of the minor, or iv. Any other suitable proof" should be attached with the application form.
- Where the guardian is not a natural guardian (father or mother) and is a court appointed legal guardian, suitable supporting documentary evidence should be provided.
- If the mandatory details and/or documents are not provided, the application is liable to be rejected without any information to the applicant.

23. **DEMATERIALIZATION** : The Unit holders would have an option to hold the Units in dematerialized form. The Applicant intending to hold Units in dematerialized form will be required to have a beneficiary account with a Depository Participant (DP) of the NSDL/CDSL and will be required to mention in the application form DP ID No. and Beneficiary Account No. with the DP. Applicants must ensure that the sequence of names as mentioned in the application form matches to that of the account held with the Depository Participant. Names, Address, PAN details, KYC details etc. mentioned in the application form will be verified against the Depository data. Only those applications where the details are matched with the depository data, will be treated as valid applications. In case the demat details mentioned in the application are incomplete/incorrect, do not match with the depository data, the application shall be treated as invalid for processing under demat mode and therefore may be considered for processing in non-demat form i.e. in physical mode if the application is otherwise valid.

#### 24. TRANSACTION CHARGES

SEBI with the intent to enable investment by people with small saving potential and to increase reach of Mutual Fund products in urban areas and in smaller towns, wherein the role of the distributor is vital, has allowed AMCs vide its circular No. Cir/IMD/ DF/13/ 2011 dated August 22, 2011 to deduct transaction charges for subscription of Rs. 10,000/- and above. In accordance with the said circular, if your distributor has opted to receive the Transaction Charges based on the type of product opted-in, Canara Robeco Asset Management Company Limited ("AMC") / Canara Robeco Mutual Fund ("Mutual Fund") shall deduct a Transaction Charge of Rs. 150 ( for first time mutual fund investor ) or Rs. 100/- (for investor other than first time mutual fund investor) in Mutual Funds from your subscription amount and pay the same to your distributor. Units will be issued against the balance amount invested after deduction of Transaction Charge payable to the distributor.

Please note that Transaction Charges shall not be deducted in the following cases:

- Where the subscription amount is less than Rs. 10,000/-;
- For transactions other than purchases/ subscriptions relating to new inflows eg. In case of switch/ STP etc.

No transaction charges will be deducted for any purchase/subscription made directly with the Fund (i.e. not through any distributor/agent).

#### 25. PERMANENT ACCOUNT NUMBER

SEBI has made it mandatory for all applicants (in the case of application in joint names, each of the applicants) to mention his/her permanent account number (PAN) irrespective of the amount [Except for investment upto Rs 50,000/- per year per investor including Micro SIP]. Where the applicant is a minor, and does not possess his / her own PAN, he / she shall quote the PAN of his/ her father or mother or the guardian, as the case may be. However, PAN is not mandatory in the case of Central Government, State Government entities and the officials appointed by the courts e.g. Official liquidator, Court receiver etc (under the category of Government) for transacting in the securities market. PAN card copy is not required separately if KYC acknowledgement issued by CVL/CVLKRA is made available. Canara Robeco Mutual Fund reserves the right to ascertain the status of such entities with adequate supporting documents. Applications not complying with the above requirement may not be accepted/processed.

#### 26. Multiple Bank Account Registration:

The AMC/ Mutual Fund provides a facility to the investors to register multiple bank accounts (currently upto 5 for Individuals and 10 for Non – Individuals) for receiving redemption/ dividend proceeds etc. by providing necessary documents. Investors must specify any one account as the "Default Bank Account". The

investor, may however, specify any other registered bank account for credit of redemption proceeds at the time of requesting for the redemption.

Investors holding units in non-demat form are requested to avail the facility of registering multiple bank accounts by filling in the 'Multiple Bank Accounts Registration Form' available at our Investor Service Centres (ISCs) or on our website [www.canararobeco.com](http://www.canararobeco.com)

27. **Employee Unique Identification Number (EUIIN)**: SEBI has made it compulsory for every employee/ relationship manager/ sales person of the distributor of mutual fund products to quote the EUIIN obtained by him/her from AMFI in the Application Form. The purpose of EUIIN is to capture the identification of the sales person/ employee/relationship manager interacting with the investor, irrespective of whether the transaction is "Execution only" or "Advisory". Individual ARN holders including senior citizens distributing mutual fund products are also required to obtain and quote EUIIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EUIIN is correctly filled up in the Application Form.

However, in case of any exceptional cases where there is no interaction by the employee/sales person/relationship manager of the distributor/sub broker with respect to the transaction, the EUIIN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect, as given in the Form.

28. The Mutual Fund provides the investors the flexibility to switch their redemption proceeds receivable on maturity of the scheme to any of the Plan/Option of Canara Robeco Treasury Advantage Fund (CRTAF), an open ended debt scheme, as may be specified by the investor in the application form. In case the investor fails to specify his preference as regards the Plan/Option of CRTAF into which the maturity proceeds are to be switched into, the default plan/option under CRTAF as per provisions of the respective Scheme Information Document shall be applicable. This Option enables the investors to remain invested and does not lose a single day return which may be possible on receiving the payout of redemption proceeds. Investor can choose to avail of this facility only at the time of making the application to subscribe to the units of the scheme by signing separately in the designated space in the application form and confirming their intention to avail auto maturity switch. If this section is not signed or in case of discrepancy in signatures, switch request may be liable to be rejected. Further, in case of any inconsistency in selection of option viz, Payout of redemption proceeds on maturity of scheme or Auto Maturity switch, payout of redemption proceeds shall be considered as default mode. Further, investor shall also have an option to alter his preference from auto maturity switch to Payout of redemption proceeds by submitting a written request, at any time during the tenure of the scheme, not later than 10 working days prior to the maturity of the scheme. To make the switch effective, investor needs to hold all the units till maturity of the scheme. The switch shall be subject to the applicable terms and conditions of both the switch-out scheme and switch-in scheme as regards the minimum number of Units that may be redeemed or issued, Load, Applicable NAV etc.

29. **Details of Beneficial Ownership** : In terms of SEBI Master Circular on AML/CFT dated December 31, 2010, 'Beneficial Owner' has been defined as a natural person/s who ultimately own, control or influence a client and / or persons on whose behalf a transaction is being conducted, which includes persons who exercise ultimate effective control over a legal person or arrangement. Details of the identity of all natural person(s) such as their Name(s), PAN number/Passport details, Address etc together with a self attested PAN Card copy is to be provided by the Investor to the Official Points of Acceptance (OPAs) of the Canara Robeco MF Schemes while submitting the Application Form. Such natural persons include those who are acting alone or together, or through one or more juridical person and exercising control through ownership or who ultimately has a controlling ownership interest.

For further details regarding manner of determination of beneficial ownership in doubtful cases (relating to investors other than Trust and Foreign investors), investments by Trust and Foreign Investors and for other details regarding disclosure of information regarding beneficial ownership etc., please refer to SAI/ relevant Addendum.

#### Note :

- Multiple nominations are permitted i.e. more than one person can be nominated under the same folio (not in case of minor applicant).
- Each application has to be accompanied by a separate cheque / RTGS letter. A single application with multiple cheques and multiple applications with a single cheque will not be accepted.

**Canara Robeco Mutual Fund**

Investment Manager : Canara Robeco Asset Management Co. Ltd. CIN No : U65990MH1993PLC071003  
 Construction House, 4th Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai 400 001.  
 Tel.: 6658 5000 , 6658 5086 Fax: 6658 5012 / 13 www.canararobeco.com



Application No.

