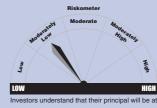


Key Information Memorandum + Application Form Sundaram Capital Protection Oriented Fund 5 Years (Series 7)

This product is suitable for investors who are seeking*

- Income over medium to long term
- investment in fixed income securities and long term capital appreciation by investing a portion of the assets in equity and equity related instruments

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.



Moderately Low Risk

A Closed-End Capital Protection Oriented Scheme

Offer of units at Rs 10 during the new fund offer period.

New Fund Offer opens: 15/02/2016 New Fund Offer closes: 29/02/2016

Toll Free: 1800 103 7237 (India) +91 44 49057300 (NRI)

SMS SFUND to 56767

E-mail: customerservices@sundarammutual.com (NRI): nriservices@sundarammutual.com

www.sundarammutual.com

Sundaram Asset Management

Sundaram Asset Management-Investment Manager for Sundaram Mutual Fund

A Closed-End Capital Protection Oriented Scheme

Offer at Rs 10 per unit during the New Fund Offer Period

• New Fund Offer opens on: 15/02/2016 • New Fund Offer closes on: 29/02/2016

Being A Closed-End Capital Protection Oriented Scheme, investors can subscribe to the Units of the Scheme during the New Fund Offer Period only and the Scheme will not reopen for subscriptions after the closure of NFO. The Fund will not buy the units back till the maturity of the Scheme. However, in order to provide the liquidity to the investors, the units of the Scheme can buy/sell the units in Demat Form in the secondary market. The Trustee reserves the right to extend the closing date of the New Fund Offer period subject to the condition that the subscription list shall not be kept open for more than 15 days. A notice will be issued in the public newspaper for any such extension. The Trustee also reserves the right to close the subscription list an earlier date than indicated by giving a prior notice of at least one day in one daily newspaper. This Key Information Memorandum sets forth the information that a prospective investor ought to know before investing. For further details of the Scheme / mutual fund, detailed risk factors, rights of and services for investors must, refer to the Statement of Additional Information/Scheme Information Document available free of cost at offices of Sundaram Asset Management, Investor Service Centres, distributors and at www.sundarammutual.com.

The Scheme particulars have been prepared in accordance with the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date, and filed with the Securities and Exchange Board of India (SEBI). The units being offered for public subscription have neither been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM. This KIM is dated 03/02/2016. Please read the relevant SEBI-mandated documents carefully before investing. By filling and signing this application form, applicants are deemed to have understood and accepted the terms of the offer.

Name of the Scheme	Sundaram Capital Protection Oriented Fund 5 Years (Series 7) NFO period shall not exceed 15 days.				
Fund Type	A Closed-End Capital Protection Oriented Scheme				
Investment Objective	The objective of this Scheme would be to seek income and minimise risk of capital loss by investing in a portfolio of fixed-income securities. The scheme may invest a part of the assets in equity to seek capital appreciation. However, there is no assurance or guarantee that the investment objective of the scheme will be achieved.				
No Guarantee/Assurance	Investors are neither being offered any guaranteed/indicated returns nor any guarantee on repayment of capital by the Scheme. There is also no guarantee of capital or return either by the mutual fund or by the sponsor or by the Asset management Company. No Guarantee: The scheme is "oriented towards protection of capital" and not "with guaranteed returns." It shall be noted that the orientation towards protection of capital or grantee insurance cover etc.				
Maturity Period	Maturity period is 5 Years. Sundaram Capital Protection Orient date of allotment. If the Maturity date is not a business day, the sul	ted Fund 5 Years (Series 7) from the date of allotment. The Maturity date is r psequent business day shall be considered as the maturity day for the Scheme.	reckoned from the		
Asset Allocation	 component of the portfolio. The fixed-income portfolio shall be inv. The remainder (the difference between the capital raised and press Investments in fixed-income instruments are typically done on a movements. As investments will be in fixed-income securities of h constitutes additional returns to the scheme. The scheme shall follow a passive investment strategy for the fixed. The scheme shall follow a passive investment strategy for the fixed redemption, net of all expenses is more than or equal to the face v in the event of frequent movement in the interest rate, prior to the allocation and make investments in accordance with the ratio. The asset allocation of the fixed income portfolio of the scheme services and redemption, net of all expenses is warranted, such change shall that the capital remains protected on maturity and also ensure that The scheme any review the above pattern of investments based or on a regular basis. At all times, the objective of the portfolio will component of the Scheme. The proposed portfolio structure has been evaluated by CRIS for achieving the objective of capital protection. The rating wu The structure of the portfolio of the capital protection orienter half-yearly Trustee Report; and the Investment Manager volu The levestment Manager shall adhere to the investment guidelines, for the debt component of the portfolio limits on expenses, counte the Scheme by CRISIL. 	0-30 High As As As and short selling default swaps or in securitized debt As arg a Static Hedge approach. Capital protection will be provided solely through ested in securities that matures to the capital value (initial consideration) at the er int value of the capital) is invested in equity, which could provide the possible u held-to-maturity basis in order to avoid the impact of market risk on accour ignest investment grade, the risk of default is mitigated. Appreciation in equity c I income component of the Scheme. equity shall be such that the maturity value of the fixed income portfolio, at the alue of the units issued. e launch of the scheme, the AMC will reconfirm with the rating agency the fixed specified by the rating agency to achieve capital Protection Orientation objective shall not be less than the minimum percentage of allocation specified by CRISIL litions and warranties prescribed by CRISIL vide letter no. MS/FSRVSAMCL/201 be in line with the warranties prescribed by the CRISIL. The investment manager the scheme rating is not adversely affected. The portfolio be to seek income. The scheme shall follow a passive investment strategy for old be reviewed on a quarterly basis. ad scheme would be continuously monitored by the trustee and would be report of also report on this aspect in the bi-monthy Compliance Test Report.	the fixed-income nd of the scheme. pside to the fund. nt of interest rate component, if any, time of scheme's d income portfolio e of the scheme. to achieve capital 15-16/7449, 7450, would endeavour shall be reviewed the fixed income Jegree of certainty ted by them in the management style es for the rating of		
Investment Strategy	accordance with SEBI Circulars SEBI/IMD/CIRNo.9/20306/03 date The Scheme shall commence investment only on completion of the Debt Portfolio	d November 12, 2003 and SEBI/IMD/Cir No.1/911/1/0/ dated April 16, 2007. New Fund Offer period.			
mvesunent strategy	 The Scheme would attempt to invest in fixed income instrum rights offerings, purchase on the floor of a recognised stock e publicly offered securities on the merits of the investment pro The Scheme shall invest in the instruments rated as "AAA" (A portion of the fund could be invested in liquid investments. Pending deployment of funds in terms of investment objective Banks. Equity Portfolio The equity asset allocation will be invested in diversified equite Therefore the fund would have the flexibility to invest in stocks 	Triple A) by a recognized rating agency. res of the Scheme, the funds may be invested in short term deposits with scheo y and equity related securities of the companies that have a potential to apprecia from sectors and industries of all market capitalization. The allocation to the diffe t conditions, market opportunities and the fund manager's view. y termed as IPOs.	r invest in the non-		
www.sundarammutual.com	2	Sundaram Asset Mana	agement		

Sundaram Capital Protection Oriented Fund 5 Years (Series 7) Key Information Memorandum

	The Investment Menony shell adhere to the investment widelines. Invest of averaging to debt instruments, insure according limit, we will be aviable as a second at the
	The Investment Manager shall adhere to the investment guidelines, level of exposure to debt instruments, issuer concentration limit, maturity period, management style for the debt component of the portfolio limits on expenses, counter parties in which funds may be deployed and mentioned as part of the warranties for the rating of the Scheme by CRISIL.
	Pending deployment in line with the investment objective, the funds of the Scheme may be invested in short-term deposits with scheduled commercial banks in accordance with SEBI Circulars SEBI/IMD/CIRN0.9/20306/03 dated November 12, 2003 and SEBI/IMD/Cir N0.1/91171/07 dated April 16, 2007.
	Subject to SEBI Regulations, the asset allocation pattern may change from time to time for a short term and for defensive considerations, keeping in view the market conditions, applicable regulations and the perception of the Investment Manager.
	The scheme may review the above pattern of investments based on views on the debt markets and asset-liability management needs. The portfolio shall be reviewed on a regular basis.
	The Scheme shall commence investment only on completion of the New Fund Offer period.
Disclosures on credit evaluation	Pursuant to SEBI Circular no Cir/ IMD/ DF/12 /2011 dated August 1, 2011.
	1. Credit Evaluation Policy for close ended debt oriented schemes
	The objective of the Credit Evaluation Policy is to adopt the best practices and highest standards in the investment decision making process for appraising fixed income and money market securities.
	The policy covers the following aspects:
	a) The Credit Investment Process,
	b) The Credit Investment Strategy and
	c) The Credit Committee
	a) The Credit Investment Process:
	The investment process is stated hereunder:
	The Macro View:
	• The macro economic variables like economic growth, industrial output, money supply, credit growth, investment demand, revenue deficit, balance of payments.
	The Monetary policy stance, the level of administered rates, Central Bank reference rates and market initiatives.
	 The global factors more in line with emerging market context including credit determination, global economic growth, commodity prices and investment preferences.
	The above indicators determine some of the parameters like Liquidity, Inflation and money flows in the economy. These parameters drive the future interest rates and these are monitored on a regular basis. Both the external inputs from research wings of various intermediaries like Primary Dealers, Merchant Bankers etc. and the internal research by the Economist are considered to arrive at the Macro View.
	Issuer Selection:
	The credit analyst evaluates and prescribes specific issuers along with a limit, for taking the credit exposure. The credit evaluation is based on the process and the proprietary model approved the credit committee. The credit evaluation framework mainly considers the following aspects:
	Company details
	Company's position in the industry it operates
	History
	Management & Shareholdings
	Credit rating – external (with outlook if any)
	Credit rating – internal
	 Financial Statement – History/forecast (including cash flow forecast)
	Financial ratios and Qualitative analysis
	 Industry / Sector outlook based on their business cycles
	Regulatory environment and Public Policies
	The credit analyst reviews each issuer limits on a regular basis, as and when the audited financials of the issuers are available. The fund management team also provides information on issuers, obtained through formal as well as informal sources and through market feedback to the credit analyst, which is factored in the credit evaluation process.
	b) The Investment Strategy:
	Investments in the fund portfolio will be diversified among a variety of sectors, issuer categories, credit profiles, maturity profiles and instruments. This is intended to take care of liquidity need to meet redemption and reducing impact cost while liquidating the portfolio. The Executive Committee of the Investment Manager may in the interest of investors, at its discretion, and in consultation with the Board of the trustee, define the lower limit of the market capitalisation of midcap stocks, and may also fix the percentages within which the market capitalisation could be varied from the limits.
	c) The Credit Committee
	The Investment Manager has constituted an Internal Credit Committee. The Managing Director, Head – Risk Management, Head – Fixed Income and Head - Equities are the members of the Committee. The Committee meets periodically to review the following aspects:
	1. Decide on whether to include a new entity to the credit / counterparty universe; Review the existing entities in the credit / counterparty universe
	2. Fix and review Credit / Counterparty limits for the existing and new entities.
	3. Review credit exposures to various entities, asset classes, products, structures and instruments in the fixed income fund portfolios
	4. Review the yield curve and liquidity trend in the debt market with respect to various categories of issuers.
	5. Recommend Credit strategies for fixed income funds
	6. Study the existing formats of risk reports and suggest improvements
	7. Review the deviations and overrides to the Fixed Income Risk Guidelines
	8. Review the impact on performance of funds owing to credit limits and norms.
	9. Such other matters delegated by the Board of AMC / Risk management Committee from time to time
	2) List of Sectors, the AMC would not be investing
	1) Real Estate, Micro Finance, Airlines and IT Sector
	 Such other sectors decided by the Credit Committee from time to time as not suitable for investment.
	3) Types of Instruments, the plans proposes to invest in
	Please refer section under the heading "D. Indicative Investment Universe" in the SID

3

	4) Floors and Ceiling within a range of 5% of the intended allocation against each sub class of asset			
	Instrumente	Credit Rating	AAA*	
	Instruments CDs			
	CPs		_	
	NCDs		95%-100%	
	Securitized Debt		-	
	Any other "" or equivalent to a short term rating		-	
		dated August 1, 2011	and rules issued thereon the following shall also apply to the schemes:	
	 a. The floors and ceilings within a range of 5% of the intended allocation (%) against each sub asset class/credit rating shall be decided at the time of filing the final offer documents with SEBI before launch of the scheme. This will be indicated in the form of letter to the SEBI. 			
			nalysis of CPs, NCDs the scheme may invest in highest rated CDs (A1), Reverse Repo in G- view, if the scheme finds NCDs of AAA rated and equivalent short term rating for CPs, the	
	0111		turity of the scheme, there may be a higher allocation to cash and cash equivalent. of investment. However, in case of an instrument having dual ratings, the most conservative	
		ated securities, derivati	ives: The scheme will not make any investments in unrated securities, securitized debts and	
	f. In the event of any deviations from the floor and ceiling of credit ratings specified for any instrument, the same shall be rebalanced with 30 days from the date of the said deviation.			
	 g. Further, the above allocation may vary during the duration of the scheme. Some of these instances are: (i) coupon inflow; (ii) the instrument is called or bought back by the issuer (iii) in anticipation of any adverse credit event etc. In the case of such deviations, the Scheme may invest in highest rated CDs (A1), Reverse Repo in Gsec, T-Bills, CBLO. Deviation, if any, due to such instances may continue if NCDs/CPs of desired credit quality is not available. 			
	 Further, the above allocation may vary during th In such case, the fund manager shall rebalance There will not be any variation between the intended 	e duration of the scher the portfolio to meet	ne due to occurrence of any adverse credit events such as rating downgrade/credit default. the warranties issued by CRISIL as part of the rating for the Scheme. d the final allocation portfolio allocation apart from the exceptions in the above mentioned	
Risk Profile	clauses b,c,f, g & h. Mutual Fund Units involve investment risks includin	g the possible loss of	f principal. Please read the Statement of Additional Information and Scheme Information	
Risk Mitigation	Document carefully for details on risk factors before An independent risk management team is in place t		r portfolio risk on a day-to-day basis. Internal risk control quidelines are in place and the	
	portfolio contours are tracked on a daily basis to en corrective action. Follow up actions are made to ens to limits from SEBI regulations as well as stipulations to the Chief Executive Officer.	sure adherence. Any c ure that the deviation i in the Scheme Inform	r portfolio risk on a day-to-day basis. Internal risk control guidelines are in place and the leviation is brought to the notice of the Managing Director/CEO and the fund manager for is corrected within the time period prescribed in internal risk control guidelines. Adherence lation Document is monitored by the compliance team. The risk management team reports	
	Independent Directors to monitor risk management.	The Committee review	sset Management has constituted a Committee comprising Managing Director, & Two s the reports prepared by the Risk Officers and looks into the implementation of Enterprise ect to equity and fixed income funds, set/modify the limits of counter party exposure, review mats.	
	Compliance Officer acts as the secretary to the com	mittee.	other senior management personnel will be the permanent invitees to the Committee. The	
	Role of the Committee: The Committee will approve as Credit Diversification ratio, Duration Limit, WAM L monitors Enterprise Risk Management framework pr	e the Global Issuer limi .imit, Maximum Maturi oposed on various fun	ts (including limit per maturity), Counterparty limits and Limits applicable to each fund such ty Limit, Liquidity Risk Limits, Valuation Risk Limits, Risk Grade Limits etc. The Committee ctions and processes.	
	Risk Guidelines: Sundaram Asset Management has internal investment norms and risk guidelines for equity and debt investments. Also fund specific guidelines are in place.			
	Every endeavour will be made to achieve the objectives of the Scheme. The Investment Manager Sponsors/Trustee/Mutual Fund do not guarantee that the investment objectives of the Scheme will be achieved. No guaranteed returns are being offered under the Scheme. Risk control: Risk control is customized by product according to the level of risk the fund can expose investors to, as specified in the investment mandate.			
Plans and Options			the investor does not clearly specify the choice of option at the time of investing, the default	
	option will be Growth. All plans / options available for series of the scheme	shall have a common	portfolio.	
	Direct Plan is only for investors who purchase /subscribe Units into the Scheme directly with the Fund and is not available for investors who route their investments through a Distributor.			
	All categories of investors (whether existing or new Unitholders) as permitted to invest in this scheme are eligible to subscribe under Direct Plan. Investments under Direct Plan can be made through various modes offered by the Fund for investing directly with the Fund (except Stock Exchange Platform(s) and all other Platform(s) where investors' applications for subscription of units are routed through Distributors).			
	The direct plan will also have a separate NAV. Investors wishing to subscribe under Direct Plan of a	Scheme will have to	indicate "Direct Plan" against the Scheme name in the application form.	
	The following matrix will be applied for processing the Broker Code mentioned by the investor PI	ie applications in the F an mentioned by the		
	Not mentioned No	ot mentioned	Direct Plan	
		rect egular	Direct Plan Direct Plan	
	Mentioned Di	rect	Direct Plan	
		ot Mentioned	Direct Plan Direct Plan	
	Mentioned Re	egular	Regular Plan	
	Mentioned No In cases of wrong/ invalid/ incomplete ABN codes m	ot Mentioned entioned on the applic	Regular Plan	
	The Investment Manager shall contact and obtain the case, the correct code is not received within 30 caler load.	e correct ARN code windar days, the AMC sh	ation form, the application shall be processed under Regular Plan. thin 30 calendar days of the receipt of the application form from the investor/ distributor. In all reprocess the transaction under Direct Plan from the date of application without any exit	
	Dividend Pay-out option: Dividend may be declared accordance with the Regulations. There is no assurar on whether to declare a dividend or not will depend o time to time. The decision of the Trustee will be final Dividend if any, declared under the scheme shall	nce/guarantee with res in the performance of t in this regard. be limited to the real i	discretion from time to time subject to the availability of distributable surplus calculated in pect to the quantum or the frequency or the certainty of dividend distribution. The decision he scheme and availability of distributable surplus. The dividend payout may also vary from ized surplus under the equity portion of the scheme.	
	Unit holders opting for the Dividend Option will only	/ receive dividend. All	unit holders whose names appear in the Register of the Scheme/Statement of beneficial	

