


UNION KBC TRIGGER FUND - SERIES 2

(A Close-ended Equity Scheme)



Offer of Units of ₹ 10 each for cash during the New Fund Offer Period

This product is suitable for investors who are seeking*:

- Capital Appreciation during the tenure of the Scheme
- Investment predominantly in Equity and Equity related portfolio constituting S&P BSE 200 Index** Companies.
- High Risk  (Brown)

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Note: Risk is represented as:  (BLUE) investors understand that their principal will be at low risk

 (YELLOW) investors understand that their principal will be at medium risk  (BROWN) investors understand that their principal will be at high risk

** **Note:** For the disclaimer please refer Section 'Benchmark Index' in this document.

New Fund Offer Opens on:	February 18, 2015
New Fund Offer Closes on:	March 04, 2015
Scheme Re-opens on:	Not Applicable[#]

[#] As the Scheme is a close ended Scheme; it will not provide redemption facility until the Maturity Date i.e. 3 years from the Date of Allotment including the Date of Allotment or the 10th business day from the NAV of the Direct Plan of the Scheme crossing ₹ 13/- per unit within the 3 year period, whichever is earlier. The Units of the Scheme are proposed to be listed on the National Stock Exchange of India Ltd. (NSE) ^{##}. Investor can purchase/ sell Units on a continuous basis on the Stock Exchange(s) on which the Units are listed.

<p>NAME OF MUTUAL FUND: Union KBC Mutual Fund Registered Office: Unit No. 802, 8th Floor, Tower 'A', Peninsula Business Park, Ganpatrao Kadam Marg, Lower Parel (W), Mumbai - 400 013 www.unionkbcmf.com</p> <p>NAME OF ASSET MANAGEMENT COMPANY: Union KBC Asset Management Company Private limited Corporate Identity Number (CIN): U65923MH2009PTC198201 Registered Office: Unit No. 802, 8th Floor, Tower 'A', Peninsula Business Park, Ganpatrao Kadam Marg, Lower Parel (W), Mumbai - 400 013 Toll Free No. 18002002268 • Non Toll Free. 022-24833333 • Fax No: 022-24833401 • Website: www.unionkbcmf.com • Email: investorcare@unionkbc.com</p> <p>NAME OF TRUSTEE COMPANY: Union KBC Trustee Company Private limited Corporate Identity Number (CIN): U65923MH2009PTC198198 Registered Office: Unit No. 802, 8th Floor, Tower 'A', Peninsula Business Park, Ganpatrao Kadam Marg, Lower Parel (W), Mumbai - 400 013 Contact Number: 022-24833300</p>	<p>NAME OF SPONSORS: 1) Union Bank of India Registered Office: Union Bank Bhavan, 239, Vidhan Bhavan Marg, Nariman Point, Mumbai - 400 021</p> <p>2) KBC Participations Renta (a 100% subsidiary of KBC Asset Management NV) Registered Office: 5, Place De La Gare, L - 1616 Luxembourg</p> <p>NAME OF REGISTRAR: Computer Age Management Services Private limited (CAMS) Registered Office: New No. 10, Old No. 178, M.G.R. Salai, Nungambakkam, Chennai - 600034</p>
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This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the Scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations etc. investors should, before investment, refer to the Scheme Information Document and Statement of Additional Information available free of cost at any of the Investor Service Centres or distributors or from the website www.unionkbcmf.com.

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

NSE DISCLAIMER:

"It is to be distinctly understood that the permission given by NSE should not in any way be deemed or construed that the Scheme Information Document has been cleared or approved by NSE nor does it certify the correctness or completeness of any of the contents of the Draft Scheme Information Document. The investors are advised to refer to the Scheme Information Document for the full text of the 'Disclaimer Clause of NSE.'"

NOTE: The Mutual Fund/AMC and its empanelled broker(s) has not given and shall not give any indicative portfolio and indicative yield in any communication, in any manner whatsoever. Investors are advised not to rely on any communication regarding indicative yield/portfolio with regard to the scheme. Investors are requested to study the terms of the offer carefully before investing in the Scheme, and to retain the SID and SAI for future reference.

NAME OF THE SCHEME : Union KBC Trigger Fund - Series 2

TYPE OF THE SCHEME : A Close-ended Equity Scheme

INVESTMENT OBJECTIVE : The investment objective of the scheme is to seek to generate capital appreciation by investing in a portfolio of equity and equity related securities, predominantly constituted of Companies in S&P BSE 200 Index**.

However, there is no assurance that the investment objective of the Scheme will be realized and the Scheme does not assure or guarantee any returns.

**** Note:** For the disclaimer please refer Section '**Benchmark Index**' in this document.

DURATION / MATURITY : The Scheme will mature in any of the below mentioned scenarios, whichever occurs first:

- 3 years from the date of allotment including the date of allotment;
OR
- Whenever the NAV of the Direct Plan of the Scheme crosses ₹ 13/- per unit (Trigger/Trigger level*) within the 3 year period, the fund manager will wind-up the scheme on the 10th business day and return the money to the investors of both the Plans. However, the actual return of the respective Plans under the Scheme on maturity may be below or above the trigger level i.e. NAV of the Direct Plan of the Scheme crossing ₹ 13/- per unit depending on the equity market movements from the date of the NAV meeting the trigger level till the final date of the maturity** during which the fund manager liquidates the entire portfolio.

***Trigger/Trigger level Feature in this Scheme:** The Scheme has an inbuilt profit booking discipline, to enable the investors to realise their profits at a pre-defined level. In the event that the NAV of the Direct Plan of the scheme crosses ₹ 13/- per unit within the 3 year period, the Scheme will automatically be liquidated and the proceeds will be returned to the investors of both the Plans.

****Note:** The final maturity date for the Scheme in the event the NAV of the Direct Plan of the Scheme meeting the Trigger level would be the 10th business day from date of NAV meeting the Trigger level and such date would be publicly notified by the AMC after the occurrence of the Trigger.

Illustration to explain the concept of maturity and applicable NAV at maturity:

- i. Suppose the units were allotted at ₹ 10 on 1st April 2013. The NAV of Direct Plan of the Scheme crosses ₹ 13 on 1st January, 2015. The scheme will mature on the 10th working day from 1st January, 2015. If the NAV of Direct Plan of the Scheme fluctuates to ₹ 13.5 and Regular Plan to ₹ 13.4 on the date of maturity (due to market movements and interest accrual between 1st January, 2015 to the date of maturity), the units shall be redeemed at the NAV of respective Plans i.e. ₹ 13.5 per unit for Direct Plan and ₹ 13.4 of Regular Plan.
- ii. Suppose the units were allotted at ₹ 10 on 1st April 2013. The NAV of Direct Plan of the Scheme crosses ₹ 13 on 1st January, 2015. The scheme will mature on the 10th working day from 1st January, 2015. If the NAV of Direct Plan of the Scheme fluctuates to ₹ 12.5 and Regular Plan to ₹ 12.4 on the date of maturity (due to market movements between 1st January, 2015 to the date of maturity), the units shall be redeemed at the NAV of respective Plans i.e. ₹ 12.5 per unit for Direct Plan and ₹ 12.4 of Regular Plan.
- iii. Suppose the units were allotted at ₹ 10 on 1st April 2013. The NAV of the Direct Plan of the Scheme crosses ₹ 13 on 1st January, 2015. The NAV of Regular Plan of the Scheme is ₹ 12.92 on 1st January, 2015. The scheme will mature on the 10th working day from 1st January, 2015 even though the NAV of Regular Plan may be below ₹ 13. If the NAV of Direct Plan of the Scheme fluctuates to ₹ 12.5 and Regular Plan to ₹ 12.4 on the date of maturity (due to market movements between 1st January, 2015 to the date of maturity), the units shall be redeemed at the NAV of respective Plans i.e. ₹ 12.5 per unit for Direct Plan and ₹ 12.4 of Regular Plan.
- iv. Suppose the units were allotted at ₹ 10 on 1st April 2013. The Direct Plan NAV does not cross ₹ 13 within 3 years of 1st April, 2013. The scheme will mature on completion of three years i.e. on 31st March 2016 at the per unit NAV value prevalent on 31st March 2016 of the respective Plans.

If the maturity date falls on a non-business day, then the maturity date shall be the next Business Day.

ASSET ALLOCATION PATTERN OF THE SCHEME : Under normal circumstances, the asset allocation pattern will be as follows:

Types of Instruments	Normal Allocation (% of Net Assets)
Equity and Equity related instruments constituted of Companies in S&P BSE 200 Index**	Minimum 80% - Maximum 100%
Debt, Money Market instruments, Cash and equivalent	Minimum 0% - Maximum 20%

****Note:** For the disclaimer please refer Section '**Benchmark Index**' in this document.

The Scheme does not intend to invest in Securitised Debt (including Pass Through Certificates), Debt Derivatives, Repo/ Reverse Repo in corporate debt securities, overseas/foreign securities.

Further, the Scheme does not intend to engage in stock/securities lending and short selling.

Investment in Equity Derivative instruments will not exceed 50% of the net assets of the Scheme. The Scheme may use equity derivatives for such purposes as maybe permitted by the Regulations, including for the purpose of hedging and portfolio balancing, based on the opportunities available and subject to guidelines issued by SEBI from time to time.

In accordance with SEBI Circular No. Cir/IMD/DF/11/2010 dated August 18, 2010, the cumulative gross exposure to equity, debt and derivative positions will not exceed 100% of the net assets of the Scheme. For further details, please refer to Point viz. "**Limitations and Restrictions for investments in Derivatives**" under the heading "**What are the Investment Restrictions?**" in the SID of the Scheme.

Pending deployment of funds of the Scheme in securities in terms of the investment objective, the AMC may park the funds of the Scheme in short term deposits of Scheduled Commercial Banks, subject to the Guidelines issued by SEBI vide its circular dated April 16, 2007, as amended from time to time.

At all times the portfolio will adhere to the overall investment objectives of the Scheme.

Please refer to the Scheme Information Document (SID) of the Scheme for complete details.

INVESTMENT STRATEGY : The investment team will follow an active management strategy to manage the assets of the Union KBC Trigger Fund - Series 2.

Union KBC Trigger Fund - Series 2 will follow a bottom up approach for stock selection.

Primarily Union KBC Trigger Fund - Series 2 will invest in listed Equity and Equity related instruments. The listed Equity will include the constituents of **S&P BSE 200 Index****. The Fund may invest in Debt and Money market instruments for tactical reasons and/or rebalancing the portfolio.

The portfolio will be liquidated in any of the below mentioned scenarios whichever occurs first:

- 3 years from the date of allotment including the date of allotment;
OR
- Whenever the NAV of the Direct Plan of the Scheme crosses ₹ 13/- per unit within the 3 year period, the fund manager will wind-up the scheme on the 10th business day and return the money to the investors of both Plans.

**** Note:** For the disclaimer please refer Section '**Benchmark Index**' in this document.

The "Derivative Strategy" and the "Investment Process" has been provided in detail in the SID. Please refer to the SID of the Scheme for complete details.

RISK PROFILE OF THE SCHEME : Mutual Fund Units involve investment risks including the possible loss of principal. Please read the SID carefully for details on risk factors before investment.

Different types of securities in which the Scheme would invest as given in the SID carry different levels and types of risk. Accordingly, the Scheme's risk may increase or decrease depending upon its investment pattern.

Scheme specific Risk Factors are summarized below:

Risks associated with investments in Equity and Equity related instruments:

Investments in equity and equity related securities involve a degree of risk and investors should not invest in equity schemes unless they afford to take the risk of losing their investment. Equity instruments by nature are volatile and prone to price fluctuations on a daily basis due to both micro and macro factors. Volatility in the capital markets, changes in policies of the Government, taxation laws or any other political and economic development may negatively affect the prices of the securities invested in by the Scheme. Trading volumes, settlement periods and transfer procedures may restrict the liquidity of some of the investments. The Scheme may inter-alia, also be exposed to the risk arising from over exposure to few securities/issuers/sectors. The Scheme may also be exposed to Counterparty Risk, Settlement Risk, Performance Risk, Timing Risk etc. Further, the Scheme may also be exposed to the following risk:

- **Risk with regard to Maturity on occurrence of the Trigger level:** In case the trigger event occurs and the Scheme matures when the NAV of the Direct Plan of the Scheme crosses ₹ 13 per unit, there is a possibility that actual return of the respective plans under the Scheme on maturity may be below or above the trigger level i.e. NAV of the Direct Plan of the Scheme crossing ₹ 13/- per unit depending on the equity market movements from the date of the NAV meeting the trigger level till the final date of the maturity i.e. 10th business day from the NAV of the Direct Plan of the Scheme crossing ₹ 13/- .

Risks associated with investments in Fixed Income Securities/Bonds:

Investments in fixed income securities are exposed to Interest Rate Risk. Generally, when interest rates rise, prices of fixed income securities fall and when interest rates drop, the prices generally increase. Investments in fixed income securities may carry Re-investment Risk as interest rates prevailing on the interest or maturity due dates may differ from the original coupon of the bond. Consequently, the proceeds may get invested at a lower rate.

Corporate Bonds are exposed to the risk of widening of the spread between corporate bonds and gilts. Prices of corporate bonds tend to fall if this spread widens which will affect the NAV of the Scheme accordingly. Similarly, in case of floating rate securities, where the coupon is expressed in terms of a spread or mark up over the benchmark rate, widening of the spread results in a fall in the value of such securities.

The Scheme may be exposed to Credit/Default Risk which is the risk that the issuer of a debenture/bond or a money market instrument may default on interest &/or principal payment obligations.

The Scheme may also inter-alia be exposed to Counterparty Risk, Settlement Risk, Basis Risk, Prepayment Risk, Concentration Risk, Timing Risk etc.

Risks associated with investments in Derivatives:

Derivative products are leveraged instruments and can provide disproportionate gains as well as disproportionate losses to the investor. Execution of such strategies depends upon the ability of the fund manager to identify such opportunities. Identification and execution of the strategies to be pursued by the fund manager involve uncertainty and decision of fund manager may not always be profitable. No assurance can be given that the fund manager will be able to identify or execute such strategies. The risks associated with the use of derivatives are different from or possibly greater than, the risks associated with investing directly in securities and other traditional investments. The Scheme's may also be exposed to Market Risk, Credit Risk, Basis Risk, Liquidity Risk, Valuation Risk, Systemic Risk etc.

Risks associated with close ended nature of the scheme and Listing of Units on the Stock Exchange(s):

The unit holders may note that owing to the close ended nature of the Scheme no redemption / repurchase of units shall be allowed prior to the maturity of the scheme with the AMC/Mutual Fund. However, investors who wish to exit from the scheme before maturity, may do so through the Stock Exchange route and the associated risks are listed below:

- Trading in the Units of the Scheme on the Stock Exchange may be halted because of the market conditions or for reasons in view of the Exchange Authorities or SEBI rendering trading in the Units of the Scheme inadvisable.

- Unit holders may find it difficult or uneconomical to liquidate their investments at any particular time.
- Although the Units of the Scheme will be listed on the Stock Exchange, there can be no assurance that an active secondary market will develop or be maintained.
- The Units of the Scheme may trade at a significant discount or premium on the Stock Exchange.
- The AMC/Mutual Fund will wind-up the Scheme, liquidate the Scheme portfolio and return the money to all the Investors (including those transacting through the Stock Exchange platform), when the NAV of the Direct Plan of the Scheme declared by the AMC/Mutual Fund meets the trigger level of ₹ 13/- per unit within the 3 year period. Investors dealing on the Stock Exchange may note that the price of the units on the Exchange may be at a premium/ discount to the NAV declared by the AMC/Mutual Fund at the event of occurrence of the trigger level or even otherwise.**

Please Refer to the SID of the Scheme for complete details.

RISK MITIGATION STRATEGIES : The asset allocation of the Scheme will be steadily monitored and it shall be ensured that investments are made in accordance with the scheme objective and within the regulatory and internal investment restrictions prescribed from time to time.

A detailed process has been designed to identify, measure, monitor and manage portfolio risk.

Some of the risks and the corresponding risk mitigating strategies are listed below:

Risk	Risk mitigation strategy
Market Risk The scheme is vulnerable to price fluctuations and volatility changes, which could have a material impact on the overall returns of the scheme.	Endeavour to have a well-diversified portfolio of good companies with the ability to use cash/derivatives for hedging.
Business Risk Risk related to uncertainty of income caused by the nature of a company's business and having an impact on price fluctuations.	Portfolio companies carefully selected to include those with perceived good quality of earnings.
Derivatives Risk Various inherent risks arising as a consequence of investing in derivatives.	Continuous monitoring of the derivatives positions and strictly adheres to the regulations.
Concentration Risk Risk arising due to over exposure to few securities/issuers/sectors	Ensuring diversification by investing across the spectrum of securities/issuers/sectors.
Liquidity Risk Risk associated with saleability of portfolio securities	Monitor the portfolio liquidity periodically.
Interest rate risk Security price volatility due to movements in interest rate	Control portfolio duration and periodically evaluate the portfolio structure with respect to existing interest rate scenario.
Credit Risk Risk that the debt issuer may default on interest and/or principal payment obligations.	Investment universe carefully defined to include issuers with high credit quality; critical evaluation of credit profile of issuers on an on-going basis.
Performance Risk Risk arising due to changes in factors affecting markets.	Endeavour to have a well-diversified portfolio of good companies, carefully selected to include those with perceived good quality of earnings.
Enterprise Risk Risk arising from execution of the company's business functions. As such, it is a very broad concept including e.g. fraud risks, legal risks, physical or environmental risks, etc.	Policies and procedures to identify the risks, measure, monitor and control the same.

For more details please refer to the SID of the Scheme.

PLANS : The Scheme has the following Plans across a common portfolio:

- Direct Plan:** Direct Plan is only for investors who purchase /subscribe Units in the Scheme directly with Union KBC Mutual Fund and is not available for investors who route their investments through a Distributor (AMFI registered distributor / ARN Holder).
- Regular Plan:** Regular Plan is for investors who purchase /subscribe Units in the scheme through a Distributor.

The Direct Plan shall have a lower expense ratio as compared to the Regular Plan to the extent of distribution expenses, commission, etc and no commission or distribution expenses for distribution of Units will be paid / charged under the Direct Plan. The Direct Plan shall have a separate NAV.

In cases where Distributor code is not mentioned in the application form and the investor mentions 'Regular Plan' against the Scheme name or where Distributor code is not mentioned in the application

form and the investor fails to mention a particular Plan against the Scheme name in the application form at the time of investment, then the application will be deemed to be received under the Direct Plan and the application shall be processed under the Direct Plan.

In cases where Distributor code is mentioned in the application form and the investor fails to mention a particular Plan against the Scheme name in the application form at the time of investment, then the application shall be deemed to be received under the Regular Plan and the application shall be processed under the Regular Plan.

In cases where Distributor code is mentioned in the application form but the investor mentions 'Direct Plan' against the scheme name in the application form at the time of investment, then the distributor code will be ignored and the application shall be processed under the Direct Plan.

In case neither "Distributor's code" nor "Direct" is indicated in the application form, the same will be treated as "Direct Plan".

OPTIONS : The Scheme shall offer **Growth option** under each Plan. This option is suitable for investors who are not seeking dividend but who invest only with the intention of capital appreciation.

The AMC, in consultation with the Trustee reserves the right to discontinue/ add more options / facilities at a later date subject to complying with the prevailing SEBI guidelines and Regulations.

For complete details, refer to the SID of the scheme.

LISTING : The Units of the Scheme will be listed on NSE within 5 Business Days from the date of allotment or within such time as the Regulations permit. NSE has vide its letter No. NSE/LIST/216441-N dated September 19, 2013, provided an in-principle approval to the Mutual Fund for listing of the Units of the Scheme on NSE.

The Mutual Fund may at its sole discretion list the Units on any other recognized Stock Exchange(s) at a later date. The AMC/Trustee shall issue an addendum for listing of Units on any other recognised Stock Exchange in India, and a public notice to this effect will be given in two daily newspapers and also displayed at the Customer Service Centres. All regulatory procedures will be followed in this regard.

An investor can buy/sell Units on a continuous basis on NSE and/or any other Stock Exchange(s) on which the Units are listed during the trading hours like any other publicly traded stock, until the date of suspension of trading as mentioned in the notice issued by the AMC in this regard and for fixing the Record Date for determining the Unit holders whose name(s) appear on the list of beneficial owners as per the Depository's (NSDL/CDSL) records for the purpose of redemption of Units on maturity date. The trading of Units on NSE and/or any other Stock Exchange(s) on which the Units are listed will automatically get suspended from the date as may be specified in such notice and also no off-market trades shall be permitted by the Depositories.

The price of the Units in the market will depend on demand and supply and market factors and forces at that point of time. There is no minimum investment, although Units are purchased in round lots of 1.

As the Stock Exchange(s) do not allow trading of fractional units, Units may be allotted only in integers by rounding off the Units allotted to the lower integer and the balance amount, if any, may be refunded to the investor.

Transaction Cost: Though there will be no entry/exit load for buying/selling the Units from/to the secondary market, the investors will have to bear the other costs related to transacting in the secondary market, e.g. brokerage, service tax, etc.

Unitholders who wish to trade in units through the Stock Exchanges would be required to have a demat account.

The Scheme will be de-listed at the time of maturity. The AMC/Trustee will initiate the delisting procedure prior to the date of maturity. The Unit holders will not be able to trade on the NSE once the Scheme is delisted.

For further details please refer to the SID of the Scheme.