**This product is suitable for investors who are seeking\***

- Capital Protection at Maturity and Capital Appreciation over medium term
- Investment in Debt and Money Market Securities (70% - 100%) and Equity and Equity related instruments (0% -30%).
- Low Risk (BLUE)

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Note: Risk is represented as: [Blue Box] (BLUE) investors understand that their principal will be at low risk [Yellow Box] (YELLOW) investors understand that their principal will be at medium risk [Brown Box] (BROWN) investors understand that their principal will be at high risk

APPLICATION FORM (Please fill in BLOCK Letters)			
Broker Name / ARN	Sub Broker Code / ARN	Employee Unique Identification Number	Bank Serial No. / Branch Stamp/Receipt Date

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

**Declaration for "execution-only" transaction (only where EUIN box is left blank) (Refer Instruction 27):** I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

⊗ Signature of 1st Applicant / Guardian	⊗ Signature of 2nd Applicant	⊗ Signature of 3rd Applicant
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**TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS/AGENTS ONLY (Refer Instruction 24)**

I confirm that I am a First time investor across Mutual Funds.  
 (₹ 150 deductible as Transaction Charge and payable to the Distributor)

I confirm that I am an existing investor in Mutual Funds.  
 (₹ 100 deductible as Transaction Charge and payable to the Distributor)

In case the purchase / subscription amount is ₹ 10,000 or more and your Distributor has opted to receive Transaction Charges, the same are deductible as applicable from the purchase/ subscription amount and payable to the Distributor. Units will be issued against the balance amount invested.

**EXISTING UNIT HOLDER INFORMATION [Please fill in your Folio Number and proceed to Investment Details and Payment Details]**

Folio No.	Name of 1st Unit Holder

The details in our records under the folio number mentioned will apply for this application.

**PAN AND KYC COMPLIANCE STATUS DETAILS - Mandatory [Refer Instruction Nos. 12 & 25]**

PAN # (refer instruction)	KYC Compliance Status** (if yes, attach proof)
First / Sole Applicant @	Yes <input type="radio"/>
Second Applicant	Yes <input type="radio"/>
Third Applicant	Yes <input type="radio"/>

@ If the first/sole applicant is a Minor, then please provide details of Natural / Legal Guardian. \*\*Refer instruction 12

**APPLICANT(S) INFORMATION [Refer Instruction 1]**

NAME OF FIRST / SOLE APPLICANT / MINOR (incase of minor their shall be no joint holder)		DATE OF BIRTH (Mandatory in case of Minor)	
		D D / M M / Y Y Y Y	
Mr.   Ms.   M/s.			
Father/Husband's Name			
Occupation Please (✓)	Private Sector Service <input type="checkbox"/> Government Service <input type="checkbox"/> Professional <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Public Sector <input type="checkbox"/> Agriculturist <input type="checkbox"/> Business <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Housewife <input type="checkbox"/> Others <input type="checkbox"/> Please specify		
Status Please (✓)	Resident Individual <input type="checkbox"/> NRI - NRO <input type="checkbox"/> Trust <input type="checkbox"/> HUF <input type="checkbox"/> Bank / FIs <input type="checkbox"/> NRI - NRE <input type="checkbox"/> Minor thru Guardian <input type="checkbox"/> Company/Body Corporate <input type="checkbox"/> FIs <input type="checkbox"/> Partnership Firm <input type="checkbox"/> Society <input type="checkbox"/>		

**OTHER DETAILS** Please tick (✓)  Individual  Non-Individual (Mandatory)

1. Gross Annual Income Details Please tick (✓)  Below 1 Lac  1-5 lacs  5-10 Lacs  10-25 Lacs  >25 Lacs - 1 Crore  1 Crore & above  
 [OR]  
 Net-worth in ₹ \_\_\_\_\_ as on (date) \_\_\_\_/\_\_\_\_/\_\_\_\_

2. Please tick if applicable:  Politically Exposed Person (PEP)  Related to a Politically Exposed Person (PEP)  Not Applicable

3. Is the entity involved in / providing any or the following services

- Foreign Exchange / Money Changer Services  YES  NO
- Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)  YES  NO
- Money Lending / Pawning  YES  NO

4. Any other information \_\_\_\_\_

I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Canara Robeco Mutual Fund/ Canara Robeco Asset Management company limited immediately in case there is any change in the above information.

**NAME OF SECOND APPLICANT**

Mr. | Ms. | M/s.

Occupation Please (✓)	Private Sector Service <input type="checkbox"/> Government Service <input type="checkbox"/> Professional <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Public Sector <input type="checkbox"/> Agriculturist <input type="checkbox"/> Business <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Housewife <input type="checkbox"/> Others <input type="checkbox"/> Please specify
Status Please (✓)	Resident Individual <input type="checkbox"/> NRI - NRO <input type="checkbox"/> Trust <input type="checkbox"/> HUF <input type="checkbox"/> Bank / FIs <input type="checkbox"/> NRI - NRE <input type="checkbox"/> Minor thru Guardian <input type="checkbox"/> Company/Body Corporate <input type="checkbox"/> FIs <input type="checkbox"/> Partnership Firm <input type="checkbox"/> Society <input type="checkbox"/>

**OTHER DETAILS** Please tick (✓)  Individual  Non-Individual (Mandatory)

1. Gross Annual Income Details Please tick (✓)  Below 1 Lac  1-5 lacs  5-10 Lacs  10-25 Lacs  >25 Lacs - 1 Crore  1 Crore & above  
 [OR]  
 Net-worth in ₹ \_\_\_\_\_ as on (date) \_\_\_\_/\_\_\_\_/\_\_\_\_

2. Please tick if applicable:  Politically Exposed Person (PEP)  Related to a Politically Exposed Person (PEP)  Not Applicable

3. Is the entity involved in / providing any or the following services

- Foreign Exchange / Money Changer Services  YES  NO
- Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)  YES  NO
- Money Lending / Pawning  YES  NO

4. Any other information \_\_\_\_\_

I declare that the information is to the best of my knowledge and belief, accurate and complete. I agree to notify Canara Robeco Mutual Fund/ Canara Robeco Asset Management company limited immediately in case there is any change in the above information.

NAME OF THIRD APPLICANT  
Mr. | Ms. | M/s.

Occupation Please (✓)	Private Sector Service <input type="checkbox"/>	Government Service <input type="checkbox"/>	Professional <input type="checkbox"/>	Retired <input type="checkbox"/>	Student <input type="checkbox"/>	Others <input type="checkbox"/> Please specify
	Public Sector <input type="checkbox"/>	Agriculturist <input type="checkbox"/>	Business <input type="checkbox"/>	Forex Dealer <input type="checkbox"/>	Housewife <input type="checkbox"/>	
Status Please (✓)	Resident Individual <input type="checkbox"/>	NRI - NRO <input type="checkbox"/>	Trust <input type="checkbox"/>	HUF <input type="checkbox"/>	Bank / Fls <input type="checkbox"/>	NRI - NRE <input type="checkbox"/>
	Minor thru Guardian <input type="checkbox"/>	Company/Body Corporate <input type="checkbox"/>	Fls <input type="checkbox"/>	Partnership Firm <input type="checkbox"/>	Society <input type="checkbox"/>	

**OTHER DETAILS** Please tick (✓)  Individual  Non-Individual (Mandatory)

1. Gross Annual Income Details Please tick (✓)  Below 1 Lac  1-5 lacs  5-10 Lacs  10-25 Lacs  >25 Lacs - 1 Crore  1 Crore & above  
**[OR]**  
 Net-worth in ₹ \_\_\_\_\_ as on (date) / /

2. Please tick if applicable:  Politically Exposed Person (PEP)  Related to a Politically Exposed Person (PEP)  Not Applicable

3. Is the entity involved in / providing any or the following services  
 – Foreign Exchange / Money Changer Services  YES  NO  
 – Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)  YES  NO  
 – Money Lending / Pawning  YES  NO

4. Any other information \_\_\_\_\_

I declare that the information is to the best of my knowledge and belief ,accurate and complete. I agree to notify Canara Robeco Mutual Fund/ Canara Robeco Asset Management company limited immediately in case there is any change in the above information.

NAME OF THE GUARDIAN (In case First Applicant is a Minor)  
Mr. | Ms. | M/s.