	summer assistational builty Democratication in the Did	dend Orther esterns	an an also Descard Descard () is a setting of a site of defend. The effective descards with	a a sudata at
	to the distribution tax, if any, payable by the Mutu	ial Fund as per the Inc	y as on the Record Date will be entitled to the dividend. The dividend payment will be come Tax Act or other laws in force. After the record date for distribution of dividend	be subject d, the NAV
	per unit may decline to the extent of the payout a The details of the Record Date for any Dividend that		any. Il be notified through the Stock Exchange where the Units are listed/ designated invest	tor service
	centers/ newspapers. Investors may note that wirespective Depository Participant for the purpose	here the Units are hel	eld in Demat Form, details of any change in address / bank details are to be provid	ded to the
	The Investment Manager shall dispatch the divide	end cheque/warrant to	o unit holders within 30 days of declaration of dividend. The cheques/warrant will be	e drawn in
	the name of the sole/first holder and will be post account details - the name of the bank, branch and of necessary facility at each location.	ted/mailed to the add d account number - in	irress indicated by the investor in the application form. Investors are required to pro- the application form. Dividend payment may also be done by Direct Credit subject to a subject to a subject t	vide bank availability
	Growth option: Investors who prefer to accumula	ate the income and als	so do not have a need to receive the cash flow to meet specific financial goals can c	opt for the
	growth option. The income earned on the units will option. If units of this option are held as a capital	Il remain invested in th asset for a period of a	he Scheme and will be reflected in the Net Asset Value. No dividend will be declared of at least 36 months from the date of allotment, income from such units will be treated	under this d as long-
	term capital gains for tax purposes.			
Minimum Application Amount	Rs 5,000/- and in multiples of Re 10/- thereafter p		an the caritel maylet assument of NCC within five hyperson from the date of ellaterant	
Provisions regarding Listing/ Delisting the units of the Scheme			on the capital market segment of NSE within five business from the date of allotment ram Capital Protection Oriented Fund 5 Years (Series 7) on its exchange vide its	
	NSE/LIST/36145 dated July 31, 2015. In addition	to NSE, the units may	y be listed in other exchanges also i.e. Bombay Stock Exchange.	letter NO.
	Mode of allotment All Applicants whose cheques	towards purchase of	Units have realised will receive a full and firm allotment of Units, provided also the ap	plications
	will have an option to hold the Units either in phy	sical form (i.e. accour	etains the sole and absolute discretion to reject any application. Applicants under th nt statement) or in dematerialized form. On acceptance of a valid application for sub	oscription.
	units will be allotted and a confirmation specifying will be sent to the Unit holder's registered email a	g the number of units ddress and/or mobile	allotted by way of email and/or SMS within 5 Business Days from the date of closu number. Subject to SEBI Regulations, Statement of Accounts will be sent to those u	re of NFO
	whose registered email address / mobile number is	s not available with th	ne Mutual Fund, unless otherwise required. Money would be refunded in respect of ap	plications
	rejected, within five business days from the closur		t of the unit holder as per the details provided in the application form. If the details are	incorrect/
	incomplete, Account Statement will be issued. It n	nay be noted that trad	ding and settlement in the Units of respective Series over the stock exchange(s) the U	nits of the
	Scheme are listed will be permitted only in electro upon receipt of request for the certificate. Unit cert	onic form. However, if tificate if issued must b	f the applicant so desires, the Investment Manager shall issue Unit certificates to the be duly discharged by the unit holder(s) and surrendered along with the request for Re	applicant
	/ Switch on maturity or any other transaction of l	Units covered therein.	I. Rematerialization of Units will be in accordance with the provisions of SEBI (Depo . All Units will rank pari passu, among Units within the same Option in the Scheme c	ositories &
	as to assets, earnings and the receipt of dividend	distributions, if any, a	as may be declared by the Trustee.	Juncemen
	De-listing of the Scheme The Scheme will matur	re on expiry from the o	date of allotment. The Investment Manager/ Trustee will initiate the delisting procedur ill not able to trade in stock exchange once the Scheme is delisted.	re at least
Additional Mode of Payment during NFO			Supported by Blocked Amount (ASBA) process during the NFO period by filling in	
······································	form and submitting the same to their respective	banks, which in turn v	will block the subscription amount in the said account as per the authority contained	d in ASBA
	respective bank accounts and account will be de	bited only to the exte	in. For applicants applying through ASBA, on allotment, the amount will be unblockent ent required to pay for allotment of Units applied in the application form. For completent completent is applied by the provided of the provided by t	ete details
	and ASBA process refer to Statement of Addition	nal Information (SAI) a	available on our website www.sundarammutual.com and separate application forms ached. The investors may choose and fill up the relavent ASBA application forms.	attached.
	Note: For the Scheme HDFC Bank have agreed to			
Registration of Bank Account	The Unitholders may choose to receive the redemp	ption/dividend procee	eds in any of the bank accounts, the details of which are registered with the Investment	t Manager
	by specifying the necessary details in the "Bank A	Accounts Registration	n form" which will be available at our office/Sundaram BNP Paribas Fund Services L oprietor firms can register up to five bank accounts and other investors can register	td and on
	bank accounts in a folio. The unitholder can cho	pose anyone of the re	registered bank accounts as default bank account. In case the investor fails to me	ention any
	preference, then by default the first number indica		e the preferred account number. f bank mandate request with a specific redemption proceeds such bank account m	av not be
	considered for payment of redemption proceeds	s, or the Fund may w	vithheld the payment for up to 10 calendar days to ensure validation of new bank	mandate
	office of the RTA and any financial transaction red	ts with supporting doc iuest received in the ir	cuments will be processed within ten business days of necessary documents reaching nterim will be carried based on previous details only.	g the head
	For more details please refer our websites www.s	undarammutual.com.	For any queries and clarifications that you may have, please get in touch with us at	our office
Applicable NAV (after the scheme	or call our Toll Free 1800 103 7237 (India) +91 44 Being a closed ended scheme, the Scheme will no	· · ·	ing subscription/switch-in/ranurchase/switch out	
opens for repurchase and sale)	being a closed ended scheme, the scheme will he	ot be open for on goil	ng subscription/switch-in/reputchase/switch out.	
Special products / facilities available during the NFO	 Investors may apply for the Units of the Sch process by filling in the ASBA form and sub 	nemes through Applic	ations Supported by Blocked Amount (ASBA)	
	 DD charges shall be borne by Investment Ma 	anager as per prevailir	ng SBI charges provided there is no office of the Investment Manager/Collecting cent	ers in that
	 place Switch from any existing Equity/Debt/Liquid 	Schemes of Sundara	ram Mutual into the Units of the Scheme during the NFO Period can be done during	the NFO
	Period For details the Investor Service Cent	tres can be contacted		
	(Stock Exchange infrastructure) only during	the NFO period. Swit	for subscription under Regular Plan of the Scheme through NSE MFSS & BSE StAF tiching of Units is not permitted under this facility. Investors may hold the Units in p roduced by NSE and BSE respectively for transacting in units of Mutual Funds. The	hysical or
	dematerialized form. MFSS and StAR are ele platforms will be available on all business d	ectronic platforms intr avs of the Capital Ma	roduced by NSE and BSE respectively for transacting in units of Mutual Funds. The arket segment. The platforms will be available for Participants between 9 a.m. until 3	electronic
	eligible AMFI certified stock exchange broke	ers will be considered	rouced by NSE and BSE respectively for transacting in units of Motidar Purios. The arket segment. The platforms will be available for Participants between 9 a.m. until 3 J as Official Points of Acceptance (OPA) of Sundaram Mutual Fund. Investors are req	uested to
	and conditions as may be prescribed by the	e respective stock exc	gn stock exchange infrastructure is available subject to such limits, operating guidelin change(s) and guidelines issued by SEBI from time to time. The Trustees of Sundara	am Mutual
	 reserves the right to change/modify the feat Investor may also purchase the units throug 	ures of this facility at a	a later date.	
Redemptions/Repurchases/Switch-out	Being a Scheme listed on the exchange, the Mutu	al Fund will not acce	ept any redemption / repurchase and switch-out application. Investors can sell/buy th	ne units of
	On the Maturity Date the Units of the Scheme will	be fully redeemed/sv	pt any redemption / repurchase and switch-out application. Investors can sell/buy th the Scheme are proposed to be listed. witched out at the Applicable NAV. (If the maturity date is not a Business Day, the su ne). Details of Redemption/Dividends will be published in Newspapers /notified to	ubsequent
	Business Day shall be considered as the maturit Exchange.	ty date for the Schem	ne). Details of Redemption/Dividends will be published in Newspapers /notified to	the Stock
	The cheques/warrant will be drawn in the name	of the sole/first holde	er with reference to the Registered Bank Account and will be posted/mailed to the	e address
	First/sole Unit holder, as per the information furnis	shed by the Investor to	rs. The Redemption proceeds may also be credited to the Registered Bank Account to the R &T Agent/ Depository Participant (in the case of Units held in Demat Form), set and the set of	int of the subject to
	availability of necessary facility at the concerned b	bank and each locatio	on.	
Delay in payment of redemption proceeds	For any delay in this regard the Investment Manage	er will be liable to pay i	Bank Account of the Sole/ First Unitholders within 10 Business days from the date of interest @ 15% p.a. (or such other rate as may be prescribed by SEBI). However the Ir	nvestment
	Manager will not be liable to pay any interest or ot	ther compensation if the	the delay in processing the redemption application/Dividend Payment /Refund is attribution	butable to
	responsible for any loss arising out of fraudulent e	encashment of cheque	the delay in processing the redemption application/Dividend Payment /Refund is attril rnishing of details required under applicable laws etc). The Investment Manager w es and/or any delay/ loss in transit. Further, the dispatch through the courier / Post o	office (who
	will be treated as the Investor's agent) to the Re Registrar/ Trustee will not be responsible for any	gistered address (as delaved delivery or n	given by the Investor) shall be treated as delivery to the investor. The Investment N non-delivery or any consequences thereof, if the dispatch has been made correctly	Manager / as stated
	above.			
www.sundarammutual.com		5	Sundaram Asset Managem	nent
		•	Curran an About Managen	

Sundaram Capital Protection Oriented Fund 5 Years (Series 7) Key Information Memorandum

Liquidity (Fundamental Attribute)	In line with current SEBI Regulations, the Fund will not buy the units back till the maturity of the schemes and in order to provide the liquidity to the investors, the units of the schemes are proposed to be listed on the Hence Investors who want to liquidate their units of the schemes can sell the units in the secondary market.	the Units will be redeemed on the Maturity Date. However NSE within 5 business days from the date of allotment to NSE has given its in - principle approval for listing the			
	in order to provide the liquidity to the investors, the units of the schemes are proposed to be listed on the Hence, Investors who want to liquidate their units of the schemes can sell the units in the secondary mark units of the scheme on its exchange vide its letter no. NSE/LIST/36145 dated July 31, 2015. In addition to 1 AMC/ Trustee will initiate the delisting procedure at least 30 days prior to the date of maturity of the scheme through the Stock Exchanges. The Unitholders will not able to trade the Units in stock exchange once the sc	et. Nos has given its in - principle approvantor issuing the NSE, the units may be listed in other exchanges also. The s. Units of the Scheme held in Demat Form can be traded chemes are delisted. On the Maturity Date the Units of the			
	Scheme will be redeemed/switched out at the Applicable NAV.	-			
	The Investment Manager/ Trustee will initiate the delisting procedure at least 30 days prior to the date of m trade in stock exchange once the schemes are delisted.	laturity of the scheme. The unitholders will not be able to			
Despatch of Redemption Proceeds	On maturity the redemption proceeds will be mailed to the investors within 10 working days.				
Dividend Policy	Dividend may be declared by the Trustee at its discretion subject to the availability of distributable surplus as calculated in accordance with the Regulations. There is no assurance/guarantee with respect to the quantum or the frequency or the certainty of distributable surplus. The rate of dividend distribution. The decision on whether to declare a dividend or not will depend on the performance of the scheme and availability of distributable surplus. The rate of dividend may also vary from time to time. The decision of the Trustee will be final in this regard. Dividend will be declared on the face value of Rs 10 per unit. Unit holders opting for the Dividend Option will only receive dividend. All unit holders whose names appear in the Register of the Scheme / Statement of beneficial owners maintained by the Depositories (in the case of Units held in Demat form) in the Dividend Option category as on the Record Date will be entitled to the dividend. The dividend payment will be subject to the distribution tax, if any, payable by the Mutual Fund as per the Income Tax Act or other laws in force. Dividend will be paid within 30 days from date of declaration. The details of the Record Date for any Dividend that may be declared will be notified through the Stock Exchange where the Units are listed/newspapers. The dividend amount will be dispatched to/ credited to the Bank Account of the First/sole Unit holder within 30 business days as per the information furnished by the Investor to the R & T Agent/ Depository Participant (in the case of Units held in Demat Form)				
	Effect of dividend: Post declaration of dividend the NAV of the Units under the Dividend option will stand re-	duced by the amount of dividend declared and applicable			
Name of the Fund Managers	dividend distribution tax/surcharge/cess/any other statutory levy. Siddharth Chaudhary & Shiv Chanani. The Trustee reserves the right to change the fund manager (s).				
Benchmark	The performance of the scheme shall be benchmarked to the CRISIL MIP Blended Index. CRISIL MIP Blended Index. CRISIL MIP Blended Index. CRISIL MIP Blended Functionarity for the Scheme. The Trustee reserves the right to change the Benchmarks whenever considered by addendum.	I Index (85%). Hence, it is considered as an appropriate			
Name of the Trustee Company	Sundaram Trustee Company Ltd				
Performance	Being a new scheme, This scheme does not have any performance track record				
Load Structure	Entry Load: Nil Application for subscription may be sent directly to Sundaram Asset Management or through distributors. In the investor may pay upfront commission directly to the distributor, based on his assessment of various fac Transaction Charge: The Distributor would be allowed to charge the Mutual Fund Investor a Transaction C above on a per subscription basis. It will be Rs. 150 for First Time Mutual Fund Investors and Rs. 100 for of The Transaction Charge will be deducted by the Investment Manager from the subscription amount and pa invested in the scheme. No Transaction charges shall be levied: where the investor purchases the Units dire addition to the existing system of commission permissible to the Distributors. For more details please refer The distributors can opt-in / opt-out of levying transaction charges based on 'type of the Product/Scheme'	tors including service rendered by the distributor. harge where the amount of investment is Rs. 10,000/-and hers. id to the distributor; and the balance (net) amount will be ctly from the Mutual Fund. The transaction charges are ir the section "Your Guide to fill the Application Form".			
	 charges would be deducted from the subscription amounts, as applicable. However, the distributor shall not be able to opt-in or opt-out at the investor-level i.e. a distributor shall no investor. <i>Exit Load:</i> Not Applicable Please note that buying and selling the units of the schemes from/ to the market (after closure of the NFO) have to bear the cost of brokerage and applicable taxes on the brokerage and other relevant charges as ap 	ot charge one investor and choose not to charge anothe will not entail any entry / exit load. However, investors wi plicable for transacting on secondary market.			
MF Utility Platform	All financial and non-financial transactions pertaining to Schemes of Sundaram Mutual Fund can be done through MFU either electronically on www.mfuonline.com as and when such a facility is made available by MFUI or physically through the authorized Points of Service ("POS") of MFUI with effect from the respective dates as published on MFUI website against the POS locations. The list of POS of MFUI is published on the website of MFUI at www.mfuonline.com as may be updated from time to time. The Online Transaction Portal of MFU i.e. www.mfuonline.com and the POS locations of MFUI will be in addition to the existing Official Points of Acceptance ("OPA") of the AMC. The uniform cut-off time as prescribed by SEBI and as mentioned in the SID / KIM of respective schemes shall be applicable for applications received on the portal of MFUI i.e. www.mfuonline.com. However, investors should note that transactions on the MFUI portal shall be subject to the eligibility of the investors, any terms & conditions as stipulated by MFUI / Mutual Fund / the AMC from time to time and any law for the time being in force. After NFO period, only Non Financial Transactions can be done through MFU either electronically on www.mfuonline or physically through the authorised Points Of Service of MFU as published on the MFU website.				
Expenses of the Scheme	(i) Initial Issue Expenses				
	 Initial issue expenses shall be borne by the Investment Manager/AMC and not by the scheme of mutual fur (ii) Recurring expenses The total annual recurring expenses of the Scheme, excluding deferred revenue expenditure writte investment management and advisory fee that can be charged to the cheme shall be within the l 				
	Regulations. The expenses chargeable to the scheme shall include investment management & advisory fee, Trustee fee, Marketing and Selling expenses and other expenses (including listing fee). as listed in the table be	fee, custodian fee, Registrar and Transfer Agent fee, Audi			
	Expense Head Investment Management and Advisory Fees Trustee fee Audit fees Custodian fees RTA Fees	% of daily Net Assets			
	Marketing & Selling expense incl. agent commission Cost related to investor communications Cost of fund transfer from location to location Cost of providing account statements and dividend redemption cheques and warrants Costs of statutory Advertisements Cost towards investor education & awareness (at least 2 bps) Brokerage & transaction cost over and above 12 bps and 5 bps for cash and derivative market trades resp. Service tax on expenses other than investment and advisory fees Service tax on brokerage and transaction cost Other expenses	Upto 2.25%			
	under Regulation 52 (4) and (6) (c)	Upto 2.25%			
	Maximum total expense ratio (TER) permissible under Regulation 52 (4) and (6) (c) Additional expenses for gross new inflows from specified cities under regulation 52 (6A) (b)	Upto 0.30%			

Sundaram Asset Management

www.sundarammutual.com	7 Sundaram Asset Management
For Investor Grievances please contact	Investor Relations Manager Rahul Mayor, Head- Customer Services, Sundaram Asset Management Company Limited, Sundaram Towers, No. 46, Whites Road, Royapettah, Chennai- 600 014 Fax: (044) 28569808 Toll Free 1800 103 7237 (India) +44 49057300 (NRI) Email us at: customerservices@sundarammutual.com (NRI) nriservices@sundarammutual.com
	two daily news papers having circulation allover India. The NAV shall be updated on www.sundarammutual.com and the Association of Mutual Funds of India (www.amfiindia.com). Sundaram Asset Management shall update the NAV on the website of Association of Mutual Funds of India before 9.00 p.m. on every working day. In case of any delay, the reasons for such delay would be explained to AMFI by the next day. If the NAVs are not available before commencement of working hour on the following day due to any reason, the Fund shall issue a press release providing reasons and explaining when the Fund would be able to publish the NAVs Further transparency will be maintained through monthly disclosure of portfolio in Mutual Fund website and fact sheets.
Daily Net Asset Value (NAV) Publlication	The Investment Manager undertakes that under no situation there should be a recourse to the investor in the event of any additional tax liability. Investors should also refer to the Statement of Additional Information available at www.sundarammutual.com for more but not exhaustive details. The Investment Manager will calculate and disclose the first NAV of the scheme within 5 business days from the date of allotment. The NAV shall be published in atleas
	 separately for a period of eight assessment years to be set off only against long term capital gains. In addition to income tax, surcharge on income tax and cess on total tax (income tax plus surcharge) will apply for companies and cess on income tax will appl for others, based on present provisions of the tax law.
	 Short-term capital gains are taxable at normal rates applicable to the investor as per the provisions of the Income Tax Act. Capital loss resulting from sale of units would be available for setting off against other capital gains made. Losses on transfer of long-term capital assets would however be allowed to be set-off only against gains from transfer of long-term capital assets. The balance long-term capital loss shall be carried forware
	 Long-term capital gains are taxable at 20% (surcharge and cess will be payable) with indexation of the cost of acquisition. Short term capital gains are taxable at pageal rates applicable to the investor or page the page of the longer. Tax Act
	will be treated as short-term capital asset.
	 No wealth tax is payable on the units of the scheme. Units will be treated as a long-term capital asset if held as a capital asset for more than 36 months. If the units are held for less than or equal to 36 months, the
	& Surcharge will also be applicable). The amount of distributed income shall be increased to such an amount as would, after reduction of the additional income tax (DDT) on such increased amount at the rate specified shall be equal to the amount of income distributed by the mutual fund.
	 of an investment in the scheme. Income of Sundaram Mutual Fund: Exempt from tax. Dividend Distribution: The scheme will pay Dividend Distribution Tax at the rate of 25.00% to the Individual & HUFs and 30% on distribution made to others (ces
Tax Implications	This summary of tax implications is based on the current provisions of the applicable tax laws. This information is provided for general purpose only. Investors should also refer to the Statement of Additional Information available at www.sundarammutual.com for more details. In view of the individual nature of tax implications investors are advised to refer the provisions of the Income-Tax Act and/or consult their investment/tax advisor with respect to the specific tax implications arising ou of an investment the scheme.
Tay Implications	Any circular/clarification issued by SEBI in regard to expenses chargeable to the Scheme/Plan(s) will automatically become applicable and will be incorporated in the SID/SAI/KIM accordingly.
	as prescribed in Regulation 52 of SEBI (Mutual Funds) Regulations.
	 Service Tax on Integrited management and advisory lee shall be charged to the scheme within the maximum limit of total expense ratio Service Tax for services other than investment management and advisory shall be charged to the scheme within the maximum limit of total expense ratio
	 - Service Tax on investment management and advisory fee shall be charged to the scheme in addition to the maximum limit of total expense ratio a
	 Service Tax: Pursuant to SEBI circular no CIR/IMD/DF/24/2012 dated November 19, 2012, Service Tax on brokerage and transaction cost paid for execution of trade
	 The Investment Manager shall set apart at least 0.02% (2 basis points) on the daily net assets of the scheme(s) within the maximum limit of total expense ratio prescribed under Regulation 52 of SEBI (Mutual Funds) Regulations for initiatives towards investor education and awareness taken by Sundaram Mutual fund.
	excess of 0.12% and 0.05% for cash market transactions and derivatives transactions respectively shall be charged to the scheme within the maximum limit prescribed under regulation 52 of the SEBI (Mutual Funds) Regulations, 1996. Any expenditure (including brokerage and transaction cost, if any) in excess of th prescribed maximum limit shall be borne by the Investment Manager or by the Trustee or Sponsor.
	 Brokerage and transaction costs which are incurred for execution of trades and included in the cost of investment shall be charged to the Scheme(s) in addition to the total expense limits prescribed under Regulation 52 of the SEBI (Mutual Funds) Regulations. These expenses shall not exceed 0.12% of the value of trades in case of cash market transactions and 0.05% of the value of trades in case of derivatives transactions. Payment towards brokerage and transaction cost in
	while filing the final SID. Sundaram Asset Management reserves the right to charge different heads of expenses, both inter-se or in total, within the overall limits as specified in the table above.
	of Direct Plan shall not exceed 87.5 bps. Note: The above percentage is based on the prevailing expenses ratio. Any change in the above mentioned distribution expenses/ commission will be replaced
	The total expense ratio of Direct Plan shall be lower by atleast 12.5% vis-a-vis the Regular Plan. i.e., If the expenses of Regular Plan are 100 bps, the expense
	Industry' as at the end of the previous financial year. Distribution expenses will not be charged in Direct Plan and no commission shall be paid from Direct Plan.
	investment. Top 15 cities shall mean top 15 cities based on Association of Mutual Funds in India (AMFI) data on 'AUM by Geography - Consolidated Data for Mutual Fund
	The amount so charged shall be utilised for distribution expenses incurred for bringing inflows from such cities. However, the amount incurred as expense o account of inflows from such cities shall be credited back to the Scheme(s) in case the said inflows are redeemed within a period of one year from the date of
	In case the inflows from such cities is less than the higher of (a) or (b) above, expenses shall be charged to the scheme on a proportionate basis as prescribe in the SEBI circular dated September 13, 2012.
	 (i) 30% of the gross new inflows in the scheme (or) (ii) 15% of the average assets under management (year to date) of the scheme, whichever is higher.
	the scheme from beyond top 15 cities as specified by SEBI, from time to time, are at least:
	The expenses limit as given in the warranties to the CRISIL will be adhered to. As per Regulation 52(6A)(b), the an additional expense upto 0.30% (30 basis points) on the daily net assets shall be charged to the scheme if new inflows int
	On assets in excess of Rs 700 crore: 1.50%
	Next Rs 300 crore: 1.75%
	First Rs 100 crore: 2.25% Next Rs 300 crore: 2.00%