OPTION TO HOLD UNITS IN DEMATERIALIZED FORM PURSUANT TO SEBI CIRCULAR NO. CIR/IMD/DF/9/2011 DATED MAY 19, 2011.

During New Fund Offer Period

The Unit holders are given an option to hold the Units in physical form (by way of an account statement) or in dematerialized form (Demat).

The Option under each Plan held in the dematerialised form shall be identified on the basis of an **International Securities Identification Number (ISIN)** allotted by National Securities Depositories Limited (NSDL) and Central Depository Services Limited (CDSL). The ISIN No. details of the option(s) under respective Plan can be obtained from your Depository Participant (DP) or you can access the website link www.nsdl.co.in or www.cdslindia.com. The holding of units in the dematerialised mode would be subject to the guidelines/ procedural requirements as laid by the Depositories viz. NSDL/CDSL from time to time.

Subscription of units under Dematerialised Mode & allotment thereof: The Applicants intending to hold the Units in dematerialised mode will be required to have a beneficiary account with a DP of the NSDL/CDSL and will be required to mention the DP's Name, DP ID No. and Beneficiary Account No. with the DP in the application form at the time of subscription/ additional purchase of the Units of the respective Scheme(s)/Plan(s)/Option(s).

The applicant shall mandatorily attach a self-attested copy of the latest demat account statement/client master statement along with the application forms at the time of initial subscription. The application for subscription would be liable to be rejected by the AMC/ Registrar under the following conditions:

- In case the applicants do not provide their Demat Account details in the application form; or
- The demat details provided in the application form are incomplete / incorrect or do not exactly match with the details in the Depository records; and/or
- The mode of holding in the application form does not match exactly with that of the demat mode of holding.

Applicants intending to hold units in the dematerialised mode would be considered to be KYC compliant as per the DP records and no separate KYC acknowledgment proof needs to be submitted to the AMC/Registrar. However, the submission of KYC acknowledgement proof is optional. It may be noted that in case the application stands rejected due to any of the above reasons, the AMC/ Registrar shall refund the amount to the applicants in line with the provisions of the SID. However, if the applicant has submitted the KYC acknowledgment proof along with the application forms, the units will be allotted in the physical mode 'by default' (without any separate intimation to such applicant) and an Account Statement shall be sent to the Unit holders in accordance with the provisions of the SID. It may be further noted that for any such default allotment the "Source Bank Account" (as per the payment instrument submitted along with the application form) shall be considered as the bank mandate for all purposes.

NOTE: It may be noted that Switch facility is currently not available in the dematerialised mode. It may also be noted that units in the demat mode shall only be credited in the DP account on the basis of realization of funds. Further, the Units of the Scheme will be traded on the Stock Exchange compulsorily in dematerialized form.

Note: It is further clarified that the demat mode of holding is subject to the following:

- Mandatory Submission of the PAN details along with the necessary proofs in accordance with the provisions of the SAI;
- Provisions of "Non-Acceptance of Third Party Payment Instruments for subscription/ investments of units" under the section "How to Apply?" in the SAI;
- Submission of such other mandatory authority documents as may be specified in the application forms for individual/non-individual category of investors;
- All communications under demat mode of holding shall be on the basis of DP ID and client ID submitted in the application form and no separate folio shall be created for the same.

For further details on dematerialised mode of holding Units, investors are requested to refer to the SAI.

During On-going Period

As the Scheme is a close ended Scheme, the units can be subscribed in the dematerialised mode only during the New Fund Offer period of the Scheme as per procedure specified in the SID.

For more details please refer to the SID of the Scheme.

APPLICABLE NAV (AFTER THE SCHEME OPENS FOR REPURCHASE AND SALE)

For purchases and switch-ins

The Units of the Scheme will not be available for subscription/switch-in after the closure of the New Fund Offer period.

For redemptions and switch-outs

Redemption/ switch-out through the Fund/ AMC is not permitted during the term of the Scheme. Redemptions/ switch-outs to other schemes will be permitted only on maturity of the Scheme. Therefore, the provisions of cut-off timing for redemptions/switch-outs will not be applicable.

Investors wishing to switch-out/re-invest the maturity proceeds of the Scheme into any other Scheme of the Fund need to submit an application for switch upto 3.00 pm on the maturity date and would be subject to the applicable cut-off time of the "Switch-in Scheme." However, once the units are listed, an investor can buy/sell the units on a continuous basis on the Exchange during the trading hours, like any other publicly traded stock.

Investors also have the following switch-out options under the Scheme:

Auto Switch of Redemption Proceeds of Union KBC Trigger Fund - Series 2 on Maturity:

The investors have an option of switching their redemption proceeds receivable on maturity of the Scheme to 'Union KBC Liquid Fund'. Investors can avail of this facility by indicating their intention in the application form at the time of subscribing the units of the Scheme during the NFO period. However, to make the switch effective, investor needs to hold all the units till maturity of the scheme. The switch out transaction will be processed based on the applicable Net Asset Value (NAV) on the date of maturity. Further, for the switch to be effective, the switch must comply with the redemption rules of this Scheme and the issue rules of the Scheme into which units are being switched (e.g. Applicable NAV, minimum number of units that may be redeemed or issued, exit load etc.).

However, investors shall have an option to alter preference from auto switch on maturity to Payout Option by submitting a written request at any of the CSCs / Official Points of Acceptance, at any time during the tenure of the scheme, but, not later than 7 working days before the date of maturity of the scheme.

The Investor should indicate the desired Plan [and option(s) thereunder] under Union KBC Liquid Fund into which they would want to switch the redemption proceeds of the Scheme at the time of maturity in the NFO application forms. In case, an investor does not indicate the desired Plan [and option(s) thereunder] in the form, the default Plan would be 'Direct' if the investment in Union KBC Trigger Fund - Series 2 is 'Direct' or 'Regular' if the investment in Union KBC Trigger Fund - Series 2 is 'Regular' and the default option would be 'Growth'.

It may be noted that switch requests submitted with incomplete/incorrect details or not found to be in order due to signature mismatch/ KYC status - failed etc. are liable to be rejected and the redemption proceeds of the Scheme shall be automatically paid out to the investors as per the provisions of the SID. Further, the AMC /Trustees/ Mutual Fund/Sponsors shall not be liable for any loss due to subsequent movement in NAV.

Advance Switch of Redemption Proceeds of Union KBC Trigger Fund - Series 2 on Maturity:

Investors also have an option to submit switch out requests 10 calendar days in advance, prior to the maturity date of the Scheme (as may be notified), by submitting the Transaction form available on the website of the AMC, to any of the CSCs / Official Points of Acceptance. The investors shall clearly indicate the Scheme name including the Plan(s) and Option(s) thereunder into which they intend to

switch the redemption proceeds of the Scheme on maturity, failing which the request shall be liable to be rejected and the redemption proceeds of the Scheme shall be paid out to the investors as per the provisions of the SID. The switch out transaction will be processed based on the applicable Net Asset Value (NAV) on the date of maturity. Further, for the switch to be effective, the switch must comply with the redemption rules of this Scheme and the issue rules of the Scheme into which units are being switched (e.g. Applicable NAV, minimum number of units that may be redeemed or issued, exit load etc.). This facility is also enabled for switching into any of the New Fund Offers as may be going on at that time subject to the terms of the respective Schemes. Investors are requested to note that such advance switch out requests once submitted shall not be cancelled at a later date.

It may be noted that switch requests submitted with incomplete/incorrect details or not found to be in order due to signature mismatch/ KYC status - failed etc. are liable to be rejected and the redemption proceeds of the Scheme shall be automatically paid out to the investors as per the provisions of the SID. Further, the AMC /Trustees/ Mutual Fund/Sponsors shall not be liable for any loss due to subsequent movement in NAV.

For more details in this regard please refer to the SID of the Scheme.

MINIMUM APPLICATION AMOUNT/NUMBER OF UNITS :

Purchase	Additional Purchase	Repurchase
During NFO Period: ₹ 5,000 and in multiples of ₹ 10 thereafter	Post NFO units can be bought only on the Stock Exchange	No redemption/repurchase of units shall be allowed prior to the maturity of the Scheme. However, Unit holders, who wish to exit from the Scheme before maturity, may do so through the Stock Exchange route.

DESPATCH OF REPURCHASE (REDEMPTION) REQUEST : The redemption proceeds will be dispatched to the Unitholders within 10 business days from the maturity date.

BENCHMARK INDEX : S&P BSE 200 Index*

***Disclaimer:** The "Index" viz. "S&P BSE 200", is a product of Asia Index Private Limited (AIPL), which is a joint venture of S&P Dow Jones Indices LLC or its affiliates ("SPDJ") and BSE Limited, and has been licensed for use by Union KBC Asset Management Company Private Limited. For the detailed disclaimer in this regard please refer to the Scheme Information Document (SID) of the Scheme.

The Trustee reserves the right to change the benchmark for the evaluation of the performance of the Scheme from time to time, keeping in mind the investment objective of the Scheme and the appropriateness of the benchmark, subject to the Regulations and other prevalent guidelines.

DIVIDEND POLICY : The Scheme does not have a dividend option, hence not applicable.

NAME OF THE FUND MANAGER : Mr. Ashish Ranawade

NAME OF THE TRUSTEE COMPANY : Union KBC Trustee Company Private Limited.

PERFORMANCE OF THE SCHEME : This Scheme does not have any performance track record, as it is a new Scheme.

EXPENSES OF THE SCHEME

(i) Load Structure

Entry Load: Nil*

Exit Load: Nil**

***Note:** SEBI vide its circular no. SEBI / IMD / CIR No. 4 / 168230 / 09 dated June 30, 2009 has stipulated that there shall be no Entry Load for all mutual fund schemes. The upfront commission on investment made by the investor, if any, shall be paid to the distributor (AMFI registered distributor / ARN Holder) directly by the investor, based on the investor's assessment of various factors including service rendered by the distributor.

****No Exit Load** is applicable during the term of the Scheme since no redemption is permitted with the AMC / Mutual Fund before maturity of the Scheme, being a close ended Scheme. However, the Units of the Scheme will be listed on the National Stock Exchange of India Ltd. (NSE). Investors wishing to exit may do so through the Stock Exchange route as per rules specified by the Stock Exchange(s).

For further details on Load Structure, refer to the SID of the Scheme.

(ii) Recurring Expenses

As per the SEBI (MF) Regulations, the slab-wise ceiling for recurring expenses (including the Investment Management and Advisory Fees) that can be charged to the Scheme shall be subject to a percentage limit of daily net assets of the Scheme as given below:

First ₹ 100 crores of the daily net assets : 2.50%

Next ₹ 300 crores of the daily net assets : 2.25%

Next ₹ 300 crores of the daily net assets : 2.00%

Balance of Assets: 1.75%

In addition to the above, the AMC may charge the following additional expenses:

- Additional expenses for gross new inflows from specified cities under regulation 52 (6A) (b) upto 0.30% of the daily net assets of the Scheme.

The Direct Plan shall have a lower expense ratio as compared to the Regular Plan to the extent of distribution expenses, commission, etc. and no commission for distribution of Units or distribution expenses will be paid / charged under the Direct Plan. The TER of the Direct Plan will be lower by at least 5% of the TER of the Regular Plan. For example: Suppose the TER of Regular Plan is 2.50% p.a. then the TER of Direct Plan would be lower by at least 5% of 2.50%.

Subject to the SEBI Regulations and this document, expenses over and above the prescribed ceiling will be borne by AMC/Trustee/Sponsors.

For further details in this regard and for details on service tax investors are requested to read Sub-section B viz. "Annual Scheme Recurring Expenses" under Section IV viz. "Fees and Expenses" of the SID.

Actual Expenses for the previous financial year: Not applicable being a new Scheme.

WAIVER OF LOAD FOR DIRECT APPLICATIONS : Pursuant to SEBI circular no. SEBI/IMD/CIR No.4/168230/09 dated June 30, 2009 no entry load will be charged for purchase / additional purchase / switch-in / SIP/ STP transactions accepted by the Fund. The upfront commission on investment made by the investor, if any, shall be paid to the ARN Holder directly by the investor, based on the investor's assessment of various factors including service rendered by the ARN Holder.

TRANSACTION CHARGES TO DISTRIBUTORS (Applicable only during the New Fund Offer period): In accordance with the terms of SEBI Circular Cir/ IMD/ DF/13/ 2011 dated August 22, 2011 and SEBI Circular Cir/ IMD/ DF/21/ 2012 dated September 13, 2012 on Transaction Charges, the AMC/Mutual Fund shall deduct the Transaction Charges on purchase / subscription received from first time mutual fund investors and investors other than first time mutual fund investors through the distributor (**who have specifically opted-in to receive the transaction charges**) as under:

o First Time Mutual Fund Investor (across Mutual Funds):

Transaction charge of ₹ 150/- for subscription of ₹ 10,000 and above will be deducted from the subscription amount and paid to the distributor/agent of the first time investor and the balance shall be invested.

o Investor other than First Time Mutual Fund Investor:

Transaction charge of ₹ 100/- per subscription of ₹ 10,000 and above will be deducted from the subscription amount and paid to the distributor/agent of the existing investor and the balance shall be invested.

Transaction charges shall not be deducted for (i) purchases/ subscriptions made directly with the Fund (i.e. not through any distributor); (ii) purchase/subscriptions below ₹ 10,000/- and (iii) transactions other than purchases/ subscriptions relating to new inflows.

For further details on Transaction Charges, refer to the sub section E. 'Transaction Charges to Distributors' under Section IV. 'Fees and Expenses' in this document.

TAX TREATMENT FOR THE INVESTORS (UNITHOLDERS) : Investors are advised to refer to the details in the Statement of Additional Information and also independently refer to his/her tax advisor.

DAILY NET ASSET VALUE (NAV) PUBLICATION : The NAV will be declared on all business days and will be published in 2 newspapers. NAV can also be viewed on www.unionkbcmf.com and www.amfiindia.com. Investors may also contact any of the Investor Service Centres (ISCs) of Union KBC Mutual Fund.

FOR INVESTOR GRIEVANCES PLEASE CONTACT:

Name and Address of Registrar	Contact person name, address, telephone number, fax number, e-mail i.d. at Union KBC Mutual Fund
<p>Computer Age Management Services Pvt. Ltd. (R&T)</p> <p>Ground Floor No.178/10, Kodambakkam High Road, Opp. Hotel Palmgrove, Nungambakkam, Chennai, Tamil Nadu - 600 034 e-mail: enq_uk@camsonline.com</p>	<p>Mr. Joseph Idichandy Investor Relations Officer,</p> <p>Union KBC Asset Management Company Pvt. Ltd. Unit No. 802, 8th Floor, Tower 'A', Peninsula Business Park, Ganpatrao Kadam Marg, Lower Parel (W), Mumbai – 400 013 Toll free no. : 18002002268 Phone: 022-24833333 • Fax No: 022-24833401 e-mail: investorcare@unionkbc.com</p>

Investors may also contact any of the Investor Service Centres (ISCs) of the AMC/Registrar for any queries / clarifications/complaints.

For verification of investor's identity, the service representatives may require personal information of the investor in order to protect confidentiality of information.

The AMC will at all times endeavour to handle transactions efficiently and to resolve any investor grievances promptly.

It may be noted that all grievances/ complaints with regard to demat mode of holding shall be routed only through the DP/NSDL/CDSL.

UNIT HOLDERS' INFORMATION

Allotment: Full allotment of units will be made to all valid applications received by the Fund including applications received through Application Supported by Blocked Amount [For details of Application Supported by Blocked Amount (ASBA) facility please refer to the SID of the Scheme and the SAI] facility during the New Fund Offer (NFO) Period. Allotment of units will be completed within 5 business days from the closure of the New Fund Offer Period. The Units of the Scheme will not be available for subscriptions/switch in after the closure of NFO period.

Account Statements: Applicants under the Scheme will have an option to hold the Units either in physical form (by way of an account statement) or in dematerialised form.

For New Fund Offer Period:

o Non-demat account holders:

An account statement stating the number of units purchased and allotted will be sent through ordinary post or courier and/or electronic mail, within 5 business days from the closure of NFO period.

o Demat account holders:

An allotment advice will be sent upon allotment of Units stating the number of Units allotted to each of the Unit holders within 5 Business Days from the date of closure of the NFO Period.

AMC/ Registrar shall send confirmation specifying the number of units allotted to the applicant by way of email and/or SMS's to the applicant's registered email address and/or mobile number as soon as possible but not later than five working days from the date of closure of the initial subscription list/the date of receipt of the request from the unitholder.

The Account Statement reflecting Redemption / Switch out of Units shall be dispatched to the Unit Holder within 10 Business Days from the Maturity Date of the Scheme.

For complete details, please refer the SID of the Scheme.

Consolidated Account Statement (CAS):

- A Consolidated Account Statement for each calendar month will be issued, on or before tenth day of the succeeding month, detailing all the transactions and holding at the end of the month including transaction charges paid to the distributor, across all Schemes of all Mutual Funds to all the investors in whose folios transaction has taken place during that month.
- In case of investors in whose folios no transaction has taken place during any half yearly period ended September/ March, a Consolidated Account Statement for such a half yearly period will be issued, on or before tenth day of succeeding month, detailing the holding at the end of the respective six month period across all Schemes of all mutual funds.
- It may be noted that for investors whose e-mail addresses are available and registered across any of the Mutual Fund/AMC's, the CAS shall be sent by way of an e-mail communication only on any/all of the registered email addresses.
- For investors holding units in dematerialized mode, provision of CAS shall not be applicable.

For further details, please refer the SID of the Scheme.

Monthly Portfolio Disclosure: The AMC will disclose the portfolios of all the schemes of Union KBC Mutual Fund as on the last day of the month on the AMC's website (www.unionkbcmf.com) on or before the tenth day of the succeeding month. The Investors will be able to view and download these monthly portfolio disclosures from the AMC's website.

Annual Financial Results: The Scheme wise annual report or an abridged summary thereof shall be mailed (only emailed, where e-mail address is available and registered with the AMC/ Registrar/Fund) to all Unit holders not later than four months (or such other period as may be specified by SEBI from time to time) from the date of closure of the relevant accounting year (i.e. 31st March each year) and full annual report shall be available for inspection at the Head Office of the Mutual Fund and a copy shall be made available to the Unit holders on request on payment of nominal fees, if any. Scheme wise annual report shall also be displayed on the website of the AMC (www.unionkbcmf.com) and Association of Mutual Funds in India (www.amfiindia.com).

Scheme wise annual report or an abridged summary thereof shall be sent in physical mode to the Unit holders whose email address is not available/ registered with the AMC/ Registrar/Fund and/or to those Unit holders who have specifically opted / requested for the physical format in the application form.

Half yearly Portfolio Disclosure: The Mutual Fund/AMC shall publish a complete statement of the Scheme Portfolio, within one month from the close of each half year (i.e. 31st March and 30th September), by way of an advertisement at least, in one National English daily and one regional newspaper in the language of the region where the head office of the Mutual Fund is located. The Mutual Fund may opt to send the portfolio to all Unit holders in lieu of the advertisement (if applicable). The Half Yearly Portfolio Statement will also be displayed on the website of the AMC and AMFI.

Half Yearly Unaudited Financial Results: The Mutual Fund and AMC shall, before the expiry of one month from the close of each half year, i.e. as on March 31st and September 30th, host a soft copy of its unaudited financial results on its website (www.unionkbcmf.com). The Mutual Fund and AMC shall publish an advertisement disclosing the hosting of such financial results on its website, in atleast one English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the Head Office of the Mutual Fund is situated. The unaudited financial results will also be displayed on the website of AMFI.

For further details, please refer the SID of the Scheme.

COMPARISON WITH EXISTING SCHEMES (Name & type of Schemes, differentiation, AUM and no. of folios)

The existing products of Union KBC Mutual Fund are either open ended Debt, Liquid, Hybrid, Equity schemes or close ended Capital Protection Oriented Schemes, hence, the 'Close Ended Equity Scheme' under consideration cannot be compared with any other existing schemes of the Fund.




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APPLICATION FORM FOR UNION KBC TRIGGER FUND - SERIES 2

Application No. **5000199**  **Union KBC**
MUTUAL FUND
Your Bridge to Responsible Investing

This product is suitable for investors who are seeking*:

- Capital Appreciation during the tenure of the Scheme
- Investment predominantly in Equity and Equity related portfolio constituting S&P BSE 200 Index** Companies.
- High Risk  (Brown)

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them. Note: Risk is represented as:  (BLUE) investors understand that their principal will be at low risk  (YELLOW) investors understand that their principal will be at medium risk  (BROWN) investors understand that their principal will be at high risk

** Note: For the disclaimer please refer Section 'Benchmark Index' in the Key Information Memorandum attached herewith.

(Please read the instructions carefully and complete the relevant section legibly in black / dark blue coloured ink and in BLOCK LETTERS.)

Broker Code/ ARN	Sub-Broker Code/ Branch Code	Branch Manager Code	LG/ MO/ CRE Code	EUIN* (Refer Section 'L' of instructions)	Collection Date <table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y						
					Time Stamping								

*I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Signature

Sole/ First Applicant/ Guardian/ POA/ Authorised Signatory	Second Applicant/ POA/ Authorised Signatory	Third Applicant/ POA/ Authorised Signatory
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Any upfront commission shall be paid directly by the investor to the AMFI registered distributors based on the investors assessment of various factors including the service rendered by the distributor.