Relationship with Minor Please (✓)  
Mother  Father  Legal Guardian

Proof of DOB ( Any one Mandatory)  Birth Certificates  School Certificates / Mark Sheet  Pass Port  Others \_\_\_\_\_

Occupation Please (✓)	Private Sector Service <input type="checkbox"/>	Government Service <input type="checkbox"/>	Professional <input type="checkbox"/>	Retired <input type="checkbox"/>	Student <input type="checkbox"/>	Others <input type="checkbox"/> Please specify
	Public Sector <input type="checkbox"/>	Agriculturist <input type="checkbox"/>	Business <input type="checkbox"/>	Forex Dealer <input type="checkbox"/>	Housewife <input type="checkbox"/>	
Status Please (✓)	Resident Individual <input type="checkbox"/>	NRI - NRO <input type="checkbox"/>	Trust <input type="checkbox"/>	HUF <input type="checkbox"/>	Bank / Fls <input type="checkbox"/>	NRI - NRE <input type="checkbox"/>
	Minor thru Guardian <input type="checkbox"/>	Company/Body Corporate <input type="checkbox"/>	Fls <input type="checkbox"/>	Partnership Firm <input type="checkbox"/>	Society <input type="checkbox"/>	

**OTHER DETAILS** Please tick (✓)  Individual  Non-Individual (Mandatory)

1. Gross Annual Income Details Please tick (✓)  Below 1 Lac  1-5 lacs  5-10 Lacs  10-25 Lacs  >25 Lacs - 1 Crore  1 Crore & above  
**[OR]**  
 Net-worth in ₹ \_\_\_\_\_ as on (date) / /

2. Please tick if applicable:  Politically Exposed Person (PEP)  Related to a Politically Exposed Person (PEP)  Not Applicable

3. Is the entity involved in / providing any or the following services  
 – Foreign Exchange / Money Changer Services  YES  NO  
 – Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)  YES  NO  
 – Money Lending / Pawning  YES  NO

4. Any other information \_\_\_\_\_

I declare that the information is to the best of my knowledge and belief ,accurate and complete. I agree to notify Canara Robeco Mutual Fund/ Canara Robeco Asset Management company limited immediately in case there is any change in the above information.

Mode of Holding Please (✓)  Anyone or Survivor  Single  Joint  (Default option is Anyone or Survivor)

**POWER OF ATTORNEY (PoA) HOLDER DETAILS**

Name of PoA Mr. | Ms. | M/s.

PAN  KYC [Please (✓) (Mandatory)]  Proof Attached

Occupation Please (✓)	Private Sector Service <input type="checkbox"/>	Government Service <input type="checkbox"/>	Professional <input type="checkbox"/>	Retired <input type="checkbox"/>	Student <input type="checkbox"/>	Others <input type="checkbox"/> Please specify
	Public Sector <input type="checkbox"/>	Agriculturist <input type="checkbox"/>	Business <input type="checkbox"/>	Forex Dealer <input type="checkbox"/>	Housewife <input type="checkbox"/>	
Status Please (✓)	Resident Individual <input type="checkbox"/>	NRI - NRO <input type="checkbox"/>	Trust <input type="checkbox"/>	HUF <input type="checkbox"/>	Bank / Fls <input type="checkbox"/>	NRI - NRE <input type="checkbox"/>
	Minor thru Guardian <input type="checkbox"/>	Company/Body Corporate <input type="checkbox"/>	Fls <input type="checkbox"/>	Partnership Firm <input type="checkbox"/>	Society <input type="checkbox"/>	

**OTHER DETAILS** Please tick (✓)  Individual  Non-Individual (Mandatory)

1. Gross Annual Income Details Please tick (✓)  Below 1 Lac  1-5 lacs  5-10 Lacs  10-25 Lacs  >25 Lacs - 1 Crore  1 Crore & above  
**[OR]**  
 Net-worth in ₹ \_\_\_\_\_ as on (date) / /

2. Please tick if applicable:  Politically Exposed Person (PEP)  Related to a Politically Exposed Person (PEP)  Not Applicable

3. Is the entity involved in / providing any or the following services  
 – Foreign Exchange / Money Changer Services  YES  NO  
 – Gaming / Gambling / Lottery Services (e.g. casinos, betting syndicates)  YES  NO  
 – Money Lending / Pawning  YES  NO

4. Any other information \_\_\_\_\_

I declare that the information is to the best of my knowledge and belief ,accurate and complete. I agree to notify Canara Robeco Mutual Fund/ Canara Robeco Asset Management company limited immediately in case there is any change in the above information.

**DEMAT ACCOUNT DETAILS (This section to be filled only if investor wish to hold units in demat form) ( Client Master List (CML) to be enclosed ) ( Refer instructions No. 23)**

National Securities Depository Limited (NSDL)		Central Depository Services (India) Limited (CDSL)	
Depository Participant Name _____	Depository Participant Name _____	DP ID No. <input type="text"/>	Target ID No. <input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**FATCA DETAILS** For Individuals & HUF (Mandatory) Non Individual investors should mandatorily fill separate FATCA details form

Do you have non-Indian Country[ies] of Birth/Citizenshi/Nationality and Tax Residency?  Yes  No Please tick as applicable and if yes, provide the below mentioned information (mandatory)

Sole/First Applicant/Guardian <input type="checkbox"/> Yes <input type="checkbox"/> No		2nd Applicant <input type="checkbox"/> Yes <input type="checkbox"/> No		3rd Applicant <input type="checkbox"/> Yes <input type="checkbox"/> No or <input type="checkbox"/> POA <input type="checkbox"/> Yes <input type="checkbox"/> No	
Country of Birth		Country of Birth		Country of Birth	
Country of Citizenship/ Nationality		Country of Citizenship/ Nationality		Country of Citizenship/ Nationality	
Are you a US Specified Person?	<input type="checkbox"/> Yes <input type="checkbox"/> No please provide Tax Payer Id	Are you a US Specified Person?	<input type="checkbox"/> Yes <input type="checkbox"/> No please provide Tax Payer Id	Are you a US Specified Person?	<input type="checkbox"/> Yes <input type="checkbox"/> No please provide Tax Payer Id
Country of Tax Residency# [other than India]	Taxpayer Identification No	Country of Tax Residency# [other than India]	Taxpayer Identification No	Country of Tax Residency# [other than India]	Taxpayer Identification No
1		1		1	
2		2		2	

# Please indicate all countries in which you are a resident for tax purpose and associated Taxpayer Identification number.  
In case of applications with PoA, the PoA holder should fill separate form to provide the above details mandatorily.

**MAILING ADDRESS** [Please provide Full Address. P. O. Box No. may not be sufficient. Overseas Investors will have to provide Indian Address]

Local Address of 1st Applicant -

City  State  Pin Code

Tel. Off.  Resi.  **Mobile**

**E-Mail** PLEASE USE BLOCK LETTERS

Overseas Correspondence Address (Mandatory for NRI / FII Applicant)

City  Country  Pin Code

**COMMUNICATION** (Please ✓)

I/We wish to receive Account Statements/Annual Reports/Quarterly Statements/Newsletter/Updates or any other Statutory Information via E- mail/SMS alerts in lieu of Physical Documents.

**BANK ACCOUNT DETAILS - Mandatory**

Name of the Bank

Account No.  A/c. Type Please (✓) SAVINGS  NRE  CURRENT  NRO  FCNR

Branch Address

Bank Branch City  State  Pin Code  MICR Code   
(Please enter the 9 digit number that appears after your cheque number)

**IFSC Code (RTGS/NEFT)**  (Mandatory for Credit via NEFT/RTGS) Please attach a cancelled cheque OR a clear photo copy of a cheque  
(11 Character code appearing on your cheque leaf. If you do not find this on your cheque leaf, please check for the same with your Bank)

**REDEMPTION / DIVIDEND REMITTANCE** [Refer Instruction 20]

Electronic Payment It is the responsibility of the Investor to ensure the correctness of the IFSC code/ MICR code for Electronic Payout at recipient/destination branch corresponding to the Bank details.  Cheque Payment

If MICR and IFSC code for Redemption/Dividend Payout is available all payouts will be automatically processed as Electronic Payout-RTGS/NEFT/Direct Credit/NECS.