	Registrar
	Sundaram BNP Paribas Fund Services Limited, SEBI Registration No. INR 000004066, (Unit: Sundaram Mutual Fund), Central Processing Center, RR Towers II, III Floor, Thiru Vi Ka Industrial Estate, Guindy, Chennai 600 032. Toll Free 1800 103 7237 (India) +91 44 49057300 (NRI).
Information to unit holders:	On acceptance of a valid application for subscription, units will be allotted and a confirmation specifying the number of units allotted by way of email and/or SMS within 5 Business Days from the date of closure of NFO will be sent to the Unit holder's registered e-mail address and/or mobile number. Subject to SEBI Regulations, Statement of Accounts will be sent to those unitholders whose registered email address / mobile number is not available with the Mutual Fund, unless otherwise required. Money would be refunded in respect of applications rejected, within five business days from the closure of the NFO period. The Scheme shall be available for trading within five business days of allotment.
	While allotting units in case of subscription to the scheme through switches from other schemes,
	a. Additional units shall be allotted to the investors for the fractional value greater than 0.5 units so that the total units are rounded off to a full unit. The amount equivalent to conversion of fractional unit to full unit shall be debited to unit premium reserve account.
	b. For the fractional value upto 0.5 units, no additional units would be allotted. The value of those units shall be credited as unit premium reserve for the benefit of the investors.
	c. The overall cost, if any, arising out of the process shall be absorbed by Sundaram Asset Management Company Limited Consolidated Account Statement:
	 A consolidated account statement (CAS)^ for each calendar month to the Unit holder(s) in whose folio(s) transaction**(s) has/have taken place during the month shall be sent on or before 10th of the succeeding month by mail/e-mail. ^Consolidated Account Statement (CAS) shall contain details relating to all the transactions** carried out by the investor across all schemes of all mutual funds during the month and holding at the end of the month including transaction charges paid to the distributor, if any. **The word 'transaction' shall include purchase, redemption, switch, dividend payout, dividend reinvestment, systematic investment plan, systematic withdrawal plan, systematic transfer plan and bonus transactions. In case of a specific request received from the Unit holders, the Investment Manager/Mutual Fund will provide the account statement to the investors within 5 Business Days from the receipt of such request. In case the mutual fund folio has more than one registered holder, the first named Unit holder shall receive the CAS/account statement.
	 4) For the purpose of sending CAS, common investors across mutual funds shall be identified by their Permanent Account Number (PAN). 5) The CAS shall not be received by the Unit holders for the folio(s) not updated with PAN details. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN. 6) Further, the CAS detailing holding across all schemes of all mutual funds at the end of every six months (i.e. September/ March), shall be sent by mail/e-mail on
	 or before 10th day of succeeding month, to all such Unit holders in whose folios no transaction has taken place during that period. The half yearly CAS will be sent by e-mail to the Unit holders whose e-mail address is available, unless a specific request is made to receive in physical. Such Consolidated Account Statement shall reflect the latest closing balance and value of the Units prior to the date of generation of the account statement. 7) The statement of holding of the beneficiary account holder for units held in DEMAT will be sent by the respective DPs periodically. 8) Pursuant to SEBI circular CIR/MRD/DP/31/2014 dated November 12, 2014 investors having Mutual Fund investments and holding securities in Demat account shall receive a single Consolidated Account Statement (CAS) from the Depository. Consolidation of account statement shall be done on the basis of Permanent Account Number (PAN). In case of multiple holding, it shall be PAN of the first holder and pattern of holding, details shall be generated on a monthly basis. If there is any transaction in any of the Demat accounts of the investor on any of his mutual fund folios, depositories shall send the CAS within ten days from the month end. In case, there is no transaction in any of the mutual fund folios and demat accounts the CAS with holding details shall be sent to the investor on half yearly basis. Any circular/clarification issued by SEBI in this regard will automatically become applicable and shall be incorporated in the SID/SAI/KIM wherever applicable.
	The Investment Manager shall publish the portfolio of the scheme as of March 31 and September 30 of every year before the expiry of one month from the close of each half year. The portfolio shall be published in the SEBI-prescribed format in one national English daily newspaper and in a newspaper in the language of the region where the Head Office of the Mutual Fund is situated. The Investment Manager shall disclose the portfolio (along with ISIN) as on the last day of the month for all the schemes in its website www.sundarammutual.com on or before the tenth day of the succeeding month in a user-friendly and downloadable format, preferably a spreadsheet. Sundaram Mutual fund shall make half yearly disclosures of unaudited financial results on its website www.sundarammutual.com in the prescribed format within one
	month from the close of each half year, i.e. on 31st March and on 30th September. The half-yearly unaudited financial results shall contain details as specified in Twelfth Schedule and such other details as are necessary for the purpose of providing a true and fair view of the operations of the mutual fund. In addition, Sundaram Mutual Fund shall publish an advertisement disclosing the hosting of such financial results in its website, in atleast one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of Sundaram mutual fund is situated.
	An abridged Scheme-wise annual report shall be sent only through email to all unit holders whose email address is available within four months from the date of closure of the relevant accounting year; unit holders who have not provided an email address/who have requested for a physical copy shall receive a copy by post. The link of Scheme-wise annual reports or abridged summary thereof will also be prominently displayed at www.sundarammutual.com
General Risk Factors	All mutual funds and securities investments are subject to market risks, and there can be no assurance or guarantee that fund's objectives will be achieved. NAV may go up or down, depending on the factors and forces affecting the securities market. Main types are market risk, liquidity risk, credit risk and systemic risks. At times, liquidity of investments may be impaired. There is uncertainty of dividend distribution and risk of capital loss. Past performance of the Sponsor/Asset Management Company/Fund does not indicate the future performance. Investors in the Scheme are not being offered any guaranteed or indicated returns. Sundaram Capital Protection Oriented Fund 5 Years (Series 7) does not in any manner indicate either quality or future prospects and returns.
Standard Risk Factors	 Risks from Debt Exposures: Credit Risk, Interest Rate Risk, Price Risk, Market Risk, Liquidity Risk, Investment Pattern Risk, Duration Risk and Non-Diversification Risk, to name a few. Risk Factors - Equity Markets: Stock Market Volatility, Equity Price Risk, Dependency Risk, Temporary Investment Risk and Non-Diversification Risk and Concentration Risk, to name a few.
Scheme-Specific Risk Factors	The Scheme offered is "oriented towards protection of capital" and "not with guaranteed returns". Investors are neither being offered any guaranteed/indicated returns. Further, the orientation towards protection of the capital originates from the portfolio structure of the Scheme and not from any bank guarantee, insurance cover or guarantee/assurance from the Trustee/Investment Manager/Mutual Fund/Sponsor.
	The ability of the portfolio to meet capital protection on maturity to the investors can be impacted by interest rate movements in the market, credit defaults by bonds and expenses.
	Risks include Credit risk, interest-rate risk, liquidity risk, market risk, price risk and risks specific to closed-end schemes(such as suspension of trading by Stock Exchanges, low liquidity/lower volumes for the Units etc). The risks pertaining to equity and debt markets may also impact the NAV of the scheme. This is only an illustrative list and not exhaustive.
Statutory information on Sponsor/Trustee	Sundaram Mutual Fund has been set up as a trust under the Indian Trusts Act, 1882 Sponsor: Sundaram Finance Limited Investment Manager: Sundaram Asset Management Company Limited. Trustee: Sundaram Trustee Company Limited. The sponsor is not responsible or liable for any loss beyond the contribution of Rs.1 lakh to set up the Mutual Fund.

Sundaram Capital Protection Oriented Fund 5 Years (Series 7): There are no significant differences as compared to the Capital Protection Oriented Schemes that have been launched previously.

Neither the SID/KIM/SAI nor the units of the schemes of Sundaram Mutual Fund have been registered in any jurisdiction, outside India. The distribution of the SID/KIM/SAI in certain jurisdictions may be restricted or totally prohibited or subject to registration requirements and accordingly, persons who come into possession of the SID/KIM/SAI in such jurisdictions are required to inform themselves about, and to observe, any such restrictions and / or legal compliance requirements. No person receiving a copy of the SID/KIM/SAI or any application form in such jurisdiction may treat the SID/KIM/SAI or such application form as constituting an invitation to them to subscribe for Units, nor should they in any event use any such application form, unless in the relevant jurisdiction such an invitation could lawfully be made to them and such application form could lawfully be used without compliance of any registration or other legal requirements. The units offered under the schemes of Sundaram

Please complete Know Your Customer requirement

Please make the Cheque/Demand Draft in favour of 'SMF CPOF 5Y 7 S ACCOUNT' in which you would wish to invest and write the PAN number or Folio number (for investors who have an account with Sundaram Mutual) on the reverse of the Cheque/Demand Draft.

Checklist

Uniform practice in dealing with applications/transaction slips: If the Scheme name on the application form/transaction slip and on the payment instrument are different, the application shall be processed and units will be allotted at the applicable NAV of the scheme mentioned in the application form /transaction slip duly signed by investor(s)

Permanent Account Number (PAN) is not required for an individual investor if the purchase amount is upto Rs 50,000 (including SIPs, if any) in any rolling 12-month period or in a financial year per investor per mutual fund.

Investors falling underthis category must produce a copy of any of the following specified photo identification documents:

- Voter Identity Card
- Driving License
- Government / Defense identification card 3
- Passport 5
- Photo Ration Card 6.
- Photo Debit Card (Credit card not included because it may not be backed up by a bank account). Employee ID cards issued by companies registered with Registrar of Companies (database available in the following link of Ministry_of Company affairs http://www.mca.gov.in/DCAPortalWeb/dca/MyMCALogin.do?method=setDefaultProperty& 7. mode=31)
- 8 Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament
- ID card issued to employees of Scheduled Commercial / State / District Co-operative Banks.
- Senior Citizen / Freedom Fighter ID card issued by Government. 10
- Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI. Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL). 11. 12.
- 13. Any other photo ID card issued by Central Government/ State Governments/ Municipa

If you are a new investor in Sundaram Mutual Funds, please ensure you take care to mention:

- Permanent Account Number (PAN is mandatory for all investors).
- Mode of operation in case of joint applicants
- Bank account details of the first applicant Bank details for direct credit/ ECS/NEFT/RTGS
- If investment is made under Power of Attorney, specimen signature of authorized signatory

If the investor is a minor, the following points should be noted for opening folio / account. For detailed instructions, please refer the Statement of Additional Information:

- The minor shall be the first and the sole holder in an account. No joint holders are allowed in such accounts
- Guardian in the account/folio on behalf of the minor should be either a natural guardian (i.e. father or mother) or a court appointed legal guardian. Documents supporting the date of birth of the minor and also the relationship of the minor and guardian should be enclosed with the application form.

Small investors, who may not be tax payers and may not have PAN/bank accounts, such as farmers, small traders/businessmen/workers can invest in the scheme through the mode of cash payment for fresh purchases/additional purchases

- tradient obsides in the scheme in those of cash payment of mesh purchases additional purchases in the scheme inforce of cash payment for them purchases additional purchases in the scheme inforce of cash payment of mesh purchases additional purchases in the scheme inforce of cash payment for them purchases additional purchases in the scheme inforce of the sche redemptions, dividend, etc. with respect to aforementioned investments shall be paid only through banking channel. Sundaram Mutual Fund / Investment Manager is yet to set up appropriate systems and procedures for the said purpose

Please attach:

- Attested copy of Permanent Account Number Card
- KYC Acknowledgement
- Signed 'Account Payee' cheque/draft drawn in the 'SMF CPOF 5Y 7 S ACCOUNT' in which you wish to invest and indicate PAN number on reverse.
- A cancelled cheque or a photocopy of your cheque leaf (a must if you wish to avail the facility of direct credit/ ECS and preferable in all cases to ensure your bank account details are captured accurately) If investment is made under Power of Attorney, notarised copy of the Power of Attorney In case of a Trust/Fund, a resolution of the Trustee(s) authorising the investment must be submitted.

- If you are an institution, please attach a copy of documents indicated in the table

Special Categories (please attach a copy)

Document	Corporate	Society	Partnership	Trust
Memorandum & Articles	1			
Resolution/Authorisation to Invest	1	1	1	1
List of Authorised Signatories				
& Specimen Signatures	1	1	1	1
Bye-Laws		1		
Trust Deed		1		
Partnership Deed			1	

Not In Good Order (NIGO)

All applications received by the Investment Manager / Registrar & Transfer Agent(RTA) shall be on a "Subject to Verification" basis. Applications that are found to be inaccurate or incomplete upon preliminary scrutiny will be returned at the counter itself to the investor or agent for rectification. Applications that are accepted at the counter and subsequently found as incomplete or inaccurate on material aspects by the Investment Manager /RTA are classified as Not In Good Order (NIGO). Such NIGO applications are liable to be rejected and reasons for incompleteness/inaccuracy shall be communicated to the investor for remedial measures. The applications submitted after remedy will be processed at the NAV of the date and time of receipt of the same at our office/office of the RTA

Sundaram Capital Protection Oriented Fund 5 Years (Series 7) **Key Information Memorandum**

Mutual Fund have not been and will not be registered under the United States Securities Act of 1933 for offer or sale as part of their distribution and neither Sundaram Mutual Fund nor Sundaram Asset Management Company Limited, the Investment Manager has been and will be registered under the United States Investment Company Act of 1940. It should be noted that Investors will not have the benefit of the substantive provisions of the laws of the United States of America, including the United States Investment Advisers Act of 1940, as amended. Therefore, subject to the ultimate discretion of the Investment manager, the products may not be offered or sold to or for the benefit of a US Person, as such term is defined herein. The Investment Manager may mandatorily redeem any Units that are held by or for the benefit of any US Person. You are also requested to refer to the section "Special Considerations" available in the Scheme Information Document of the respective schemes in this regard.

This form is applicable for persons both resident in India and for NRIs.

 Existing Investor Information: If you are an investor in any fund of Sundaram Mutual Fund serviced by Sundaram BNP Paribas Fund Services Limited, clease provide the Folio Number. You are not required to fill details required in Section 2. You must proceed directly to Section 3 as details required in Section 2 are available in your existing folio. If you wish to change any of the existing details, use a transaction slip available with your account statement. 2. New Investor Information: Name and address must be written in full. If the investment is on behalf of a minor (a person who is yet to complete 18 years), the Name of the Guardian and his/her PAN must be mentioned.

In case of more than one applicant, applicants are requested to state the Mode of holding as 'Joint' or 'Anyone or Survivor'. In case of

omission to choose the mode of holding, the default option shall be 'Anyone or Survivor' All communication and payments shall be made to/in the name of and favouring the first/sole applicant. Applications by a Power of Attorney, a limited company a corporate body, an eligible institution, a registered society, a partnership firm or a trust must be accompanied by, documents as mentioned in the checklist. The documents must be attested by the designated or authorised official of the institution/power of attorney or by a notary or gazetted officer of the government/bank manager. Authorisec officials should sign the Application Form under their official designation. A list of specimen signatures of the authorised officials, duly certified or attested, must be attached to the Application Form. Go Green Services: Save the Future

- Go Green E-Update/Mobile Services: Registration of Contact Details: By opting to receive the Account Statement and Abridged Scheme Annual Report in a paperless mode by e-mail, you contribute to the environment. The investor is deemed to be aware of Screine Anitian Report in a paperiess mode by e-rian, you contribute to the environment. The investor is been due to be aware of security risks including interception of documents and availability of content to third parties. Sundaram Asset Management provides interesting information on the economy, markets and funds. If you wish to receive documents such as The Wise Investor, India Market Outlook, Global Outlook, Fact Sheet and One Page Product Updates, to name a few, please choose the 'yes' option. Go Green Web Service: If you are an existing investor and wish to transact online without an IPIN please register online by visiting
- www.sundarammutual.com
- Registering and a service: If you are an existing investor, please register for your IPIN (Internet Personal Identification Number) online in 3 Simple Steps by visiting us at www.sundarambnparibasis.im. With this you can access your account statement/capital gain statement 24x7, view your portfolio and transact online, receive transactional alerts by email/ SMS and subscribe for daily NAV alerts. If you are a new investor, you may use the attached PIN agreement Form. Applicants who request a Personal Identification Number (PIN) will be deemed to have read, understood and agreed to the terms and conditions for the PIN available at www.sundaramutula.com w.sundarammutual.com.
- Go Green Call Service! you are an existing investor and wish to register your email ID & mobile number with us, please call our Toll Free: 1800 103 7237 (India) +91 44 49057300 (INRI) between 9.00 & 6.00 pm on any business day.
 Employee Unique Identification Number (EUIN) has to be filled by the distributor, irrespective of whether the transaction is advisory or execution only as EUIN would assist the investor in tackling the problem of mis-selling even if the employee/ relationship manager/sales

Percon leave the employment of the distributor. Transaction charges: Terms and Conditions relating to Transaction Charges (applicable for both existing and new investors in the schemes of Sundaram Mutual) pursuant to SEBI circular No. Cirl /IMD/ DF/13/ 2011 dated August 22, 2011: 1 The Distributor would be allowed to charge the Mutual Fund Investor a Transaction Charge where the amount of investment is 8, 10,000-and above on a per subscription basis Every investor at the Every fund that the Lind Investor the Transaction Charge view will be De 100/ per subscription For the State of the Stat

- 2 For an investor other than First Time Mutual Fund Investor, the Transaction Charge allowed will be Rs. 100/- per subscription of Rs. 10.000/- and above
- For a First Time Mutual Fund Investor, the Transaction Charge allowed will be Rs. 150/- per subscription of Rs. 10,000/- and
- The Transaction Charge, where applicable based on the above criteria, will be deducted by the Investment Manager from the subscription amount remitted by the Investor and paid to the distributor; and the balance (net) amount will be invested in the scheme. Thus units will be allotted against the net investment.
- 4
- No Transaction charges shall be levied: a) Where the distributor/agent of the investor has not opted to received any Transaction Charges; a) b)

 - Where the insteador purchases the Units directly from the Mutual Fund; Where the insteador purchases the Units directly from the Mutual Fund; Where total commitment in case of SIP / Purchases / Subscriptions is for an amount less than Rs. 10,000/-;
- d
- On transactions other than purchases / subscriptions relating to new inflows. Switches / Systematic Transfers / Allotment of Bonus Units / Dividend reinvestment Units / Transfer / Transmission of
- units, etc will not be considered as subscription for the purpose of leving the transaction charge. e) Purchases / subscriptions carried out through stock exchange(s). The distributors can opt-in / opt-out of levying transaction charges based on 'type of the Product/Scheme' instead of 'for all Schemes'. Accordingly, the transaction charges would be deducted from the subscription amounts, as applicable. However, the distributor shall not be able to opt-in or opt-out at the investor-level i.e. a distributor shall not charge one investor and choose not the charge another investor.

and choose not to charge another investor.

