

# NOTICE



Principal Pnb Asset Management Company Pvt. Ltd.  
(CIN : U25000MH1991PTC064092)  
**Regd. Off.:** Exchange Plaza, 'B' Wing,  
Ground Floor, NSE Building, Bandra Kurla Complex,  
Bandra (East), Mumbai-400 051.  
Toll Free: 1800 425 5600 Fax: (022) 6772 0512  
E-mail: [customer@principalindia.com](mailto:customer@principalindia.com)  
Visit us at: [www.principalindia.com](http://www.principalindia.com)

## NOTICE-CUM-ADDENDUM TO THE STATEMENT OF ADDITIONAL INFORMATION (SAI) OF PRINCIPAL MUTUAL FUND [No. 31/2016]

### 1. DECLARATION OF DIVIDEND:

NOTICE IS HEREBY GIVEN THAT, the Trustee to Principal Mutual Fund has approved Thursday, November 24, 2016, as the Record Date\* for the declaration of dividend, subject to the availability of distributable surplus, under the Dividend Option of following Scheme(s)/Plan(s) of Principal Mutual Fund:

Sr. No.	Name of the Scheme(s) / Plan(s) & Dividend Distribution Frequency	Rate of Dividend per unit (*) (**) (in ₹) (Face Value ₹ 10)	NAV as on Nov. 17, 2016 (₹ Per unit)
1.	<b>Principal Balanced Fund</b> (An Open-ended Balanced Scheme)		
	(i) Regular Plan (Monthly)	0.1546	23.90
	(ii) Direct Plan (Monthly)	0.1723	26.63
2.	<b>Principal Smart Equity Fund</b> (An Open-ended Equity Scheme)		
	(i) Regular Plan (Monthly)	0.0986	15.25
	(ii) Direct Plan (Monthly)	0.1064	16.47

\* Considering the volatile nature of markets, Trustee reserves the right to restrict the quantum of dividend upto, the per unit distributable surplus available on the Record Date.

\*\* As reduced by the amount of applicable statutory levy.

# As per the Dividend Policy, in any case the Record Date falls on a non-business day, the immediately preceding business day shall deemed to be the Record Date.

### Pursuant to the payment of dividend, the NAV under Dividend Option of the aforesaid Scheme(s)/Plan(s) would fall to the extent of payout and statutory levy (if applicable).

All the unitholders under the Dividend Option of the abovementioned Scheme(s)/Plan(s) whose name appears on the Register of Unitholders of our Registrar & Transfer Agents, M/s. Karvy Computershare Pvt. Ltd., as on the Record Date shall be eligible to receive the dividend.

### 2. VACATION OF OFFICE OF ALTERNATE DIRECTOR ON THE BOARD OF PRINCIPAL PNB ASSET MANAGEMENT COMPANY PRIVATE LIMITED ('THE COMPANY'):

NOTICE IS HEREBY GIVEN THAT, pursuant to Section 161(2) of the Companies Act, 2013, Mr. Kim Thean Soo, Alternate Director to Mr. Pedro Borda on the Board of the Company has vacated the office with effect from November 16, 2016.

Consequently, all references pertaining to Mr. Kim Thean Soo in the SAI of Principal Mutual Fund stand deleted.

The contents of this addendum shall form an integral part of the SAI of Principal Mutual Fund, and all other features, terms and conditions thereof remain unchanged.

For further information/assistance do visit us at [www.principalindia.com](http://www.principalindia.com) or e-mail us at [customer@principalindia.com](mailto:customer@principalindia.com) or call on our Toll Free no. 1800 425 5600

For Principal Pnb Asset Management Company Pvt. Ltd.

Place : Mumbai

Date : November 18, 2016

Sd/-  
Authorised Signatory

**Mutual Fund investments are subject to market risks,  
read all scheme related documents carefully.**