**AUTO SWITCH – REDEMPTION AMOUNT** [Refer Instruction 28]

Canara Robeco Treasury Advantage Fund  
Plans / Option  
 Regular Plan :  Growth  Dividend (Payout)  Dividend (Reinvestment)  
 Direct Plan :  Growth  Dividend (Payout)  Dividend (Reinvestment)

**ACKNOWLEDGEMENT SLIP (TO BE FILLED IN BY THE SOLE/FIRST APPLICANT)**

**CANARA ROBECO**

**Canara Robeco Mutual Fund**

Investment manager : Canara Robeco Asset Management Company Ltd.  
Construction House, 4th Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai 400 001.

Application No. \_\_\_\_\_

Date \_\_\_ / \_\_\_ / \_\_\_\_\_

Received from Mr. / Ms. / M/s.  
An application for purchase of \_\_\_\_\_ units of \_\_\_\_\_  
along with cheque / DD as detailed overleaf. Cheques / Drafts are subject to realisation.

Stamp,  
Signature & Date

**INVESTMENT DETAILS AND PAYMENT DETAILS (Payment through Cash/Outstation Cheques not accepted)**

Scheme Name	Plan / Option	Amount Invested (₹)	Cheque/DDNo./UTR No. (Incase of NEFT/RTGS)	Bank and Branch and Account Number
Canara Robeco Capital Protection Oriented Fund - Series 5	<input type="checkbox"/> Regular plan: <input type="checkbox"/> Growth <input type="checkbox"/> Dividend (Payout)			

# (Type of Account : Saving/Current/NRE/NRO/FCNR/NRSR) \* All purchases are subject to realization of cheque/DD. • All Cheques/Bank Drafts must be drawn in favour of "CANARA ROBECO MUTUAL FUND COLLECTIONS"

**Details of Beneficial Ownership (Please tick applicable category). Ownership details to be provided if the Ownership percentage/interest in the trust of any Beneficiary is as per the threshold limit provided below. Details to be provided for each such beneficiary.**

<input type="checkbox"/> Category	<input type="checkbox"/> Unlisted company	<input type="checkbox"/> Partnership Firm	<input type="checkbox"/> Unincorporated Association/ Body of Individuals	<input type="checkbox"/> Trust	<input type="checkbox"/> Foreign Investor \$\$\$
Ownership per cent @@@	>25%	>15%	>15%	>=15%	

@@@ Ownership percentage of shares/capital/profits/property of juridical person/interest in the Trust as on the date of the application shall be furnished by the investor. \$\$\$ In the case of Foreign investors, the beneficial ownership will be determined as per SEBI guidelines. For details refer to SAI/relevant Addendum. In case of any change in the beneficial ownership, the investor will be responsible to intimate CRAMC / its Registrar / KRA as may be applicable immediately about such change.

**Details of Beneficial Ownership (Please attach a separate sheet with this format if the space provided is insufficient)**

Sr.	Name	Address	Details of Identity such as PAN / Passport	% of ownership

[Please attach self attested copy of PAN/Passport (proof of photo identity) along with application form]

**NOMINATION DETAILS for Individuals [Minor / HUF / POA Holder / Non Individuals cannot Nominate - Refer Instruction No. 13]**

I / We do here by nominate the undermentioned Nominee(s) to receive the units to my / our credit in this folio no. in the event of my / our death. I / We also understand that all payments and settlements made to such Nominee(s) and Signature of the Nominee(s) acknowledging receipt thereof, shall be a valid discharge by the AMC / Mutual Fund / Trustees.  I / We do not wish to nominate

No.	Nominee(s) Name	Date of Birth (in case of Minor)	Name of the Guardian (in case of Minor)	Relationship with Unit Holder	@ % of Share
1		D D - M M - Y Y Y Y			
2		D D - M M - Y Y Y Y			
3		D D - M M - Y Y Y Y			

<input checked="" type="checkbox"/> Signature of 1st Applicant / Guardian	<input checked="" type="checkbox"/> Signature of 2nd Applicant	<input checked="" type="checkbox"/> Signature of 3rd Applicant
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@ If the percentage of share is not mentioned then the claim will be settled equally amongst all the indicated nominee(s)

**DECLARATION**

To the trustees Canara Robeco Mutual Fund. I / We have read and understood the contents of the SAI, SID and Key Information Memorandum of the Scheme. I/We hereby apply to the Trustees of Canara Robeco Mutual Fund for allotment of units of the Scheme, as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I/We hereby declare that I/We are authorised to make this investment in the above mentioned Scheme (s) and that the amount invested in the scheme (s) is through legitimate sources only and does not involve and is not designed for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions of the provisions of Income Tax Act, Anti Money Laundering Act, Anti Corruption Act or any other applicable laws enacted by the government of India from time to time and we undertake to provide all necessary proof / documentation, if any, required to substantiate the facts of this undertaking. I have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I / We authorize the Fund to disclose details of my/our account and all my/our transactions to the intermediately whose stamp appears on the application form. I also authorize the Fund to disclose details as necessary, to the Registrar & Transfer agent(s), call centers, banks, custodians, depositories and/or authorised external third parties who are involved in transaction processing, despatches, etc. for the purpose of effecting payments to me / us. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that currently there is no subsisting order/ruling/judgment etc., in force which has been passed by of any court, tribunal, statutory authority or regulator, including SEBI prohibiting or restraining me/us from dealing in securities.

**Applicable to NRIs only :** I/We confirm that I am/we are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through approved banking channels or from funds in my/our Non-Resident External / Ordinary Account / FCNR / NRSR Account. Investment in the scheme is made by me / us on:  Repatriation basis  Non Repatriation basis

<input checked="" type="checkbox"/> First / Sole Applicant / Guardian	<input checked="" type="checkbox"/> Second Applicant	<input checked="" type="checkbox"/> Third Applicant
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**To be furnished by partnership firms**

To, The Trustees of Canara Robeco Mutual Fund, Sub : Our Subscription to the Schemes of We, the undersigned, being the partner of M/s. \_\_\_\_\_ a Partnership firm formed under Indian Partnership Act, 1932 do hereby jointly and severally authorise Mr. \_\_\_\_\_ to subscribe an amount of ₹ \_\_\_\_\_ for allotment of units of \_\_\_\_\_ Scheme on behalf of and in the name of our firm. He is / They are also authorised to encash / disinvest the above units. We undertake to intimate you in writing about any change in the constitution or composition of our firm and upon such change, also arrange to lodge the specimen signatures of the partners authorised to deal with the above units. We enclose the copy of the Partnership Deed alongwith this application for subscription.  
Name of the partners \_\_\_\_\_ Signatures \_\_\_\_\_

Scheme Name	Plan/Option	Amount Invested (₹)	Payment Details	
			Cheque/DD No./UTR No. (In case of NEFT/RTGS)	Bank and Branch
Canara Robeco Capital Protection Oriented Fund - Series 5	<input type="checkbox"/> Regular plan: <input type="checkbox"/> Growth <input type="checkbox"/> Dividend (Payout)			

REGISTRAR & TRANSFER AGENTS  
M/s. Karvy Computershare Pvt. Limited "Karvy Plaza"  
H. No. 8-2-596 Avenue 4, Street No. 1, Banjara Hills, Hyderabad - 500 034.  
Tel No.: (040) 23394436, 23397901, 23312454,  
Fax No.: (040) 23311968, Email : crmf@karvy.com



# Canara Robeco Mutual Fund

Investment Manager : Canara Robeco Asset Management Co. Ltd.  
Construction House, 4th Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai 400 001.  
Tel.: 6658 5000 , Fax: 6658 5012 / 13 www.canararobeco.com  
CIN : U65990MH1993PLC071003

# CANARA ROBECO

ASBA Application No.