The transaction charges are in addition to the existing system of commission permissible to the Distributors. On subscription through Distributors, the upfront commission if any will be paid directly by the Investors to the Distributor by a separate cheque based on their assessment of various factors including the service rendered by the Distributor. Any circular/clarification issued by SEBI in this regard will automatically become applicable and will be incorporated in the SID/SAI/KIM where an advisorable.

wherever applicable

Permanent Account Number (PAN): SEBI has mandated that PAN shall be the sole identification number for all participants in the Permanent Account Number (PAN) scb risk manualed that PAV shall be the sole Meltinication function for an participants in the securities market, inrespective of the amount of the transaction. Accordingly, the applicant (or incase of applications in joint names, each applicant), is required to mention their PAN and attach an signed/attested copy of PAN card with the application. Investors can also submit a copy of PAN attested by the Bank Manager/Gazetted Officer of State or Central Government /Notary Public/Judicial Authority. Applications without a copy of the PAN will be rejected. Permanent Account Number (PAN) is not required for Micro SIP of an individual investor if the total amount of installments is upto Rs 0000 per investor in any celling 11 month beneficie of an individual investor if the total amount of installments is upto Rs 0000 per investor in any celling 11 month beneficie of a comparison individual investor if the total amount of installments is upto Rs

50,000 per investor in any rolling 12-month period or in a financial year. This exemption is also applicable to other purchase transactions if the amount, including SIPs, is upto Rs 50,000 (aggregate under all the schemes of Sundaram Mutual) in any rolling 12-month period or in a financial year per investor. Investors falling under this category must produce a copy of any of the specified photo identification documents listed in Guide to Investing through Systematic Investment Plan (SIP). However investors having PAN are not eligible for simplified KYC procedures

Instructions for verification of PAN Card: If an investor provides a copy of PAN card without required attestation, officials at the branches of Sundaram Asset Management/Registrar and Transfer Agents and designated persons at Investor Centres must verify the PAN card copy with the original. The person verifying the document need to affix his/her signature, name and company seal with remarks as verified with original / verified / attested. Distributors can also verify the PAN card copy with original. The person verifying the document need to affix his/her signature, name and company seal with remarks as verified with original. I verified / attested. Know Your Customer (KYC) Requirement. The Securities and Exchange Board of India has issued detailed guidelines on 18/01/2006 and mesures for prevention Money Laundering and had notified SEBI (KYC Registration Agency) Regulations, 2011 on December 02,

Sundaram Capital Protection Oriented Fund 5 Years (Series 7) **Key Information Memorandum**

2011 with a view to bring uniformity in KYC Requirements for the securities market and to develop a mechanism for centralization of the KYC records. SEBI has also issued circulars from time to time on KYC compliance and maintenance of documentation pertaining to unit holders of mutual funds. Accordingly the following procedures shall apply:

- KYC acknowledgement is mandatory for all investors. An application without acknowledgement of KYC compliance will be rejected
- New Investors are required to submit a copy of Income Tax PAN card, address proof and other requisite documents along with the KYC application form to any of the intermediaries registered with SEBI, including Mutual Funds to complete KYC. The KYC application form is available at www.sundarammutual.com
- The Mutual Fund shall perform initial KYC of its new investors and send the application form along with the supporting documents to
- The Wickar and sharp benominated in the original server interstores and server the application form and y win the supporting documents to the KYC Registration Agency (KRA). During the KYC process, the Mutual Fund will also conduct In Person Verification (IPV) in respect of its new investors. Sundaram Asset Management Company Limited and the NISM / AMFI certified distributors who are KYD compliant are authorized to carry out the IPV for investors in mutual funds. In case of applications received directly from the investors (i.e. not through the distributors), mutual funds. may rely upon the IPV performed by the scheduled commercial banks
- The KRA shall send a letter to the investor within 10 working days of the receipt of the KYC documents from Mutual Fund, confirming the details thereof
- Investors who have obtained the acknowledgement after completing the KYC process can invest in Scheme of the Mutual funds by quoting the PAN in the application form.

Investors are required to complete KYC process only once to enable them to invest in Scheme of all mutual funds.
 Existing Investors, who have already complied with the KYC requirements, can continue to invest as per the current practice.
 Pursuant to SEBI circular no. MIRSD/Cir-5/2012 dated April 13, 2012, mutual fund investors who were KYC compliant on before December 31, 2011 are required to submit 'missing/not available' KYC information and complete the 'ln Person Verification' (IPV) requirements if they wish to invest in a new mutual fund, where they have not invested / opened a folio earlier, effective from December 03, 2012:

Individual investors have to complete the following missing/not available KYC information:

Father's/Spouse Name a) b)

Marital Status

(In-Person Verification (IPV). To update the missing information, investors have to use the "KYC Details Change Form" for Individuals Only available at www.sundarammutual.com or www.amfiindia.com. Section B of the form highlights 'Mandatory fields for KYCs done before 1 January

2012' which has to be completed. In case of Non Individuals, KYC needs to be done afresh due to significant and major changes in KYC requirements by using "KYC

Application form" available for Non-Individuals only in the websites stated above. Additional details like Nationality, Gross Annual Income or Net worth as on recent date, Politically Exposed Person, and Non Individuals

providing specific services have to be provided in Additional KYC details form available in the website of the Investment Manager. Duly filled forms with IPV can be submitted along with a purchase application, to the new mutual fund where the investor is investing / opening a folio. Alternatively, investors may also approach their existing mutual funds at any investor service centre to update their missing/hot available' KYC information.

Inissing/Inclavalable K1C information.
3. KYC Details of all applicants (Mandatory)
In accordance with SEBI Circular No. CIR/MIRSD/13/2013 dated December 26, 2013, the additional details viz. Occupation details, Gross Annual Income/networth and Politically Exposed Person (PEP)* status mentioned under section 3(d) which was forming part of uniform KYC form will now be captured in the application form of the Fund. Also, the details of nature of services viz. Foreign Exchange/Gaming/Money Lending, etc., (applicable for first/sole applicant) is required to be provided as part of Client Due Diligence (CDD) Process of the Fund.

Politically Exposed Persons (PEP): PEPs are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government / judicial / military officers, senior executives of state owned corporations, important political party officials, etc. or senior political figures and their immediate family members and close associates.

members and close associates. Utimate Beneficial Owner: Pursuant to SEBI Master Circular No. CIR/ISD/AML/3/2010 dated December 31, 2010 on Anti Money Laundering Standards and to Guidelines on identification of Beneficial Ownership issued vide SEBI circular no. CIR/MIRSD/2/2013 dated January 24, 2013, investors (other than Individuals) are required to provide details of Utimate Beneficial Owner(s) ('UBO'). The Ultimate Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to: i. More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company; More than 15% of the control of the juridical person where the juridical person is a company; More than 15% of the control of the juridical person where the juridical person is a company.

More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association

or body of individuals. In case of a Trust, the settler of the trust, the trustees, the protector, the beneficiaries with 15% or more of interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership is considered as the UBO. The provisions w.rt. Identification of UBO are not applicable to the investor or the owner of the controlling interest is a company listed on

If you are classified as a passive Non-Financial Foreign Entity (NFFE) for FATCA purposes, while completing interest is a company in factor of the completing interest is a company in factor of the completing interest is a company in factor of the completing interest in our entity in the foreign Entity (NFFE) for FATCA purposes, while completing the "Declaration for Ultimate beneficial Ownership (UBO)" form please provide details of all the person(s) (excluding those having fax residency in India) having control information" column along with Country of birth, Country of citizenship / Nationality, Country of Tax Residency and Foreign Tax Reference Number for FATCA purposes.

4. Details under FATCA/Foreign Tax Laws: Tax Regulations require us to collect information about each investor's tax residency. If you have any questions about your tax residency, please contact your tax advisor. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.

India has joined the Multilateral Competent Authority Agreement (MCAA) on automatic exchange of financial account information on June All the provided the Wolliaded a Competence Patient y determined works of automatic excitance of manage account minimater of the same 3, 2015. In terms of the MCAA, all signatory countries are obliged to exchange wide range of financial information after collecting the same from financial institutions in their country/jurisdiction. Government of India has amended the Income-tax Act, 1961 in August 2015 pursuant to which all the financial institutions including Mutual Funds are required to report the transactions of US citizens / residents and also of other signatory countries to the Government of India.

Further, the Government of India has signed an Inter-Governmental agreement with US on July 09, 2015 (with date of entry into force as Aug 31, 2015) to improve international tax compliance and to implement FATCA in India pursuant to which prescribed details of US Account holders/tax pavers has to be reported by the Indian Entities to Government of India which in turn will relay that information to the US Interval Revenue Service (IRS)

Applicants (Including joint holders, Guardian, POA holder) are required to refer and mandatorily fill "FATCA/-CRS Details". Applications without this information / declaration being filled/signed off will be deemed as incomplete and are liable to be rejected. Investors are requested to note that the contents of the information to be provided / declaration in the application form may undergo a change on receipt of communication / guidelines from AMFI/SEBI from time to time.

5. Bank Account Details: Providing bank account details is mandatory according to SEBI regulations. Please complete all the details such as account number, name of the bank, branch, address and city. RTGS/NEFT are unique numbers for every account with a bank branch. You can obtain them by contacting your banker. Please attach a cancelled cheque or a photocopy of the cheque to ensure that your account details are captured accurately in your record with the registrar. This is a must if you opt to receive the redemption/dividend proceeds by electronic means.

In case of NRIs, if the payment is by Demand Draft, or source of funds is not clear on the cheque leaf, please provide a copy of the FIRC (Foreign Inward Remittance Certificate).

Proceeds of any redemption will be sent only to a bank account that is already registered and validated in the folio at the time of redemption transaction processing

Indication processing, may choose to mention any of the existing registered bank accounts with redemption payment request for receiving redemption proceeds. If no registered bank account is mentioned, default bank account will be used. Valid change of bank mandate requests with supporting documents will be processed within ten business days of necessary documents reaching the head office of the RTA and any financial transaction request received in the interim will be carried based on previous details only. Effective May 01, 2012 the forms for redemption request and change of bank account have been segregated to ensure that the two different requests are handled and executed separately for all existing and new customers. For more details please refer to the Website www.sundarammutual.com or contact the offices of the AMC/RTA.

6. How do you wish to receive:

Redemption Proceeds: Please refer to the details of the various facilities for receiving redemption proceeds as outlined hereunder: RTGS & NEFT: RTGS is Real Time Gross Settlement and applicable for payments/fund transfer in excess of Rs 1 lakh. NEFT is National Electronic Funds Transfer and is applicable for payments/fund transfer of less than Rs 1 lakh. RTGS & NEFT are modes of transferring money through electronic system and are easy and secured mode to receive your redemption proceeds.

Direct Credit: The redemption proceeds are directly and automatically credited to your designated bank account. It is available now with select banks and Sundaram Asset Management plans to enhance the coverage. At present, Direct Credit Facility is available for investors who have an account with Axis Bank, BNP Paribas Bank, Citibank, Deutsche Bank, HDFC Bank, HSBC Bank, ICICI Bank, IDBI Bank, Industing Bank, NG Vysya, Kotak Mahindra Bank, Royal Bank of Scotland, SBI, Standard Chartered Bank, YES Bank. An investor who opts for Direct Credit facility_ is requested to enclose a cancelled cheque or a photocopy of the cheque to ensure that the correct bank account number and MICR code are entered in his/her record. In case the bank account of an investor is covered under Direct Credit facility, then the payment of redemption/dividend proceeds (if any), will happen via direct credit payout only.

Warrants/Draft: Warrant/draft will be payable only at cities where Sundaram Mutual Customer Service Centres are located. Sundaram Asset Management will strive to effect the payout by sending a cheque / demand draft. In case of unforeseen circumstances, the Sundaram Asset Management reserves the right to issue a demand draft / payable at par cheque.

Investors expressly agree and authorise the mutual fund to use intermediaries such as post office, local and international couriers and banks, to name a few, to send communication or send cheque / demand draft / warrant. Intermediaries are agents of the investor and not the mutual fund. The Trustees / Investment Manager / Mutual Fund will not be responsible for any loss arising out of fraudulent encashment of cheque/draft or delay / loss in transit of any cheque / draft / communication.

7. Fund in which you wish to invest and Plans & Options: Please indicate clearly the complete name of the fund in which you wish to invest

Investors wishing to subscribe under Direct Plan of a Scheme will have to indicate "Direct Plan" against the Scheme name in the application

The following matrix will be applied for processing the applications in the Regular or Direct Plan:

by the investor	by the investor	units will be allotted
Not mentioned	Not mentioned	Direct Plan
Not mentioned	Direct	Direct Plan
Not mentioned	Regular	Direct Plan
Mentioned	Direct	Direct Plan
Direct	Not Mentioned	Direct Plan
Direct	Regular	Direct Plan
Mentioned	Regular	Regular Plan
Mentioned	Not Mentioned	Regular Plan

In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan.

The Investment Manager shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investor/ distributor. In case, the correct code is not received within 30 calendar days, the AMC shall reprocess the transaction under Direct Plan from the date of application without any exit load.

Payment Details: The cheque/demand draft must be drawn in favour of 'SMF CPOF 5Y 7 S ACCOUNT' and crossed A/c Payee only. Investors must write the Permanent Account Number/Folio Number on the reverse of the cheque / demand draft accompanying the application form. Sundaram Asset Management is pleased to bear DD charges as per rates of State Bank of India.

Purchases made through third party cheque(s) will not be accepted. In case of payment from a Joint Bank Account, the First holder in the Application must be one of the Joint Account Holders of the Joint Bank Account. However the following are excluded from this restriction:

Gifts to a minor from Parents/Grand Parents up to Rs50,000(for each Purchase /per SIP Installment) 2

Employer's Remittance of Payroll deduction on behalf of Employees

3 Custodian's payment on behalf of an FII /Client

For further details please refer to Statement of Additional Information

8. Dematerialization : Investors have the option to hold the Units in dematerialized form. Currently, this facility is not available in case of units offered under the Daily/Weekly/Fortnightly Dividend Option(s) under all income/debt/liquid Schemes. Investors who want an allotment of units in demat mode must have a beneficiary account with a Depository Participant (DP) of the Depositories i.e. National Securities Depositories Limited (NSDL) / Central Depository Services Limited (CDSL). If PAN is not mentioned by applicants, the application may be rejected. Investors may attach a copy of the Client Master Form / DP statement showing active demat account details for due verification. Names, mode of holding, PAN details, etc. of the investor will be verified against the Depository data. The units will be credited to the beneficiary (demat) account only after successful verification with the depository records and realization of payment. In case the demat details mentioned in the application are incomplete/incorrect or do not match with the depository data, the application shall be treated as invalid for processing under demat mode and therefore may be considered for processing in non-demat form i.e. in physical mode if the application is otherwise valid.

9. Switch of all units on Maturity of the Scheme: This facility can be availed by the investors to switch all their units from this scheme, upon maturity, into any other scheme(s) of Sundaram Mutual. Investors are requested to note that this facility shall be available only for those who hold the units of the scheme in physical mode.

The investor can also opt for this facility at any time before the maturity of the scheme using a designated form. The switch-out transaction will be processed based on the applicable Net Asset Value on the date of maturity. Switch-out carried out by the Mutual Fund in accordance with this option chosen by the investor shall be deemed to be redemption from the scheme at the time of maturity and subsequently be deemed as subscription to the other scheme as may be chosen by the investor.

As a default option, the units shall be redeemed at maturity if the investor does not specifically choose to switch-out to any other scheme by using this facility

The AMC/Trustee reserves the right to change the features, terms and conditions of this facility in addition to withdrawing this facility at a later date

10. Nomination: Please indicate a nominee who should be entitled to the benefits of your investment in the event of an untoward development. Proportion (%) in which units will be shared by each nominee should aggregate to 100%. The section on Nomination is not applicable in the case of Non- individuals. The following points on nomination procedures may please be noted. For detailed procedure, please refer the Statement of Additional Information (SAI):

Where a folio has joint holders, all joint holders should sign the request for nomination/ cancellation of nomination, even if the mode (i) of holding is not "joint". Nomination form cannot be signed by Power of attorney (PoA) holders.

Nomination shall be compulsory for new folios/accounts especially where the mode of holding is single. Investors who do not wish to (ii) nominate must sign confirming their non-intention to nominate. Évery new nomination for a folio/account will overwrite the existing nomination.

(iii) Nomination shall not be allowed in an account/folio held on behalf of a minor.

Where the nominee is a minor, various documents like KYC, PAN, Bank details, Indemnity, etc. should be of the guardian of the nominee

11. Declaration, Certification & Signature: Signature can be in English or in any Indian language. Thumb impressions must be attested by a Magistrate / Notary Public under his/her official seal. In case of HUF, the signature of the Karta and Karta of HUF seal/stamp are a must. If the application is in joint name, all applicants must sign the form. Please ensure that the signature is consistent not just in this form but in all transaction request documents you may submit subsequent to your investment. Variation in signature can lead to delays or rejection of a transaction request such as redemption, switch, change in address and change in bank mandate, to name a few. For general Investor Related Services, please refer Statement of Additional Information (SAI)

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Sundaram Capital Protection Oriented Fund 5 Years (Series 7) (Tenure 5 Years) Application Form

r to product label in the cover page and Your Guide to Fill the Application form (pages 9-10) before proceeding

Channel Partner / Agent Information Distributor's ARIN & Name Sub-broker's ARIN (code) Sub-broker Code (internal) EUN* Explore Using Evention Sub-broker's ARIN (code) Sub-broker's ARIN (code) Sub-broker's ARIN (code) * Declaration for "Execution only" transaction (only where EUN box is left blank) ////////////////////////////////////						
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Permanent Account Number (PAN) Date of Birth D M Y Y C KYC Proof attached (Mandatory)						

3. KYC details (Mandatory) (refer in	nstruction 3) Ind	lividual 🗌 No	on-Individual (Please attac	ch mandatory Ultimate	Beneficial Ownership (UBO) declaration form)
Status of First/Sole Applicant [Please (✓)]	Occupation Details [Ple	ase (✓)]	Gross Annual Income (in ₹)	[Please (✓)]	PEP Status
Listed Company	(To be filled only if the ap	. ,	First App	licant	First Applicant
Unlisted Company		Applicant	Below 1 Lac	□ 1-5 Lacs	For Individuals [Please ()] Politically Exposed Person (PEP) Status (Also applicable for authorised
Individual	Government Service		□ 5-10 Lacs	□ 10-25 Lacs	signatories/Promoters/Karta/Trustee/Whole time Directors)
Minor through guardian	Professional	Agriculturist	□ > 25 Lacs - 1 Crore	$\square > 1$ Crore (or)	□ I am PEP
	Retired	□ Housewife	Net-worth (Mandatory for no	n-individuals) ₹	□ I am related to PEP □ Not Applicable
□ Partnership	□ Student	□ Forex Dealer		as on	For Non-Individuals providing any of the below
□ Society/Club	Others	(please specify)	IDIDIMIMIYIYIYIYI	(Not older than one	mentioned services [Please (/)]
Company		□ Public Sector Service	year)		Gaming/Gambling/Lottery/Casino Services
Body Corporate	Government Service	Business	Second Ap	oplicant	Money Lending/Pawning
🗆 Trust	Professional	□ Agriculturist	Below 1 Lac	□ 1-5 Lacs	□ None of the above
Mutual Fund			□ 5-10 Lacs	□ 10-25 Lacs	Second Applicant
🗆 FPI	Student Others	Forex Dealer	□ > 25 Lacs - 1 Crore		(To be filled only if the applicant is an individual) □ I am PEP
□ NRI-Repatriable		Applicant	□ > 1 Crore (or) Net-worth		□ I am related to PEP
□ NRI-Non-Repatriable	Private Sector Service	Public Sector Service	Third App	olicant	□ Not Applicable
I FII/Sub account of FII	Government Service		Below 1 Lac	□ 1-5 Lacs	Third Applicant
Fund of Funds in India			□ 5-10 Lacs	□ 10-25 Lacs	(To be filled only if the applicant is an individual)
□ QFI	Retired Student	Housewife Forex Dealer	□ > 25 Lacs - 1 Crore		□ I am PEP □ I am related to PEP
Others (please specify)	Others		□ > 1 Crore (or) Net-worth		□ Not Applicable

4. FATCA-CRS DETAILS For Individuals & HUF (Mandatory)

Non Individual investors should mandatorily fill separate FATCA-CRS Annexure

Category	First Applicant/Guardian	Seco		
The below information is required for all applicant(s) / guardian / PoA holder				

Category	First Applicant/Guardian	Second Applicant	Third Applicant
1. Are you a Tax Resident of Country other than India?	🗌 Yes 🔲 No	🗌 Yes 🔲 No	🗌 Yes 🔲 No
2. Is your Country of Birth/ citizenship other than India?	🗌 Yes 🔲 No	🗌 Yes 🔲 No	🗌 Yes 🔲 No
3. Is your Residence address / Mailing address / Telephone No. other than in India?	🗌 Yes 🗌 No	🗌 Yes 🗌 No	🗌 Yes 🗌 No
4. Is the PoA holder / person to whom signatory authority is given, covered under any of the categories 1, 2 or 3 above?	🗌 Yes 🗌 No	🗌 Yes 🗌 No	🗌 Yes 🗌 No
If you have answered YES to	any of above, please provide the below	w details	
Country of Tax Residence			
Nationality			
Tax Identification Number ^{\$} or Reason for not providing TIN			
Identification Type (TIN or Other, please specify)			
Residence address for tax purposes (include City, State, Country & Pin code)			
Address Type	 □ Residential or Business □ Residential □ Business □ Registered Office 	 □ Residential or Business □ Residential □ Business □ Registered Office 	 □ Residential or Business □ Residential □ Business □ Registered Office
City of birth			
Country of birth			

\$ In case any of applicant being resident/ tax payer in more than one country, provide tax identification number for each such country separately.

FATCA-CRS Instructions

Details under FATCA-CRS/Foreign Tax Laws: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income Tax Rules 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities/appointed agencies. If you have any questions about your tax residency, please contact your tax advisor. Should there be any *change in any information provided by you, please ensure you advise us* promptly, *i.e., within 30 days*. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s).

If you are a US citizen or resident or greencard holder, please include United States in the Country of Tax Residence field along with your US Tax Identification Number. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010.

\$ It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation with supporting doucments and attach this to the form.