TRANSACTION CHARGES FOR APPLICATIONS THROUGH DISTRIBUTORS ONLY. (Refer Section 'J' of instructions)

I confirm that I am a First time investor across Mutual Funds I confirm that I am an Existing investor in Mutual Funds

In case the subscription amount is ₹ 10,000/- or more and your Distributor has opted-in to receive Transaction Charges, ₹ 150/- (for first time mutual fund investor) or ₹ 100/- (for investor other than first time mutual fund investor) will be deducted from the subscription amount and paid to the distributor. Units will be issued against the balance amount invested.

1. **EXISTING UNIT HOLDER INFORMATION** (Please complete Section 1, 8 & 13 only) (The details in our records under the Folio No. mentioned below will only be considered for this application) * Mandatory

Unit holder's Name	Folio No.
--------------------	-----------

2. **FIRST APPLICANT'S INFORMATION*** [Please shade (●)] (Refer Section 'B', and 'C' of instructions) (Please ensure that the details mentioned matches with the KYC details)

Mr. Ms. M/s.

N	A	M	E
---	---	---	---

 PAN KYC

Date of Birth (Mandatory in case of minor)

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 Minor's Relationship with Guardian (referred in point no. 5) Father Mother Legal Guardian

Proof for Date of Birth and relationship with Guardian Birth Certificate School Leaving Certificate Marksheet issued by HSC/ State Board Passport Others (Please Specify)

Status Resident Individual Minor NRI (Repatriable) NRI (Non-Repatriable) Sole Proprietorship HUF

Partnership Firm Limited Partnership (LLP) Listed Company Unlisted Company Body Corporate Bank/FI Insurance Company

Government Body AOP/BOI Trust Society Provident Fund Superannuation/Pension Fund Gratuity Fund FII Others (Please Specify)

Occupation Pvt. Sector Public Sector Govt. Service Business Professional Agriculturist Retired Housewife Student Others (Please Specify)

Gross Annual Income Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs - 1 Crore >1 Crore

Net-worth in ₹ _____ as on

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 (Not older than 1 year)

Please shade (●) if applicable

Politically Exposed Person Related to Politically Exposed Person

For Non - Individual Investors (Is the entity involved in / providing any of the following services)

Foreign Exchange / Money Changer Services Yes No

Gaming / Gambling / Lottery Services [eg. casinos, betting syndicates] Yes No

Money Lending / Pawning Yes No

Any other information [Please specify]: _____

Mailing address (P. O. Box address is not sufficient.)

City _____ State _____ Pin Code _____

Overseas address (Mandatory for NRI/FII. P. O. Box address is not sufficient. Investors residing overseas and with P. O. Box address please provide your Indian address)

City _____ Country _____ Area Code _____

Contact Details (Refer Section 'I' of Instructions) (Please ensure to mention Country and Area Code)

Tel. (Off.)	Country/ Area code	Mobile	Country/ Area code
Tel. (Res.)	Country/ Area code	Fax	Country/ Area code

E-mail _____

I/ we wish to receive the Account Statement, Annual Report or Abridged Report, Consolidated Account Statement and other statutory documents in Physical E-mail

3. **PIN Facility for online transactions:** I/We wish to avail the PIN Facility. I/We have read and understood the Terms & Conditions for PIN Facility mentioned in the instructions of the form and accept & agree to be bound by the said terms & conditions.

4. **MODE OF HOLDING** Single Joint (Default option) Anyone or Survivors

5. **Guardian if minor / Contact Person for non-individuals / PoA holder Details**

Mr. Ms. M/s.

N	A	M	E
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 PAN (Guardian/ PoA) KYC

Status Resident Individual NRI (Repatriable) NRI (Non-Repatriable) Others (Please Specify)

Occupation Pvt. Sector Public Sector Govt. Service Business Professional Agriculturist Retired Housewife Others (Please Specify)

Gross Annual Income Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs - 1 Crore >1 Crore Net-worth in ₹ _____

Other Details I am Politically Exposed Person I am Related to Politically Exposed Person Not Applicable

6. **OTHER APPLICANT'S INFORMATION*** [Please shade (●)] (Refer Section 'B', and 'C' of instructions)

Mr. Ms.

N	A	M	E	O	F	S	E	C	O	N	D	A	P	P	L	I	C	A	N	T
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

 PAN KYC

Status Resident Individual Minor NRI (Repatriable) NRI (Non-Repatriable) Others (Please Specify)

Occupation Pvt. Sector Public Sector Govt. Service Business Professional Agriculturist Retired Housewife Student Others (Please Specify)

Gross Annual Income Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs >25 Lacs - 1 Crore >1 Crore Net-worth in ₹ _____

Other Details I am Politically Exposed Person I am Related to Politically Exposed Person Not Applicable

For Office use only

NFO OPENS ON : FEBRUARY 18, 2015 CLOSES ON : MARCH 4, 2015



OTHER APPLICANT'S INFORMATION* [Please shade (●)] (Refer Section 'B', and 'C' of instructions)

<input type="radio"/> Mr. <input type="radio"/> Ms.	N A M E O F T H I R D A P P L I C A N T	PAN	<input type="checkbox"/> KYC
Status	<input type="radio"/> Resident Individual <input type="radio"/> Minor <input type="radio"/> NRI (Repatriable) <input type="radio"/> NRI (Non-Repatriable) <input type="radio"/> Others	(Please Specify)	
Occupation	<input type="radio"/> Pvt. Sector <input type="radio"/> Public Sector <input type="radio"/> Govt. Service <input type="radio"/> Business <input type="radio"/> Professional <input type="radio"/> Agriculturist <input type="radio"/> Retired <input type="radio"/> Housewife <input type="radio"/> Student <input type="radio"/> Others		
Gross Annual Income	<input type="radio"/> Below 1 Lac <input type="radio"/> 1-5 Lacs <input type="radio"/> 5-10 Lacs <input type="radio"/> 10-25 Lacs <input type="radio"/> >25 Lacs - 1 Crore <input type="radio"/> >1 Crore		
Other Details	<input type="radio"/> I am Politically Exposed Person <input type="radio"/> I am Related to Politically Exposed Person <input type="radio"/> Not Applicable		

7. UNITHOLDING OPTION [Please shade (●)] Physical Mode Demat Mode (If demat account details are provided below, units will be allotted by default in electronic mode only)

DEMAT ACCOUNT DETAILS (Refer Section 'G' of instructions)

NSDL: Depository Participant (DP) Name _____ DP ID No: **I N** _____ Beneficiary Account Number _____

CDSL: Depository Participant (DP) Name _____ Beneficiary Account Number _____

It may be noted that the combination/ sequence of names and mode of holding in the application form must match exactly with the account held with the Depository participant. Investor willing to invest in demat option, may provide a copy of the DP statement to enable us to match the demat details as stated in the Application Form.

8. INVESTMENT AND PAYMENT DETAILS* [Please shade (●)] (Refer Section 'F' and 'G' of instructions) [Third Party payment(s) will not be accepted]

Scheme **U N I O N K B C T R I G G E R F U N D - S E R I E S 2 - G R O W T H**

Plan Direct Plan Regular Plan Default Plan/ Option/ Facility will be applied in case of no information, ambiguity or discrepancy.

Payment Mode Cheque RTGS NEFT Fund Transfer Debit Mandate (Union Bank of India A/C Holders only)

Cheque/ RTGS/ NEFT No. _____ Cheque/ RTGS / NEFT Date **D D M M Y Y Y Y**

Amount in ₹ (Figures) _____ Amount in ₹ (words) _____

Source Bank Name _____ Source Branch _____

Source Bank A/C No. _____ Account Type Savings Current NRE NRO FCNR

Cheque Issuer Name _____ In case the cheque is issued by a person other than the investor

Document attached in the case of third party payments (Mandatory) Third Party Declarations

9. PAYOUT BANK ACCOUNT DETAILS * [Please shade (●)] (Refer Section 'D' and 'G' of instructions) (Will be updated only if the proof of bank account is available)

Please update my/our pay-in-bank account mentioned under point no. '8' above as default payout bank account Yes No (If no please furnish the details below) (Will be updated only if payment is through cheque/debit mandate or proof of pay-in with IFSC code is enclosed)

Bank Name _____ Bank A/C No _____ Bank Branch _____

A/C Type Savings Current NRE NRO FCNR Others (Please Specify)

Bank City _____ State _____ PIN _____

IFSC CODE _____ MICR CODE _____

Document Attached Bank Statement Cancelled cheque with name pre-printed Pass Book

In case the Pay-out bank account detail is different from Pay-in bank account detail please submit necessary documents as proof.

(IFSC Code is the 11 digit no. appearing on your cheque leaf, mandatory for credit via NEFT/ RTGS) (MICR Code is the 9 digit code next to the cheque no.)

For unit holders opting to invest in demat mode, please ensure that the bank account linked with the demat account is mentioned here.

10. NOMINATION DETAILS* [Please shade (●)] (Refer Section 'H' and 'G' of instructions) (In case of multiple nominees, please complete the separate nomination form available on our website)

Please register nomination as requested below I/ We wish to nominate multiple nominees[®] I/ We do not wish to nominate[®] ([®]Please strike out the form below)

I/We hereby nominate the under mentioned Nominee to receive the amounts to my / our credit in the event of my / our death. I/We also understand that all payments and settlements made to such Nominee shall be a valid discharge by the AMC / Mutual Fund / Trustee.

Name of the Nominee : _____

Address : _____

Relationship : _____ Date of Birth (In Case Nominee is a Minor) ____/____/____

Name of the Guardian (in case Nominee is minor): _____ Signature of Nominee/ Guardian (not mandatory)

11. AUTO SWITCH ON MATURITY [Please shade (●)] (Refer Section 'M' of instructions) (Investors are advised to read the SID and KIM of Union KBC Trigger Fund - Series 2 and Union KBC Liquid Fund before opting for the auto switch facility).

Switch to **Union KBC Liquid Fund[§]** _____ Plan/ Option _____

Default Plan/ Option/ Facility of Union KBC Trigger Fund - Series 2 will be applied in case of no information, ambiguity or discrepancy.

(Please refer the Product Label overleaf) Proceeds to be dispatched / credit to Bank A/c (As may be applicable) [Default if 'Auto Switch' or 'Advance Switch' as indicated in SID/ KIM not opted].

I/ We would like to switch all units from the schemes on it's maturity to **Union KBC Liquid Fund**. Investor can alter preference from Auto Switch on maturity to the payout option on maturity by submitting a written request at any time during the tenure of the Scheme, but, not later than 7 working days before the maturity of the Scheme at any of the CSC's/ Official Points of Acceptance. The Redemption Proceeds shall be dispatched to the unitholders within 10 Business Days from the maturity date.

12. INVESTORS ARE REQUESTED TO ALSO SUBMIT THE RELEVANT FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) FORM AVAILABLE AT THE CUSTOMER SERVICE CENTRES/ THE AMC WEBSITE

13. DECLARATION & SIGNATURES* (Refer Section 'K' of instructions)

Having read and understood the contents of the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by Union KBC Mutual Fund and the terms and conditions and policies on the website before investing, I/ we, hereby apply to the Trustee of Union KBC Mutual Fund for Units of the **Union KBC Trigger Fund - Series 2** and agree to abide by the terms and conditions, rules and regulations of the **Union KBC Trigger Fund - Series 2**. I/ We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I/ We hereby nominate the above nominee to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for nomination. Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of Union KBC Mutual Fund/ AMC/ Trustee/ Sponsor. I/ We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby agree to have read and understood the terms and conditions with regard to payment of transaction charges as specified in the SID/SA/KIM and addenda thereto and this application form and instructions thereto. I/ We hereby confirm that Union KBC Mutual Fund/ Union KBC Asset Management Company Private Limited and its empanelled broker(s) has not given any indicative portfolio and indicative yield, in any manner whatsoever. I/ We hereby confirm that at the time of investment, I/ we have the express authority to invest in units of the Scheme and the AMC / Trustee / Mutual Fund/ Sponsor will not be responsible if such investment is ultravires the relevant constitution.

Applicable to Micro Investments only: I/We do not have any existing Micro investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year.

Applicable to NRIs only: I/We confirm that I am / we are Non-Resident(s) of Indian Nationality / Origin and I/we hereby confirm that the funds for subscriptions have been remitted from abroad through normal banking channels or from fund in my/our Non Resident External / Ordinary account/ FCNR account(s).

I/ We understand that this product is neither a guaranteed return product nor a guaranteed capital protection product. We have read and understood the illustration provided under Section viz. "Duration/Maturity" of the KIM which explains the concept of maturity and applicable NAV at maturity of this scheme.

Signature Sole/ First Applicant/ Guardian/ POA/ Authorised Signatory	Signature Second Applicant/ POA/ Authorised Signatory	Signature Third Applicant/ POA/ Authorised Signatory
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14.

DECLARATION OF ULTIMATE BENEFICIAL OWNERSHIP (UBO) [Please shade (●)] **(Please refer instructions overleaf)**

(Mandatory for Non-individual Applicant/ Investor)

Name of the investor

PAN

 KYC M/s.**Listed Company/ its subsidiary company** [Please shade (●)]

We hereby declare that:

 Our company is a Listed Company listed on recognized stock exchange in India Our company is a subsidiary of the Listed Company Our company is controlled by a Listed Company None of the above

If 'None of the above' option is selected, the following information shall be provided mandatorily as applicable

Non-individuals other than Listed Company/ its subsidiary company [Please shade (●)]**Applicable Category:** Unlisted Company Partnership Firm Limited Liability Partnership Unincorporated association / body of individuals Public Charitable Trust Religious Trust Private Trust Trust created by a Will Others *(Please Specify)***Details of Ultimate Beneficial Owners ^ :**

Sr. No.	Name of UBO [Mandatory]	PAN or any other valid ID proof where PAN is not applicable [Mandatory]	Position/ Designation [to be provided wherever applicable]	Applicable Period	UBO Code [Mandatory] (Refer UBO Codes given overleaf)	KYC (Yes/No) [Please attach KYC acknowledgement copy] #

^ If the given rows are not sufficient, investor can submit multiple declarations covering all Beneficial Owners.

#Where KYC is not available, submit proof of identity (viz. PAN with photograph or any other acceptable proof of identity prescribed in common KYC form) of UBO(s).

Declaration

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/we are aware that I/we may be liable for it. I/We hereby authorize sharing of the information furnished in this form with all SEBI Registered Intermediaries and they can rely on the same. In case the above information is not provided, it will be presumed that applicant is the Ultimate Beneficial Owner, with no declaration to submit. In such case, the concerned SEBI Registered Intermediary reserves the right to reject the application or reverse the allotment of units, if subsequently it is found that applicant has concealed the facts of beneficial ownership. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end.

Authorized Signatories [with Company/Trust/Firm/Body Corporate seal]


Signature

Signature

Signature




***Union KBC Liquid Fund (An Open-Ended Liquid Scheme) Product Label**

This product is suitable for investors who are seeking*:

- Reasonable returns over Short Term commensurate with low risk and high level of liquidity.
- Investment in Money market and Debt securities with maturity of upto 91 days.
- Low Risk  (BLUE)

*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

Note: Risk is represented as:

-  (BLUE) investors understand that their principal will be at low risk
-  (YELLOW) investors understand that their principal will be at medium risk
-  (BROWN) investors understand that their principal will be at high risk

15. DEBIT MANDATE FOR UNION KBC TRIGGER FUND - SERIES 2

(Lumpsum Investment) (For Union Bank of India account holders at CMS Locations only)

Application No. **5000199**

To be detached by the Registrar (CAMS Pvt. Ltd.) and presented to Union Bank of India.

To Branch Manager - Union Bank of India

Date ___/___/___

I / We _____

authorise you to debit my / our Account No. _____ Type of Account _____

₹ (in figures) _____ ₹ (in words) _____ to

pay for the purchase of units of **Union KBC Trigger Fund - Series 2**Signature of Account Holder(s) / Authorised Signatory(ies)
(As per Bank records)**ACKNOWLEDGEMENT SLIP** (To be filled in by the investor)

Application No. 5000199

Received from: Mr./ Ms. /M/s _____

an application for units of _____ (Scheme/Plan/Option)

Amount _____ Instrument No _____

Dated ___/___/___ Drawn on Bank & Branch _____

Unitholding Option Physical Mode Demat ModeEncl: Third Party Declarations Bank Accounts Registration Form Nomination Form

Please note: All purchases are subject to realisation of cheques/ Debit Mandate

Collection centre's stamp with
date and time of receipt

INSTRUCTIONS IN RELATION TO IDENTIFICATION OF ULTIMATE BENEFICIAL OWNERSHIP

Pursuant to SEBI master circular ref. no. CIR/ISD/AML/3/2010 dated December 31, 2010 on anti money laundering standards and the guidelines on identification of Beneficial Ownership prescribed by SEBI vide circular no. CIR/MIRSD/2/2013 dated January 24, 2013, **investors other than Individuals are required to provide details of Ultimate Beneficial Owners (UBO) and submit proof of identity of such UBO** to the Official Points of Acceptance (OPAs) of Union KBC Mutual Fund while submitting the Application Form.

'Beneficial Owner' has been defined as the natural person or persons who ultimately own, control or influence an investor and / or persons on whose behalf a transaction is being conducted, which includes a person who exercises ultimate effective control over a legal person or arrangement.

The Ultimate Beneficial Owner means:

A. For investor other than individuals or trusts:

The natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest.

Controlling ownership interest means ownership of/entitlement to:

- i. more than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
- ii. more than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or
- iii. more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

In cases where there exists doubt as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity details of the natural person exercising control over the juridical person through other means (i.e. control exercised through voting rights, agreement, arrangements or in any other manner) should be provided.

However, where no natural person is identified, the identity details of the relevant natural person who holds the position of senior managing official should be provided.

B. Where investor is a Trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Exemption in case of listed companies:

In case the investor or the owner of the controlling interest is a company listed on a stock exchange, or is a majority-owned subsidiary of such a company, the details of any shareholders or beneficial owners of such companies are not required to be provided.

Applicability for foreign investors:

The identification of beneficial ownership in case of Foreign Institutional Investors (FIIs) and their Sub Accounts, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012.

UBO Codes:

UBO Code	Description
UBO-1	Controlling ownership interest of more than 25% of shares or capital or profits of the juridical person [Investor], where the juridical person is a company
UBO-2	Controlling ownership interest of more than 15% of the capital or profits of the juridical person [Investor], where the juridical person is a partnership
UBO-3	Controlling ownership interest of more than 15% of the property or capital or profits of the juridical person [Investor], where the juridical person is an unincorporated association or body of individuals
UBO-04	Natural person exercising control over the juridical person through other means i.e. control exercised through voting rights, agreement, arrangements or in any other manner <i>[This is applicable in cases where there exists doubt as to where the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests]</i>
UBO-5	Natural person who holds the position of senior managing official <i>[This is applicable in case no natural person can be identified as given above]</i>
UBO-6	The settler(s) of the trust
UBO-7	Trustee(s) of the Trust
UBO-8	The Protector(s) of the Trust <i>[if applicable]</i>
UBO-9	The beneficiaries with 15% or more interest in the trust
UBO-10	Natural person(s) exercising ultimate effective control over the Trust through a chain of control or ownership

Document Checklist	Individual	Company	Society	Partnership Firms	Investment through POA	Trusts	NRI	FII's	HUF	AOP & BOI	Demat Holder
PAN Card [Micro Investments, Investor(s) from Sikkim, government officials specifically exempt]	✓	✓	✓	✓	✓	✓	✓		✓	✓	✓
KYC Acknowledgement	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓*
Resolution/ Authorisation to invest		✓	✓	✓		✓		✓		✓	
List of authorised signatories with specimen signatures		✓	✓	✓	✓	✓		✓		✓	
Memorandum & Articles of Association		✓									
Certificate of Incorporation		✓	✓	✓		✓					
Trust Deed						✓					
Bye-laws			✓								
Partnership Deed				✓							
Notorised POA (signed by investor and POA Holder)					✓						
Bank Account Proof (Latest available)	✓	✓	✓	✓	✓	✓	✓		✓	✓	
Demat Statement (Latest available)											✓
Client Master Statement (Latest available)											✓
HUF Deed									✓		
Overseas Auditor's Certificate & SEBI Regn. Certificate								✓			

*For demat holder, submission of KYC is optional.

Please address all future communication(s) in connection with this application to the Registrar & Transfer Agent of the Scheme:

Computer Age Management Services Pvt. Ltd.,

Unit: Union KBC Mutual Fund

Ground Floor No.178/10, Kodambakkam High Road, Opp. Hotel Palmgrove, Nungambakkam, Chennai, Tamil Nadu - 600 034.

Email: enq_uk@camsonline.com | Website: www.camsonline.com



Union KBC Asset Management Company Pvt. Ltd.

Corporate Identity Number (CIN): U65923MH2009PTC198201

Unit 802, 8th Floor, Tower 'A', Peninsula Business Park, Ganpatrao Kadam Marg, Lower Parel (West), Mumbai - 400 013.