This product is suitable for investors who are seeking\*

- Capital Protection at Maturity and Capital Appreciation over medium term
- Investment in Debt and Money Market Securities (70% - 100%) and Equity and Equity related instruments (0% - 30%).
- Low Risk (BLUE)

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Note: Risk is represented as: (BLUE) investors understand that their principal will be at low risk (YELLOW) investors understand that their principal will be at medium risk (BROWN) investors understand that their principal will be at high risk

Date   /   /

INVESTORS MUST READ THE SCHEME INFORMATION DOCUMENT/KEY INFORMATION MEMORANDUM AND INSTRUCTIONS BEFORE COMPLETING THIS FORM.

## APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA) FORM

BROKER/AGENT INFORMATION			FOR OFFICE USE ONLY			
ARN Code & Name*	Sub Distributor /Branch Code	Employee Unique Identification Number (EUIIN)	SCSB	SCSB IFSC Code	Syndicate Member Code	SI No.
ARN			[Name & Code]	[11 digit code]	[Name & Code]	

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.

Declaration for "execution-only" transaction (only where EUIIN box is left blank): I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker.

Signature of 1st Applicant / Guardian  Signature of 2nd Applicant  Signature of 3rd Applicant

### APPLICANT(S) INFORMATION

NAME OF FIRST / SOLE APPLICANT (For existing unitholder(s) please furnish your Folio No.)  
Mr. | Ms. | M/s.   
PAN  Folio No.

NAME OF SECOND APPLICANT  
Mr. | Ms. | M/s.   
PAN

NAME OF THIRD APPLICANT  
Mr. | Ms. | M/s.   
PAN

Status (Please ✓)  
Resident Individual  NRI / PIO  Trust  HUF  Bank / Fls  Sole Proprietorship  Others  Please specify  
Minor  Company/Body Corporate  Flls  Partnership Firm  AOP / BOI  Society

### BANK ACCOUNT DETAILS FOR BLOCKING OF FUNDS

Name of the Bank   
Branch Address   
Bank Branch City  State  Pin Code   
Account No.  A/c. Type (Please ✓) SAVINGS  NRE  CURRENT  NRO  FCNR   
IFS Code   
Amount to be blocked (Rs.)   
Amount in words \_\_\_\_\_

### INVESTMENT DETAILS

Scheme Name : Canara Robeco Capital Protection Oriented Fund - Series 5  
Plans :  Regular Plan :  Growth  Dividend (Payout)  
 Direct Plan :  Growth  Dividend (Payout)

### ACKNOWLEDGEMENT SLIP (TO BE FILLED IN BY THE SOLE/FIRST APPLICANT)

# CANARA ROBECO

Date \_\_\_ / \_\_\_ / \_\_\_\_\_

## Canara Robeco Mutual Fund

Investment manager : Canara Robeco Asset Management Company Ltd.  
Construction House, 4th Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai 400 001.

ASBA Application No.

Scheme Name : Canara Robeco Capital Protection Oriented Fund - Series 5	Plans : <input type="checkbox"/> Regular Plan : <input type="checkbox"/> Growth <input type="checkbox"/> Dividend (Payout) <input type="checkbox"/> Direct Plan : <input type="checkbox"/> Growth <input type="checkbox"/> Dividend (Payout)
Received from Mr. / Ms. / M/s. _____	
SCSB Account details A/c No. _____ Bank Name _____ Branch Name _____	Total Amount to be blocked Rs. in figures _____ Rs. in words _____
SCSB Stamp & Signature	

DEMAT ACCOUNT DETAILS												
Depository (Please ✓)	<input type="checkbox"/> National Securities Depository Limited						<input type="checkbox"/> Central Depository Services (India) Limited					
Depository Participant Name												
DP - ID	I	N										
Beneficiary Account Number	(16 digit beneficiary A/c. No. to be mentioned above)											

### UNDERTAKING BY ASBA INVESTOR AND ACCOUNT HOLDER

- I/ We hereby undertake that, I/ we have read and understood the instructions contained in this Form and Terms and Conditions concerning ASBA as contained in the Scheme Information Document (SID) / Key Information Memorandum (KIM) of the above mentioned Scheme and Statement of Additional Information (SAI) of Canara Robeco Mutual Fund. Further, I/we understand that if the details as provided by me/us in this Form are different from those in the NFO Application Form, then in such a case; the information as provided by me/us herein will be relied upon. I/we further confirm and undertake that I am/ we are eligible ASBA applicants(s) as per the relevant provisions of the SEBI (Issue of Capital and Disclosure Requirement) Regulations, 2009.
- In accordance with provisions of ASBA in the SEBI ICDR Regulations, 2009 and as disclosed in the SAI, I/We authorize:
  - the SCSB to do all acts as are necessary to make an application in the New Fund Offer of above mentioned Scheme, including uploading of application details, blocking the amount to the extent mentioned above under "DETAILS OF BANK ACCOUNT FOR BLOCKING OF FUNDS" or unblocking of funds in the bank account maintained with the SCSB specified above, transfer of funds to the Canara Robeco Mutual Fund's account on receipt of instructions from the Registrar to Canara Robeco Mutual Fund after finalisation of the basis of allotment, entitling me/us to receive mutual fund units on such transfer of funds, etc.
  - Registrar to issue instructions to the SCSB to unblock the funds in the bank account specified above upon finalisation of the basis of allotment and to transfer the requisite money to the Canara Robeco Mutual Fund's account.
- In case the amount available in the bank account specified above is insufficient, the SCSB shall reject the application.
- If the DP ID, Beneficiary or PAN is not provided by me/us or the details on the same as furnished in the form are incorrect or incomplete or not matching with the depository records, my/ our application shall be rejected and Mutual Fund or SCSB shall not be liable for losses, if any.

SIGNATURES		
First / Sole Applicant / Guardian	Second Applicant	Third Applicant
SCSB Bank -First / Sole Applicant / Guardian	SCSB Bank - Second Applicant	SCSB Bank - Third Applicant

### INSTRUCTIONS FOR FILLING ASBA APPLICATION FORM

- An Application Supported by Blocked Amount (ASBA) investor shall submit a duly filled up ASBA Application form, physically or electronically, to the Self Certified Syndicate Bank (SCSB) with whom the bank account to be blocked, is maintained.
    - In case of ASBA application form in physical mode**, the investor shall submit the ASBA Application Form at the Bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB.
    - In case of ASBA application form in electronic form**, the investor shall submit the ASBA Application Form either through the internet banking facility available with the SCSB, or such other electronically enabled mechanism for subscribing to units of Mutual Fund scheme authorising SCSB to block the subscription money in a bank account.
  - Investors shall correctly mention the Bank Account number in the ASBA Application Form and ensure that funds equal to the subscription amount are available in the bank account maintained with the SCSB before submitting the same to the designated branch.
  - Upon submission of an ASBA Application Form with the SCSB, whether in physical or electronic mode, investor shall be deemed to have agreed to block the entire subscription amount specified and authorized the Designated Branch to block such amount in the Bank Account.
  - On the basis of an authorisation given by the account holder in the ASBA Application Form, the SCSB shall block the subscription money in the Bank Account specified in the ASBA Application Form. The subscription money shall remain blocked in the Bank Account till allotment of units under the scheme or till rejection of the application, as the case may be.
  - If the Bank Account specified in the ASBA Application Form does not have sufficient credit balance to meet the subscription money, the ASBA application shall be rejected by the SCSB.
  - The ASBA Application Form should not be accompanied by cheque, demand draft or any mode of payment other than authorisation to block subscription amount in the Bank Account.
  - All grievances relating to the ASBA facility may be addressed to the BANK/AMC / RTA to the Issue, with a copy to the SCSB, giving full details such as name, address of the applicant, subscription amount blocked on application, bank account number and the Designated Branch or the collection centre of the SCSB where the ASBA Application Form was submitted by the Investor.
  - ASBA facility extended to investors shall operate in accordance with the SEBI guidelines in force from time to time.
  - Name of the First accountholder in bank to be the first unitholder in folio. If the name is not matched the application will be rejected and amount to be refunded if any.
  - SCSB means Self Certified Syndicate Bank registered with the SEBI, which offers the facility of ASBA. The current list of SCSBs as available on SEBI website is as follows:**
    - Axis Bank Ltd
    - Allahabad Bank
    - Andhra Bank
    - Bank of Baroda
    - Bank of India
    - Bank of Maharashtra
    - Canara Bank
    - Central Bank of India
    - CITI Bank
    - Corporation Bank
    - Deutsche Bank
    - HDFC Bank Ltd.
    - HSBC Ltd.
    - ICICI Bank Ltd
    - IDBI Bank Ltd.
    - Indian Bank
    - Indian Overseas Bank
    - IndusInd Bank
    - J P Morgan Chase Bank, N.A.
    - Karur Vysya Bank Ltd.
    - Kotak Mahindra Bank Ltd.
    - Nutan Nagarik Sahakari Bank Ltd.
    - Oriental Bank of Commerce
    - Punjab National Bank
    - South Indian Bank
    - Standard Chartered Bank
    - State Bank of Bikaner and Jaipur
    - State Bank of Hyderabad
    - State Bank of India
    - State Bank of Travencore
    - Syndicate Bank
    - The Federal Bank
    - UCO Bank
    - Union Bank of India
    - United Bank of India
    - Vijaya Bank
    - YES Bank Ltd.
- For the complete list of controlling / designated branches of above mentioned SCSBs, please refer to websites - [www.sebi.gov.in](http://www.sebi.gov.in), [www.bseindia.com](http://www.bseindia.com) and [www.nseindia.com](http://www.nseindia.com)