5. Bank Account Details of First/Sole Applicant (as per SEBI Regulations it is mandatory) (refer instruction 5)

Account No	
Name of the Bank	Branch
Branch Address	Bank City (redemption will be payable at this location)
Cheque MICR No Account Type [Please (</td <td>□ Savings □ Current □ NRE* □ NRO* □ FCNR* □ Others</td>	□ Savings □ Current □ NRE* □ NRO* □ FCNR* □ Others
RTGS / NEFT / IFSC Code	e payment is by DD or source of fund is not clear on the Cheque leaf, please provide a copy of FIRC.

6. Mode of payment of redemption/dividend proceeds via Direct credit/NEFT/Other Mode (refer instruction 6).

Direct Credit is now available with: Axis Bank, BNP Paribas Bank, Citibank, Deutsche Bank, HDFC Bank, HSBC Bank, ICICI Bank, IDBI Bank, IndusInd Bank, ING Vysya, Kotak Mahindra Bank, Royal Bank of Scotland, SBI, Standard Chartered Bank, YES Bank. If your bank falls in this list your Redemption/ Dividend proceeds will be directly credited to your account. Alternatively, you will receive the payment through NEFT mode based on the bank details available. Otherwise, payment will be made by way of a cheque/demand draft/warrant.

7. Payment Details: Please issue a separate cheque/Demand Draft favouring 'SMF CPOF 5Y 7 S ACCOUNT' (refer instruction 7)

Scheme Name	Plan	Option*	Amount Invested		Payment Details			
Scheme Name	i iaii	Option	(less DD charges)	Paid	Cheque/DD Number	Bank/Branch		
Sundaram Capital Protection Oriented Fund 5 Years (Series 7)	□ Regular □ Direct	□ Growth □ Dividend - Payout						

*If you do not indicate an option, for default option refer "Plans and Options" section in page 4 of the KIM.

In case of third party payment (refer instruction 7): Please download (from www.sundarammutual.com) and attach the third party declaration form

8. DEMAT Account Details (refer instruction 8)

□ National Securities Depository Ltd.	Depository Partic	pipant Name		 		 	 	
					_			
□ Central Depository Services (India) Ltd.	DP ID Number		Beneficiary Account Number					

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the application form.

Turn overleaf for Declaration & *∞*Signature (Mandatory) → → →

Acknowledgement Sundaram Capital Protection Orient Sundaram Asset Management Company Limited, II Floor, 46 Whites Road, Chennai - 600 014. Toll			Serial way under to rears (series /)
Received From Mr./Mrs./Ms. Communication in connection with the application should be addressed to the Re Services Limited, Registrar and Transfer Agents, Unit: Sundaram Mutual Fund, I II III Floor, Thiru Vi Ka Industrial Estate, Guindy, Chennai 600 032. Toll Free 1800 1	egistrar Central 03 723	Sundaram BNP Paribas Fund Processing Center, RR Towers, 7 (India) +91 44 49057300 (NRI).	ISC's Signature & Stamp Please Note: All Purchases are subject to realisation of cheques / demand drafts.
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9. Switch Out On Maturity Facility	(refer instruction	on 9) (please refer SID & KIM of respective target scheme	for product labelling)	Signature of investor(s)			
maturing scheme and subscription to the other scheme c	hosen by the invest	has the option whereby all units under the scheme shall be switched on matu the Mutual Fund in accordance with this option chosen by the investor shall be for. e Scheme to the Target Scheme mentioned below.					
			F	1st / Sole Applicant / Guardian			
Scheme: Sundaram Capital Protection Oriented Fund 5 Years (Series 7) Plan: Regular Direct Option: Growth Dividend Payout	Pla	rget Scheme: Sundaram an: □ Regular □ Direct tion: □ Dividend Payout □ Dividend Re-Investment □ Divid □ Bonus □ Any / each correction carried out in selecting the target scheme ha	end Sweep Growth s to be counter-signed by the	2nd Applicant			
		investor(s) to make it a valid selection. (If an investor fails to sp allotted units under the default option/sub-option of the Target	scheme)				
As a default option the units shall be fully redeemed on the date of matu IWe have read and understood the terms and conditions applicable to t Statement of Additional Information [SAI] and Key Information Memoran received nor been induced by any rebate or gifts, directly or indirectly, in	rity and redemption proc he swtch on maturity faci dum (KIM) of the Target S n opting the swtch on ma	and the design of the structure defeating by the defeating by the structure of the structur	business days from the date of maturity). he Scheme Information Document (SID)/ ble to the Target Scheme. I/We have not	3rd Applicant			
10. Nominee (available only for indi	ividuals) (ref	er instruction 10)	owing person(s)				
1st Nominee Name: Address:		Address:	Address:				
Proportion (%)* in which units will be s nominee% If nominee is a minor: Date of birth:Relationship:	shared by first	Proportion (%)* in which units will be shared by second nominee% If nominee is a minor: Date of birth:	Proportion (%)* in which units will be shared by th nominee% If nominee is a minor: Date of birth:				
Name of Guardian: Address of Guardian:		Name of Guardian: Address of Guardian:	Name of Guardian: Address of Guardian:				
* Proportion (%) in which units will be shared by each nor I do not wish to choose a nomine		of investor(s) 1st / Sole Applicant / Guardian 2	nd Applicant	3rd Applicant			
11. Declaration, Certification & S	Signature (ı	refer instruction 11)					
Declaration: I/We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document/addenda issued to the SID and KIM till date • hereby apply for units under the scheme(s) as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the scheme(s) • agree to the terms and conditions for Auto Debit • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs/investments which together with the current application will result in the total investments exceeding ₹ 50,000 in a financial year or a rolling period of twelve months (applicable for PAN exempt category of investors). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.							
Applicable to NRIs only: Please (/) U I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account on a Depatriation Basis Non-Repatriation Basis. I/We further declare that I/We am/are not a citizen of Canada.							
Not a citizen of Canada. We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. I/ We further agree not to hold Sundaram Asset Management, its sponsor, heir employees, authorised agents, service providers, representatives of the distributors liable for any consequences/losses/costs/damages in case of any of the above particulars being false, incorrect or ncomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or nanner, all/any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial uthorities/agencies, the tax/revenue authorities, other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.							
		of this Form (read along with the FATCA-CRS Instructions), stated in I/We have read and understood the FATCA-CRS Terms and Conditi					
We agree to indemnify Sundaram Asset Management Company Limited in respect of any false, misleading, inaccurate and incomplete information regarding my/our "U.S. person" status for U.S. federal							

income tax purposes. or in respect of any other information as may be required under applicable tax laws.

Signature of First / Sole Applicant / Guardian	Signature of Second Applicant	Signature of Third Applicant
Name of First / Sole Applicant / Guardian	Name of Second Applicant	Name of Third Applicant
Name of First / Oals Applicant / Outputies		Name of Third Arctic and

Date://////				Place:
			Particulars	
Scheme Name / Plan / Option / Sub-option	Cheque/DD/Payment Instrument Number/Date	Drawn on (Name of Bank & Branch)	Amount in figures (₹) & Amount in words	Switch on Maturity To
				Sundaram
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FATCA-CRS Annexure for Entities including UBO

Details of Ultimate Beneficial Owner (UBO) including additional FATCA & CRS information

(please include other references for completeness sake)

Name of the entity:				
Type of address given at k	KRA Residential or Business	Residential	Business	Registered Office
"Address of tax reidence v	vould be taken as available in KRS c	latabase. In case of a	ny change, please	approach KRA and notify the changes"
Customer ID / Foio Number	er:			
PAN				Date of incorporation///
City of incorporation:				
Country of incorporation:				
Entity Constitution Type:	A Partnership Firm B HUF C Pr	rivate Limited Compa	ny D Public Limit	ed Company E Society F AOP/BOI G Trust
	H Liquidator I Limited Liability	Partnership J Artifici	al Juridical Person	K Others specify)
Please tick the applicable	tax resident declaration:			

Is "Entity" a tax resident of any country other than India 🗌 Yes 🗌 No

(if yes, please provide country/ies in which the entity is a resident for tax purposes and the associated Tax ID Number below)

Country	Tax Identification Number%	Identification Type (TIN or Other%, please specify)

[%]In case Tax Identification Number is not available, kindly provide its functional equivalent^{\$}

In case TIN or its functional equivalent is not available, please provide Company Identification Number or Global Entity Identification Number or GIIN etc.

In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here:

FATCA & CRS declaration (Please consult your professional tax advisor for further guidance on FATCA & CRS classification)

		Part A (to be	e filled by F	inancial Institu	tions or	Direct Reporting	NFEs)							
1	We are a Financial institution ¹ or Direct reporting NFE ² (please tick as appropriate)	Note: If you sponsored by	do not hav another ent I above and	e a GIIN but j ity, please provi indicate your sp r:	/ou are de your	GIIN not available (please tick as applicable):								
	Part B (please fill any one as appropriate 'to be filled by NFEs other than Direct Reporting NFEs')													
1	Is the Entity a <i>publicly traded co</i> is, a company whose shares are traded on an established securi	e regularly	Yes (If yes, please specify any one stock exchange on which the stock is regularly traded) Name of the stock exchange											
2	Is the Entity a <i>related entity of a traded company⁵</i> (a company v are regularly traded on an estab securities market)	vhose shares	 ☐ Yes (If yes, please specify name of the listed company and one stock exchange on which the stock is regularly trad Name of the listed company Nature of relation: ☐ Subsidiary of the listed company or ☐ Controlled by a listed company Name of the stock exchange 											
3	Is the Entity an active NFE ⁶		Please spe		egory of	Active NFE:		decleration in the next section)						
4	Is the Entity a passive NFE ⁷		Yes Nature of b	ousiness				decleration in the next section)						
¹ Refe	er 1 of Part D ² Refer 3(vii) of Pa	art D ³ Refer 1/	A. of Part D	⁴ Refer 2a of Pa	rt D	⁵ Refer 2b of Part D	⁶ Refer 2c of Part D	⁷ Refer 3(ii) of Part D						
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FATCA-CRS Annexure for Entities including UBO

UBO Declaration												
ategory (Please tick applicable category): Unlisted Company Partnership Firm Limited Liability Partnership Company												
Unincorporated association / body of individuals	Public C	haritable Tru	ust 🗌 Re	ligious Trust	🗌 Priv	vate Trust	:					
Others (please specify)												
Please list below the details of controlling person(s), confirming ALL Countries of Tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s).												
Owner-documented FFI's ⁸ should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Forms W8 BEN E												
Name - Beneficial Owner / Controlling person Country - Tax Residency* Tax ID No Or functional equivalent for each country*	Beneficial I	e - TIN or Othei nterest - in p ⁹ - of controllin	ercentage	Address - Include State Country , PIN / ZIP Code & Contact Details Address Type -								
Name Tax ID Type Address Address												
Country	Beneficial Intere Type Code											
Tax ID No. [%]	Address Type						Country:					
	Residence		0		30	al e						
2. Name				Address								
Country	<i></i>											
Tax ID No. [%]	Address Type	Business 🗆 Re	gistered Office	ZIP	St	ate:	Country:					
3. Name	Tax ID Type			Address								
Country	Beneficial Intere											
	Address Type						Countra a					
Tax ID No. [%] Audress Type If Passive NFE, please provide below additional details. Registered Office ZIP If Passive NFE, please provide below additional details.												
 # If Passive NFE, please provide below additional details PAN / Any other Identification number PAN , Aadhar, Election ID, Govt ID, Driving Licence, NREGA Job Card, Of City, of Ritch 		Nationality	Type - Service,	Business Others		DOB - Date of Birth Gender - Male, Female, Other						
City of Birth - Country of Birth Father's Name - Mandatory if PAN is not available												
1. PAN City of Birth		Occupation Nationality	туре		C	DOB						
Country of Birth		Father's Nar	ne			Gender	Male 🗌 Female 🗌 Others 🦳					
2. PAN		Occupation	Туре		F							
City of Birth		Nationality			L	DOB Gender						
Country of Birth	I	Father's Nar	ne			liuei	Others					
3. PAN	(Occupation	Туре		D	DOB						
City of Birth	I	Nationality				Gender	Male 🗌 Female 🗌					
Country of Birth		Father's Nar					Others					
[#] Additional details to be filled by controlling persons with Tax re * To include US, where controlling persons is a US citizen or gree % Incase Tax identification is not available, kindly provide function	en card holder		cy / citizenship	/ Green Card in a	any country	y other tha	n India:					
⁸ Refer 3(vi) of Part D ⁹ Refer 3(iv) (A) of Part D												
	FATCA - (CRS Terms a	and Condition	IS								
The Central Board of Direct Taxes has notified Rules 114F to 114H as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal tax and beneficial owner information and certain certifications and documentations from all our account holders. In relevant cases, information will have to be reported to tax authorities/appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly i.e. within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with Sundaram Asset Management Company/Sundaram Mutual Fund or its group entities. Therefore, it is important that you respond to our request even if you believe you have already supplied any previously requested information. If you have any questions about your tax residency, please contact your tax advisor. If any controlling person of the entity is a US citizen or resident or green card holder, please include United States in the country of Tax Residence field along with the US Tax Identification Number. [%] It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.												
Certification: I/We have understood the information requirement provided by me/us on this Form is true, correct, and complete. hereby accept the same.					,							
Name												
Designation												
					Place							
Signature	Signature	e		Signature	Date							
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Part D: FATCA-CRS Instructions & Definitions

- 1. Financial Institution (FI) The term FI means any financial institution that is a Depository Institution, Custodial Institution, Investment Entity or Specified Insurance company, as defined.
 - **Depository institution** is an entity that accepts deposits in the ordinary course of banking or similar business.
 - Custodial institution is an entity that holds as a substantial portion of its business, holds financial assets for the account of others and where it's income attributale to holding financial assets and related financial services equals or exceeds 20 percent of the entity's gross income during the shorter of-
 - (i) The three financial years preceding the year in which determination is made; or
 - (ii) The period during which the entity has been in existence, whichever is less.
 - Investment entity is any entity:
 - That primarily conducts a business or operates for or on behalf of a customer for any of the following activities or operations for or on behalf of a customer
 - (i) Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instrumens; transferable securities; or commodity futures trading; or
 - (ii) Individual and collective portfolio management; or
 - (iii) Investing, administering or managing funds, money or financial asset or money on behalf of other persons ;

or

• The gross income of which is primarily attributable to investing, reinvesting, or trading in financial assets, if the entity is managed by another entity that is a depository institution, a custodial institution, a specified insurance company, or an investment entity described above.

An entity is treated as primarily conducting as a business one or more of the 3 activities described above, or an entity's gross income is primarily attributable to investing, reinvesting, or trading in financial assets of the entity's gross income attributable to the relevant activities equals or exceeds 50 percent of the entity's gross income during the shorter of:

- (i) the three-year period ending on 31 March of the year preceding the year in which the determination is made; or
- (ii) the period during which the entity has been in existence.

The term "Investment Entity" does not include an entity that is an active non-financial entity as per codes 03, 04, 05 and 06 - refer point 2c.)

• **Specified Insurance Company:** Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a Cash Value Insurance Contract or an Annuity Contract.

Fls not required to apply for GIIN:

A. Reasons why FI not required to apply GIIN

Code Sub-category

- 01 Governmental Entity, International Organization or Central Bank
- 02 Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central Bank
- 03 Non-public fund of the armed forces, an employees' state insurance fund, a gratuity fund or a provident fund 04 Entity is an Indian FI solely because it is an investment entity 05 Qualified credit card issuer 06 Investment Advisors, Investment Managers & Executing Brokers 07 Exempt collective investment vehicle 08 Trustee of an Indian Trust 09 FI with a local client base 10 Non-registering local banks 11 FFI with only Low-Value Accounts
- 12 Sponsored investment entity and controlled foreign corporation
- 13 Sponsored, Closely Held Investment Vehicle
- 14 Owner Documented FFI

2. Non-financial entity (NFE) - Foreign entity that is not a financial institution

Types of NFEs that are regarded as excluded NFE are:

a. Publicly traded company (listed company)

A company is publicly traded if its stock are regularly traded on one or more established securities markets

(Established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange)

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b. Related entity of a publicly traded company

The NFE is a related entity of an entity of which is regularly traded on an established securities market;

c. Acti	ve NFE: (is any one of the following):
Code	Sub-category
01	Less than 50 percent of the NFE's gross income for the preceding financial year is passive income and less than 50 percent of the assets held by the NFE during the preceding financial year are assets that produce or are held for the production of passive income;
02	The NFE is a Governmental Entity, an International Organization, a Central Bank , or an entity wholly owned by one or more of the foregoing;
03	Substantially all of the activities of the NFE consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a Financial Institution, except that an entity shall not qualify for this status if the entity functions as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes;
04	The NFE is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a Financial Institution, provided that the NFE shall not qualify for this exception after the date that is 24 months after the date of the initial organization of the NFE;
05	The NFE was not a Financial Institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a Financial Institution;
06	The NFE primarily engages in financing and hedging transactions with, or for, Related Entities that are not Financial Institutions, and does not provide financing or hedging services to any Entity that is not a Related Entity, provided that the group of any such Related Entities is primarily engaged in a business other than that of a Financial Institution;
07	Any NFE that fulfills all of the following requirements:
	 It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labor organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;
	 It is exempt from income tax in India:

- It is exempt from income tax in India;
- It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
- The applicable laws of the NFE's country or territory of residence or the NFE's formation documents do not permit any
 income or assets of the NFE to be distributed to, or applied for the benefit of, a private person or non-charitable Entity other
 than pursuant to the conduct of the NFE's charitable activities, or as payment of reasonable compensation for services
 rendered, or as payment representing the fair market value of property which the NFE has purchased; and

The applicable laws of the NFE's country or territory of residence or the NFE's formation documents require that, upon the NFE's liquidation or dissolution, all of its assets be distributed to a governmental entity or other non-profit organization, or escheat to the government of the NFE's country or territory of residence or any political subdivision thereof.

Explanation - For the purpose of this sub-clause, the following shall be treated as fulfilling the criteria provided in the said sub-clause, namely:-

- (I) an Investor Protection Fund referred to in clause (23EA);
- (II) a Credit Guarantee Fund Trust for Small Industries referred to in clause 23EB; and

(III) an Investor Protection Fund referred to in clause (23EC), of section 10 of the Act;

3. Other definitions

(i) Related entity

An entity is a 'related entity' of another entity if either entity controls the other entity, or the two entities are under common control For this purpose, control includes direct or indirect ownership of more than 50% of the votes and value in an entity.

(ii) Passive NFE

The term passive NFE means

 (i) any non-financial entity which is not an active non-financial entity including a publicly traded corporation or related entity of a publicly traded company;

or

- (ii) an investment entity defined in clause (b) of these instructions
- (iii) a withholding foreign partnership or withholding foreign trust;

(Note: Foreign persons having controlling interest in a passive NFE are liable to be reported for tax information compliance purposes)

(iii) Passive income

- The term passive income includes income by way of :
- (1) Dividends,
- (2) Interest
- (3) Income equivalent to interest,
- (4) Rents and royalties, other than rents and royalties derived in the active conduct of a trade or business conducted, at least in part, by employees of the NFE
- (5) Annuities
- (6) The excess of gains over losses from the sale or exchange of financial assets that gives rise to passive income
- (7) The excess of gains over losses from transactions (including futures, forwards, options and similar transactions) in any financial assets,
- (8) The excess of foreign currency gains over foreign currency losses
- (9) Net income from swaps
- (10) Amounts received under cash value insurance contracts

But passive income will not include, in case of a non-financial entity that regularly acts as a dealer in financial assets, any income from any transaction entered into in the ordinary course of such dealer's business as such a dealer.

(iv) Controlling persons

Controlling persons are natural persons who exercise control over an entity and includes a beneficial owner under sub-rule (3) of rule 9 of the Prevention of Money-Laundering (Maintenance of Records) Rules, 2005. In the case of a trust, the controlling person means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust. In the case of a legal arrangement other than a trust, controlling person means persons in equivalent or similar positions.

Pursuant to guidelines on identification of Beneficial Ownership issued vide SEBI circular no. CIR/MIRSD/2/2013 dated January 24, 2013, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of / entitlements to:

- i. More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
- ii. More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or
- iii. More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the investor/applicant is a trust, the financial institution shall identify the beneficial owners of the investor/applicant and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Where no natural person is identified the identity of the relevant natural person who holds the position of senior managing official.