Toll Free : 1800 200 2268 | Tel No. : 022 24833333 | Fax No. : 022 24833401

Website: www.unionkbcmf.com | Email : investorcare@unionkbc.com

INSTRUCTIONS FOR COMPLETING THE APPLICATION FORM

A. GENERAL INSTRUCTIONS

1. Please read the Scheme Information Document (SID), Statement of Additional Information (SAI) and Key Information Memorandum (KIM) and relevant addenda thereto, if any, of Union KBC Trigger Fund - Series 2 carefully before investing. All applicants are deemed to have read, understood and accepted the terms subject to which this offer is being made and bind themselves to the terms upon signing the application form and tendering payment.
2. The application form must be filled in English in BLOCK letters using black or dark blue coloured ink. Incomplete applications are liable to be rejected. Please refer to the check list to ensure that the requisite details and documents have been provided, this will help in avoiding processing delays and/or rejection of your application form.
3. Correction/cancellation of any information should be countersigned by the applicants/ unit holders. Please strike out any section which is not applicable.
4. The application serial number/ folio number and the scheme name should be mentioned on the reverse side of the instrument that accompanies the application.
5. All communications and payments shall be made to the first applicant only, irrespective of the holding basis.
6. The application complete in all respect along with the Cheque / Debit Mandate / other payment instrument as permitted must be submitted to the nearest Customer Service Centre(s) (CSC) / Official Points of Acceptance (OPA) of CAMS or office of the AMC.
7. Any subsequent change in static information like bank details, dividend sub option etc. (as may be applicable under the Scheme) would be based on written communication from investors. These changes will be effected within 10 days of the valid signed request reaching the office of the Registrar and any interim financial transactions will be effected with last/registered details only.
8. Units allotted are subject to realisation of payment instrument and no financial transactions i.e. redemption/ switch etc will be effected till confirmation of realisation.
9. Investors should mandatorily use the application form/ transaction form/ systematic transaction form (if applicable under the Scheme) and other standard forms available at the CSCs or at our website www.unionkbcmf.com, for any financial/ non-financial transactions. Any transaction received in any non standard form, is liable to be rejected.
10. List of Official Points of Acceptance is available on the website of the Mutual Fund, www.unionkbcmf.com.

B. APPLICANT / UNIT HOLDER INFORMATION

1. Existing investors please fill your existing folio number. Investment in the same folio will be possible only if the name(s) of the holder(s), the order of the holders and the mode of holding are the same.
2. Please furnish names of all applicants. The name of all the applicants should be mentioned in the same manner in which it appears in the Permanent Account Number (PAN) Card.
3. In case of non-individual applicants, i.e. HUF/ Companies/ AOP / Trusts / Societies / FIs etc. the name, designation, e-mail ID and telephone number of the contact person to whom the correspondence should be addressed to should be provided.
4. In case of minor applicant, the minor shall be the first and the sole holder in the folio account. There shall not be any joint holding with minor as the first or joint holder. It is mandatory to provide the minor's date of birth, the name of the guardian and the relationship with minor in the space provided. While applying on behalf of minor, one of the following supporting documents should be provided to substantiate the date of birth and the relationship with guardian i.e. birth certificate of the minor or school leaving certificate / mark sheet issued by Higher Secondary Board of respective states, ICSE, CBSE etc. or Passport of the minor or any other suitable proof evidencing the date of the birth of the minor. In case of court appointed legal guardian, supporting documentary evidence should be provided. Prior to minor attaining majority, the Mutual Fund shall send an advance notice to the registered correspondence address advising the guardian and the minor to submit "Form for minor attaining majority" available on our website www.unionkbcmf.com along with prescribed documents to change the status of the folio to "Major". The folio shall be frozen for operation by the guardian on the day the minor attains the age of majority and the guardian will not be able to undertake any financial and non-financial transactions including fresh registration of Systematic Transfer Plan (STP), Systematic Investment Plan (SIP) and Systematic Withdrawal Plan (SWP) (if applicable under the Scheme) after the date of the minor attaining majority till the time the above application form along with the prescribed documents are received by the Mutual Fund. The Mutual Fund shall continue to process the existing standing instructions like SIP/STP SWP registered prior to the minor attaining majority and will send an advance notice to the registered correspondence address advising the guardian and the minor that the existing standing instructions will continue to be processed beyond the date of the minor attaining majority till the time a instruction from the major to terminate the standing instruction is received by the Mutual Fund along with the prescribed documents. The standing instruction shall be terminated within 30 days from the date of receiving the instruction.
5. If there is more than one applicant and the mode of holding is not specified, the default mode of holding would be Joint.
6. Please indicate the investor profile of applicant(s) at the time of investment.
7. Investors should ensure to write the word 'DIRECT' in the column 'ARN No.' or 'Broker Code' in their applications for purchase/additional purchase (if applicable under the Scheme)/ switch in cases where such applications are not routed through any distributor/ agent/ broker. In cases where unit holder uses a pre-printed transaction slip/application form where details in the ARN No. or 'Broker Code' is already printed, alterations, if any, in the column 'ARN No.' or 'Broker Code' should be counter signed by 1st unit holder, failing which, the application will be processed as if no alterations were made. Any subsequent change/ update/ removal of broker code will be based on the written request from the unit holders and will be on a prospective basis, only from the date when the registrar executes such written instructions.
8. To help us service you better, please provide your email ID and mobile number.

C. PERMANENT ACCOUNT NUMBER (PAN) AND KNOW YOUR CLIENT (KYC)

1. **PAN**
Please furnish the PAN and KYC details of each applicant / unit holder, including the guardian and/or Power of Attorney (POA) holder as explained in the paragraphs below. It is mandatory for all investors to quote their PAN and submit self certified copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for purchase of units of the scheme. Investors will be required to submit the original PAN card for verification, wherever applicable. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of a minor, PAN details of the guardian must be submitted.
As per SEBI circular no. MRD/ DoP/MF/Cir - 08/2008 dated April 3, 2008 and circular no. MRD/ DoP/Cir/20/2008 dated June 30, 2008 investors residing in the state of Sikkim and Central Government, State Government and the officials appointed by the courts e.g. Official liquidator, Court receiver etc. (under the category of Government) respectively are exempted from the mandatory requirement of PAN for their investments in Mutual Funds. However, this would be subject to verification of the veracity of the claim of the investors by collecting sufficient documentary evidence. The AMC reserves the right to ask for the necessary documentation to the satisfaction of the Mutual Fund. Applications without the aforesaid details are liable to be rejected without any reference to the investors.

Micro investments exempt from PAN Requirement:

Investments in the scheme(s) (including investments through Systematic Investment Plan (SIP) (if available under the Scheme)) of less than ₹ 50,000/- (Rupees Fifty Thousand) per year per investor shall be exempted from requirement of PAN.

PAN requirement shall be exempted if the aggregate of the lump sum investments (fresh purchases & additional purchases (if available under the Scheme)) and SIP installments (if available under the Scheme) by an investor in rolling 12 months period or in a financial year i.e. April to March does not exceed ₹ 50,000/- (Rupees Fifty Thousand) (hereafter referred to as "Micro investments"). However, the requirements of Know Your Client (KYC) shall be mandatory for all investments, irrespective of the amount of investment.

The above exemption for PAN will be available to Micro investments made by eligible investors, being individuals (including Joint holders who are individuals, Non-Resident Indians (NRIs) but not Persons of Indian Origin (PIOs)), Minors, Sole proprietary firms, Hindu Undivided Family (HUFs) and other categories of investors will not be eligible for this exemption. For the purpose of identifying Micro investments, the value of investments at the investor level will be aggregated and such aggregation shall be done irrespective of the number of folios / accounts under which the investor has invested.

Investors residing in the state of Sikkim are also exempted from the mandatory requirement of PAN proof submission; however sufficient documents shall have to be submitted, in accordance with the process as per the KYC guidelines for verifying that they are residents of the State of Sikkim i.e. a) Proof of address of Sikkim state and application form should mention the same address. b) Address proof shall be self attested by the investor / attested by the ARN holder mentioning the ARN number or attested by any competent authority.

2) KYC COMPLIANCE WITH ANTI MONEY LAUNDERING (AML) REGULATIONS

In accordance with requirements under the Prevention of Money Laundering Act, 2002, (PMLA) the Rules issued there under and the guidelines and circulars on Anti-Money Laundering issued by SEBI, (collectively "AML Regulations"), mutual funds are required to formulate and implement Client Identification Programme to verify and maintain the record of identity and address(es) of investors. This is commonly referred to as "Know Your Client" guidelines (KYC).

With a view to streamline implementation of KYC procedures and ensure compliance with the AML Regulations, the mutual fund industry had collectively put in place arrangement with an independent agency (CDSL Ventures Limited) that acted as central record keeping agency ("Central Agency"), and as Central Agency had the responsibility for collection of documents relating to identity and address of investors.

In order to avoid duplication of KYC process with every SEBI registered intermediary and with a view to bringing about uniformity in the KYC requirement and a mechanism for centralization of the KYC records in the securities market, SEBI has vide its various circulars and the SEBI (KYC Registration Agency) Regulations 2011, introduced common KYC across market intermediaries.

With effect from January 01, 2012 ("Effective Date"), SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries viz. Mutual Funds, Portfolio Managers, Depository Participants, Stock Brokers, Venture Capital Funds, Collective Investment Schemes, etc.

Following are the KYC requirements for new / prospective investors and existing investors:

i. KYC requirements for new / prospective investors:

New/ Prospective Investors are requested to use the common KYC Application Form and carry out the KYC process including In-Person Verification (IPV) with any SEBI registered intermediaries including mutual funds. The KYC Application Forms are available on the websites www.unionkbcmf.com/ www.amfiindia.com.

The Mutual Fund shall perform the initial KYC of its new investors and may also undertake enhanced KYC measures commensurate with the risk profile of its investors in line with the aforesaid circulars / Prevention of Money Laundering Act, 2002, and circulars thereto. The Mutual Fund shall upload the details of the investors on the system of the KYC Registration Agency (KRA) Registrar & Transfer Agent (RTA) of the Mutual Fund may also undertake the KYC of the investors on behalf of the Mutual Fund. On receipt of initial/updated KYC documents from the Mutual Fund, the KRA shall send a letter to the investor within SEBI prescribed timelines, confirming the details thereof.

It is mandatory for intermediaries including mutual funds to carry out In-Person Verification (IPV) of its new investors from the Effective Date. The IPV carried out by any SEBI registered intermediary can be relied upon by the Mutual Fund. The AMC and National Institute of Securities Markets (NISM)/ Association of Mutual Funds in India (AMFI) certified distributors who are Know Your Distributor (KYD) compliant are authorised to undertake the IPV for Mutual Fund investors. Further, in case of any applications received directly (i.e. without being routed through the distributors) from the investors, the Mutual Fund may rely upon the IPV (on the KYC Application Form) performed by the scheduled commercial banks.

ii. KYC requirements for existing investors:

Existing KYC compliant investors of the Mutual Fund can continue to invest as per the current practice. However, pursuant to SEBI circular no. MIRSD/ Cir-5/2012 dated April 13, 2012 and subsequent SEBI communication, investors who invest / deal with another mutual funds and had completed the erstwhile Centralised Mutual Fund KYC through CDLS Ventures Limited, are required to provide KYC details and complete IPV as per the new KYC requirements, which was not mandated earlier. Provided however, if such investors trade / invest / deal with a SEBI registered intermediary other than a mutual fund, then such intermediaries would obtain from the investors the missing KYC details and complete IPV as per new KYC requirements.

It is mandatory for all categories of investors to be KYC compliant for any amount of investment.

AMC reserves the right to reject application forms for transactions in units of the Mutual Fund not accompanied by common KYC Application Form or letter/ acknowledgement issued by the KRA. The KYC compliance status of the investors will be validated with the records of the KRA. For units held in demat form the KYC performed by the Depository Participant of the applicants will be considered as KYC verification done by the Trustee/AMC. In relation to implementation of the SEBI Guidelines on identification of Beneficial Ownership, the AMC reserves the right to call for such information / documents from the investors that the AMC deems fit.

For further details, please refer to the Section on "Prevention of Money Laundering and Know Your Client ("KYC") requirements" in the Statement of Additional Information (SAI).

D. BANK ACCOUNT DETAILS / MULTIPLE BANK ACCOUNTS REGISTRATION

1. **To protect the interest of the applicants / investors from fraudulent encashment of cheques and as per the SEBI Regulations, it is mandatory for all investors of mutual fund schemes to provide their bank mandate. Applications without the mandatory bank details are liable to be rejected.**

2. The investor agrees that the proceeds towards redemptions and dividends will be despatched by the AMC or its Registrar & Transfer Agent through a reasonable mode of despatch like courier, post etc. in case of cheque/ demand draft or directly credited to the bank account (as per the details mentioned by the applicant) by using direct credit facility, RTGS or NEFT entirely and solely at the risk of the investor. The Fund may from time to time commence / discontinue Direct Credit arrangements with various banks for direct credit of redemption / dividends.

3. Multiple Bank Accounts Registration Facility:

- (i) Mutual Fund offers its investors facility to register multiple bank accounts for pay-in (except SIP if any) & payout purposes and designate one of the bank account as "Default Bank Account". This facility can be availed by using a designated "Bank Accounts Registration Form". In case of new investors, the bank account mentioned on the purchase application form used for opening the folio will be treated as default bank account till the investor gives a separate request to register multiple bank accounts and change the default bank account to any of the other registered bank account. Registered bank accounts may also be used for verification of pay-ins (i.e. receiving of subscription funds) to ensure that a third party payment is not used for mutual fund subscription. Default Bank Account will be used for all dividend and redemption payouts unless investor specifies one of the existing registered bank account in the redemption request for receiving redemption proceeds.
- (ii) For registering bank details, please enclose cancelled cheque leaf for each of such banks accounts which will help in verification of the account details and register them accurately. The application will be processed only for such accounts for which cancelled cheque leaf is provided. Accounts not matching with such cheque leaf thereof will not be registered. If the bank account number on the cheque leaf is handwritten or investor name is not printed on the face of the cheque, bank account statement or pass book giving the name, address and the account number should be enclosed.
- (iii) Any request without the above mentioned documents will be treated as invalid and will not be acted upon and any financial transaction, including redemptions will be carried with the previous details only. The AMC reserves the right to observe a cooling-off period of 10 calendar days for validation and registration of new bank account and to disallow redemption payouts into such bank accounts till completion of such cooling-off period.
- (iv) **Investors holding units only in non-demat form can avail the facility of registering multiple bank accounts by filling in the 'Bank Accounts Registration Form available at our Investor Service Centres (ISCs).**

4. In respect of new subscription/new folio creation, in case the bank mandate mentioned in the application form by the investor for effecting payouts is not the same as the bank account from which the investment is made, the investor would be required to provide additional documents i.e. either a **Cancelled original cheque leaf or Self attested copy of bank statement or bank pass book or Letter from the Bank on its letterhead confirming the bank account holder**, in relation to the bank mandate, to enable the AMC to validate that the bank mandate belongs to the investor. **Where such additional documents are not provided for the verification of bank account mentioned in the application form, the AMC reserves the right to consider the bank account used towards subscription payment as the registered bank account (bank mandate) for the purpose of effecting payouts such as redemptions and dividends.** For more details in this regard please refer to **Point viz. 'Bank account details mandatory for all investors'** under section 'How to Apply?' of the SAI.

5. Proceeds of any redemption request will be sent only to a bank account that is already registered in the folio at the time of redemption transaction processing. Unit holder(s) may choose to mention any of the existing registered bank accounts with redemption request for receiving redemption proceeds. If no registered bank account is mentioned, default bank account will be used. If redemption request is received together with a change of bank account (unregistered new bank account) or before verification and validation of the new bank account, the AMC reserves the right to process the redemption request to the currently registered default old bank account.

E. APPLICATION THROUGH ASBA (APPLICATION SUPPORTED BY BLOCKED AMOUNT) - ONLY DURING THE NEW FUND OFFER (NFO)

1. Application Supported by Blocked Amount is a facility by which an application can be made for subscribing to the NFO along with an authorisation to SCSB (Self Certified Syndicate Bank) to block the application money in a bank account. SCSBs are registered with SEBI, which offers the facility of ASBA.
2. Eligible applicants for ASBA maintaining their account in any of the banks as displayed on the website of SEBI, may use ASBA facility subject to fulfilling all the terms and conditions stipulated in this regard.
3. The investor is required to submit a copy of the acknowledgment receipt of the ASBA form submitted to the SCSB along with the NFO application form to Union KBC Mutual Fund.
4. Investor should ensure that sufficient balance is there in the account mentioned in the ASBA form; else the application shall be rejected.
5. If the details provided in the ASBA form are incorrect or incomplete or if any required details are not provided, the application shall be liable to be rejected and Union KBC Mutual Fund or SCSB shall not be liable for losses, if any, to the applicant.

F. INVESTMENT & PAYMENT DETAILS

1. Investors subscribing under Direct Plan will have to select "Direct Plan" in the application form. Investors should also indicate "Direct" in the ARN column of the application form for opting for Direct Plan.

In cases where Distributor code is not mentioned in the application form and the investor mentions "Regular Plan" against the Scheme name or where Distributor code is not mentioned in the application form and the investor fails to mention a particular Plan against the Scheme name in the application form at the time of investment, then the application will be deemed to be received under the Direct Plan and the application shall be processed under the Direct Plan.

In cases where Distributor code is mentioned in the application form and the investor fails to mention a particular Plan against the Scheme name in the application form at the time of investment, then the application shall be deemed to be received under the Regular Plan and the application shall be processed under the Regular Plan.

In cases where Distributor code is mentioned in the application form but the investor mentions "Direct Plan" against the scheme name in the application form at the time of investment, then the distributor code will be ignored and the application shall be processed under the Direct Plan.

In case neither "Distributor's code" nor "Direct" is indicated in the application form, the same will be treated as "Direct Plan".

In case the investor does not select the desired Plan properly and clearly and in case of incomplete details, lack of clarity or ambiguity, the default Plan will be considered and applied.

- Investors/ Applicants should clearly indicate the desired Option/ Facility (as may be applicable under the Scheme) in the space provided in the Application Form. In case investor wishes to opt for multiple options (if applicable under the Scheme), separate application form will have to be filled.
- In case the investor does not fill the desired Option/ Facility properly and clearly and in case of incomplete details, lack of clarity or ambiguity, the default option/ facility (as may be applicable under the Scheme) will be considered and applied.
- The following modes of payments are not valid and applications accompanied by such payments are liable to be rejected, except in case of any specific facility offered by the AMC which permits otherwise: i) Multiple cheques with single application (ii) Single cheque with multiple applications (iii) outstanding cheque/ demand draft (iv) cash/ money order/ postal order (v) post dated cheques (post dated cheque for investment under SIP will be accepted) (vi) Third party payments (except in certain cases) (vii) Pre-funded instruments such as demand draft, pay order etc.
- The cheque or other payment instrument as permitted should be payable locally at the centre where the application is submitted and should be drawn on any bank that is a member of the Bankers' Clearing House.
- Unit holder(s) who hold bank account with Union Bank of India need not write out cheques while investing with us, instead, a debit mandate included within the application form should be completed and signed by the account holders.
- Dishonoured cheques are liable not to be presented again for collection and the accompanying application forms are liable to be rejected.
- The subscription payment instrument(s) should be drawn in favour of the Scheme Name i.e. **"Union KBC Trigger Fund - Series 2"** except in case of any specific facility offered by the AMC (if available under the Scheme) where the instructions to that facility prescribe a different requirement.

a. Non - acceptance of "Third Party Payment" instruments for subscriptions/investments

- "Third Party Payment Instruments" means a payment made through an instrument issued from an account other than that of the beneficiary investor. Applications to scheme of Union KBC Mutual Fund accompanied by a Third Party Payment Instrument shall not be accepted by the AMC except in the following cases:
 - Payments made by Parents/ Grandparents/ Related Persons on behalf of a minor in consideration of natural love and affection or as gift. However, single subscription value shall not exceed above ₹ 50,000 [including investments through each regular purchase or single SIP instalment (if applicable)]. However, this restriction will not be applicable for payment made by a guardian whose name is registered in the records of Mutual Fund in that folio.
 - Payments made by an Employer on behalf of employee under Systematic Investment Plans or lump sum/ one-time subscription, through payroll deductions.
 - Custodian making investments on behalf of an FII or a Client.
 - Payment by Asset Management Company to a Distributor empanelled with it on account of commission/ incentive etc. in the form of the Mutual Fund Units of the Funds managed by such AMC through Systematic Investment Plans or lump sum / one-time subscription, subject to compliance with SEBI Regulations and Guidelines issued by AMFI, from time to time.

In case a payment is covered under above exceptions, the following additional documents are required to be mandatorily provided together with the application form: i. KYC acknowledgement letter/ printout of KYC compliance status of the Investor and the person making the payment ii. Duly filled "Third Party Payment Declaration Form" from the investor (guardian in case of a minor) and the person making the payment i.e. third party. The said form shall be available on the AMC's website/ at the Customer Services Centres.

- In case of payments from a joint bank account, the sole/first holder of the Mutual Fund folio should be one of the joint holders of the bank account from which payment is made. Therefore, it is important for investors to mention the bank account number, bank name & branch address from where the payment is issued and the same should match with details on payment cheque/ debit mandate/ payment instrument). Where the payment instrument/ advice does not mention the bank account holder's names, investors should attach bank pass book /bank statement / bank letter to substantiate that the first unit holder is one of the joint holders of the bank account.
- The AMC/Mutual Fund/RTA will not accept any subscription/purchase application from Investors if accompanied by a pre-funded instrument (demand draft, pay order etc.) under this Scheme.
- In case of subscriptions payment through RTGS, NEFT, NECS, bank transfer etc. investor is required to provide an acknowledgement copy of the instruction which has been provided to the bank indicating the account number and the debit instructions. The bank details mentioned on the instruction letter should be registered bank account or the first applicant/ unit holder should be one of the account holders of the bank account.
- For payments through net banking and debit cards/ transactions through website, the AMC shall endeavour to obtain the details of the bank account debited from the payment gateway service provider and match the same with the registered pay-in accounts. In case it is found that the payment is not made from a registered bank account or from an account not belonging to the first named unit holder, the AMC/RTA reserves the right to reject the transaction with due intimation to the investor.
- The AMC reserves the right to reject the transaction or call for additional details, if pay-in bank account and other details are not mentioned on the form and/or do not match with payment instrument and/or necessary documents and declaration, as applicable to respective investors and transactions, are not attached or are insufficient.

b. NRI Investors

NRIs and PIOs may purchase units of the Union KBC Mutual Fund on a repatriation or non-repatriation basis, while FIs may purchase units only on a repatriation basis. A subscription by FIs / Multilateral Funding Agencies, on full repatriation basis, is subject to approval by the Foreign Investment Promotion Board (FIPB).