#### REGISTRAR & TRANSFER AGENTS

**M/s Kavy Computershare Pvt. Ltd.**  
 21, Road No.4, Street No. 1,  
 Banjara Hills, Hyderabad 500034

Tel No. (044) 23390404, 23394436, 2337901 Fax : (040) 23311968



Karvy Computershare Pvt.Ltd, 15/46, B, Ground Floor, Opp : Muir Mills, Civil Lines, Kanpur-208001, Tel: 9369918615. **KARAIKUDI:** Karvy Computershare Pvt.Ltd, Gopi Arcade, 100 Feet Road, -Karaikudi-630001, Tel: 04565-237192. **KARIMNAGAR:** Karvy Computershare Pvt.Ltd, H.No.4-2-130/131, Above Union Bank, Jafri Road, Rajeev Chowk, Karimnagar-505001, Tel: 0878-2261779, 0878-2244773/75/79. **KARNAL:** Karvy Computershare Pvt.Ltd, 18/369, Char Chaman, Kunjipura Road, Behind Miglani Hospital, Karnal-132001, Tel: 0184-2252524. **KARUR:** Karvy Computershare Pvt.Ltd, No.6, old No.1304, Thiru-vi-ka Road, Near G.R.Kalyan Mahal, Karur-639001, Tel: 04324-241755. **KHARAGPUR:** Karvy Computershare Pvt.Ltd, 180 Malancha Road, -Beside Axis Bank Ltd, Kharagpur-721304, Tel: 03222-253380. **KOLHAPUR:** Karvy Computershare Pvt.Ltd, 605/1/4 E Ward, Shahupuri 2Nd Lane, Laxmi Niwas, Near Sultane Chambers, Kolhapur-416001, Tel: 02312653656. **KOLKATA:** Karvy Computershare Pvt.Ltd, 166 A Rashbihari Avenue 2Nd Floor-Opp- Fortish Hospital, Kolkata-700029, Tel: 033-24635432, 033 24659263. **KOLLAM:** Karvy Computershare Pvt.Ltd, Sree Vigneshwara Bhavan, Shastri Junction-Kollam-691001, Tel: 0474-2747055. **KORBA:** Karvy Computershare Pvt.Ltd, 1St Floor, 35, Indira Complex, P. Nagar, Korba-495677, Tel: 9300155683. **KOTA:** Karvy Computershare Pvt.Ltd, 29, 1st Floor, Near Lala Lajpat Rai Circle, Shopping Centre, Kota-324007, Tel: 0744-5100964, 0744-5100964. **KOTTAYAM:** Karvy Computershare Pvt.Ltd, 1St Floor Csiascension Square, Railway Station Road, Collectorate P O, Kottayam-686002, Tel: 0481-2300868. **KURNOOL:** Karvy Computershare Pvt.Ltd, Shop No.43, 1St Floor, SV Complex, Railway Station Road, Near Sbi Main Branch, Kurnool-518004, Tel: 08158-228550. **LUCKNOW:** Karvy Computershare Pvt.Ltd, 24, Prem Nagar, Ashok Marg, Lucknow-226001, Tel: 9369918600. **LUDHIANA:** Karvy Computershare Pvt.Ltd, Sco - 136, 1St Floor Above Airtel Showroom, Feroze Gandhi Market, Ludhiana-141001, Tel: 0161-4648747. **MADURAI:** Karvy Computershare Pvt.Ltd, Rakesh towers, 30-C, 1st floor, Bye pass Road, Opp Nagappa motors, Madurai-625010, Tel: 0452-2605856. **MALAPPURAM:** Karvy Computershare Pvt.Ltd, First Floor, Cholakkal Building, Near U P School, Up Hill, Malappuram-676505, Tel: 0483-32731450. **MALDA:** Karvy Computershare Pvt.Ltd, Sahis Tuli, Under Ward No.6, No.1 Govt Colony, English Bazar Municipality, Malda-732101, Tel: 03512-223763. **MANGALORE:** Karvy Computershare Pvt.Ltd, Mahendra Arcade Opp Court Road, Karangal Padi-Mangalore-575003, Tel: 0824-2496289. **MARGOA:** Karvy Computershare Pvt.Ltd, 2Nd Floor, Dalal Commercial Complex, Pajifond, Margao-403601, Tel: 0832-2731823. **MATHURA:** Karvy Computershare Pvt.Ltd, Ambey Crown, Iind Floor, In Front Of Bsa College, Gaushala Road, Mathura-281001, Tel: 9369918618. **MEERUT:** Karvy Computershare Pvt.Ltd, 1St Floor, Medi Centreopp Ilici Bank, Hapur Road Near Bachha Park, Meerut-250002, Tel: 9369918619. **MEHSANA:** Karvy Computershare Pvt.Ltd, Ulj/47 Apollo Enclave, Opp Simandhar Temple, Modhera Cross Road, Mehsana-384002, Tel: 02762-242950. **MIRZAPUR:** Karvy Computershare Pvt.Ltd, Girja Sadan, Dawari Guni-Mirzapur-231001, Tel: 7499496133. **MOGA:** Karvy Computershare Pvt.Ltd, 1St Floor, Dutt Road, Mandir Wali Gali, Civil Lines, Barat Ghar, Moga-142001, Tel: 01636-230792. **MORADABAD:** Karvy Computershare Pvt.Ltd, Om Arcade, Parker Road, Above Syndicate Bank, Chowk Tari Khana, Moradabad-244001, Tel: 9369918620. **MORENA:** Karvy Computershare Pvt.Ltd, Moti Palace, Near Ramjanki Mandir, Near Ramjanki Mandir, Morena-476001, Tel: 7489361265. **MUMBAI:** Karvy Computershare Pvt.Ltd, 24/B, Raja Bahadur Compound, Ambalal Doshi Marg, Behind Bse Bldg, Fort-400001, Tel: 022-66235353, 022-66235313. **MUZAFFARPUR:** Karvy Computershare Pvt.Ltd, I St Floor, Uma Market, Thana Gumtimotoi Jheel, Muzaaffarpur-842001, Tel: 9386256101. **MYSORE:** Karvy Computershare Pvt.Ltd, L-350, Silver Tower, Ashoka Road, Opp. Clock Tower, Mysore -570001, Tel: 0821-2438006. **NADIAD:** Karvy Computershare Pvt.Ltd, 104/105, Near Paras Cinema, City Point Nadiad, Nadiad-387001, Tel: 0268-2563245. **NAGERKOIL:** Karvy Computershare Pvt.Ltd, 3A, South Car Street-Nagercoil-629001, Tel: 04652 - 233552. **NAGPUR:** Karvy Computershare Pvt.Ltd, Plot No 2/1 House No 102/1, Mata Mandir Road, Mangaldeep Apartment Opp Khandelwal Jewelers, Dharampeth, Nagpur-440010, Tel: 0712-2533040, 0712-2533040. **NAMAKKAL:** Karvy Computershare Pvt.Ltd, 105/2, Arun Towers, Paramathi Street-Namakal-637001, Tel: 04286-234801. **NANDED:** Karvy Computershare Pvt.Ltd, Shop No.4, Santakripa Market, G G Road, Opp. Bank Of India, Nanded-431601, Tel: 02462-237885. **NASIK:** Karvy Computershare Pvt.Ltd, S-12, Suyojit Sankul, Sharanpur Road, Near Rajiv Gandhi Bhavan, Nasik-422002, Tel: 0253-6611395. **NAVSARI:** Karvy Computershare Pvt.Ltd, 1/1 Chinmay Arcade, Opp Sattapir Rd, Tower Rd, Mavsari-396445, Tel: 02637-280367, 02637-280367. **NELLORE:** Karvy Computershare Pvt.Ltd, 305 New