(A) Co	ontrolling Person Type:		
Code	Sub-category		
C01	CP of legal person-ownership		
C02	CP of legal person-other means		
C03	CP of legal person-senior managing official		
C04	CP of legal arrangement-trust-settlor		
C05	CP of legal arrangementtrust-trustee		
C06	CP of legal arrangementtrust-protector		
C07	CP of legal arrangementtrust-beneficiary		
C08	CP of legal arrangementtrust-other		
C09	CP of legal arrangement—Other-settlor equivalent		
C10	CP of legal arrangement—Other-trustee equivalent		
C11	CP of legal arrangement—Other-protector equivalent		
C12	CP of legal arrangement—Other-beneficiary equivalent		
C13	CP of legal arrangement—Other-other equivalent		
C14	Unknown		
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FATCA-CRS Annexure for Entities including UBO

(v) Specified U.S. person - A U.S person other than the following:

- (i) a corporation the stock of which is regularly traded on one or more established securities markets;
- (ii) any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- (iii) the United States or any wholly owned agency or instrumentality thereof;
- (iv) any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- (v) any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- (vi) any bank as defined in section 581 of the U.S. Internal Revenue Code;
- (vii) any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- (viii) any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- (ix) any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- (x) any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- (xi) a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- (xii) a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- (xiii) any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(vi) Owner documented FFI

An FFI meets the following requirements:

- (A) The FFI is an FFI solely because it is an investment entity;
- (B) The FFI is not owned by or related to any FFI that is a depository institution, custodial institution, or specified insurance company;
- (C) The FFI does not maintain a financial account for any nonparticipating FFI;
- (D) The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and
- (E) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 FFI, to the relevant foreign government or agency thereof) all of the information described in or (as appropriate) with respect to any specified U.S. persons and (2). Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFE.

(vii) Direct reporting NFE

A direct reporting NFE means a NFE that elects to report information about its direct or indirect substantial U.S. owners to the IRS

(viii) Exemption code for U.S. persons

Code	Sub-Category										
А	An organization exempt from tax under section 501 (a) or any individual retirement plan as defined in section 7701(a)(37)										
В	The United States or any of its agencies or instrumentalities										
С	A state, the District of Columbia, a possession of the United States, or any of their political subdivisions or instrumentalities										
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Reg. section 1.1472-1(c)(1)(i)										
Е	A corporation that is a member of the same expanded affiliated group as a corporation described in Reg. section 1.1472-1(c)(1)(i)										
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards and options) that is registered as such under the laws of the United States or any state										
G	A real estate investment trust										
Н	Aregulated investment company a sdefined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940										
I	A common trust fund as defined in section 584(a)										
J	A bank as defined in section 581										
K	A broker										
L	A trust exempt from tax under section 664 or described in section 4947(a)(1)										
М	A tax exempt trust under a section 403(b) plan or section 457(g) plan										
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Sundaram Capital Protection Oriented Fund 5 Years (Series 7) **Application Form**

Investors must read the Scheme Information Document / Key Information A Closed-End Capital Protection Oriented Scheme

Memorandum and I	Instr						•		·																				16	Clos	ses	on	: 29/	02/2	2016	j	
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Broker/Agent Information For office use only																_																					
Name and AMFI Regn No.				Nai	me 8	c Co	de		SC	SB				SCS	B	IFS	CC	ode								nber	r Co	ode	•	T		SL	No.				_
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Name of first applicant																														T							
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Investment Details	Investment Details																																				
	Sundaram Capital Protection Oriented Fund 5 Years (Series 7) Plans: Regular Direct Options: Growth Dividend Payout O Half Yearly O Yearly																																				
Details Of Bank Account For Blocking Of Funds [Bank Account should be in the name of First Applicant only]																																					
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Bank Name				-			+						+	+	-		<u> </u>	+	+			-	+	-		-	+	+			+	-	_		-	+	_
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Total Amount to be blocke	d [Rs	s. In t	figu	res	5]										[R	s. In	WO	ords]]																		
UNDERTAKING BY ASBA INVESTOR 1) I/We hereby undertake that I/We am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements), Regulations 2009 ('SEBI Regulations') as amended from time to time. 2) In accordance with ASBA process provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB / ASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/Sundaram Mutual Fund on receipt of instructions from the Registrar and Transfer Agent after the allotment of the Units entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar and Transfer Agent to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account / Bank account of Sundaram Mutual Fund. 3) In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall rejected and the Sundaram Mutual Fund or Sundaram Asset Management Company Limited or Sundaram Trustee Company Limited or SCSBs shall not be liable for losses, if any. All future communication in connection with NFO should be addressed to the SCSB/RTA/Investment Manager quoting the full name of the Sole/First Applicant, NFO Application Number, ASBA Application Number, Depository Account details [if it has been provided], Amount applied for and the account number from where NFO amount was blocked.																																					
Signature																																					
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Sundaram Asset Management,				DARAM MUTUAL								
II Floor, 46 Whites Road, Chennai - 600 014. Toll Free 180	00 103 7237 (India) +91 44 4905	57300 (NRI)	ST SUN	DARAM MUTUAL								
Application Number:		ASBA Application Number:										
Sundaram Capital Protection Oriented Fund 5 Years (Series 7)	Plans: 🗌 Regular 🗌	Direct Options : C	Growth 🗌 Dividend Pa	ayout ○ Half Yearly ○ Yearly								
Date D D M M Y Y Y Y	ASBA Application Number											
Received from Mr./Ms./Mrs./M/s.	SCSB Account details	Total Amount to	be Blocked	SCSB Stamp, Signature								
	A/C No.	Rs. In figures		Date & time of receipt								
Address	Bank Name	Rs. In words										
	Branch Name											

Sundaram Capital Protection Oriented Fund 5 Years (Series 7) Applications Supported by Blocked Amount

(b)

 Applications Supported by Blocked Amount or ASBA facility: An application containing an authorization given by the Investor to block the application money in his/her specified bank account towards the subscription of Units offered during the NFO(New Fund Offer) of a Scheme. If an investor is applying through ASBA facility, the application money towards the subscription of Units shall be debited from his specified bank account only if his/her application is selected for allotment of Units.

It is an additional payment option that the investor can use in addition to the existing modes (Cheque/Demand Draft etc) for buying Units during NFO. It is available only to individuals .It is not available for subscribing to Units on an ongoing basis after the NFO. For availing this option the bank, where the investor has an account, should be a Self Certified Syndicate Bank (SCSB)

- "Controlling Branches (CBs) of the Self Certified Syndicate Banks (SCSB): Controlling Branches (CBs) are the branches of the SCSBs acting as coordinating branches for the Registrar and Transfer Agent of Sundaram Mutual Fund schemes, the AMC and the Stock Exchange(s) for the ASBA facility offered during the NFO period.
- 3. "Designated Branches (DBs) of the SCSBs: Designated Branches (DBs) are the branches of the SCSBs which shall collect the ASBA Application Forms duly filled by the Investors towards the subscription to the Units of the Scheme offered during the NFO. The list of these Designated Branches is available at http://www.sebi.gov.in/pmd/scsb.pdf and www.sundarammutual.com
- 4. "Self Certified Syndicate Bank" or SCSB: Self Certified Syndicate Bank/ SCSB means a bank registered with SEBI to offer the facility of applying through the ASBA process. ASBAs can be accepted only by SCSBs, whose names appear in the list of SCSBs as displayed by SEBI on its website at www.sebi.gov.in.
- 5. The ASBA facility during NFO: In respect of New Fund Offer (NFO) of Schemes/Plan(s) launched on or after October 1, 2010, an investor (being an Individual)can subscribe to the NFO through Applications Supported by Blocked Amount (ASBA) facility by applying for the Units offered in the ASBA Application Form and following the procedure as prescribed in the form. For details please refer to the Section" Applications Supported by Blocked Amount (ASBA) facility, below and also the Application Form/KIM of the Scheme

Applications Supported by Blocked Amount (ASBA) facility: Pursuant to SEBI Circular dated SEBI/IMD/CIR No 18 /198647 /2010 March 15, 2010, an investor can subscribe to the New Fund Offer (NFO) launched on or after October 1,2010 through ASBA facility by applying for the Units in the ASBA Application Form and following the procedure as prescribed in the form.

ASBA is an application containing an authorization given to the Bank by the Investor to block the application money in his/her specified bank account towards the subscription of Units offered during the NFO of the Scheme of Sundaram Mutual Fund. Thus, for an investor who applies through ASBA facility, the application money towards the subscription of Units shall be debited from his specified bank account only if his/her application is selected for allotment of Units. The blocked amount cannot be withdrawn and will earn interest as per account terms applicable. It may be noted that since ASBA Facility is pursuant to an arrangement between the Investor and his /her Bank, Sundaram Mutual Fund. or t its Trustee/AMC/ its Employees/Directors will not be responsible for any delay, error or omission/commission, or deficiency if any, on the part of the Investor's Bank.

Benefits of Applying through ASBA facility

- (i) Writing cheques / demand drafts and sending them for collection etc are not required, as investor needs to submit ASBA application Form accompanying an authorization to block the account to the extent of application money towards subscription of Units. The balance money, if any, in the account can be used for other purposes by the investors.
- (ii) Release/Unblocking of blocked funds after allotments done instantaneously.
- (iii) Unlike other modes of payment, ASBA facility prevents the loss of interest income on the application money towards subscription of Units as it remains in the bank account of the investor till the allotment is made.
- (iv) Refunds of money to the investors do not arise as the application money towards subscription of Units gets transferred only to the extent of amount payable for the actual allotment
- (v) The investor deals with the known intermediary i.e.his/her own bank.

(vi) The application form is simpler as the application form for ASBA will be different from the NFO application form.

ASBA Procedure:

(a) An Investor intending to subscribe to the Units of the NFO through ASBA, shall submit a

duly completed ASBA Application Form to a Self Certified Syndicate Bank (SCSB),with whom he/she has a Bank Account.

- The ASBA Application Form towards the subscription of Units can be submitted through one of the following modes.
- Submit the form physically with the Designated Branches (DBs) of the SCSB ("Physical ASBA"); or
- b. Submit the form electronically through the internet banking facility offered by the SCSB ("Electronic ASBA").
- c) An acknowledgement will be given by the SCSB in the form of the counter foil or specifying the application number for reference. (Note: Such acknowledgement does not guarantee, in any manner that the investors will be allotted the Units applied for.: Further, if the bank account specified in the ASBA Application Form does not have sufficient credit balance to meet the application money towards the subscription of Units, the Bank shall reject the ASBA Application form.)
- (d) On acceptance of Physical or Electronic ASBA, the SCSB shall block funds available in the bank account specified to the extent of the application money specified in the ASBA Application Form.
- (e) The application money towards the Subscription of Units shall be blocked in the account until (i) Allotment of Units is made or (ii) Rejection of the application.
- (f) SCSBs shall unblock the bank accounts (i) for Transfer of requisite money to the NFO bank account against each valid application on allotment or (ii) in case the application is rejected.
- (g) For the scheme HDFC Bank Limited has consented to process the ASBA application form.

Note: No request for withdrawal of ASBA application form made during the NFO Period will be allowed.

Grounds for Technical Rejections of ASBA application forms ASBA

Grounds on which Application Forms can be rejected, at the discretion of Sundaram Mutual Fund/ Registrar and Transfer Agent of Sundaram Mutual Fund or SCSBs include, but are not limited to-

- Applications by persons not competent to contract under the Indian Contract Act, 1872, including but not limited to minors, insane/insolvent persons or where the Bank Account concerned is the subject matter of any attachment / restraint order by a Court or a competent authority under any law etc.
- Mode of ASBA i.e. either Physical ASBA or Electronic ASBA not selected or ticked properly.
 The ASBA Application Form is without the stamp of the SCSB.
- Application by any person/entity outside India if which is not in compliance with applicable foreign and Indian laws/Regulations.
- 5. Bank account details not given or incorrect /incomplete details given.
- Relevant Legal Documents (such as Duly certified Power of Attorney, if applicable), not submitted along with the ASBA application form.
- No corresponding records available with the Depositories matching the parameters namely

 (a) Names of the ASBA applicants (including the order of names of joint applicants holders)
 - (b) DP ID (c) Beneficiary account number or any other relevant details pertaining to the Depository Account.

Mechanism for Redressal of Investor Grievances: All grievances relating to the ASBA facility may be addressed to the respective SCSBs, giving full details such as name, address of the applicant, number of Units applied for, counterfoil or the application reference given by the SCSBs, DBs or CBs, amount paid on application and the Designated Branch or the collection centre of the SCSB where the Application Form was submitted by the ASBA Investor.

If the SCSB is unable to resolve the grievance within reasonable time, it shall be addressed to the Registrar and Transfer Agent Sundaram BNP Paribas Fund Services (SBNPPFS) with a copy to the Investor Service Department of Sundaram Asset Management Company Limited

Investor Relations Manager

Rahul Mayor

Head - Customer Services Sundaram Asset Management Company Limited,

Sundaram Towers, No. 46, Whites Road, Royapettah, Chennai- 600 014. Fax: (044) 28569808; Toll Free 1800 103 7237 (India) +44 49057300 (NRI) Email us at : customerservices@sundarammutual.com

(NRI): nriservices@sundarammutual.com

Collection centers of HDFC Bank

Andhra Pradesh • Cuddapah HDFC Bank Ltd, RRR Towers, Dwaraka Nagar, RS Road, Nagarajupalli, Cuddapah 516001• Hyderabad WBO 1-10-60/3, III Floor, Suryodaya, Begumpet Hyderabad 500016 • *Kakinada* #20-1-46,Main Road,Opp SRMT, Kakinada 533 001 • *Nellore* 17/126, G.V.R. Enclave, G.T. Road, Nellore 524001 • *Rajahmundry* 46-17-20, 1st floor, Danavaipet, Rajahmundry 533103 • *Tirupati* HDFC Bank Ltd, 19-8-180, Krishna Arcade, Beside IBP Petrol Pump, Near Annamaiah Circle Tirupati 517501 • *Vijayawada* HDFC Bank Ltd., D.No 40-5-4A, I Pump, Near Annamaiah Circle Tirupati 517501 • Vijayawada HDFC Bank, Itd., D.No 40-5-4A, I Floor, Tikkle Road, Vijayawada-520 010 • Vishakapatnam HDFC Bank, Firs Floor, Potluri Castle, # 48-14-9, Dwarakanagar, Visakhapatnam 530016 • Warangal 1-8-605/1, Nakkalagutta, Hanamkonda, Warangal-506001 Assam • Guwahati Wholesale Banking Operations, Buildwell Compound, Near Darwin Campus (Beside Neepco Bhawan),1st Floor, Zoo Road, Guwahati 781005 Bihar • Bihar Begusarai Kachhari Chowk, Today Market, Begusarai 851101• Bhagalpur Triveni Appartment, Dr. R. P Road, Bhagalpur 812002• Darbhanga Natraj Bhawan, 1 St Floor, Katki Bazar, Tower Chawk, Darbhanga 846004 • Gaya K.P.Road, Near Ghanta Ghar, Gaya 823001 • Hajipur Vimel Complex, Dak Banglow Road, Hajipur 844101• Muzaffarpur HDFC Bank Ltd, Tilak Maidan Road, Muzaffarpur Muzaffarpur 842001• Patna Plot No 651 Jamal Rd, Patna 800002 Chhattisgarh • Bhilai Chauhan Estate, G.E. Road, Supela, Bhilai 490023 • Bilaspur Prashant Complex, Opposite Shaffari School Murgeli Boad Bilaspur Chhattisgarh Bilaspur Pics No 280. 1st Sheffer's School, Mungeli Road, Bilaspur, Chhattisgarh Bilaspur 495001 • Raipur Plot No 280, 1st Steiner's School, Muligen Nodo, Diaspui, Chinattogan Bilaspui 45000 - Ampur Hot No 260, Fist Floor, Mahadev Ghat Road, Besides Sunder Nagar Filing Station (Bharat Petroleum) Sunder Nagar, Raipur 42013 Daman • Daman Ace Shopping Mall, Dilip Nagar, Teen Batti Daman 396210 Goa • Panjim HDFC Bank Ltd, WBO 2nd Floor, Metropolis - II, Opp. Vintage Hospital, St. Inez, Panjim, Goa, Panjim 403001 Gujarat • Junagadh Ground Floor, Moti Palace, Opp. Rayji Nagar, Moti Baugh Road, Junagadh 362001 • Ahmedabad HDFC Bank Ltd, 1st Floor, Astral Building, Near Mithakali Šix Cross Road, Navrangpura Ahmedabad 380006 • Amreli Street # 2, Manekpara Main Road Amreli 365601 • Anand HDFC Bank Ltd. 1st Floor, Sanket Complex, Next To Sales India, Grid Cross Road, 365601 • Anand HDFC Bank Ltd. 1st Hoor, Sanket Complex, Next Io Sales India, Grid Cross Hoad, Anand 388001 • Ankleshwar HDFC Bank Ltd., Commercial Plot 73/P, Gidc Estate, S.A.Motors Building, Old Ankleshwar Highway, Ankleshwar 393001 • Bardoli Shree Ambika Niketan, Station Road,Sardar Baug, Bardoli Bardoli 394601 • Baroda 1st Floor, Fortune Tower,Vadodara Stock Exchange Building,Opp. Parsi Agiyari,Sayaiguni, Baroda 39005 • Bharuch HDFC Bank Ltd, 127, Alfa Society, Link Road Bharuch 392001 • Bhavnagar Corporate House, 3rd Floor, Near Takteshwar Post Office, Waghawadi Road, Bhavnagar 364001 • Bhuj 101/102 Sunrise Tower, Vijay Nagar, Hospital Road, Bhuj 370001 • Gandhidham HDFC Bank Ltd, Wholesale Banking Operations, Plot#301, Ward 12/B, 2nd Floor,Opp. Dr. C.G. High School, Gandhidham 370201 • Gondal HDFC Bank Ltd, Wholesale Banking, Operations, 1st Floor, Aadinath, Complex, College Chowk, Gondal Bank Ltd, Wholesale Banking Operations, 1st Floor, Aadinath Complex, College Chowk, Gondal 360311 • *Himmatnagar* G.F. Shop No 5-8 & First Floor 4 – 9, Kumar House, Durga Oil Mill Compound Himmatnagar 383 001 • *Jamnagar* Abhishek, 3rd Floor, Saru Section Road, Near Savan Appartment, Jamnagar 361008 • Mehsana Prabhu Complex, Nr Rajkamal Petrol Pump, Highway Road, Mehsana 384002 • *Morvi* Om Shopping Complex, Ravapar Road, Morvi 363641 • *Nadiad* 1st Floor, Metro City, PIJ Road, Nadiad 387001 • *Navsari* Gr Flr, Nandani Complex, Station Road Fist Floor, Metro City, FA Road, Nadiad 387001 • Navsari GF Fir, Nahdani Complex, Station Road Navsari 396445 • Palappur Nr. Cozy Tower, Opp Joravar Palace Palanpur 385001 • Porbandar Om Shiv Shakti R.D.Chambers, M.G. Road, Porbandar 360575 • Rajkot 1st & 2nd Floor, Shri Harish, 40 / A Bhaktinagar Society, Bhaktinagar Circle. Rajkot 360002 • Silvassa 1-16, Jaypee House, Opp. Patel Petrol Pump, Silvassa 396230 • Surat 1st Floor, Crossway Mall, Near Ram Chowk, Ghod Dod Road Surat 395007 • Surendranagar Middle Point, A Wing, Nr Milan Cenama, Main Road, Surendranagar 363002 • Uniha 1st Floor, Suvidhi Complex, Station Road, Uniha 384170 • Valsad 1st Floor, Ekta Apt, Tithal Road, Valsad 396001 • Vapi 1 St Floor, Kanta Trade Center, Gidc Char Rasta Vapi 396195 • Veraval HDFC Bank Ltd, Amrut Deep, Rajmahal Road, Opp. Public Garden, Veraval 362265 Haryana • Ambala 6352/11, Nicholson Road, First Floor, Ambala Cantt 133001 • Bhiwani S-175d/1, Jalan Nagar, Meham Chowk, Bhiwani 127021 • Hissar 2nd Floor, Akash Plaza, Near Jindal Chowk, Hisar 125001 • Jagadhri Plot No.1, Rajesh Nagar Colony, Ambala Road, Jagadhri 135001 • Jind HDFC Bank Ltd, SCF-5 Rani Talab, Jind 126102 • Kaithal 1450/51 Ambala Road, Pehowa Chowk, Kaithal 136027 • Karnal Basement, Sco 778-779, Opp. Mahabeer Dal Hospital Karnal 132001 • Kurukshetra HDFC Bank Ltd, Shop No.1-5, Kalawati Market, Railway Road, Kurukshetra 136118 • Narnaul Opp. S. P. Residence, Mahinder Garh Road, Haryana Narnaul Roda, Nurksheira 150116 • Narnau Opp. S. P. Residence, Maintoler Garlh Noda, Haryana Narnau 123001 • Nasik HDFC Bank Ltd, 3rd Floor, Archit Centre, Opp Sandeep Hotel, Chandak Circle Link Road, Near Mahamarg Bus Stand, Nasik 422002 • Panipat 801/4, Opps Railway Road, G.T Road, Panipat 132103 • Rewari L203, 1st Floor, Modal Town, Old Court Road, Rewari, Rewari 123401 • Rohtak Jawahar Market, Opp D-Park, Model Town, Rohtak 124001 Himachal Pradesh • Dharamshala 363/3, Centre Point, Civil Line, Dharamshala 176215 • Hamirpur Nh-88, New Road, Instrument 132001 • Court 132001 • Court 132001 • Court Road, Rewari, Rewari 132001 • Court Road, Paratesh • Dharamshala 363/3, Centre Point, Civil Line, Dharamshala 176215 • Hamirpur Nh-88, New Road, Instrument 132001 • Court Road, Panipat 132001 • Court Panipat 132001 • Court Panipat 132001 • Court Panipat Dharamshala 350/3, Centre Point, Civi Line, Dharamshala 7/62/5 • Hamirpur Nn-86, New Hoad, Hamirpur, HP Hamirpur 177001 • Jammu Cb-13, Rail Head Commercial Complex, Gandhi Nagar, Jammu 180004 • Kangra Near Bus Stand Kangra Kangra 176001 • Shimla Shimla3, Jankidas Bidg, Shimla 171001 • Solan The Mall Road, Opp.Uco Bank, Solan 173212 Jammu and Kashmir • Srinagar First Floor, M. S. Shopping Mall, Residency Road, Srinagar 190001 Jharkhand • Bokaro B-9, City Centre, Sector-4, Bokaro Steel City, Bokaro 827001 • Deogarh Assam Acess Road,Near Tower Chowk, Deoghar 814112 • Dhanbad Sri Ram Plaza, 1st Floor, Bank More, Dhanbad 826 001 • Hazaribagh HDFC Bank, Annanda Chowk, Hazaribagh St301 • Jamshedpur HDFC Bank Ltd., 1st Floor, 105,Snp Area, Sakchi, Jamshedpur - 831 00 Jamshedpur 831001 • Ramhgarh N.H-33 Main Road, Near Bank Of Baroda,Ramgarh Cantt-829122, Ramhgarh Cantt 829122 • Ranchi 56 Rohini Complex, Circular Road, Lalpur, Ranchi 834001 Karnataka • Davangere #651 B H M Enclave, Hm Road, Mandipet, Davangere Davangere 577001 • Bagalkot Opp: Railway Station Ward No 10 Bagalkot 587101 • Bangalore HDFC Bank Ltd., Cash Management Services, "Salco Centre", # 8/24, Richmond Road Bangalore 560025 • Belgaum HDFC Bank Ltd., No 4830/2a Opp District Hospital Dr Ambedkar Road. Belgaum 590002 • Hubli Shriram Plaza Dervice Branch Club Road Hubli 580029 • Mangalore Ideal Towers 1st Floor, Opp Sharavu Ganapathi Temple, G T Road, Mangalore 575001 • Mysore Mythri Arcade, Saraswathipuram, 1st Main, Mysore Mysore 570009 • Shimoga HDFC Bank Ltd, W B O, No 447, Sharavathi Complex, Savarlane Road, Shimoga 577201 • Sindhanur No 6-1-2992/1, Ward No 12, Kushtagi Road Sindhanur 584128 • Udupi Panduranga Sindhanur No 6-1-2992/1, Ward No 12, Kushtagi Road Sindhanur 584/128 • Udupi Panduranga Tower / Diana Circle, Court Road, Udupi 576101 Kerala • Chenganassery Golden Towers, Mc Road Chenganassery Kottayam 686101 • Calicut HDFC Bank Ltd, III Floor, Simax Towers, Kannur Road, Nadakkave, Calicut 673011 • Chengannur Bin Tower, Govt Hospital Junction, M.C. Road, Chengannur 689121 • Cochin First Floor, Palarivattom 25 Cochin 682025 • Kannur HDFC Bank,Ist Floor KVR Tower, South Bazar, Kannur 670002 • Kollam VGP Bulidings, Near Irumpupalam, Kollam 691001 • Kottayam 3rd Floor Unity Buildings, KK Road, Kottayam 686002 • Manjeri HDFC Bank Ltd, Kurikkal Plaza, Kacherippadi, Manjeri 676121 • Palakkad VIII/246, 1st Floor, Chandranagar Jn. Palakkad 678007 • Pathanamthitha Aban Arcade, Ring Road, Pathanamthitha 689645 • Perinthalmana Wholesale Banking, HDFC Bank Ltd., Sree Complex, Calicut Road, Perinthalmana 679322 • Thalassery Sahara Centre, AVK Nair Road, Thalassery 670101 • Thiruvalla Illampallin Buildings, 26/149,1&2, Mc Road, Ramanchira Thiruvalla 689101 • Tirur KMS Tower, Thazhepalam, Tirur 676101 • Trichur Third Floor, Suharsha Towers, Shornur Road, Trichur 680001 • Trivandrum Tirur 676101 • Trichur Third Floor, Suharsha Towers, Shornur Road, Trichur 680001 • Trivandrum BOB Plaza, Second Floor, T. C. 12 / 149 (3), Pattom, Trivandrum 695004 Madhya Pradesh • Bhopal Asha Avenue, 1st Floor, Z-1, Zone-1 M P Nagar, Bhopal 462011 • Gwalior J K Plaza, Gast