Repatriation basis - In case of NRIs and PIOs residing abroad, investing on repatriable basis, payments may be made either by inward remittance through normal banking channels or out of funds held in a Non-Resident (External) Rupee account (NRE)/ Foreign Currency (Non-Resident) account (FCNR). NRIs shall be required to furnish such documents as may be necessary and as desired by the Fund in connection with the investment in the Scheme(s). FIs may pay their subscription amounts either by inward remittance through normal banking channels or out of funds held in Foreign Currency Accounts or Non-Resident Rupee Accounts maintained with a designated branch of an authorised dealer. Payments shall be made by cheques/ other payment instruments as permitted crossed "Account Payee Only". AMC may at its discretion accept subscription accompanied with foreign currency instrument. NAV applicable in such case would be of the date when the funds get credited into the Fund's account.

Non Repatriation basis - In the case of NRIs investing on non-repatriable basis, payment may be made either by inward remittance through normal banking channels or cheque/other payment instrument as permitted drawn out of funds held in an NRE /FCNR / Non-Resident ordinary Rupee Account (NRO). FIs are not allowed to make payment on Non repatriable basis. The trustee/AMC, at its discretion, may choose from time to time to alter or add other modes of payment.

For more details please refer to the SID.

G. ALLOTMENT OF UNITS IN DEMAT MODE/DEMAT ACCOUNT DETAILS

- Applicants/Unit holders/Investors who wish to hold units in dematerialized form must have a beneficiary account with National Securities Depository Limited (NSDL) or Central Depository Services (India) Ltd. (CDSL) prior to making the application.
 - Please fill in the DP ID number, DP name and Beneficiary Account number with the DP in the application form. In case of no details/ incorrect/ incomplete details, allotment will be made in physical form provided KYC acknowledgement proof is attached.
 - Ensure that names in the application form should be identical to those appearing in the account details in the depository. In case of joint holders, the names should necessarily be in the same sequence as they appear in the account details in the depository.**
 - For allotment in electronic form, units will be credited directly in the demat account of the investor.
 - The details available with the DP regarding demat account of the investor will be updated in the folio of the investor.
 - The ISIN No. details of the respective option can be obtained from your Depository Participant (DP) or you can access the website link www.nsdl.co.in or www.cdslindia.com.
 - The holding of units in the dematerialised mode would be subject to the guidelines/ procedural requirements as laid by the Depositories viz. NSDL/CDSL from time to time.
 - The applicant shall mandatorily attach a self-attested copy of the latest demat account statement/client master statement along with the application forms at the time of initial subscription.
 - The options viz. Daily Dividend, Weekly Dividend and Fortnightly Dividend and the facilities viz. Switch in and out, Systematic Withdrawal Plan (SWP)/ Systematic Transfer Plan (STP), if applicable under the Scheme, are currently NOT available in the dematerialised mode.**
 - Submission of KYC acknowledgement proof is optional.
 - In case of those unit holders, who hold units in demat form, the bank mandate available with the respective DP will be treated as the valid bank mandate for the purpose of pay-in at the time of subscription or purchase/ pay-out at the time of maturity or at the time of any corporate action.
 - The investor who holds units in the demat mode is required to place an order for redemption (subject to applicable limits prescribed in SID, if any or as may be communicated from time to time) directly with the DP.
 - For those investors who hold units in Demat mode, all non-financial transaction such as Change in Address, Bank Mandate, Nominee Registration etc should be routed directly through their DP's as per the format defined by them.
 - It may also be noted that units in the demat mode shall only be credited in the DP account on the basis of realization of funds.
 - If the Unit holder desires to convert the Units in a dematerialised form at a later date, the unit holder need to contact the DP for detailed procedure.
 - For the detailed procedure and other provisions on holding units in the Demat mode investors may refer to the SID/ SAI/ KIM and addendums thereto.**
- #### H. NOMINATION DETAILS
- To avoid any cumbersome procedures for the legal heirs to transfer the investment of the deceased holder, it is

recommended to register nomination. Investor can change the nominee as many times as he/she/they wish by registering a revised nomination form.

- As per SEBI Regulations, applicants/unit holder may nominate a maximum of 3 person(s) to whom the amounts will be payable in the event of death of the sole or all unit holders as the case may be, in respect of investment under a folio.
- Investors who desire to make nomination in respect of multiple nominees should clearly specify the percentages to be allocated between the nominees. If no percentages are mentioned, nomination will be done equally for all the nominees.
- Where a folio has joint holders, all joint holders should sign the request for nomination/ cancellation of nomination, even if the mode of holding is not "joint".
- Nomination shall be mandatory for new folios / accounts opened by individuals especially with sole holding. Even those investors who do not wish to nominate must separately confirm their non-intention to nominate. Investors are requested to note that applications for new folios / accounts for individuals in single holding without nomination and applications without separate confirmation from investors who do not wish to nominate are liable to be rejected in the sole discretion of the AMC.**
- Every new nomination for a folio will overwrite the existing nomination.
- Nomination can be made only by investors who opt for allotment in physical form (non demat form). In case the units are held in demat form, the nomination details as recorded with the depository account will be applicable.
- The nomination may be only by individual's applying for/holding units on their own behalf, singly or jointly. Non-individuals including Society, Trust, Body Corporate, Partnership Firm, Karta of HUF, holder of POA cannot nominate. Nomination is also not allowed in a folio held on behalf of a minor.
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided in the application. However, in such cases, the Unit holder cannot be the guardian of the nominated minor. If no Guardian is provided, nomination of minor will be invalid. Nomination can also be in favour of the Central Government, State Government and a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), Society, Body Corporate, Partnership Firm, Karta of Hindu Undivided Family or a Power of Attorney Holder.
- A Non-Resident Indian can be a nominee subject to the exchange control regulations in force, from time to time.
- Transfer of units in favour of a nominee shall be valid discharge by the AMC against the legal heir.
- The cancellation of nomination can be made only by the individual(s) who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC shall not be under any obligation to transfer the units in favour of the Nominee. Further, nomination in respect of the units stands rescinded upon the transfer of units.
- The rights in the units will vest in the nominee(s) only upon the death of all unit holders.
- For multiple nomination form, please contact the nearest AMC office or Registrar. The form can be obtained from the website www.unionkbcmf.com.
- The applicant(s) / investor(s) by signing this nomination form is / are deemed to have read and understood the provisions of Regulation 29A of the SEBI (Mutual Funds) Regulations, 1996, read with SEBI Circular dated February 16, 2004 and/or any amendments thereto or any rules / regulations framed in pursuance thereof governing the nomination facility and agree/s to be bound by the same.
- The Nomination facility extended under the scheme is subject to existing laws. The AMC shall, subject to production of such evidence which in their opinion is sufficient, proceed to effect the payment / transfer to the Nominee(s). Transfer of Units / payment to the nominee(s) of the sums shall discharge the Mutual Fund / AMC of all liability towards the estate of the deceased Unit holder and his / her / their successors / legal heirs.

I. OTHER FACILITIES / E-MAIL COMMUNICATION.

- Account statements/ Consolidated Account Statement, newsletters, Annual Reports/abridged summary thereof and other kinds of communication will be sent only through e-mail instead of physical, for investors who have provided their e-mail address on the application forms. However, in case the investors wish to get the hard copy of these documents, they are requested to specifically indicate their preference in the application forms or send an e-mail to investorcare@unionkbc.com or contact the customer service centre. In case, the email is not provided in the form, the documents shall be sent by physical mode by default.
- It is deemed that the unit holder is aware of all the security risks associated with online communication, including possible third-party interception of documents sent via email.

J. DEDUCTION OF TRANSACTION CHARGE FOR INVESTMENTS THROUGH EMPANELLED DISTRIBUTORS OF THE FUND:

In accordance with the terms of SEBI Circular No. CIR/IMD/DF/13/2011 dated August 22, 2011 and SEBI Circular No. CIR/IMD/DF/21/2012 dated September 13, 2012 on Transaction Charges, the AMC/Mutual Fund shall deduct the Transaction Charges on purchase / subscription received from first time mutual fund investors and investors other than first time mutual fund investors through the distributor (who have specifically opted-in to receive the transaction charges) as under:

- First Time Mutual Fund Investor (across Mutual Funds):**
Transaction charge of ₹ 150/- for subscription of ₹ 10,000 and above will be deducted from the subscription amount and paid to the distributor/agent of the first time investor and the balance shall be invested.
- Investor other than First Time Mutual Fund Investor:**
Transaction charge of ₹ 100/- per subscription of ₹ 10,000 and above will be deducted from the subscription amount and paid to the distributor/agent of the existing investor and the balance shall be invested.

It may be noted that the transaction charges shall be subject to the following:

- Distributors shall be able to choose to "opt in" OR "opt out" of charging the transaction charge. However, the option exercised by the Distributor is required to be at distributor level and may be based on type of the product but not investor level i.e. a distributor shall not charge one investor and choose not to charge another investor.
- Transaction charges shall not be deducted for (i) purchases/ subscriptions made directly with the Fund (i.e. not through any distributor); (ii) purchase/subscriptions below ₹ 10,000/- and (iii) transactions other than purchases/ subscriptions relating to new inflows.

It may be further noted that the transaction charges are in addition to the existing system of commission permissible to the Distributors. It is further clarified that pursuant to SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09, dated June 30, 2009, upfront commission to distributors shall continue to be paid by the investor directly to the distributor by a separate cheque based on his assessment of various factors including the service rendered by the distributor.

K. DECLARATION AND SIGNATURE(S)

- Signature(s) should be in English or in any of the Indian languages specified in the eighth schedule of the Constitution of India.
- Thumb impressions (left hand for males and right hand for female) and signatures in languages not specified in the Eighth Schedule of the Constitution of India should be attested by a Magistrate or a Notary public or a Special Executive Magistrate under his/ her official seal.
- Applications by minors should be signed by their guardians.
- In case of an HUF, the Karta should sign on behalf of the HUF.
- If the application form is signed by a Power of Attorney (POA) holder, the form should be accompanied by a notarised photocopy of the PoA. Alternatively, the original PoA may be submitted with the application, which will be returned after verification. The PoA document must contain the signatures of both the applicant and the constituted Attorney. If the PoA is not submitted with the application, the application form will be rejected.
- In case of non-individual investors, a list of authorised signatories should be submitted along with application form or in case of any change in the authorised signatories list; the AMC/ Registrar must be notified within 7 days.
- Investors are requested to read the undertakings/ declarations carefully, before providing their signature(s) in the application form.

L. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIIN):

As per SEBI Circular No. CIR/IMD/DF/21/2012 dated September 13, 2012 and AMFI Guidelines on implementation of EUIIN, it is mandatory to state the Employee Unique Identification Number (EUIIN) of the employee/ relationship manager/ sales person of the distributor interacting with the investor for the sale of mutual fund products, in addition to the AMFI Registration Number (ARN) of the distributor in the space indicated in the application form. **In case the EUIIN box is intentionally left blank in the absence of any client facing interaction, then it is required to mandatorily tick against the confirmation/declaration stating that the transaction is an "execution-only" transaction, mentioned below the box/space provided for the ARN Number/ EUIIN in the application form and also provide signature(s) in the signature pane appearing just below the confirmation/ declaration.** The mentioning of the EUIIN shall assist the AMC to tackle the problem of mis-selling by the distributors/its employees/relationship manager/ sales person.

M. AUTO SWITCH ON MATURITY

Investors are requested to carefully read the provisions with regard to "Auto Switch of Redemption Proceeds of Union KBC Trigger Fund - Series 2 on maturity" under the heading "Applicable NAV" of the KIM. Further, the provisions are also provided in the SID of the Scheme.

TERMS AND CONDITIONS FOR PERSONAL IDENTIFICATION NUMBER (PIN) FACILITY

By opting for the PIN facility in the Application Form and signing the Application Form, the Unit holder/s expressly agrees to have read and understood the following terms and conditions related to PIN issuance by the AMC/ Registrar and PIN usage by the Unit holder/s:

1. The Terms and Conditions set out herein are binding on the Unit Holder for the use of Personal Identification Number (hereinafter referred to as the "PIN") for transacting and accessing information online through internet using the facility (hereinafter referred to as "PIN Facility") offered by Union KBC Mutual Fund (hereinafter referred to as the "Mutual Fund") through its Investment Manager, Union KBC Asset Management Company Private Limited (hereinafter referred to as "AMC") and its Registrar and Transfer Agent, Computer Age Management Services Pvt. Ltd. (hereinafter referred to as "Registrar") for the convenience of the Unit Holders of the Mutual Fund. The Unit Holder hereby confirms of having read these Terms and Conditions carefully and by signing this PIN Application Form, the Unit Holder acknowledges that the Unit Holder has read, understood and agreed to be legally bound by the terms and conditions of this PIN Application Form.
2. **The AMC has been appointed as Investment Manager to the Mutual Fund by Union KBC Trustee Company Private Limited (hereinafter referred to as the "Trustee") vide Investment Management Agreement dated December 2, 2010. The AMC has been approved by SEBI to act as an Investment Manager for schemes of the Mutual Fund.**
3. The AMC undertakes to offer, at the request of the Unit Holder and subject to such terms and conditions as laid down by the AMC from time to time, the PIN Facility, which will enable the Unit Holder to gain access to the Unit Holder's portfolio of investments in the Mutual Fund, for administering and managing the same effectively and to carry out transactions in the units of the Mutual Fund through the Website. The Unit Holder will be allotted a PIN for this purpose; the PIN is required to identify the Unit Holder.
4. The PIN Facility shall only be available to an Individual who is an existing folio holder (zero balance or otherwise) with the Mutual Fund and having mode of operation/ holding of the existing folio either as "single" or as "anyone or survivor". The Unit Holder is desirous of availing the PIN Facility offered by the AMC, and the AMC is willing to extend the PIN Facility to the Unit Holder on the terms and conditions as set out herein.
5. Website for the purposes of this PIN Application Form shall mean the website of the AMC (www.unionkbcmf.com) / Registrar (www.camsonline.com) or any other website which the AMC may designate from time to time. The Website provides information and downloads relating to the Mutual Fund including the facility of online subscriptions/redemptions of units and such other services as detailed in this PIN Application Form or as may be notified by the AMC from time to time. The AMC / Registrar reserve the right to change, amend or modify the Website from time to time.
6. **Unit Holder's consent and authorization for the online transactions and services:**
 - i. The Unit Holder hereby consents and authorizes the AMC, who may authorize any of its Service Providers (hereinafter referred to as the "Service Providers"), to extend the PIN facility for the designated folio of the Unit Holder, including an irrevocable authorization to the AMC to act upon any instruction given to the AMC by the Unit Holder through use of PIN, as may be permitted by the AMC from time to time and until the PIN Facility is validly terminated by the Unit Holder.
 - ii. Usage of, or subscription to the PIN facility shall be in addition to, and not in substitution of, the existing procedure for conducting transactions. The AMC / Registrar shall not be responsible for any errors that may be committed by the Unit Holder in the process of conducting any online transaction/issuing instructions through the PIN or for error or failure of the transaction/instruction over the internet due to any network problems.
7. **Services Offered and Terms of Service:**
 - i. The Unit Holder may access the following services (hereinafter referred to as the "Services") through the Internet, using the PIN facility, as and when provided by the AMC in a phased manner:
 - a. **Additional purchase of units** (provided there is payment gate way arrangement) of various schemes of the Mutual Fund including any new schemes launched by the Mutual Fund from time to time and which are tagged with the existing schemes by the Mutual Fund for the purpose of conducting such online transactions
 - b. **Redemption of units**
 - c. **Switching of units between scheme/plans/options**
 - d. **Access Log to On-line Transaction Portal**
 - e. **View valuations and personal details**
 - f. **Account Statements downloads**
 - g. **Change of PIN**
 - h. **Monitor Query Status**
 - ii. **Such other services that the AMC may provide from time to time**
 - ii. The AMC reserves the right to change / modify/ amend / discontinue any of the above Services for any type of investors or specific investors or any schemes of the Mutual Fund without assigning any reason thereof. The AMC may also make changes in terms and conditions for this PIN Facility without assigning any reasons thereof and such conditions shall be binding on the Unit Holder.
 - iii. Further, applications for PIN Facility received from investors transacting with the AMC electronically, through a Power of Attorney / through arrangements via brokers/ distributors or via a third party website, not being a web site designated by the AMC will not be processed for PIN generation.
 - iv. For purchases using the PIN Facility, the allotment of units of the schemes of the Mutual Fund shall be made subject to compliance with the provisions detailed under "Non-Acceptance of Third Party Payment Instruments for subscription/ investments of units" under the section "How to Apply" in the Statement of Additional Information (SAI) of the Mutual Fund.
 - v. Transaction instructions entered on non business days will be processed on the following business day.
 - vi. The purchase/subscription/redemption transactions given using the PIN Facility would further be subject to the provisions with regard to the minimum amount for

subscription/ redemption as specified in the Scheme Information Document of the respective schemes.

- vii. Any redemption request would be further subject to the closing units available in the AMC/ Registrar's records.

8. Issuance of PIN:

- i. The Unit Holder agrees to provide such information to the AMC/ Registrar, as is necessary and as may be required by the AMC/Registrar for issuance of a PIN. The PIN issuance request may be rejected in case of any invalid / incomplete / ambiguous information /details provided herein. Once the Unit Holder completes the formalities of filing of relevant documents along with this PIN Application Form, Unit Holder will be issued a PIN by the AMC/ Registrar against the Folio, subject to such terms and conditions as laid down by the AMC in this regard from time to time.
- ii. The PIN granted to the Unit Holder pursuant to this PIN Application Form shall be communicated to the Unit Holder at the registered address/ registered email address of the sole/ first holder or as may be notified by the AMC from time to time, however entirely and solely at the risk of the Unit Holder.
- iii. While receiving the PIN, the Unit Holder should ensure that it is received in a closed envelope. In case the Unit Holder has any doubt that the envelope has been tampered with, the Unit Holder should immediately inform the AMC / Registrar to block the PIN.
- iv. The Unit Holder will be required to enter the PIN and Folio Number or any other transaction specific details for availing the Services under the PIN Facility.
- v. In the event of disclosure of the PIN to any third party or in case the Unit Holder is unable to use the existing PIN or in case the Unit Holder forgets the existing PIN, the AMC may at the request of the Unit Holder and at the AMC's absolute discretion issue to the Unit Holder a new PIN on these terms and conditions or under such terms and conditions as the AMC may deem fit.

9. Mode of Operation:

The following shall be the mode of operation of the PIN facility:

- i. If the mode of holding is "Single", the PIN facility will be made available to the Sole Unit Holder.
- ii. If the mode of holding is "Any one or survivor", PIN will be sent to the first Unit Holder only. The PIN Application Form will be signed by all the Unit Holders and any use of the PIN for any transaction conducted or executed by the first Unit Holder under this PIN Facility shall be deemed to have been carried out for and on behalf of all and each of the Unit Holders under the folio. All the Unit Holders under such folios agree and confirm that the First Unit Holder has the full authority to act for and on behalf of all and each of the Unit Holders under the folio in all matters relating to the PIN Facility.
- iii. If the Unit Holder is a minor, the parent/legal guardian (as registered under the folio) shall be eligible to avail of the PIN Facility till the minor attains majority. In case of minor, the PIN Application Form has to be signed by parent / legal guardian. In such a case, the personal details of the Guardian shall be submitted to the AMC/Registrar. The parent/legal guardian hereby agrees that once the minor attains majority, the right of the parent/legal guardian to operate the said folio shall cease and the parent/ legal guardian shall not use the PIN Facility. The parent/legal guardian hereby agrees to indemnify the AMC/Mutual Fund/Trustee against the claim of the said minor for any transactions made by the parent/legal guardian on behalf of the minor.

10. Cut off timings:

Transaction instructions over the internet will get processed at the Applicable NAV based on the Cut off time indicated in the Scheme Information Document (SID) of the respective schemes of the Mutual Fund. The AMC/Registrar will process any transaction request only on the basis of (i) the time of receipt of the transaction by the Mutual Fund / AMC/ Registrar; as evidenced by the time of confirmation of the transaction and / or (ii) the realization / utilization of clear funds by the AMC/ Mutual Fund, for subscription transactions.

11. Broker details and transaction charges:

In case of purchases using the PIN Facility, if the folio number of the Unit Holder already consists of a specific distributor(s), then the default broker codes for that folio will be the ARN of that distributor or the last transacted distributor in case there are multiple distributors registered under the folio. However, a facility will be available for the Unit Holder to change the ARN linked to his Folio or to classify himself as a "DIRECT" investor for online purchase of Units in the Mutual Fund. In case a broker code is assigned by the Unit Holder to the purchase transactions done using the PIN Facility, the AMC shall deduct transaction charges on such purchase transactions in accordance with the provisions of the SAI/respective SID, if the respective Broker has opted to receive transaction charges.