Delhi House, 27 Barakhamba Road -New Delhi -110001, Tel: 011-43681700, 011-43681704. **NIZAMABAD:** Karvy Computershare Pvt.Ltd, H No:5-6-430, A Bove Bank Of Baroda First Floor, Beside Hdfc Bank, Hyderabad Road, Nizamabad-503003, Tel: 08462-224366. **NOIDA:** Karvy Computershare Pvt.Ltd, 307 Jaipuria Plazad 68 A, 2Nd Floor, Opp Delhi Public School, Sector 26, Noida-201301, Tel: 9310448805. **PALGHAT:** Karvy Computershare Pvt.Ltd, No: 20 & 21, Metro Complex H.P.O.Road Palakkad, H.P.O.Road, Palakkad-678001, Tel: 4916061110. **PANIPAT:** Karvy Computershare Pvt.Ltd, 1St Floor, Krishna Tower, Above Amertex, G.T. Road, Panipat-132103, Tel: 9315017304. **PANJIM:** Karvy Computershare Pvt.Ltd, City Business Centre, Coelho Pereira Building, Room No.18,19 & 20, Dada Vaidya Road, Panjim-403001, Tel: 0832-2426873, 0832-2426874. **PATHANKOT:** Karvy Computershare Pvt.Ltd, 1St Floor, 9A, Improvement Trust Building, Patel Chowk, Pathankot-145001, Tel: 0186-5080188. **PATIALA:** Karvy Computershare Pvt.Ltd, Sco 27 D, Chotti Baradari, Near Car Bazaar, Patiala-147001, Tel: 0175-5004349. **PATNA:** Karvy Computershare Pvt.Ltd, 3A, 3Rd Floor Anand Tower, Exhibition Road, Opp Ilici Bank, Patna-800001, Tel: 0612-6453098. **POLLACHI:** Karvy Computershare Pvt.Ltd, S S Complex, New Scheme Road-Pollachi-642002, Tel: 04259-235111, 235122, 235133. **PONDICHERRY:** Karvy Computershare Pvt.Ltd, No:7, Thiayagaraja Street, -Pondicherry-605001, Tel: 0413 2220640. **PUDUKOTTAI:** Karvy Computershare Pvt.Ltd, Sundaram Masilamani Towers, Ts No. 5476 - 5479, Pm Road, Old Tirumayam Salai, Near Anna Statue, Jublie Arts, Pudukottai-622001, Tel: 04322 - 220050. **PUNE:** Karvy Computershare Pvt.Ltd, Office #16, Ground Floor, Shrinath Plaza, Near Dyaneshwar Paduka Chowk, F C Road, Pune-411005, Tel: 020-25533795, 020-25539957. **RAIPUR:** Karvy Computershare Pvt.Ltd, 2 & 3 Lower Level, Millenium Plaza, Room No. L12 & 3, Behind Indian Coffee House, Raipur-492001, Tel: 0771-4052620. **RAJAHMUNDRY:** Karvy Computershare Pvt.Ltd, D.No.6-1-4, Rangachary Street, T. Nagar, Near Axis Bank Street, Rajahmundry-533101, Tel: 0883-2434468, 0883-2434470. **RAJAPALAYAM:** Karvy Computershare Pvt.Ltd, Sri Ganapathy Complex, 14B/5/18, T P Mills Road, -Rajapalayam-626117, Tel: 04563 - 232951, 04563 - 232952. **RAJKOT:** Karvy Computershare Pvt.Ltd, 104, Siddhi Vinyak Com. Opp Ramkrishna Ashram, Dr Yagnik Road, Rajkot, Rajkot-360001, Tel: 9601288416. **RANCHI:** Karvy Computershare Pvt.Ltd, Room No 307 3Rd Floor, Commerce Tower, Beside Mahabir Tower, Ranchi-834001, Tel: 0651-2331320. **RATLAM:** Karvy Computershare Pvt.Ltd, 1 Nagpal Bhawan, Free Ganj Road, Do Batti, Near Nokia Care, Ratlam-457001, Tel: 07412-402007. **RENUKOOT:** Karvy Computershare Pvt.Ltd, Shop No.18, Near Complex Birla Market-Renukoot-231217, Tel: 7499495854. **REWA:** Karvy Computershare Pvt.Ltd, Ist Floor, Angoori Building, Besides Allahabad Bank, Trans University Road, Civil Lines, Rewa-485001, Tel: 7489755878. **ROHTAK:** Karvy Computershare Pvt.Ltd, 1St Floor, Ashoka Plaza, Delhi Road, Rohtak-124001, Tel: 9315017305. **ROORKEE:** Karvy Computershare Pvt.Ltd, Shree Ashadeep Complex, 16, Civil Lines, Near Income Tax Office, Roorkee-247667, Tel: 9369918621. **ROURKELA:** Karvy Computershare Pvt.Ltd, 1St Floor Sandhu Complex, Kachery Road, Uditnagar-Rourekla-769012, Tel: 0661-2510772. **SAGAR:** Karvy Computershare Pvt.Ltd, Above Poshak Garments, 5 Civil Lines, Infront Of Income Tax Office, Sagar-470002, Tel: 07582-402404. **SAHARANPUR:** Karvy Computershare Pvt.Ltd, 18 Mission Market, Court Road-Saharanpur-247001, Tel: 9369918622. **SALEM:** Karvy Computershare Pvt.Ltd, No:40, 2nd Floor, Brindavan Road, Fairlands, Near Perumal Koil, Salem-636016, Tel: 0427-4020300. **SAMBALPUR:** Karvy Computershare Pvt.Ltd, Ground Floor Quality Massion--, Sambalpur-768001, Tel: 0663-2522105. **SATNA:** Karvy Computershare Pvt.Ltd, 1St Floor, Gopal Complex, Near Bus Stand, Rewa Road, Satna-485001, Tel: 9300004263. **SHAKTINAGAR:** Karvy Computershare Pvt.Ltd, 1St/A-375, V V Colony, Dist Sonebhadra, Shaktinagar-231222, Tel: 7499496129. **SHILLONG:** Karvy Computershare Pvt.Ltd, Annex Mani Bhawan, Lower Thana Road, Near R K M Lp School, Shillong-793001, Tel: 0364 2506106. **SHIMLA:** Karvy Computershare Pvt.Ltd, Triveni Building, By Pas Chowkhalini-Shimla-171002, Tel: 9318644501. **SHIMOGA:** Karvy Computershare Pvt.Ltd, Udaya Ravi Complex, LLR Road, Durgi Gudi-Shimoga-577201, Tel: 0818-2322577. **SHIVPURI:** Karvy Computershare Pvt.Ltd, 1St Floor, M.P.R.P. Building, Near Bank Of India, Shivpuri-473551, Tel: 9303028921. **SIKAR:** Karvy Computershare Pvt.Ltd, First Floor, Super Tower, Behind Ram Mandir Near Taparya Bagichi -Sikar -332001, Tel: 01572-250398. **SILCHAR:** Karvy Computershare Pvt.Ltd, N.N. Dutta Road, Chowchakra Complex, Premtala, Silchar-788001, Tel: 03842 261714. **SILIGURI:** Karvy Computershare Pvt.Ltd, Nanak Complex, Sevoke Road-Siliguri-734001, Tel: 0353-2526393. **SITAPUR:** Karvy Computershare Pvt.Ltd, 12/12-A Sura Complex, Arya Nagar Opp, Mal Godam, Sitapur-261001, Tel: 9369918623. **SIVAKASI:** Karvy Computershare Pvt.Ltd, 363, Thiruthangal Road, Opp: TNEB, Sivakasi-626123, Tel: 04562 228816. **SOLAN:** Karvy Computershare