Ka Tazia, Lashkar Gwalior 474001 • Indore HDFC Bank Ltd., 1st Floor, Brilliant Avenue, SCH No. 94, Sector -B, Behind Bombay Hospital, Ring Road, Indore 452010 • Jabalpur HDFC Bank Ltd, 1st Floor, Kumbhare Mension, 636, Vijay Nagar, Mr- 4, Main Road, SBI Chowk, Jabalpur 482002 • Ratlam HDFC Bank (Wbo) 90, Station Road Ratlam 457001 Maharashtra • Ahmednagar Ambar Plaza, 'A' Wing, Second Floor, Station Road, Ahmednagar 414001 • Akola Sethi Heights, 1st Floor, Opp. Zilla Parishad, Akola 444001 • Amravati C/O Rasik Plaza, Morshi Rd, Jaystambh Chowk, Amravati 444601 • Aurangabad HDFC Bank Ltd. Divekar Plaza, CTS No 18272, lind Floor, Railway Station Road, Padampura, Aurangabad 431001 • Bhusaval Mansingh Complex. C.T.S. No. 3294 (H.No. 4/285) Jamner Road, Opp CSM Complex, Bhusaval 425201 • Dahanu Matru Ashish, Irani Road. Dahanu 401602 • Dhule Wholesale Banking Operations(CMS), Lane No- 6, Mundada Arcade, Parola Road, Dhule 424001 • Ichalkaranji House No 7-55(Old No9-148), Main Road, Janta Chowk, Ichalkaranji 416115 • Jalgaon HDFC Bank Ltd, 3rd Floor, Sugan Heights, P P No 324/2, TPS II, Near ntral Bus Stand, Jalgaon 425001 • Karad Besides Hotel Sangam, Kolhapur Naka, Karad 415110 Kolhapur Gemstone, 517/A/2 E Ward New Shahupuri, Nr Central Bus Stand Kolhapur 41010 Latur HDFC Bank Ltd, Ilnd Floor, Shri Prabha Arcade, Vora Bunglow, Main Road, Nr Nagar Parishad, Latur 413512 • Mumbai Ground Floor, Maneckji Wadia Building, Nanik Motwani Marg, Near Kala Ghoda, Opp Mumbai University, Fort, Mumbai 400001 • Nagpur 2, Mile Stone, Block No 303 & 304, Near Lokmat Square, Wardha Road, Nagpur 440010 • **Pune** Fortune Square, 3rd Floor, Deep Bungalow Chowk, Model Colony, Shivajinagar, Pune 411016 • **Ratnagiri** Show Room No.3, Mangesh Shanta, Apartment, Near Maruti Mandir, Ratnagiri-Kolhapur Highway, Ratnagiri 415639 • **Sangamner** 1 Janak Plaza, New Nagar Road, Sangamner 422605 • **Sangli** 640, Venkatesh Senate, Sangli Miraj Road, Sangli 416416 • **Solapur** HDFC Bank Ltd, 8516/11, Murarji Peth, Sun Plaza Bldg, Sarigin Mila Hota, Sarigin 4164 Sorgan The Obank Ed, Soro TH, Mitalmeth, Sulfar Hota, Bully 1990 (1990) Chissa • Balasore F.M.Circle, Balasore Branch, Orissa Balasore 756001 • Bhubaneshwar 1st Floor, Wholesale Banking Operations, A-62/1, Unit 8, Nayapalli Bhubaneswar 751012 • Cuttack HDFC Bank Ltd, Holding No 32, 32/A Bajrakabati Road, Cuttack 753001 • Rourkela Dewadi Bhavan, Rourkela 769001 • Sambalpur HDFC Bank Ltd, Nayapara Golebazar, Sambalpur 768001 Punjab Amritsar 26 Kennedy Avenue, First Floor, Amritsar 143001 • Batala CGBvara, Gambaiput 160001 Jalandhar Road Batala 143505 • Bhatinda HDFC Bank Ltd, 83/1 Liberty Chowk, Civil Lines, Bhatinda 151001 • Chandigarh SCO-189-190, Sector 17 C, Chandigharh 160017 • Fazilka Mc No. 594, Gaushala Road Fazilka 152123 • Ferozepur Building No.30/7, Udham Singh Chowk, Ferozepur 1524, Gadshala Noad razlika 152123 • *Perozepur* building No.307, Odnam Sind Howk, Perozepur 152001 • *Gurdaspur* HDFC Bank Ltd, SCF-1, Improvement Trust Bidg, Hanuman Chowk, Gurdaspur 143521 • *Hoshiarpur* WBO, Ist Floor, Opp Maharaja Hotel, Sutheri Road, Hoshairpur 146001 • *Jagraon* Opp SSP Office, Tehsel Road, Jagraon 142026 • *Jalandhar* HDFC Bank Ltd., 1st Floor, 911, GT Road, Nr. Narinder Cinema, Jalandhar 144001 • *Kapurthala* The Mall Near Mgn Public School Kapurthala 144601 • *Khanna*, HDFC Bank, Opp. Bus Stand. G.T. Road, Khanna, Khanna 141401 • *Kotkapura* B-X/740, Faridkot Road, Kotkapura 151204 • *Ludhiana* Sco-54, Phase -2 Urban Estate Dugri Ludhiana 141001 • *Mandi* Gobindgarh Hukam Chand Building, Near Main Post Office, Gobindgarh 147301 • *Moga* GT Road, Opp D C Office, Thaman Singh Gill Market, Moga 142001 • *Nabha* SCF 14,15, Patiala Gate, Nabha 147201 • *Nawanshahar* B 1/148, Banga Road, Nawanshahar 144514 • Patiala Building No 11520, 1st Floor, Leela Bhawan, Near Gopal Nodel, Nawarishan 144314 • Patana Building No 11320, 151 Hou, Leeta Builavail, Neal Gobja Sweets Patiala 147001 • Phagwara Kalra Complex, G T Road, Phagwara 144401 • Rajpura # 11-12B, Clibre Market, Rajpura 140401 • Ropar HDFC Bank Ltd, Raj Hotel Complex, College Road, Ropar 140001 • Sangrur SCO-1,2,3, Kaula Park, Sangrur 148001 Rajasthan • Ajmer HDFC Bank Ltd, Ground Floor, Rajasthan Patrika Building, Ajmer 305001 • Alwar Bhagat Singh Circle, Opp.Uit, Alwar(Raj), Alwar 301001 • Bhilwara HDFC Bank Ltd., Wbo, Shop No. 1-2-3-4, ¹/₂ Block, First Floor, K Diese, Dur Boad, Bhilwara HDFC Bank Ltd., Wbo, Shop No. 1-2-3-4, ¹/₂ Block, First Floor, K Diese, Dur Boad, Bhilwara HDFC Bank Ltd., Wbo, Shop No. 1-2-3-4, ¹/₂ Block, First Floor, SK Plaza, Pur Road, Bhilwara 311001 • Bhiwadi RIICO Chowk Bhiwadi Bhiwadi 301019 • Bikaner SK Plaza, Pur Road, Bnilwara 31001 • Bniwadi RilCO Chowk Bnilwadi Bulvadi 301019 • Bikaner HDFC Bank Ltd, Roshan Plaza, Rani Bazar, Bikaner 334001 • Jaipur HDFC Bank House, 2nd Floor, O-10, Ashok Marg, C-Scheme, Jaipur 302001 • Jodhpur HDFC Bank Ltd., Ist Floor, 15, Keshav Comlex, Nimbera House, Paota, Mandore Road, Jodhpur 342010 • Kota WBO, HDFC Bank Ltd, Second Floor, 1 A 1, SFS, Dr S C Nursing Home Road, Talwandi, Kota 324007 • Ramganj Mandi Bazaar No -1 Opp SBBJ Bank, Ramganj Mandi 326519 • Udaipur HDFC Bank Ltd, Durga Nursery Road, Udaipur 313001 Tamil Nadu • Chennai No. 115, Dr. Radhakrishnan Salai, 2nd Floor, Opp. To CSI Kalyani Hospital, Mylapore, Chennai 600004 • Coimbatore 1 st Floor, No.315/316, Mathematical Communication of the Neurone Method Communication of Monte Communication o Mettupalayam Road, Opp Murugan Mills, Near Ganga Hospital, Coimbatore 641018 • Erode No.680, Lotus Enclave, Brough Road, Erode 638001 • Hosur No.24 & 25, Maruthi Nagar, Sipcot PO, Near Dharga, Hosur 635126 • Karur 126/D/E, Annai Plaza, Kovai Road, Karur 639002 • Madurai Sri Nithyakalyani Towers, No 34, Krishnarayan Tank Street, North Veli Street Madurai 625001 • Pondicherry T.S.No.6, 100ft Road, Ellaipillaichavady, Pondicherry 605005 • Rajapalayam 251 E Kadabankulam Main, Rajapalayam Thenkasi Road, Virudhungar 626117 • Salem HDFC Bank Ltd, Wholesale Banking Operations, Sethukrishna Trade Centre, 1st Floor, 133/31a, Trichy Main Road, Gugai, Salem 636004 • *Theni* HDFC Bank, Wholesale Banking Operations, Theni, #422-A, Periyakulam Road, Allinagaram, Theni 625531 • *Tirunelveli* HDFC Bank Ltd, 12,13, Trivandrum High Road, Vannarpettai, Palayamkottai, Tirunelveli 627002 • *Tirupur* HDFC Bank Ltd, No-169, Chidambaram Complex, Kumaran Road, Tirupur 641601 • *Trichy* No.11 PLA Kanagu Towers, 11th Cross, Main Road, Thillainagar, Trichy 620018 • *Vellore* 73 Officers Line, Vellore 632001 Uttar Pradesh • Agra First Floor, Pariney Garden, Bhagfarjana, Civil Lines, Agra 282002 • Aligarh HDFC Bank Ltd, 3-316 Bhalla Complex, Ramghat Road, Aligarh 202001 • Allahabad 58, SP Marg Civil Lines, Allahabad 211003 • Bareilly WBO, 1st Floor, 154, Krishna Place, Civil Lines, Bareilly 243001 Delhi E-13/29, 2nd Floor, Harsha Bhavan, Middle Circle, Connaught Place New Delhi 110001 • Gorakhpur Wholesale Banking Operations, Shreenath Complex, 10, Park Road, Civil Lines, Gorakhpur 273001 • Jhansi HDFC Bank Ltd, Damroo Cinema Complex, Civil Lines, Jhansi 284003
 Kanpur 15/46, 1st Floor, Civil Lines, Kanpur 208001 • Lucknow Pranay Towers 38, Darbari Lal Sharma Marg, Lucknow 226001 • Mathura 169/2 Gaushala Road, Opp BSA College, Mathura 281001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 250001 • Marguet HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 281001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 281001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 281001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 281001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 281001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 281001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 281001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 281001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 281001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 281001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 281001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 281001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 281001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 2810001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 2810001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 2810001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 2810001 • Meerut HDFC Bank Ltd, 1st Floor 381, Western Kutchery Rd, Meerut 281001 • Meerut 480000 • Meerut Moradabad HDFC Bank Ltd, Wholesale Banking Operations, Pandit Shankar Dutt Sharma Marg, Opposite Election Office, Civil Lines, Moradabad 244001 • Muzaffarnagar First Floor, 53/4 Janshat Road, New Mandi, Muzaffarnagar 251001 • Saharanpur HDFC Bank Ltd, Hotel Suryalok, Rly Road, Near Clock Tower, Saharanpur 247001 • Varanasi HDFC Bank Ltd, WBO Dept,1st Fir, Varanasi Trade Centre, Maqbool Alam Road, Khajuri, Varanasi 221002 Uttarakhand • Dehradun WBO Deptt, HDFC Bank, 56, Rajpur Road, Dehradun 248001 • Haldwani 8/6 Bhotia Paraw, Nainital Road, Haldwani 263139 • *Rishikesh* MC No 53 MJ Mall Railway Road Rishikesh 249201 • *Roorkee* 313/8, Civil Lines, Roorkee 247667 • *Rudrapur* Plot No182, Nanital Road, Plot No182, Nanital Road, Rudrapur 263 153 West Bengal • Asansol P.C Chatterjee Market, Rambandhu Talaw, Asansol 713303 • **Durgapur** Balai Commercial Complex, 3rd Floor, Benachity, Nachan Road, Durgapur 713213 • **Kolkata** Abhilasha-II, 6 Royd Street (2nd Floor) Kolkata 700016 • **Raniganj** A/29, N S B Road, Opposite Asoka Petrol Pump, Raniganj, Dist Burdwan Raniganj 713347 • **Siliguri** 136/115 Hill Cart Road Siliguri 734401