12. Unit Holder Covenants:

- i. The Unit Holder hereby agrees, covenants and undertakes that the PIN Facility being provided herein is an additional facility being provided by the AMC and the Unit Holder shall not demand such a facility as a matter of right and the Unit Holder may utilize the PIN Facility at the Unit Holder's sole risks, costs and consequences.
- ii. The purchase/redemption/switch of units of the Mutual Fund shall be in accordance with the terms of the SAI/respective SID of the Schemes of the Mutual Fund as amended from time to time. All orders are subject to acceptance by the AMC/ Registrar and become effective only upon confirmation by AMC/ Registrar;
- iii. The information provided through the internet to the Unit Holder through the PIN Facility is not updated on continuous basis but on regular intervals. Consequently, any information supplied to the Unit Holder will pertain to the date and time when it was last updated and not as the date and time when it is supplied to the Unit Holder. The AMC / Registrar shall not be liable for any loss that the Unit Holder may suffer by relying on or acting on such information. The Unit Holder also agrees that the look and feel of the Web screen and outputs there from may differ based on the nature of the software used by the Unit Holder to browse the Website.
- iv. The Unit Holder agrees that the use of the PIN Facility is prone to risk of any loss of or interception of information over internet and that such a risk or losses arising

from use of the PIN Facility will be borne by the Unit Holder and that the AMC, its Registrar / Service Provider shall not be liable for the same. All records, whether in electronic form, magnetic medium, documents or any other with respect to instructions received for use of the PIN Facility or instructions received through use of the PIN Facility shall be conclusive evidence of such instructions and shall be binding on the Unit Holder.

- v. The Unit Holder shall be solely responsible for safekeeping of the PIN and shall not disclose the PIN to any person and shall take all possible care to prevent discovery of the PIN by any person. Any disclosure of the PIN by the Unit Holder to any other person shall be entirely at the risk of the Unit Holder. The AMC/Registrar in such cases will not accept any kind of responsibility or liability for the loss, damage, or harm. The Unit Holder should report the loss of the PIN immediately upon discovery of such an event.
- vi. The Unit Holder shall be fully liable to the AMC for every instruction entered into the Website using the PIN Facility, whether with or without the knowledge of the Unit Holder and the Unit Holder will abide by the record of instructions generated by the AMC/ Registrar.
- vii. The Unit Holder acknowledges and agrees that the AMC/Registrar or its Service Providers does not guarantee or warrant that the Unit Holder will not experience any downtime or disruptions in the use of the PIN Facility. In the event of such downtime or disruption, the AMC/Registrar or its Service Providers shall use commercially reasonable efforts to reinstate accessibility and operability. Further, the AMC / Registrar does not warrant that access to the Website shall be uninterrupted, timely, secure or error free nor does it make any warranty as to the results that may be obtained from the Website or use, accuracy or reliability of the PIN Facility. The AMC / Registrar or its Service Providers may restrict, suspend, or terminate Unit Holders's access to and use of the PIN Facility at any time, without notice or liability. The Unit Holder agrees that its right to use the PIN Facility is non-exclusive, revocable and non-transferable.
- viii. The Unit Holder agrees to be bound by any terms of use, disclosures, or disclaimer as displayed by the AMC/ Registrar and its Service Providers and any that Unit Holder may affirmatively click through to accept. The Unit Holder's use of the PIN Facility shall be subject to the terms and conditions of any applicable agreements between Registrar, Service Providers and the AMC/Mutual Fund and /or Unit Holder.
- ix. The Unit Holder shall not: (a) access or use the PIN Facility for any purpose inconsistent with the substance and terms of this PIN Application Form; (b) introduce into the PIN Facility any code, virus or mechanism that would impair the PIN Facility or AMC's / Registrar's system, computers or software; (c) use the PIN Facility to gain unauthorized access to any system or database; (d) sublicense or otherwise transfer or make available Unit Holder's access to the PIN Facility to any third party that is not an authorized person; (e) disclose to any third party non-public information relating to the content or operations of the PIN Facility, which information is confidential and proprietary to the AMC / Registrar; or (f) remove or obscure any of the AMC's / Registrar's or any Service Provider's trademarks, service marks, or markings of copyright or patent rights contained in the PIN Facility.
- x. The Unit holder understands that the payment for the transaction request shall be through a payment gateway and the AMC/Mutual Fund/ Registrar will not be liable for any failures in the link or for any fraud (either at the payment gateway's end and/or the bank's end) that could take place at the time of making payment and the Unit holder undertakes to inform either the AMC or the Registrar immediately in case the Unit holder's bank account is debited but corresponding Units are not allotted to the Unit holder.
- xi. The Unit Holder agrees that the AMC/Mutual Fund/Registrar will record any change in the Unit Holder's static details only on the basis of written instructions from the Unit Holder's, subject to signature verification/validation as per normal procedure and as per the prevalent norms prescribed by the Mutual Fund/ AMC/or any regulatory authority.

13. Confidentiality:

- i. The AMC/ Registrar/ Service Provider shall keep the information relating to the transactions of the Unit holder, confidential. Provided however that the AMC / Registrar / Service Provider shall be entitled to disclose any information or particulars pertaining to the Unit holder to any authority, statutory or otherwise as may be required by law or information which is already in public domain.
- ii. Further, the Unit holder's personal information may be collected/ retained/ used/ disclosed by the AMC as may be reasonably necessary for reasons including any statutory directive/ requirement, processing / monitoring of transactions etc.

14. AMC Disclaimers:

- i. The AMC/Registrar will not be in a position to verify the user of the PIN and therefore, shall not be responsible or shall not be liable for any instructions arising out of misuse of the PIN by the Unit Holder or any other third party. The Unit Holder hereby releases the Mutual Fund, AMC, Registrar and Service Providers from any liability whatsoever against misuse of the PIN. Further, neither the Mutual Fund, AMC, Registrar nor the Service Providers shall be liable at all for any misuse if any, of any data placed on the Internet, by third parties "hacking" or unauthorized third parties accessing the server, any loss or damage incurred or suffered by the Unit Holder due to any error, defect, failure or interruption in the provision of the PIN Facility arising from or caused by any reason whatsoever.
- ii. Any instruction given to the AMC/ Registrar through use of the PIN shall be deemed to have been given by the Unit Holder to the AMC/ Registrar/ Service Provider and the AMC/ Registrar / Service Provider shall be entitled to assume that the said instructions are given by the Unit Holder and the AMC/ Registrar/Service Provider shall be protected for acting thereon.
- iii. The AMC / Registrar may keep its records of the transactions/ instructions through the PIN Facility in any form it wishes. In the event of any dispute, the AMC's/Registrar's records shall be binding as the conclusive evidence of the transactions/ instructions carried out using the PIN.

15. Indemnity :

- i. The Unit Holder shall at all times indemnify the AMC, Trustee, Mutual Fund, Registrar, directors, officers, employees, successors and assigns, representatives

of the AMC / Trustee / Mutual Fund and its Service Providers from and against any and all actions, proceedings, claims and demands whatsoever for or on account of or in relation to any use of the PIN Facility and from and against any and all damages, costs, liabilities, charges and expenses (including without limitation, interest and legal fees) in respect thereof, unless the same is caused by gross negligence, bad faith or willful default on the part of the Mutual Fund / AMC / Trustee/ the Registrar, as the case may be.

- ii. In no event will the Mutual Fund / AMC/ Trustee / Registrar / Service Provider be liable to the Unit holder for any special, indirect, consequential or incidental loss or damages even if the Unit holder has advised the Mutual Fund/ AMC/ Registrar/ Service Provider of such possibility.

16. Termination :

- i. If the Unit Holder wishes to terminate the PIN Facility and disable the PIN, the Unit Holder may terminate the PIN Facility by making an application to the AMC/ Registrar in writing and signed by the Unit Holder along with all the Joint holders, if any. The AMC / Registrar shall disable the PIN granted to the Unit Holder, if any, at any time within a period of 3 business days from the date of receipt of the said Application.
- ii. The AMC is also authorised to terminate the PIN facility without prior notice on the occurrence of any event, which in the sole opinion of the AMC, may have a material or adverse impact on the Unit Holder's designated Folio, or on the operations of the schemes of the Mutual Fund / the AMC / Registrar/ Service Provider, including but not limited to:
 - a. Non-compliance of the Terms and Conditions set out herein;
 - b. Death, insolvency, bankruptcy or liquidation of the Unit Holder;
 - c. Any other cause arising out of operation of law;
 - d. Closing of Unit Holder's account;
 - e. Such other reason(s) as the AMC may, in its sole and absolute discretion deem proper.
- iii. For the purpose of interpretation, an event having a material or adverse impact shall include any event, which in the opinion of the AMC, shall impact the reputation of the Mutual Fund, its functioning, any potential losses to any of them, any event which in the opinion of the AMC would amount to a fraud on the designated Folio, or any other event which the AMC may, in its absolute discretion, decide would have an adverse impact on its operations. Provided, however, that any instructions received by the AMC / Registrar / Service Provider, through the PIN Facility or any online transaction executed by the Unit Holder, before the termination of the PIN Facility and disabling of the PIN shall be considered to be a valid instruction to the AMC / Registrar/ Service Provider to execute such online transaction.

17. Governing Law & Jurisdiction :

- i. In the case of any disputes, the matter will be referred to arbitration at the first instance and settled through arbitration proceedings as per the rules of the Indian Arbitration and Conciliation Act, 1996. The place of Arbitration will be at Mumbai.
- ii. The Courts in Mumbai shall have jurisdiction over all disputes arising out of or in respect of this PIN Application Form.

18. Notice :

- i. Any notice, communication or documents required to be given by either Party to the other under the terms of this PIN Application Form, may be given by personal delivery, registered post, speed post, by fax, or by other electronic medium as agreed by both Parties from time to time, at the following addresses:
 - a. If to the Mutual Fund: Union KBC Asset Management Company Private Limited, Unit 802, 8th Floor, Tower 'A', Peninsula Business Park, Ganpatrao Kadam Marg, Lower Parel (West), Mumbai - 400 013.
Further, communications/documents in the normal course of business may also be sent to any of the Official Points of Acceptance of the Mutual Fund.
 - b. If to the Unit Holder: At the address of the Unit Holder as recorded with the AMC/ Registrar.
- ii. The notice, communication or document shall be deemed to be effective if given by personal delivery when so delivered, if given by post on expiration of seven days after the notice, communication or document is delivered to the post office for onward despatch; if given by fax or telex upon transmission thereof. Provided that any notice, communication or document given by fax, shall be followed by a confirmation in writing.

19. Miscellaneous :

- i. The access of the PIN Facility or the use of the PIN, as the case may be, shall be deemed acceptance of the other terms and conditions of the Statement of Additional Information/ Scheme Information Document of the respective schemes of the Mutual Fund and all other terms and conditions as posted on the Website and the Unit Holder will unequivocally be bound by such terms and conditions.
- ii. The AMC/ Registrar may restrict the investment/redemption amount through the PIN Facility from time to time in line with the prudent risk management requirements and to protect the overall interest of the investors of the Mutual Fund.
- iii. All transactions using the PIN Facility would further be subject to the provisions of the SAI and the respective SID including the provisions of the "Prevention of Money Laundering and Know Your Client" requirements and the provisions in relation to "Who cannot invest?" in the Schemes, as detailed in the SAI.
- iv. An acknowledgement/reference number shall be generated on the submission of the transaction request using the PIN Facility and the Unit Holder is requested to retain the acknowledgement/reference number for future reference.
- v. The headings in this PIN Application Form are for convenience only and are not intended to have any legal effect nor purport to be complete or accurate descriptions of content thereof and shall not be used to interpret the provisions of this PIN Application Form.
- vi. In the event, any of the aforesaid Terms and Conditions shall be rendered/ held to be unenforceable due to any regulatory changes, the remaining terms and conditions shall be unimpaired and the unenforceable term or condition shall be replaced by such enforceable term or condition as may come closest to the intention underlying the unenforceable term or condition.

APPLICATION SUPPORTED BY BLOCKED AMOUNT (ASBA) FORM

[To be submitted to Self Certified Syndicate Bank (SCSB)]

NFO OPENS ON : FEBRUARY 18, 2015 CLOSURES ON : MARCH 4, 2015

UNION KBC TRIGGER FUND - SERIES 2

(A Close-ended Equity Scheme)



(Please read the Scheme Information Document/Key Information Memorandum/ Statement of Additional Information and instructions carefully before filling up this form.)

Date

ASBA Application No. 5000199

DISTRIBUTOR INFORMATION			FOR OFFICE USE ONLY			
Name and ARN	Sub-Broker Code/ Branch Code	EUIN* (Refer instruction No. 14 of instructions)	SCSB	SCSB IFSC Code	Syndicate Member Stamp & Code	SCSB Branch Sr. No.
			Name & Code	11 digit Code	Name & Code	

*I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

Signature Sole/ First Applicant/ Guardian/ POA/ Authorised Signatory	Signature Second Applicant/ POA/ Authorised Signatory	Signature Third Applicant/ POA/ Authorised Signatory
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1. Folio No. (If you have an existing Folio No, please mention the No. here)

2. APPLICANT INFORMATION [Please shade (●)] (Name should be as available in demat account)

NAME OF FIRST / SOLE APPLICANT Mr. Ms. M/s.

PAN OF FIRST APPLICANT

3. INVESTMENT DETAILS [Please shade (●)]

UNION KBC TRIGGER FUND - SERIES 2 - Growth Plan Direct Plan Regular Plan

DEMAT ACCOUNT DETAILS

National Securities Depository Limited (NSDL)	Central Depository Services (India) Limited CDSL
Depository Participant (DP) Name	Depository Participant (DP) Name
DP ID No:	DP ID No:
Beneficiary Account Number	(16 digit Beneficiary Account No to be mentioned above)

It may be noted that the combination/ sequence of names and mode of holding in the application form must match exactly with the account held with the Depository participant.

4. DETAILS OF BANK ACCOUNT FOR BLOCKING OF FUNDS [Please shade (●)] (Bank account should be in the name of first applicant only)

Bank Account No Account Type [Please shade (●)] Savings Current NRE NRO FCNR

Bank Name Bank City

Branch Name

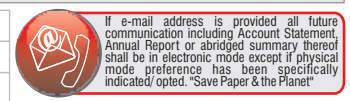
Total Amount to be blocked ₹ (Figures)

₹ (words)

5. CONTACT DETAILS OF THE FIRST APPLICANT/GUARDIAN/CORPORATE

Tel. (Off.)	Country/ Area code	Mobile	Country/ Area code
Tel. (Res.)	Country/ Area code	Fax	Country/ Area code
E-mail			

I/ we wish to receive the Account Statement, Annual Report or Abridged Report, Consolidated Account Statement and other statutory documents in Physical E-mail



6. UNDERTAKING BY ASBA INVESTOR & SIGNATURE

1) I/We hereby undertake that I/We am/are an ASBA Investor as per the applicable provisions of the SEBI (Issue of Capital and Disclosure Requirements), Regulations 2009 ('SEBI Regulations') as amended from time to time. 2) In accordance with ASBA process provided in the SEBI Regulations and as disclosed in this application, I/We authorize (a) the SCSB to do all necessary acts including blocking of application money towards the Subscription of Units of the Scheme, to the extent mentioned above in the "SCSB / ASBA Account details" or unblocking of funds in the bank account maintained with the SCSB specified in this application form, transfer of funds to the Bank account of the Scheme/Union KBC Mutual Fund on receipt of instructions from the Registrar and Transfer Agent after the allotment of the Units entitling me/us to receive Units on such transfer of funds, etc. (b) Registrar and Transfer Agent to issue instructions to the SCSB to remove the block on the funds in the bank account specified in the application, upon allotment of Units and to transfer the requisite money to the Scheme's account / Bank account of Union KBC Mutual Fund. 3) In case the amount available in the bank account specified in the application is insufficient for blocking the amount equivalent to the application money towards the Subscription of Units, the SCSB shall reject the application 4) If the DP ID, Beneficiary Account No. or PAN furnished by me/us in the application is incorrect or incomplete or not matching with the depository records, the application shall be rejected and the Union KBC Mutual Fund or Union KBC Asset Management Company Private Limited or Union KBC Trustee Company Private Limited or SCSBs shall not be liable for losses, if any. All future communication in connection with NFO should be addressed to the SCSB/RTA/AMC quoting the full name of the Sole/First Applicant, NFO Application Number, ASBA Application Number, Depository Account details [if it has been provided], Amount applied for and the account number from where NFO amount was blocked.

7. DECLARATION AND SIGNATURES

Having read and understood the contents of the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and addenda issued by Union KBC Mutual Fund and the terms and conditions and policies on the website before investing, I/ We, hereby apply to the Trustee of Union KBC Mutual Fund for Units of the **Union KBC Trigger Fund - Series 2** and agree to abide by the terms and conditions, rules and regulations of the **Union KBC Trigger Fund - Series 2**. I/ We have neither received nor been induced by any rebate or gifts, directly or indirectly in making this investment. I/ We hereby nominate the above nominee to receive all the amounts to my/our credits in the event of my/our death and have read the instructions for nomination. Signature of the nominee acknowledging receipts of my/our credit will constitute full discharge of liabilities of Union KBC Mutual Fund/ AMC/ Trustee/ Sponsor. I/ We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulation, Rule, Notification, Directions or any other applicable laws enacted by the Government of India or any Statutory Authority. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby agree to have read and understood the terms and conditions with regard to payment of transaction charges as specified in the SID/SAI/KIM and addenda thereto and this application form and instructions thereto. I/ We hereby confirm that Union KBC Mutual Fund/ Union KBC Asset Management Company Private Limited and its empanelled broker(s) has not given any indicative portfolio and indicative yield, in any manner whatsoever. I/ We hereby confirm that at the time of investment, I/ We have the express authority to invest in units of the Scheme and the AMC / Trustee / Mutual Fund will not be responsible if such investment is ultravires the relevant constitution.

Applicable to Micro Investments only: I/We do not have any existing Micro investments which together with the current application will result in aggregate investments exceeding ₹ 50,000 in a year.

Applicable to NRIs only: I/We confirm that I am / We are Non-Resident(s) of Indian Nationality / Origin and I/We hereby confirm that the funds for subscriptions have been remitted from abroad through normal banking channels or from fund in my/our Non Resident External / Ordinary account/ FCNR account(s).

I/ We understand that this product is neither a guaranteed return product nor a guaranteed capital protection product. We have read and understood the illustration provided under Section viz. "Duration/Maturity" of the KIM which explains the concept of maturity and applicable NAV at maturity of this scheme.

Signature of Bank Account Holder

ACKNOWLEDGEMENT SLIP (To be filled and attached by the Applicant with the NFO Application Form) ASBA Application No. 5000199

UNION KBC TRIGGER FUND- SERIES 2
(A Close-ended Equity Scheme)

NFO OPENS ON: FEBRUARY 18, 2015 NFO CLOSURES ON: MARCH 4, 2015

Date: / /

Union KBC Trigger Fund - Series 2 - Growth Plan <input type="radio"/> Direct Plan <input type="radio"/> Regular Plan
Received from Mr./Ms/Mrs/M/s:
Address
SCSB Account Details Account Number
Bank Name Branch Name
Total Amount to be blocked ₹ (Figures)
Total Amount to be blocked ₹ (words)

SCSB Receipt
Signature Stamp and Date



ASBA Forms should be submitted only with an authorized branch of designated SCSBs. Copy of acknowledgement receipt of the ASBA form issued by SCSB should be submitted with the NFO form to Union KBC Mutual Fund or its Registrar, CAMS.

INSTRUCTIONS FOR FILLING ASBA FORM

1. Please visit www.sebi.gov.in/pmd/scsb.html or www.nseindia.com for list of currently available Self Certified Syndicate Banks (SCSB) offering ASBA facility with their designated branches.
2. The investor shall submit the ASBA Form at the Bank branch of SCSB, which is designated for the purpose and the investor must be holding a bank account with such SCSB. Investors should check with their bank branch to confirm whether the branch is offering ASBA facility.
3. Investors shall ensure that the Bank Account number in the ASBA Form is correct and funds equal to the subscription amount are available in the bank account maintained with the SCSB before submitting the same to the designated branch.
4. ASBA Application Form will not be accepted by any of the offices of Union KBC Mutual Fund or its Registrar & Transfer Agent, i.e. Computer Age Management Services (P) Ltd.
5. Upon submission of an ASBA Form with the SCSB, investor shall be deemed to have agreed to block the entire subscription amount specified and authorized the Designated Branch to block such amount in the Bank Account.
6. The SCSBs shall block the subscription money based on the authorisation given by the account holder in the ASBA Form. The subscription money shall remain blocked in the bank account till allotment of units under the scheme or till rejection of the application, as the case may be.
7. If the bank account specified in the ASBA Form does not have sufficient credit balance to meet the subscription money, the ASBA Form shall be rejected by the SCSB.
8. The ASBA Form should not be accompanied by cheque or other mode of payment, other than authorisation to block subscription amount in the bank account.
9. During the processing of the NFO application, if the application is found to be incomplete or incorrect, the SCSB will be informed on the same who shall then unblock the investor account with appropriate remarks in the investor account.
10. On the closure date of the NFO, the ASBA Form should be submitted to the SCSBs before 3.00 p.m. or such other time as may be decided by respective SCSBs.
11. Union KBC Mutual Fund or its' Registrar, CAMS shall not be liable for any negligence or mistake committed by the SCSBs.
12. Investor is required to submit a copy of the acknowledgment receipt of the ASBA Form (as submitted with SCSB) along with the NFO application form to Union KBC Mutual Fund or any of its official point of acceptance.
13. All grievances relating to the ASBA facility may be addressed to the respective SCSBs, giving complete details such as name & address of the applicant, number of units applied for, counterfoil or the application reference given by the SCSBs, amount paid on application and the collection centre of the SCSB where the application form was submitted. If the SCSB is unable to resolve the grievance within reasonable time, it shall be addressed to the Registrar and Transfer Agent, M/s. Computer Age Management Services Pvt. Limited (CAMS), Chennai, with a copy to Union KBC Asset Management Co. Pvt. Ltd.

14. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIN):

As per SEBI Circular No. CIR/IMD/DF/21/2012 dated September 13, 2012 and AMFI Guidelines on implementation of EUIN, it is mandatory to state the Employee Unique Identification Number (EUIN) of the employee/ relationship manager/ sales person of the distributor interacting with the investor for the sale of mutual fund products, in addition to the AMFI Registration Number (ARN) of the distributor in the space indicated in the application form. **In case the EUIN box is intentionally left blank in the absence of any client facing interaction, then it is required to mandatorily tick against the confirmation/declaration stating that the transaction is an "execution-only" transaction, mentioned below the box/space provided for the ARN Number/ EUIN in the application form and also provide signature(s) in the signature pane appearing just below the confirmation/ declaration.** The mentioning of the EUIN shall assist the AMC to tackle the problem of mis-selling by the distributors/its employees/ relationship manager/ sales person.

15. Investors are requested to read the undertakings/ declarations carefully, before providing their signature(s) in the ASBA Form.

Please address all future communication(s) in connection with this application to the Registrar & Transfer Agent of the Scheme:

Computer Age Management Services Pvt. Ltd.,

Unit: Union KBC Mutual Fund

Ground Floor No.178/10, Kodambakkam High Road, Opp. Hotel Palmgrove, Nungambakkam, Chennai, Tamil Nadu - 600 034.

Email: enq_uk@camsonline.com | **Website:** www.camsonline.com



Union KBC Asset Management Company Pvt. Ltd.

Corporate Identity Number (CIN): U65923MH2009PTC198201

Unit 802, 8th Floor, Tower 'A', Peninsula Business Park, Ganpatrao Kadam Marg, Lower Parel (West), Mumbai - 400 013.

Toll Free : 1800 200 2268 | **Tel No. :** 022 24833333 | **Fax No. :** 022 24833401

Website: www.unionkbcmf.com | **Email:** investorcare@unionkbc.com

**Know Your Client (KYC)
Application Form (For Individuals Only)**



Place for
Intermediary Logo

Application No. :

Please fill in ENGLISH and in BLOCK LETTERS

A. Identity Details (please see guidelines overleaf)

1. Name of Applicant (As appearing in supporting identification document).

Name

Father's/Spouse Name

2. Gender Male Female B. Marital status Single Married C. Date of Birth

3. Nationality Indian Other (Please specify) _____

4. Status Please tick (✓) Resident Individual Non Resident Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

5. PAN

 Please enclose a duly attested copy of your PAN Card

Aadhaar Number, if any: _____

6. Proof of Identity submitted for PAN exempt cases Please Tick (✓)

UID (Aadhaar) Passport Voter ID Driving Licence Others _____ (Please see guideline 'D' overleaf)

PHOTOGRAPH

Please affix
the recent passport
size photograph and
sign across it

B. Address Details (please see guidelines overleaf)

1. Address for Correspondence

City / Town / Village			Pin Code
State		Country	

2. Contact Details

Tel. (Off.) (ISD) (STD)	Tel. (Res.) (ISD) (STD)
Mobile (ISD) (STD)	Fax (ISD) (STD)
E-Mail Id.	

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card *Latest Bank A/c Statement/Passbook
 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Gas Bill Others (Please specify) _____

*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant

City / Town / Village			Pin Code
State		Country	

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card *Latest Bank A/c Statement/Passbook
 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Gas Bill Others (Please specify) _____

*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

6. Any other information: _____

DECLARATION

SIGNATURE OF APPLICANT

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place: _____

Date: _____

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FOR OFFICE USE ONLY

IPV Done on

AMC/Intermediary name OR code

(Originals Verified) Self Certified Document copies received

(Attested) True copies of documents received

Main Intermediary

Seal/Stamp of the intermediary should contain

Staff Name

Designation

Name of the Organization

Signature

Date

Seal/Stamp of the intermediary should contain

Staff Name

Designation

Name of the Organization

Signature

Date

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale

Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PID Card/OC Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor or photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar)/Passport/Voter ID card/Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (* Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of

Residence/Driving License/Flat Maintenance bill/Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(* Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from Non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year) • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations • Photograph, POI, POA, PAN of individual promoters holding control – either directly or indirectly • Copies of the Memorandum and Articles of Association and certificate of incorporation • Copy of the Board Resolution for investment in securities market • Authorised signatories list with specimen signatures
Partnership firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Certificate of registration (for registered partnership firms only) • Copy of partnership deed • Authorised signatories list with specimen signatures • Photograph, POI, POA, PAN of Partners
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year) • Certificate of registration (for registered trust only). Copy of Trust deed • List of trustees certified by managing trustees/CA • Photograph, POI, POA, PAN of Trustees
HUF	<ul style="list-style-type: none"> • PAN of HUF • Deed of declaration of HUF/List of coparceners • Bank pass-book/bank statement in the name of HUF • Photograph, POI, POA, PAN of Karta
Unincorporated Association or a body of individuals	<ul style="list-style-type: none"> • Proof of Existence/Constitution document • Resolution of the managing body & Power of Attorney granted to transact business on its behalf • Authorised signatories list with specimen signatures
Banks/Institutional Investors	<ul style="list-style-type: none"> • Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years • Authorised signatories list with specimen signatures
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> • Copy of SEBI registration certificate • Authorised signatories list with specimen signatures
Army/Government Bodies	<ul style="list-style-type: none"> • Self-certification on letterhead • Authorised signatories list with specimen signatures
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act • List of Managing Committee members • Committee resolution for persons authorised to act as authorised signatories with specimen signatures • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary

Details of Promoters/ Partners/ Karta / Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant _____ PAN of the Applicant _____

Sr. No.	PAN	Name	DIN (For Directors) / Aadhaar Number (For Others)	Residential / Registered Address	Relationship with Applicant (i.e. promoters, whole time directors etc.)	Photograph

Place for Intermediary Logo



Name & Signature of the Authorised Signatory(ies) Date | d | d | / | m | m | / | y | y | y | y |

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Computer Age Management Services Pvt. Ltd. (CAMS) - Customer Service Centers and Official Points of Acceptance:

• **Agartala** - Advisor Chowmuhan (Ground Floor) Krishnanagar Agartala Tripura - 799001. • **Agra** - No. 8, II Floor Maruti Tower Sanjay Place Agra Uttar Pradesh - 282002. • **Ahmedabad** - 111-113, 1st Floor - Devpath Building, Off C G Road, Behind Lal Bungalow, Ellis Bridge, Ahmedabad, Gujarat - 380 006. • **Ahmednagar** - B, 1-3, Krishna Enclave Complex Near Hotel Natraj Nagar-Aurangabad Road Ahmednagar Maharashtra - 414 001. • **Ajmer** - AMC No. 423/30 Near Church Brahampuri, Opp T B Hospital Jaipur Road Ajmer Rajasthan - 305001. • **Akola** - Opp. RLT Science College Civil Lines Akola Maharashtra - 444001. • **Aligarh** - City Enclave, Opp. Kumar Nursing Home Ramghat Road Aligarh Uttar Pradesh - 202001. • **Allahabad** - 30/2, A&B, Civil Lines Station Besides Vishal Mega Mart Strachey Road Allahabad Uttar Pradesh - 211001. • **Alleppey** - Doctor's Tower Building Door No. 14/2562, 1st floor North of Iorn Bridge, Near Hotel Arcadia Regency Alleppey Kerala - 688011. • **Alwar** - 256A, Scheme No:1, Arya Nagar Alwar Rajasthan - 301001. • **Amaravati** - 81, Gulshan Tower, 2nd Floor Near Panchsheel Talkies Amaravati Maharashtra - 444601. • **Ambala** - Opposite PEER Bal Bhavan Road Ambala Haryana - 134003. • **Amritsar** - SCO - 18J, 'C' Block Ranjit Avenue Amritsar Punjab - 140001. • **Anand** - 101, A.P. Tower, B/H, Sardhar Gunj Next to Nathwani Chambers Anand Gujarat - 388001. • **Anantapur** - 15-570-33, I Floor Pallavi Towers Anantapur Andhra Pradesh - 515 001. • **Andheri** - CTS No 411 Citipoint, Gundivadi, Teli Gali Above C.T. Chatwani Hall Andheri Mumbai Maharashtra - 400069. • **Ankleshwar** - Shop No - F -56 First Floor, Omkar Complex Opp Old Colony, Nr Valia Char Rasta GIDC Ankleshwar- Bharuch Gujarat - 393002. • **Asansol** - Block - G 1st Floor P C Chatterjee Market Complex Rambandhu Talab P O Ushagram Asansol West Bengal - 713303. • **Aurangabad** - Office No. 1, 1st Floor Amodi Complex Juna Bazar Aurangabad Maharashtra - 431001. • **Balasure** - B C Sen Road Balasure Orissa - 756001. • **Bangalore** - Trade Centre, 1st Floor 45, Dikensen Road (Next to Manipal Centre) Bangalore Karnataka - 560 042. • **Bareilly** - F-62-63, Butler Plaza Civil Lines Bareilly Uttar Pradesh - 243001. • **Basti** - Office no 3, 1st Floor Jamia Shopping Complex ,(Opposite Pandey School) Station Road Basti Uttar Pradesh - 272002. • **Belgaum** - 1st Floor, 221/2A/1B Vaccine Depot Road Near 2nd Railway gate, Tilakwadi, Belgaum Karnataka - 590006. • **Bellary** - 60/5, Mullangi Compound Gandhinagar Main Road (Old Gopalswamy Road) Bellary Karnataka - 583101. • **Berhampur** - First Floor, Upstairs of Aaroon Printers Gandhi Nagar Main Road Berhampur Orissa - 760001. • **Bhagalpur** - Krishna, I Floor Near Mahadev Cinema Dr.R.P.Road Bhagalpur Bihar - 812002. • **Bharuch (parent: Ankleshwar TP)** - F-108, Rangoli Complex Station Road Bharuch Gujarat - 392001. • **Bhatinda** - 2907 GH, GT Road Near Zila Parishad Bhatinda Punjab - 151001. • **Bhavnagar** - 305-306, Sterling Point Waghawadi Road OPP HDFC Bank Bhavnagar Gujarat - 364002. • **Bhilai** - Shop No. 117, Ground Floor Khicharia Complex Opposite IDBI Bank Nehru Nagar Square Bhilai Chhattisgarh - 490020. • **Bhilwara** - Indrapartha tower Second floor Shyam ki sabji mandi Near Mukharji garden Bhilwara Rajasthan - 311001. • **Bhopal** - Plot no 10, 2nd Floor Alankar Complex Near ICICI Bank MP Nagar, Zone II Bhopal Madhya Pradesh - 462011. • **Bhubaneswar** - Plot No - 111, Varaha Complex Building 3rd Floor, Station Square Kharvel Nagar, Unit 3 Bhubaneswar Orissa - 751 001. • **Bhuj** - Data Solution, Office No:17 I st Floor Municipal Building Opp Hotel Prince Station Road Bhuj - Kutch Gujarat - 370001. • **Bhusawal (Parent: Jalgaon TP)** - 3, Adelade Apartment Christain Mohala, Behind Gulshan-E-Iran Hotel Amardeep Talkies Road Bhusawal Maharashtra - 425201. • **Bikaner** - F 4,5 Bothra Complex Modern Market Bikaner Rajasthan - 334001. • **Bilaspur** - 2nd Floor, Gwalani Chambers, St Xavier School Road, In Front of CIT (Income Tax) Office, Vyapar Vihar, Bilaspur, Chattisgarh - 495 001. • **Bokaro** - Mazzanine Floor F-4, City Centre, Sector 4, Bokaro Steel City Bokaro Jharkhand - 827004. • **Burdwan** - 399, G T Road Basement of Talk of the Town Burdwan West Bengal - 713101. • **Calicut** - 29/97G 2nd Floor Gulf Air Building Mavoor Road Aradyathupalam, Calicut Kerala - 673016. • **Chandigarh** - Deepak Tower SCO 154-155, 1st Floor Sector 17-C Chandigarh Punjab - 160 017. • **Chennai** - Ground Floor No. 178/10, Kodambakkam High Road Opp. Hotel Palmgrove Nungambakkam Chennai Tamil Nadu - 600 034. • **Chhindwara** - Shop No. 01, Near Puja Lawn, Prarasia Road, Chhindwara, Madhya Pradesh - 480 001. • **Chittoor** - 3 Ashok Nagar Near Heera Vatika Chittoor Rajasthan - 312001. • **Cochin** - 1st Floor, K C Centre, Door No. 42/227-B, Chittoor Road, Opp. North Town Police Station, Kacheripady, Cochin, Kerala - 682 018. • **Coimbatore** - Old # 66 New # 86, Lokamanya Street (West) Ground Floor R.S.Puram Coimbatore Tamil Nadu - 641 002. • **Cuttack** - Near Indian Overseas Bank Cantonment Road Mata Math Cuttack Orissa - 753001. • **Darbhanga** - Shahi Complex, 1st Floor Near RB Memorial hospital, V.I.P. Road, Benta Laheriasarai Darbhanga Bihar - 846001. • **Davenegere** - 13, 1st Floor, Akkamahadevi Samaj Complex Church Road P.J.Extension Devengere Karnataka - 577002. • **Dehradun** - 204/121 Nari Shilp Mandir Marg Old Connaught Place Dehradun Uttarakhand - 248001. • **Deoghar** - S S M Jalan Road Ground floor Opp. Hotel Ashoke Caster Town Deoghar Jharkhand - 814112. • **Dhanbad** - Urmila Towers Room No: 111(1st Floor) Bank More Dhanbad Jharkhand - 826001. • **Dharmapuri** - 16A/63A, Pidamaneri Road Near Indoor Stadium Dharmapuri Tamil Nadu - 636 701. • **Dhule** - H. No. 1793 / A, J.B. Road Near Tower Garden Dhule Maharashtra - 424 001. • **Durgapur** - City Plaza Building 3rd floor City Centre Durgapur West Bengal - 713 216. • **Erode** - 197, Seshaiyer Complex Agraharam Street Erode Tamil Nadu - 638001. • **Faizabad** - 64 Cantonment Near GPO Faizabad Uttar Pradesh - 224001. • **Faridhabad** - B-49, 1st Floor Nehru Ground Behind Anupam Sweet House NIT Faridhabad Haryana - 121001. • **Gandhidham** - S-7, Ratnakala Arcade, Plot No. 231, Ward - 12/B, Gandhidham, Gujarat - 370 201. • **Ghaziabad** - 113/6 I Floor Navyug Market Gazhiabad Uttar Pradesh - 201001. • **Goa** - No.108, 1st Floor, Gurudutta Bldg Above Weekender M G Road Panaji (Goa) Goa - 403 001. • **Gondal (Parent Rajkot)** - A/177, Kailash Complex Opp. Khedut Decor Gondal Gujarat - 360 311. • **Gorakhpur** - Shop No. 3, Second Floor, The Mall Cross Road, A.D. Chowk Bank Road Gorakhpur Uttar Pradesh - 273001. • **Gulbarga** - Pal Complex, 1st Floor Opp. City Bus Stop, SuperMarket Gulbarga Karnataka - 585 101. • **Guntur** - Door No 5-38-44 5/1 Brodipet Near Ravi Sankar Hotel Guntur Andhra Pradesh - 522002. • **Gurgaon** - SCO - 16, Sector - 14, First Floor Gurgaon Haryana - 122001. • **Guwahati** - A.K. Azad Road, Rehabori Guwahati Assam - 781008. • **Gwalior** - G-6 Global Apartment Kailash Vihar Colony Opp. Income Tax Office, City Centre Gwalior Madhya Pradesh - 474002. • **Haldia** - 2nd Floor, New Market Complex 2nd Floor, New Market Complex Durgachak Post Office, Purba Medinipur District, Haldia West Bengal - 721 602. • **Haldwani** - Durga City Centre Nainital Road Haldwani Uttarakhand - 263139. • **Hazaribag** - Municipal Market Annanda Chowk Hazaribagh Jharkhand - 825301. • **Himmatnagar** - D-78 First Floor New Durga Bazar Near Railway Crossing Himmatnagar Gujarat - 383 001. • **Hisar** - 12, Opp. Bank of Baroda Red Square Market Hisar Haryana - 125001. • **Hoshiarpur** - Near Archies Gallery Shimla Pahari Chowk Hoshiarpur Punjab - 146 001. • **Hosur** - No. 9/2, 1st Floor, Attibele Road, HCF Post, Behind RTO Office, Hathigiri, Hosur, Tamil Nadu - 635 110. • **Hubli** - No.204 - 205, 1st Floor 'B' Block, Kundagol Complex Opp. Court, Club Road Hubli Karnataka - 580 029. • **Hyderabad** - 208, II Floor Jade Arcade Paradise Circle Secunderabad Andhra Pradesh - 500 003. • **Indore** - 101, Shalimar Corporate Centre 8-B, South tukogunj, Opp.Greenpark Indore Madhya Pradesh - 452 001. • **Jabalpur** - 8, Ground Floor, Datt Towers Behind Commercial Automobiles Napier Town Jabalpur Madhya Pradesh - 482001. • **Jaipur** - R-7, Yudhisthir Marg ,C-Scheme Behind Ashok Nagar Police Station Jaipur Rajasthan - 302 001. • **Jalandhar** - 367/8, Central Town Opp. Gurudwara Diwan Asthan Jalandhar Punjab - 144001. • **Jalgaon** - Rustumji Infotech Services 70, Navipeth Opp. Old Bus Stand Jalgaon Maharashtra - 425001. • **Jalna** - Shop No 6, Ground Floor Anand Plaza Complex Bharat Nagar, Shivaji Putla Road Jalna Maharashtra - 431 203. • **Jammu** - JRDS Heights Lane Opp. S&S Computers Near RBI Building, Sector 14, Nanak Nagar Jammu J & K - 180004. • **Jamnagar** - 207, Manek Centre, P. N. Marg, Jamnagar, Gujarat - 361 001. • **Jamshedpur** - Millennium Tower, "R" Road Room No:15 First Floor, Bistupur Jamshedpur Jharkhand - 831001. • **Jaunpur** - 248, Fort Road Near AMBER HOTEL Jaunpur Uttar Pradesh - 222001. • **Jhansi** - Opp SBI Credit Branch Babu Lal Kharkana Compound Gwalior Road Jhansi Uttar Pradesh - 284001. • **Jodhpur** - 1/5, Nirmal Tower 1st Chopasani Road Jodhpur Rajasthan - 342003. • **Junagadh** - Circle Chowk, Near Choksi Bazar Kaman, Junagadh Gujarat - 362001. • **Kadapa** - Bandi Subbaramaiah Complex D.No:3/1718, Shop No: 8 Raja Reddy Street Kadapa Andhra Pradesh - 516 001. • **Kakinada** - No.33-1, 44 Sri Sathya Complex Main Road Kakinada Andhra Pradesh - 533 001. • **Kalyani** - A - 1/50, Block - A, Dist Nadia Kalyani West Bengal - 741235. • **Kannur** - Room No. 14/435 Casa Marina Shopping Centre Talap Kannur Kerala - 670004. • **Kanpur** - I Floor 106 to 108 CITY CENTRE Phase II 63/ 2, THE MALL Kanpur Uttar Pradesh - 208 001. • **Karimnagar** - H.No.7-1-257, Upstairs S B H Mangammathota Karimnagar Andhra Pradesh - 505 001. • **Karnal (Parent :Panipat TP)** - 7, 1st Floor, Opp Bata Showroom Kunjapura Road Karnal Haryana - 132001. • **Karur** - 126 G, V.P.Towers, Kovai Road Basement of Axis Bank Karur Tamil Nadu - 639002. • **Katni** - 1st Floor, Gurunanak Dharmakanta Jabalpur Road BARGAWAN Katni Madhya Pradesh - 483 501. • **Kestopur** - S.D.Tower, Sreeparna Apartment, AA-101, Prafulla Kannan (West), Shop No. 1M, Block-C (Ground Floor), Kestopur, Kolkata, West Bengal - 700 101. • **Khammam** - Shop No: 11 - 2 - 31/3, 1st floor, Philips Complex, Balajinagar, Wyrva Road, Near Baburao Petrol Bunk, Khammam Andhra Pradesh - 507 001. • **Kharagpur** - H.NO.291/1, Ward No-15 Malancha Main Road Opposite UCO Bank Kharagpur West Bengal - 721301. • **Kolhapur** - 2 B, 3rd Floor, Ayodhya Towers Station Road, Kolhapur Maharashtra - 416001. • **Kolkata** - Saket Building, 44 Park Street, 2nd Floor Kolkata West Bengal - 700016. • **Kolkata-CC** - Room No.3A Commerce House (4th Floor) Kolkata West Bengal - 700013. • **Kollam** - Kochupillamoodu Junction Near VLC, Beach Road Kollam Kerala - 691001. • **Kota** - B-33 'Kalyan Bhawan Triangle Part , Vallabh Nagar Kota Rajasthan - 324007. • **Kottayam** - KMC IX / 1331 A Opp.: Malayala Manorama Railway Station Road Thekkummoottil Kottayam Kerala - 686001. • **Kumbakonam** - Jailani Complex 47, Mutt Street Kumbakonam Tamil Nadu - 612001. • **Kurnool** - H.No.43/8, Upstairs Uppini Arcade, N R Peta Kurnool Andhra Pradesh - 518 004. • **Lucknow** - Off # 4, 1st Floor, Centre Court Building, 3/c, 5 - Park Road, Hazratganj Lucknow Uttarpradesh - 226 001. • **Ludhiana** - U/ GF, Prince Market, Green Field Near Traffic Lights, Sarabha Nagar Pulli Pakhowal Road, Ludhiana Punjab - 141 002. • **Madurai** - Ist Floor, 278, North Perumal Maistry Street Nadar Lane Madurai Tamil Nadu - 625 001. • **Malda** - Daxhinapan Abasan Opp Lane of Hotel Kalinga SM Pally Malda West Bengal - 732 101. • **Mangalore** - No. G 4 & G 5, Inland Monarch Opp. Karnataka Bank Kadri Main Road, Kadri Mangalore Karnataka - 575 003. • **Manipal** - Basement floor, Academy Tower Opposite Corporation Bank Manipal Karnataka - 576104. • **Mapusa (Parent ISC : Goa)** - Office no.CF-8, 1st Floor, Business Point Above Bicholim Urban Co-op Bank Angod Mapusa Goa - 403 507. • **Margao** - Virginkar Chambers I Floor Near Kamath Milan Plaza, New Market Near Lily Garments, Old Station Road Margao Goa - 403 601. • **Mathura** - 159/160 Vikas Bazar Mathura Uttar Pradesh - 281001. • **Meerut** - 108 Ist Floor Shivam Plaza Opposite Eves Cinema, Hapur Road Meerut Uttar Pradesh - 250002. • **Mehsana** - 1st Floor, Subhadra Complex Urban Bank Road Mehana Gujarat - 384 002. • **Moga** - Gandhi Road Opp Union Bank of India Moga Punjab - 142001. • **Moradabad** - B-612 'Sudhakar' Lajpat Nagar Moradabad Uttar Pradesh - 244001. • **Mumbai** - Rajabhadur Compound, Ground Floor Opp Allahabad Bank, Behind ICICI Bank 30, Mumbai Samachar Marg, Fort Mumbai Maharashtra - 400 023. • **Muzzafarpur** - Brahman toli, Durgasthan Gola Road Muzaffarpur Bihar - 842001. • **Mysore** - No.1, 1st Floor CH.26 7th Main, 5th Cross (Above Trishakthi Medicals) Saraswati Puram Mysore Karnataka - 570009. • **Nadiad (Parent TP: Anand TP)** - F 142, First Floor, Ghantakarana Complex, Gunj Bazar, Nadiad, Gujarat - 387 001. • **Nagpur** - 145 Lendra New Ramdaspet Nagpur Maharashtra - 440 010. • **Namakkal** - 156A / 1, First Floor, Lakshmi Vilas Building Opp. To District Registrar Office, Trichy Road Namakkal Tamil Nadu - 637001. • **Nasik** - Raturang Bungalow, 2 Godavari Colony Behind Big Bazar, Near Boys Town School Off College Road Nasik Maharashtra - 422005. • **Navsari** - 16, 1st Floor, Shivani Park Opp. Shankheswar Complex Kaliawadi Navsari Gujarat - 396 445. • **Nellore** - 97/56, I Floor Immadisetty Towers Ranganayakulapet Road, Santhapet, Nellore Andhra Pradesh - 524001. • **New Delhi** - 7-E, 4th Floor, Deen