Pvt.Ltd, Sahni Bhawan, Adjacent Anand Cinema Complex, The Mall, Solan-173212, Tel: 9318991871. **SOLAPUR:** Karvy Computershare Pvt.Ltd, Block No 06, Vaman Nagar, Opp D-Mart, Jule Solapur, Solapur-413004, Tel: 0217-2300021, 0217-2300318. **SONEPAT:** Karvy Computershare Pvt.Ltd, 205 R Model Town, Above Central Bank Of India-Sonepat-131001, Tel: 9315457164. **SRI GANGANAGAR:** Karvy Computershare Pvt.Ltd, 35E Block, Opp: Sheela Mata Vaateka Sri Ganganagar-Sri Ganganagar-335001, Tel: 0154-2470177. **SRIKAKULAM:** Karvy Computershare Pvt.Ltd, D.No-4-1-28/1, Venkateswara Colony, Near Income Tax Office, Srikakulam-532001, Tel: 08942-225382, 08942-225362. **SULTANPUR:** Karvy Computershare Pvt.Ltd, Rama Shankar Complex, Civil Lines, Faizabad Road, Sultanpur-228001, Tel: 9369918624. **SURAT:** Karvy Computershare Pvt.Ltd, G-5 Empire State Building, Nr Udha Darwaja, Ring Road, Surat-395002, Tel: 0261-3042170, 0261-3042170. **THANJAVUR:** Karvy Computershare Pvt.Ltd, No. 70, Nalliah Complex, Srinivasam Pillai Road, -Tanjore-613001, Tel: 04362-275415. **THODUPUZHA:** Karvy Computershare Pvt.Ltd, First Floor, Pulimoottil Pioneer, Pala Road-Thodupuzha-685584, Tel: 0486-2211209, 04862 - 325051. **TIRUNELVELI:** Karvy Computershare Pvt.Ltd, 55/18, Jeney Building, S N Road, Near Aravind Eye Hospital, Tirunelveli-627001, Tel: 0462 2335137. **TIRUPATHI:** Karvy Computershare Pvt.Ltd, D.No: 203, Il nd Floor, PADMAVATHI NILAYAM, NOKIA CARE UPSTAIRS, Tilak Road, Tirupathi-517501, Tel: 0877-6544567. **TIRUPUR:** Karvy Computershare Pvt.Ltd, First floor, 224 A, Kamaraj Road, Opp to Cotton market complex, Tirupur-641604, Tel: 0421-2214221. **TIRUVALLA:** Karvy Computershare Pvt.Ltd, 2Nd Floor, Erinjeri Complex, Ramanchira, Opp Axis Bank, Thiruvalla-689107, Tel: 0469-3205676. **TRICHUR:** Karvy Computershare Pvt.Ltd, 2Nd Floor, Brothers Complex, Naikkanal Junction, Shornur Road, Near Dhanalakshmi Bank H O, Thrissur-680001, Tel: 0487-3246231. **TRICHY:** Karvy Computershare Pvt.Ltd, 60, Sri Krishna Arcade, Thennur High Road, -Trichy-620017, Tel: 0431-4020227. **TRIVANDRUM:** Karvy Computershare Pvt.Ltd, 2Nd Floor, Akshaya Tower, Sasthamangalam, Trivandrum-695010, Tel: 0471-2725728. **TUTICORIN:** Karvy Computershare Pvt.Ltd, 4 - B, A34 - A37, Mangalmani Nagar, Opp. Rajaji Park, Palayamkottai Road, Tuticorin-628003, Tel: 0461-2334603. **UDAIPUR:** Karvy Computershare Pvt.Ltd, 201-202, Madhav Chambers, Opp G P O, Chetak Circle, Udaipur-313001, Tel: 0294-2429370. **UJJAIN:** Karvy Computershare Pvt.Ltd, 101Aashta Tower, 13/1Dhanwantri Marg, Freeganj, Ujjain-456010, Tel: 0734-4250007, 0734-4250008. **VALSAD:** Karvy Computershare Pvt.Ltd, Shop No 2, Phiroza Corner, Opp Next Show Room, Tithal Road, Valsad-396001, Tel: 02632-258481. **VAPI:** Karvy Computershare Pvt.Ltd, Shop No-12, Ground Floor, Sheetal Appatment, Near K P Tower, Vapi-396195, Tel: 9228012909. **VARANASI:** Karvy Computershare Pvt.Ltd, D-64/1321St Floor, Anant Complex, Sigra, Varanashi-221010, Tel: 9369918626. **VELLORE:** Karvy Computershare Pvt.Ltd, 1, M N R Arcade, Officers Line, Krishna Nagar, Vellore-632001, Tel: 0416 2215007. **VIJAYANAGARAM:** Karvy Computershare Pvt.Ltd, Soubhagya, 19-6-1/3, 2Nd Floor, Near Fort Branch, Opp: Three Temples, Vizianagaram-535002, Tel: 08922-236962. **VIJAYAWADA:** Karvy Computershare Pvt.Ltd, 39-10-7, Opp : Municipal Water Tank, Labbipet, Vijayawada-520010, Tel: 0866-2475126. **VISAKHAPATNAM:** Karvy Computershare Pvt.Ltd, Door No 47-14-5/1, Eswar Paradise, Dwarakanagar Main Road-Visakhapatnam-530016, Tel: 0891-2714125. **WARANGAL:** Karvy Computershare Pvt.Ltd, 5-6-95, 1 St Floor, Opp: B.Ed Collage, Lashkar Bazar, Chandan Complex, Hanmakonda, Warangal-506001, Tel: 0870-2501664. **YAMUNA NAGAR:** Karvy Computershare Pvt.Ltd, Jagdhari Road, Above Uco Bank, Near D.A.V. Girls College, Yamuna Nagar-135001, Tel: 9315017306.

**Statutory Details :** Canara Robeco Mutual Fund (CRMF) has been set up as a Trust under Indian Trust Act, 1882. **Sponsors :** Canara Bank, Head Office, 112 J. C. Road, Bangalore; Robeco Groep N.V., Coolingsel 120, 3011 Rotterdam, Netherlands. **Investment Manager :** Canara Robeco Asset Management Co. Ltd. (CR AMC) **Risk Factors :** **Mutual Funds and securities investments are subject to market risks and there can be no assurance or guarantee that the objectives of the Schemes will be achieved. As with any investment in securities, the NAV of the units issued under the Schemes may go up or down depending on the factors and forces affecting the Capital markets and Money markets.** Past performance of the Sponsors/AMC/Mutual Fund do not guarantee future performance of the Schemes. **Canara Robeco Schemes are only the name of the scheme and does not in any manner indicate either the quality of the scheme, its future prospects or returns.** The Sponsors of the Fund are not responsible or liable for any loss or shortfall resulting from the operations of the Schemes of CRMF, beyond the initial contribution of a sum of ₹ 10 lac towards the setting up of CRMF. Investors should read the Offer Document / Scheme Information Document for Scheme specific risk factors and other details before investing.

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**