Customer Care Centres

ANDHRA PRADESH: Anantapur: 1st Floor, T Nagaraju Complex, D.No. 10/323, Sarojini Road, Opp. To Vasunderadevi Hospital, Near Clock Towers, Anantapur 515001 Cuddapah: Ist Floor, Lalithamma Building; Ngo'S Colony, Upstairs Union Bank of India Cuddapah 516002 Guntur: Door No. 5-87-26, 1st Floor, Sai Srinivasa Building, Opp. Nelagiri Super Market, Main Road, Lakshimi Puram, Guntur 522007 • Gayatri Enclave, 1st Floor, 8th Lane, First Cross Road, Arundelpet, GUNTUR - 522 002 Kakinada: 2nd Floor, D. No.5-1-61, Opp. Brindhavan Lodge, Main Road, Surya Rao Peta, Kakinada 533001 Kurnool: DPLR Plaza, 2nd Floor, Upstairs SBI Near(Old Town-Branch) Opp: Children'S Park, Eswar Nagar, Kurnool 518004 Nellore(Cv): 24/1677, Brahmanandapuram, Dargamitta, Nellore 524003 Rajahmundry: AMT Plaza, C18, D.No.76-5-29, Second Floor, Ganuga Street, Gandhipuram-2, Rajahmundry 533103 Tirupathi: Door No 2/89, 1st Floor, Tiruchanur Road, Sreenivasapuram, Tirupathi 517503 Vijayawada: D.No. 40-10-5, Sree Ramachandra Complex, Benz Circle (Bajaj Showroom Lane), Vijayawada 520 010 • # 40-1-126, First Floor, Vasanth Plaza, Chandramoulipuram Benz Circle, Vijayawada 520010 Visakhapatnam: D No 47-10-13, 1st Floor, Redanam Regency, Near Diamond Park, Dwaraka Nagar, Vishakapatnam 530016 ASSAM: Guwahati: Anandi Commercial Complex, Il Floor, Bora Service, G S Road, Guwahati 781007 • 3C Dihang Arcade 3rd Floor, G.S Road, Dist-Kamrup, Guwhati 781005 BIHAR: Bhagalpur: Ground Floor, Chandralok Complex, Near Ghantaghar, Radha Rani Sinha Road, Mashakchak, PS Adampur (Kotwali), Bhagalpur 812001 Muzaffarpur: Saroj Complex, Ground Floor, Diwan Road, Musahri Ramna, Muzaffarpur 842002 Patna: 205, Ashiana Harniwas, New Dak Bungalow Road, Patna 800 001 • R.K. Estate 2nd Floor Opposite of Indira Gandhi Institute of Medical & Science, Raja Bazar, Bailey Road, Patna 800014 CHHATISGARH: Bhilai: 36/5, Ground Floor, Nehru Nagar(East) Besides Ing Vysya Bank, Bhila 490020 • First Floor, No.36/5, 2nd Floor, Nehru Nagar (East), Above Ing Vysya Bank, Bhilai, Durg District, 490020 Raipur: No. 26, 3rd Floor, Millennium Plaza, G.E. Road, Raipur, Chhatisgarh 492 001 • 203, 2nd Floor, Vanijaya Bhavan, Devendra Nagar Road, Raipur 492001 GOA: Goa: F 30, Alfran Plaza, Opp Don Bosco, MG Road Panjim, Goa 403001 Madgaon: Second Floor, Saldana Business Tower Wing A, 212, Near Mapusa Court, Mapusa 403507 GUJARAT: Ahmedabad: No 409, Shree Balaji Paragon, Near Hotel Rock Regency, C.G. Road Navrangpura, Ahmedabad - 380009 • 104, First Floor, Shivam Complex, Nr. Silicon Tower, Opp. National Handloom Law Garden, Ellisbridge, Ahmedabad 380006 Anand: F1/1, Suramya, Town Hall (Near Meza Bekery), Vidya Nagar Road, Anand 388001 Baroda: 518 & 519, Centre Point, R C Dutt Road Alkapuri, Vadodara 390005 • 109-Siddharth Complex, Besides Express Hotel, R C Dutt Road, Alkapuri, Baroda-390007 Bharuch: No.230-232, 2nd Floor, Aditya Complex, Kasak Circle, Bharuch 392002 Bhavnagar: 204, Shoppers Plaza, Parimal Chowk, Waghawadi Road, Bhavnagar 364001 Bhuj: office No.7, First Floor, Royal Plaza, Plot No.2 & 3, Near Shiv Krupa Nagar, Bhuj-Mirzapur Highway, Bhuj 370001 Jamnagar: 404, Corporate House, Opp. To St. Ann'S High School, Pandit Nehra Maga, Jini Mizzapar Highrag, 361008 Junagadh: 2nd Floor, Shop No.212, Amba Arcade, M G Road, Junagadh 362001 Mehsana: FF-01, Sigma Oasis, Nr: Hdfc Bank, High Way Mehsana 384002 Navsari: 1st Floor, Shop No.116, Manohar Complex, Opp. Dabu Hospital, Fuwara, Navsari 396445 Rajkot: 301, Metro Plaza, Nr Eagle Travels, Jansata Press Road, Bhilwas Chowk, Rajkot 360001. • 201, Titan Complex, 2nd Floor, Kalawad Road, Near G T Seth School, Opp. To Punjab Honda, Rajkot 360005 Surat: HG-18 International Trade Centre, Majuragate, Surat 395002. • No.607, 6th Floor, 21st Century Business Centre, Near Udhan Darwaja, Ring Road, Surat 395002 Surendra Nagar: 2nd Floor, Rudraksh, Plot No:328 (Opp. To New Age Industries) Wadhwan Road, Surendra Nagar 363035 Valsad: 2nd Floor, Shop No.216, Trade Centre, Station Road, Valsad 396001 Vapi: Shop No.19 & 20, 1st Floor, Walden Plaza, Imran Nagar, Opp. To S B I Daman - Silvassa Road, Vapi 396191 HARYANA: Ambala: 96 - 97, 1st Floor, Haryana Motor Market G T Road Ambala City 134002 Faridabad: Sco 107, 1st Floor, Sector - 16, Behind Sagar Cinema, Above J &K Bank, Faridabad 121002 Gurgaon: S C 0.35-36, 1st Floor, Sector 12A, Commercial, Old Delhi - Gurgoan Road, Gurgaon 122001 Hisar: Shop No. 46 DSB First Floor, Red Square Market Hisar, Haryana 125001 Panipat: 1st Floor, No.75, Bmk Market, G.T.Road, Panipat. 132103 Rohtak: 2nd Floor, Shop No.5-B, Gopal Complex, Civil Road, Rohtak 124001 Yamuna Nagar: Soc-103, 1st Floor, Sector 17, Huda Jagadhari By Pass Road, Jagadhari, Yamunanagar 135001 HIMACHAL PRADESH: Shimla: 1st Floor, Hameer House, Lower Chakkar, Shimla 171005 JAMMU & KASHMIR: Jammu: Sadhana Commercial Building, No 27, A/C Gandhi Nagar Jammu 180001 JHARKHAND: Bokaro: 1st Floor, Ms. Ranju Automobiles Pvt. Ltd Main Road, Chas, Bokaro 827013 Dhanbad: 1st Floor, Shop No.107, Sree Ram Plaza, Bank More, Dhanbad 826001 Jamshedpur: 5/B, III Floor, Meghdeep Building, Q Road, Bistupur, Jamshedpur 831001 Jamshedpur: No.2, 2nd Floor, Meghdeep Building, Near South Park Hotel, Q Road, Bistupur, Jamshedpur 831001 Ranchi: Shop No-F1, Amarnath Complex, New Daily Market, Near Kailash Babu Street, Ranchi, Jharkhand-834001 KARNATAKA: Belgaum: 1st Floor, Shop No.8, Mujawar Arcade, Mujawar Compound P B Road, Nehru Nagar, Belgaum 590010 Bellary: Flat No.3 & 9, 1st Floor, Ward No.16, T.S. No.52 Near Clock Tower Circle, Bellary 583101 Bengaluru: Ground Floor, Sana Plaza, 21 / 14 - A, M.G. Road, Near Trinity Metro Station, Bangalore 560001 # 186 1st Čross, 2nd Floor Hosur Main Road Wilson Garden Bangalore 560027 Davangere: # 268/3, Jayavibhava
Nilaya, First Floor Opp Ram & Co Provision Stores, 4th Main, P.J.Extension, Davanagere 577002 Hubli: 1st Floor, Centre Point 107, 108 New Cotton Market, Sanje Vani Press Hubli 580029 Mangalore: B-2, Souza Arcade, Balmatta Road, Mangalore 575001 Mangalore: Sundaram Finance, 2nd Floor, Krishna Prasad Bldg, Above Pabbas Ice Cream Parlour Nr.Mangalore City Corporation, Lal Bagh, Mangalore 575003 Mysore: NO: 145, 2nd floor, 5th main, 5th cross, opposite to Syndicate Bank, Saraswathipuram, Mysore 570009 Raichur: Sai Ram Complex, 1-10-38, Station Road Opp. Ram Mandir, Raichur 584101 Shimoga: SRIJA Archade, 1st parallel road,1st cross, opp. uma dental clinic road, Jayanagar, Shimoga 577201 Udupi: Second Floor, Andrade Arcade, Near Syndicate Bank, Catholic Centre, K M Marg, Udupi KERALA: Calicut: 3rd Floor Kanchas Building, Near New Bus Stand, Rajaji Road, Calicut 673004 Cochin: Kassim Towers, 36/1899 Door No, D I Floor Sebastian Road, Off. Kaloor, Kadavanthra Road, Kaloor, Cochin 682 017 Ernakulam: 1st Floor, Welfare Services Centre, Ponnurunni, Vytilla Po, Ernakulam 682019 Kannur: 1st Floor, Tpn A1, A2, Malabar Tower, Opp Indus Motors, Thalap, Kannur 670002 Kollam: 1st Floor, Kmk Complex, Second Milestone, Kilikudur Kollam 6000 1004 Kottayam Kytharam Complex, First Floor, Union Club Junction, Kottayam 6866001 Kozhikode: No.5/3249-H, First Floor, Century Plaza Building, Behind Ktc Petrol Pump, Indira Gandhi Road (Mavoor Road), Kozhikode 673001 Palakkad: First Floor, 15/513 (50), Akshaya Foundation, Stadium Bye-Pass Road, Palakkad 678001 Thrissur: Second Floor, R V Center, Patturaikal Junction, Near Karthiyani Nursing Home, Trichur 680022 Trivandrum: Vava Sahid Commercial Complex, Ambuja Vilasam Road, Trivandrum 695001 MADHYA PRADESH: Bhopal: Plot No. 10&11, 3Rd Floor, Alankar Palace, Bank Street, M.P.Nagar, Zone 2, Bhopal 462011 • Plot No. 6, VNV Plaza, 3rd Floor Zone II M P Nagar, Bhopal 462011 Gwalior: II Floor, 44 City Centre, Narayan Krishna, Madhav Rao Scindia Road, Gwalior -474 002 Indore: 125, Star Lit Towers, 29/1, Y N Road, Indore 452001 Indore: Plot No.2, 2nd Floor, Vijay Nagar, A.B Road, Opp. Metro Tower, Indore. 452010 Jabalpur: Second Floor, Digamber Tower, 936, Wright Town, Pandit Bhawani Prasad Ward, Napier Town, Jabalpur 482002 Ratlam: 2nd Floor, 16/45, Ratlam Plaza, Block No.C, Opp. ICICI Bank, Ratlam 457001 Sagar: 1st Floor, Singhai Buildings 10, Civil Lines, Sagar 470002 Satna: 2nd Floor, Collectorate Road, Civil Line, (Above M/S. Siddhivinayak Motors), Satna 485001 MAHARASHTRA: Ahmednagar: 209, 2nd Floor, Adish Plaza, ICICI Home Finance Building (Opp. Dowle Hospital) Nagar Manmad Road, Savedi, Ahmednagar 414003 Akola: C-13, First Floor, Dakshata Nagar, Vyapari Complex, Sindhi Camp Chowk, Akola-444001 Amaravathi: 2nd Floor, Sakshi Complex, Opp. to Rajapeth Police Station, Mudholkar Peth, Badnera Road, Amaravathi 444605 Aurangabad: First Floor, B-4, Aurangabad Business Centre, Adalat Road, Aurgangabad 431005 Chembur: Flat No. 313, Swastik Chambers 3rd Floor, Sion-Trombay Road, Chembur, Mumbai 400071 Jalgaon: 2nd Floor, India Plaza Complex, Vivekananda Nagar, Swatantry Chowk, Jilha Peth, Jalgaon 425001 Kolhapur: office No:12, 2nd Floor, R.D. Vichare Complex (Gemstone) Near

Central Bus Stand, New Shahupuri Kolhapur 416001 Latur: Sanmaan, First Floor, Opp To Amba Mata Mandir, Chandra Nagar, Latur 413512 Mumbai: HDIL Kaledonia Commercial Complex, 2(A), 2nd Floor, Opp Vijaya Nagar, Sahar Road, Andheri East, Mumbai 400069 • Office No. 1222, 12th Floor, Maker Chamber V, Nariman Point, Mumbai 400 021 • Shop No 6, Yashwanth Building, Groung Floor, Ram Maruti Cross Road, Near Ratnakar Bank, Naupada, Thane West Mumbai 400602 • No: 114, 1st Floor, Commerce House, 140, Nagindas Master Road, Fort, Mumbai 400023 • Shop No. 2, Ground Floor in "Suchita Enclave", Co-operative Housing Society Limited, Maharashtra Nagar, Off. Chandavarkar Road, Borivali (West), Mumbai 400092. Nagpur: C/O.Fortune Business Centre, Plot No.6, Vasant Vihar Complex, Who Road, Shankar Nagar, Nagpur 440 010 • 110-111, Shri Mohini Complex, Opp. Kasturchand Park 345, Kingsway Road, Nagpur 440001 Nashik: L 17, Suyojit, Sankul, Near Rajiv Gandhi Bhavan, Sharanpur Road, Nashik 422 002 • First Floor, Padma-Vishwa Regency, Behind Saroj Travels, Next To Manavta Cancer Hospital Mumbai Naka, Nasik 422001 Pune: Second Floor, 1202/5, "Shalini Sky I" building, Near ICICI bank, Ghole Road, Shivajinagar, Pune 411 005 • 1st Floor, 'Mantri Vertex' Law College Road, Pune 411004 Sangli: Shiv Ratna Complex, S4, 3rd Floor, Cst No.1047B, College Corner North Shivaji Nagar, Madhavnagar Sangli 416416 Sholahpur: 786, Maruti Tele Sankul, South Kasba, Shinde Chowk, Sholapur 413007 NEW DELHI: Delhi: 7 B, Rajendra Park, Pusa Road, Upper Ground Floor, Metro Piller No.153, New Delhi 110 060 • 605, Sixth Floor 'Ashoka Estate Building', 24, Barakhamba Road, New Delhi 110001 ORISSA: Balasore: First Floor, Choudhury Nivas P.O.-Sahadeb Khuntha, Near Bus Stand, Balasore, Odisha 756001 Behrampur: First Floor, Alakananda Enclave, First Lane, Gajapati Nagar, Ganjam Dist., Berhampur 760 010 Bhubaneshwar: Office No. 16, 2nd Floor, Deen Dayal Bawan, Ashok Nagar, Bhubaneswar 751009 • 2nd Floor, Banadev Bhawan, A/108, Saheed Nagar, Khurda Dt, Bhubaneshwar 751007 Cuttack: Plot No. 3209, 2nd Floor, Urmila Plaza (Above Utkal Motors), Madhupatna, Cuttack 753010 Rourkela: 2nd Floor, Plot No. 309/P, Udit Nagar, Opp. Ambedkar Chowk, Rourkela, Sundergarh District, 769012 Sambalpur: Sundaram Finance Ltd, First Floor, Sabat Complex Near Wesco office Main Road, Ainthapalli Sambalpur 768004 PONDICHERRY: Pondicherry: Ms Royal Enclave, Plot No 19, 100 Feet Road, Mudaliarpet, Pondicherry 605004 PUNJAB: Amritsar: 1st Floor, 27-A, Classic Plaza, Majitha Road, Amritsar 143001 Bhatinda: 1st Floor, 3038 - A Guru Kanshi Marg, Bhatinda 151001 Chandigarh: S.C.Ó II Floor, 2475-2476, 22/C, Chandigarh 160022 • S.C.O 56-57 2nd Floor, Phase- II Mohali, Punjab 160072 Jalandhar: 5 E, Session Court Road, 1st Floor, Near B M C Chowk Jullundhur 144001 Ludhiana: SCO 18, Cabin No.401, 4th Floor, Feroz Gandhi Market, Ludhiana 141 001 • S C O-13, 1st Floor, Shanghai Towers, Feroz Gandhi Market, Ludhiana 141001 Patiala: Third Floor, SCO-107, New Leela Bhavan, Near Yes Bank, Patiala 147 001. RAJASTHAN: Ajmer: 1st Floor, Adjoining K C Complex Opp: Daulat Bagh, Ajmer 305001 Alwar: 29, Harshil Tower, 3rd Floor Naru Marg, Keshav Nagar Alwar 301001 Bhilwara: Second Floor, Budh Plaza Opp: Circuit House, Basant Vihar, Bhilwara 311001 Bikaner: Second Floor Chug Mansion Opp. Drm office, Modern Market, Bikaner 334001 Jaipur: No. 202, Second Floor, OK Plus Towers, Near Vishal Mega Mart, Hathroi Road, Ajmer Road, Jaipur 302 001 • 205, 2nd Floor, Sangam Towers, Church Road, off. M I Road, Jaipur 302001 Jodhpur: 201, 202, Second Floor, Mody Arcade Chopasani Road, Near Bombay Motors, Jodhpur 34200 • 116, 1st Floor, Mody Arcade, Chopasani Road, Near Bombay Motor Cycle, Jodhpur 342 001 Kota: Second Floor, Above Reebok Showroom 393, Shopping Centre, Nr. Ghode Wale Baba Circle, Kota 324007 Sriganganagar: Ground Floor, Bansal Tower, Jawahar Nagar, N.H.No.15, Sriganganagar, Rajasthan. 335001 Udaipur: C/o Sundaram Finance Ltd 4th Floor, Plot No-32/1105, Centre Point, Opp. B.N. College, Udaipur 313001 TAMIL NADU: Chennai: Sundaram Towers 1st & 2nd Floor, No.46 Whites Road, Royapettah, Chennai 600014 • Ground Floor, 19, Patullos Road, Chennai 600002 Coimbatore: 101-E, Il Floor, Kala Mansion Building, D B Road, R. S Puram, Coimbatore 641002 • No.62, First Floor, 'Time Square' Balasundaram Road, A.T.T. Colony, (Near RTO office) Coimbatore 641012 Erode: Ms. URT Tower, No 139/1, Perundurai Road, Erode 638 011 Hosur: 2nd Floor, 42/1, "Ram Prabha Towers' (Opp to Dhanam Departmental Store), Denkanikotta Main Road, Hosur 635109. Kancheepuram: 24, Annai Indira Gandhi Salai (Nellukara Street), Kanchipuram 631502 Karur: SRN Towers, IInd Floor, Ts No.208/1, 1st Cross, Covai Road, Sengunthapuram, Karur 639001 Kumbakonam: 1st Floor, Nalli Plaza, Old Door No:34&34A, T S R Big Street, Kumbakonam 612001 Madurai: No. 183 C - North Veli Street, Opp Duke Hotel, Madurai 625 001 • 37, Krishna Rao Tank Street, (Tvs Co-Operative Store) Madurai, 625001 Salem: New No. 210, Old No. 315- C, Omalur Main Road, Avk Arcade, Opp. New Bus Stand, Salem 636004 • First Floor, Srivari Shopping Mall, 2/91, New Bus Stand Road, Meyyanoor Salem 636004 Thanjavur: Shalini Towers, First Floor, 172, South Main Street, Thanjavur - 613009 • Tirunelveli: First Floor, No 985/1-C2, 1D, Indira Complex, South Bye Pass Road, Opp to Passport office, Tirunelveli 627005 Tirupur: Muthu Plaza, 1st Floor 320, Avanashi Road, Tirupur 641602 Trichy: 60/2, Krishna Complex, I st Floor, Shastri Road, Thennur, Trichy 620 017 • Bus Plaza, 2nd Floor, Front, No.5-G, Lawsons Road, Contonment Trichy 620001 Vellore: 37B, Balaji Nagar, 2nd Street, Phase 3, Sathuvachari, Vellore 632009 • First Floor, 141/3 M.P. Sarathi Nagar, Vellore District Bus Owner Association Building, Chennai - Bangalore Bye Pass Road, Vellore 632012 TELANGANA: Hyderabad: 1st cross, opp. uma dental clinic road, Jayanagar, GHMC No-7-397/101,118, Opp. Dominos, S R Nagar, Hyderabad 500047 • D.No.6-3-57/1, 408-410, Diamond Block, 4th Floor, Lumbini Rockdale Compound, Somajiguda, Hyderabad 500082 Karim Nagar: 1st Floor, House No: 8-6-179/2A, Hyderabad Road, Above Mahi Motors, Kothirampur, Karimnagar 505001 Warrangal: D No 15-1-422/A, B Second Floor S.V.S Legend, Beside Industrial Estate Kaman, S.V. P Road Warangal 506002 UTTAR PRADESH: Agra: C/o Royal Sundaram General Insurance, Office No. - F-C-6, Block No- 41/4B, Friends Tower, Sanjay Palace, Agra 282002 • First Floor, Sky Tower, 29-F/211, Sanjay Palace, Agra 282002 Aligarh: Shop No.7 & 22, U.G.F Alig Corporate Plaza, Marris Road, Aligarh, Uttar Pradesh 202001 Allahabad: 1st Floor, Saroj Bhavan, 14/4, Stanley Road, Near Patrike Crossing Civil Lines, Allahabad 211001 Bareilly: Krishna Complex 2nd Floor Near Mahajan Hospital Stadium, Road Bareilly Bareilly Dist 243001 Ghaziabad: 1st Floor, Ff - 31, Konark Building, Gda Market, Rdc, Ghaziabad 201001 Gorakhpur: 1st Floor, Bank Road, Opposite Subhash Tractor, Corner Gali, M.G.Road, Gorakhpur 273001 • C/O Sundaram AMC Ltd, Opposite Karvy, Bank road, Gorakhpur 273001 Jhansi: Shop No. 5, Narayan Plaza Jojo House, Infront of Employment Exchange Gwalior Road, Jhansi 284001 Kanpur: 218/219 Kan Chambers, 2nd Floor, 114/113 Civil Lines Kanpur 208001 Lucknow: 303, Third Floor, Sky Hi Chambers, 11/5, Park Road, Lucknow 226001 • 104 UGF Sky Hi Chambers, 5- Park Road, Lucknow-226 001 Mathura: 3rd Floor, Shop No.330, Dwarikadheesh Plaza, Mohalla Brijnagar, Junction Road, Sonkh Adda, Mathura 281001 Moradabad: 2nd Floor, office No.3, Vallabh Complex, Near Pms School Civil Lines, Moradabad 244001 Varanasi: Flat No.7, 2nd Floor, Rama Kunj, C-32-22/17 Ram Singh Rana Nagar Colony, Cantt Sigra Road, Varanasi 221002 UTTARANCHAL: Dehradun: 57/19, Raipur Road, Il Floor, Shiva Palace, Dehradun 248 006 WEST BENGAL: Asansol: 1st Floor, Above United Bank of India B.B. College More, Ushagram East, G.T. Road, P.O. Asansol, Dist. Burdwan, Asansol 713303 Burdwan: 5 B, M.V Apartment, 36 G.T. Road, Parbirhata, Po: Sripally, Burdwan 713103 Durgapur: A-307, Bengal Shristi Complex, II Floor, Citi Center, Durgapur 713 216 • Sri Chaitanya Complex, 2nd Floor, Bengal Ambuja Phase II, Ambetkar Sarani, City Centre, Durgapur 713216 Kolkatta: "Chowringhee Court", 2nd Floor, Unit No.33, 55/55/1, Chowringhee Road, Kolkata 700071 • No.7, Camac Street, Azimganj House III Floor, Block No. 6, Kolkatta 700017 • P-38 Princep Street Ground Floor, Off Bentinck Street (Opp Orient Cinema), Kolkata 700072 Siliguri: Shree Radha Complex, Block-B 2nd Floor, Iskon Mandir Road Siliguri, Dt Darjeeling 734001 Dubai: Representative office: P O Box:124337 office No. 205, AL ATTAR Grand, Above LG Showroom, Khalid Bin Al Waleed Street, Bur Dubai, Dubai, UAE