Dayaal Research Institute Building Swami Ram Tirath Nagar, Near Videocon Tower Jhandewalan Extension, New Delhi New Delhi - 110 055. • **New Delhi-CC** - 23, Barakhamba Road Connaught Place New Delhi New Delhi - 110 001. • **Noida** - C-81, 1st floor Sector - 2 Noida - 201301. • **Palakkad** - 10 / 688, Sreedevi Residency Mettupalayam Street Palakkad Kerala - 678 001. • **Palanpur** - 3rd Floor, T - 11 Opp. Government Quarter College Road Palanpur Gujarat - 385001. • **Patiala** - 35, New Lal Bagh Colony Patiala Punjab - 147001. • **Patna** - G-3, Ground Floor Om Vihar Complex SP Verma Road Patna Bihar - 800 001. • **Pondicherry** - S-8, 100, Jawaharlal Nehru Street (New Complex, Opp. Indian Coffee House) Pondicherry Pondicherry - 605001. • **Pune** - Nirmiti Eminence, Off No. 6, I Floor Opp Abhishek Hotel Mehandale Garage Road Erandawane Pune Maharashtra - 411 004. • **Rae Bareilly** - 17, Anand Nagar Complex Rae Bareilly Uttar Pradesh - 229001. • **Raipur** - HIG, C-23, Sector - 1 Devendra Nagar Raipur Chhattisgarh - 492004. • **Rajahmundry** - Door No: 6-2-12, 1st Floor, Rajeswari Nilayam Near Vamsikrishna Hospital, Nyapathi Vari Street, T Nagar Rajahmundry Andhra Pradesh - 533 101. • **Rajapalayam** - No 59 A/1, Railway Feeder Road Near Railway Station Rajapalayam Tamil Nadu - 626117. • **Rajkot** - Office 207 - 210, Everest Building Harihar Chowk Opp Shastri Maidan Limda Chowk Rajkot Gujarat - 360001. • **Ranchi** - 4, HB Road No: 206, 2nd Floor Shri Lok Complex H B Road Near Firyalal Ranchi Jharkhand - 834001. • **Ratlam** - Dafia & Co 18, Ram Bagh Near Scholar's School Ratlam Madhya Pradesh - 457001. • **Ratnagiri** - Kohinoor Complex Near Natya Theatre Nachane Road Ratnagiri Maharashtra - 415 639. • **Rohtak** - 205, 2ND Floor, Blg. No. 2, Munjal Complex, Delhi Road, Rohtak Haryana - 124001. • **Roorkee** - 22 Civil Lines Ground Floor Hotel Krish Residency Roorkee Uttarakhand - 247667. • **Rourkela** - 1st Floor Mangal Bhawan Phase II Power House Road Rourkela Orissa - 769001. • **Sagar** - Opp. Somani Automobiles Bhagwanganj Sagar Madhya Pradesh - 470 002. • **Saharanpur** - I Floor, Krishna Complex Opp. Hathi Gate Court Road Saharanpur Uttar Pradesh - 247001. • **Salem** - No.2, I Floor Vivekananda Street, New Fairlands Salem Tamil Nadu - 636016. • **Sambalpur** - C/o Raj Tibrewal & Associates Opp. Town High School, Sansarak Sambalpur Orissa - 768001. • **Sangli** - Jiveshwar Krupa Bldg, Shop. No. 2, Ground Floor, Tilak Chowk, Harbhat Road, Sangli, Maharashtra - 416 416. • **Satara** - 117 / A / 3 / 22, Shukrawar Peth Sargam Apartment Satara Maharashtra - 415002. • **Shahjahanpur** - Bijlipura, Near Old Distt Hospital Near Old Distt Hospital Shahjahanpur Uttar Pradesh - 242001. • **Shimla** - I Floor, Opp. Panchayat Bhawan Main gate Bus stand Shimla Himachal Pradesh - 171001. • **Shimoga** - Nethravathi Near Gutti Nursing Home Kuvempu Road Shimoga Karnataka - 577 201. • **Siliguri** - 17B Swamiji Sarani, Siliguri, West Bengal - 734 001. • **Sirsa** - Beside Overbridge Next to Nissan car showroom Hissar Road Sirsa Haryana - 125055. • **Sitapur** - Arya Nagar Near Arya Kanya School Sitapur Uttar Pradesh - 261001. • **Solan** - 1st Floor, Above Sharma General Store Near Sanki Rest house The Mall Solan Himachal Pradesh - 173 212. • **Solapur** - Flat No 109, 1st Floor A Wing, Kalyani Tower 126 Siddheshwar Peth Near Pangal High School Solapur Maharashtra - 413001. • **Sriganganagar** - 18 L Block Sri Ganganagar Rajasthan - 335001. • **Srikakulam** - Door No 4-4-96, First Floor, Vijaya Ganapathi Temple Back Side Nanubala Street Srikakulam Andhra Pradesh - 532 001. • **Sultanpur** - 967, Civil Lines Near Pant Stadium Sultanpur Uttar Pradesh - 228 001. • **Surat** - Plot No.629, 2nd Floor, Office No.2-C/2-D Mansukhlal Tower, Beside Seventh Day Hospital Opp. Dhiraj Sons, Athwalines Surat Gujarat - 395 001. • **Surendranagar** - 2 M I Park, Near Commerce College Wadhwan City Surendranagar Gujarat - 363035. • **Thane** - 3rd Floor, Nalanda Chambers "B" Wing, Gokhale Road, Near Hanuman Temple Naupada Thane Maharashtra - 400 602. • **Thiruppur** - 1(1), Binny Compound, II Street, Kumaran Road Thiruppur Tamil Nadu - 641601. • **Thiruvalla** - 24/590-14, C.V.P Parliament Square Building, Cross Junction, Thiruvalla, Kerala - 689 101. • **Tinsukia** - Dhawal Complex Ground Floor, Durgabari Rangagora Road, Near Dena Bank Tinsukia Assam - 786125. • **Tirunelveli** - 1 Floor, Mano Prema Complex 182 / 6, S.N High Road Tirunelveli Tamil Nadu - 627001. • **Tirupathi** - Shop No : 6, Door No: 19-10-8, (Opp to Passport Office), AIR Bypass Road, Tirupathi, Andhra Pradesh - 517 501. • **Trichur** - Room No. 26 & 27 Dee Pee Plaza Kakkalai Thrissur Kerala - 680001. • **Trichy** - No 8, I Floor, 8th Cross West Extn Thillainagar Trichy Tamil Nadu - 620018. • **Trivandrum** - R S Complex Opposite of LIC Building Pattom PO Thiruvananthapuram Kerala - 695004. • **Tuticorin** - Ground Floor, Mani Nagar Tuticorin Tuticorin Tamil Nadu - 628 003. • **Udaipur** - 32 Ahinsapuri Fatehpura Circle Udaipur Rajasthan - 313004. • **Ujjain** - 123, 1st Floor, Siddhi Vinayaka Trade Centre Saheed Park, Ujjain Madhya Pradesh - 456 010. • **Unjha (Parent: Mehsana)** - 10/11, Maruti Complex, Opp. B R Marbles, Highway Road Unjha Gujarat - 384 170. • **Vadodara** - 103 Aries Complex BPC Road, Off R.C. Dutt Road Alkapuri Vadodara Gujarat - 390 007. • **Valsad** - 3rd floor Gita Nivas, opp Head Post Office Halar Cross Lane Valsad Gujarat - 396001. • **Vapi** - 208, 2nd Floor, Heena Arcade, Opp. Tirupati Tower, Near G.I.D.C. Char Rasta, Vapi, Gujarat - 396 195. • **Varanasi** - Office no. 1, Second floor, Bhawani Market, Building No. D-58/2-A1, Rathayatra, Beside Kuber Complex, Varanasi, Uttar Pradesh - 221 010. • **Vaso (Parent Goa)** - No DU 8, Upper Ground Floor Behind Techoclean Clinic, Suvidha Complex Near ICICI Bank Vasco da gama Goa - 403802. • **Vellore** - No.1, Officer's Line 2nd Floor, MNR Arcade Opp. ICICI Bank, Krishna Nagar Vellore Tamil Nadu - 632 001. • **Vijayawada** - 40-1-68, Rao & Ratnam Complex Near Chennupati Petrol Pump M.G Road, Labbipet Vijayawada Andhra Pradesh - 520 010. • **Visakhapatnam** - 47/ 9 / 17, 1st Floor 3rd Lane , Dwaraka Nagar Visakhapatnam Andhra Pradesh - 530 016. • **Warangal** - A.B.K Mall, Near Old Bus Depot road BVSS Mayuri Complex F-7, 1st Floor, Rannagar Hanamkonda Warangal Andhra Pradesh - 506001. • **Yamuna Nagar** - 124-B/R Model Town Yamunanagar Yamuna Nagar Haryana - 135 001. • **Yavatmal** - Pushpam, Tilakwadi Opp. Dr. Shrotri Hospital Yavatma Maharashtra - 445 001.

Union Bank of India - Official Points of Acceptance: (During New Fund Offer Period only)

• **Agra** - Friends Wasan Plaza, Sanjay Place, Dist. Agra, Uttar Pradesh, Pin - 282 003. • **Ahmedabad** - Upper Floor, Parth Milan Complex, Near Hotel Nest Sardar Patel Nagar, Off C.G Road, Dist. Ahmedabad, Gujarat, Pin - 380 006. • **Allahabad** - Hotel Samrat Bldg, M G Marg, Civil Lines, Allahabad - 211 001. • **Amritsar** - Majith Mandi, Dist Amritsar, Punjab. • **Bangalore** - 116, 11th Cross Malleswaram, Bangalore Pin - 560 003 Karnataka. • **Belgaum** - 659/660, Ravivarpeth, Belgaum, Karnataka. • **Bellary** - 201, 1st Floor, Car Street, Bellary, Dist. Bellary, Karnataka, Pin - 583 101. • **Bhilwara** - 15/251, Rajendra Marg, Dist. Bhilwara, Rajasthan, Pin - 311 001. • **Bhopal** - 1513/1/1, Union Bank Bhavan, Arera Hills, Bhopal - 462 011. • **Bhubaneswar** - Plot No.101, Janpath, Kharvel Nagar, Unit III, Bhubaneswar, Dist. Khurda, Orissa, Pin - 751 001. • **Chandigarh** - 64/65 Bank Square, Sector 17-B, Chandigarh - 160 017. • **Chennai** - IInd floor, 139, Broadway, Prakasam Road, Chennai - 600 108 Tamil Nadu. • **Coimbatore** - 235, Second Floor, Oppannakara Street, Dist. Coimbatore, Tamil Nadu. • **Davenegere** - Ground Floor, Suraj Arcade, H.M. Road, Dist. Davangere, Karnataka, Pin - 577001. • **Dehradun** - 19-B, Rajpur Rd, P.B.No. 109, Dehradun - 248 001, Uttar Pradesh. • **Erode** - 381, Sathy Road, Erode, Tamil Nadu, Pin - 638 003. • **Goarakhpur** - Cinema Road, Dr. Khudaijis Compound Golghar, Goarakhpur, Uttar Pradesh. • **Gwalior** - 8/85, Sarafa Bazar, Lashkar, Dist. Gwalior, Madhya Pradesh, Pin - 474 001. • **Hisar** - S.C.O. 155-156, Urban Estate - I, Near Telephone Exchange, Dist. Hissar, Haryana, Pin - 125 005. • **Hoshiarpur** - Kamalpur Chowk, Phagwara Road, Dist. Hoshiarpur, Punjab, Pin - 146 001. • **Hyderabad** - 6/1/67/14, Ground Floor, Public Garden Road, Saifabad, Hyderabad, Pin - 500 004. • **Indore** - Service Branch Indore, Shiv Vilas Palace, Ground Floor, M.G. Road, Indore, Madhya Pradesh - 452 003. • **Jabalpur** - 1st Floor, 'Shyam Arcade', Malaviya Chowk, Jabalpur - 482 001. • **Jaipur** - 2nd Floor, Katla Purohitji, Badi Choupad, Johri Bazar, Jaipur. • **Jalandhar** - 12 Param Market, Opposite Circuit House, Civil Lines, Jalandhar, Punjab. • **Jhansi** - Bhaskar Bhavan, Civil Lines, Dist. Jhansi, Uttar Pradesh, Pin - 284 001. • **Jodhpur** - Takiya Chand Shah Market, Outside Sojati Gate, Dist. Jodhpur, Rajasthan, Pin - 342 001. • **Kanpur** - 13/6, Parmat, Kanpur Dist. Kanpur - 208 001. • **Kochi** - Ground Floor, Union Bank Bhavan, M.G. Road, Ernakulam - 682 035 Kochi. • **Kolhapur** - 1411 "C", Maya Chambers, Laxmipuri Post Box No. 12, Dist. Kolhapur. • **Kolkata** - 'Allepee Court', 1st Floor, 225 C A J C Bose Road, District Kolkata, West Bengal, Pin - 700 020. • **Kota** - 9, Udyog Marg, Aerodrome Circle, Dist. Kotah, Rajasthan, Pin - 324 007. • **Lucknow** - Near Old Basant Cinema, Computer Market, Hazratganj, Lucknow. • **Ludhiana** - Chowk Desarganj, Ludhiana - 141 008. • **Madurai** - P.O. Box No. 51, Rosary Bldg., 1st Floor, Town Hall Road, Madurai - Tamil Nadu. • **Mangalore** - 1st Floor, 44/1/128, Deenan Mansion, Balmatta Road, Hampankatta, Manglore, Karnataka, Pin - 575 001. • **Meerut** - Begum Bridge Road, Opp. Govt. Inter College, B. No. 93, Meerut City, Uttar Pradesh. • **Moradabad** - Union Bank Bhavan, Opp. Kunwar Cinema, Station Rd., Budh Bazar, Dist. Moradabad, Uttar Pradesh, Pin - 244 001. • **Mumbai** - Ground Floor, 239, Vidhan Bhavan Marg, Nariman Point, Mumbai 400 021 - Maharashtra. • **Mysore** - 47D/47E, Ashoka Road, Mannar's Complex, Dist. Mysore, Karnataka, Pin - 570 001. • **Nagpur** - V. N. Sathe Bldg, Opp Lokmats, Wardha Rd, Janata Chowk, Nagpur - 440 012. • **Nasik** - Maratha Mandir, Post Box No.18, M.G. Road, Nasik - 422 001. • **New Delhi** - 1st Floor, M - II, Middle Circle, Connaught Circus, New Delhi - 110010. • **Panaji** - Avanti Hotel Building, Rua De Ourem, Panaji, Dist. North Goa, 403 001. • **Patna** - 1st Floor, Pushpak Complex, Fraser Road, Patna. • **Pune** - Plot No. 285/286, Torna Road, Agriculture Market Yard, Gultekdi, Pune - 411 037. • **Raipur** - 8/13, Shankar Nagar, Main Road, Near Chhoti Rly Crossing, Raipur - 492 007. • **Rajkot** - Pattani Building, Opp. Jubilee Garden, Mahatma Gandhi Road, Post Box No. 38, Rajkot, Gujarat, Pin - 360 001. • **Ranchi** - Kutchery Road, Ranchi, Dist Ranchi - 834001. • **Rohtak** - Jhajjar Road, Rohtak, Dist. Rohtak, Haryana, Pin - 124 001. • **Salem** - P. B. No. 239, 75/75-A, Jambulingam Street, Shevapet, Dist. Salem, Tamil Nadu, Pin - 636 002. • **Surat** - Shivam Chambers, Khand Bazar, Varacha Road, Surat, Gujarat. • **Trichy** - P. B. No. 534, 66, West Boulevard Road, Tiruchirappalli, Tamil Nadu, Pin - 620 008. • **Trivandrum** - 2nd floor, Union Bank Bhavan, Trivandrum - 695 001, Kerala. • **Ujjain** - Fawara Chowk, Tilak Marg, Ujjain, Dist. Ujjain, Madhya Pradesh, Pin - 456 001. • **Vadodara** - CMS Strand, 104, First Floor, BBC Tower, Sayajiganj, Vadodara, Gujarat. • **Varanasi** - Taksal Theatre Building, 3rd Floor, Nadesar, Varanasi - 221 001. • **Vijayawada** - Rishabh Towers, 1st Floor, Convent Street, Vijayawada - 520 001. • **Visakhapatnam** - Door No.21-32/8, Kotha Rd, Chanlraopeta, P.B. No. 101, Visakhapatnam - 530 001.

Union KBC Mutual Fund - Customer Service Centers and Official Points of Acceptance:

• **Bangalore** : Union KBC Asset Management Co Pvt Ltd, Sunrise Chambers, No. W-303, 3rd floor, 22 Ulsoor Road, Bangalore - 560001. • **Chennai**: Union KBC Asset Management Co Pvt Ltd, 1D, Mount Chambers, 758 A, Anna Salai, Mount Road, Chennai - 600002. • **Cochin** : Union KBC Asset Management Co Pvt Ltd, M/s. Mayur Business Centre, Pulleppady Jn., Chittoor Road, Ernakulam, Ernakulam Village Cochin, PIN: 682 035. • **Kolkata**: Union KBC Asset Management Co Pvt Ltd, Apeejay House, 15 Park Street, Block B, Suite 4C, Kolkata 700 016. • **Mumbai**: Union KBC Asset Management Co Pvt Ltd, Unit No. 802, 8th Floor, Tower 'A', Peninsula Business Park, Ganpatrao Kadam Marg, Lower Parel (W), Mumbai - 400 013. • **New Delhi**: Union KBC Asset Management Co Pvt Ltd, Flat No. 603, 6th Floor, Surya Kiran Building, 19, Kasturba Gandhi Marg, Connaught Place, New Delhi - 110001.

Applicable During New Fund Offer Period Only: • Lucknow: Union KBC Asset Management Co Pvt Ltd, 109, 1st Floor, Saran Chambers II, 5 Park Road, Lucknow - 226 001.